

# GENERIC SPLITTING FIELDS OF COMPOSITION ALGEBRAS

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Witt [7] proved that one can assign to each generalized quaternion algebra  $\mathcal{A}$  over a field  $K$ , a field  $F(\mathcal{A})$  containing  $K$  which splits  $\mathcal{A}$  and has the property: if  $F(\mathcal{A})$  splits a quaternion algebra  $\mathcal{B}$  over  $K$  then either  $\mathcal{B}$  is split over  $K$  or  $\mathcal{B}$  is isomorphic to  $\mathcal{A}$ . Amitsur [2] has generalized this result to obtain generic splitting fields for all central simple associative algebras of dimension greater than one over  $K$  (cf. Roquette [6]). In this paper we generalize the result of Witt in another direction, studying splitting fields of composition algebras of dimension greater than one over  $K$  of characteristic other than two. We assign to each such algebra  $\mathcal{C}$ , a field  $F(\mathcal{C})$  containing  $K$ , prove that  $F(\mathcal{C})$  is an invariant under isomorphisms, and prove

**THEOREM 2.** *Let  $\mathcal{C}$  be a composition algebra of dimension greater than one over  $K$ . Then*

1.  $\mathcal{C}_{F(\mathcal{C})}$  is split.
2. If  $F \supseteq K$  is any field, then  $\mathcal{C}_F$  is split if and only if there is a  $K$ -place of  $F(\mathcal{C})$  into  $F \cup \infty$ .
3. If  $\mathcal{C}'$  is any composition algebra over  $K$  such that  $\mathcal{C}'_{F(\mathcal{C})}$  is split, then either  $\mathcal{C}'$  is split or  $\mathcal{C}$  is isomorphic to a subalgebra of  $\mathcal{C}'$ .

Thus we generalize the result of Witt to quadratic and generalized Cayley algebras.

**I. Composition algebras.** A composition algebra  $\mathcal{C}$  over a field  $K$  is an algebra over  $K$ , with identity 1, together with a nondegenerate quadratic form  $N$  such that  $N(xy) = N(x)N(y)$  for any  $x, y$  in  $\mathcal{C}$ . The structure of such algebras has been completely determined and we refer to [1] or [4] for proofs of the following results.

1. A composition algebra  $\mathcal{C}$  is alternative with involution  $\tau: \alpha 1 + u \rightarrow \alpha 1 - u$ , for  $u$  orthogonal to 1 with respect to the nondegenerate, symmetric, bilinear form  $N(x, y) = \frac{1}{2}\{N(x+y) - N(x) - N(y)\}$ . Each  $x \in \mathcal{C}$  can be uniquely represented in the form  $x = \alpha 1 + u$ ,  $\alpha \in K$ ,  $N(u, 1) = 0$  and one has  $N(x)1 = (\alpha 1 + u)(\alpha 1 - u)$ .

If  $V$  is a subspace of  $\mathcal{C}$ , we shall denote by  $V^\perp$  the orthogonal complement of  $V$  in  $\mathcal{C}$  with respect to  $N(x, y)$ .

2. If  $\mathcal{B}$  is a composition subalgebra of  $\mathcal{C}$  (necessarily having associated quadratic form the restriction of  $N$  to  $\mathcal{B}$ ), and  $u \in \mathcal{B}^\perp \subseteq \mathcal{C}$ ,  $N(u) \neq 0$ , then  $\mathcal{B} + \mathcal{B}u$ ,

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$\mathcal{B}u = \{bu \mid b \in \mathcal{B}\}$ , is a composition subalgebra of  $\mathcal{C}$  with structure determined completely by the structure of  $\mathcal{B}$  and the element  $N(u) \in K$ .  $\mathcal{B}u$  is orthogonal to  $\mathcal{B}$  with respect to the nondegenerate form  $N(x, y)$  and hence  $\dim(\mathcal{B} + \mathcal{B}u) = 2 \dim \mathcal{B}$ .

3. Every composition algebra  $\mathcal{C}$  has dimension 1, 2, 4, or 8 over  $K$  and possesses composition subalgebras of dimension  $2^e$  for all  $e$  such that  $2^e \leq \dim \mathcal{C}$ .

4. If  $\varphi$  is an isomorphism from a composition algebra  $\mathcal{C}$  with quadratic form  $N$  onto a composition algebra  $\mathcal{C}'$  with quadratic form  $N'$ , then  $N'(x\varphi) = N(x)$  for all  $x \in \mathcal{C}$ .

5. A composition algebra is called split if there is  $u \in \mathcal{C}$ ,  $u \neq 0$ , such that  $N(u) = 0$ . If  $\mathcal{C}$  is split, the form  $N(x, y)$  has maximal Witt index. If  $\mathcal{C}$  is not split,  $\mathcal{C}$  is a division algebra.

6. If  $F \supseteq K$  is a field, the algebra  $\mathcal{C}_F = \mathcal{C} \otimes_K F$  is again a composition algebra (over  $F$ ) with associated quadratic form  $N_F$ , the natural extension of  $N$  to  $\mathcal{C}_F$ .

For convenience we shall denote by  $\lambda x$ ,  $\lambda \in F$ ,  $x \in \mathcal{C}$ , the element  $\lambda \otimes x$  of  $\mathcal{C}_F$ .

**II. Construction of the generic splitting field.** We assume now that  $\mathcal{C}$  is an arbitrary composition algebra of dimension  $2^k$ ,  $k > 0$ , over  $K$  of characteristic other than two. Let  $u_i$ ,  $1 \leq i \leq m+1$ ,  $m = 2^k - 1$ , be elements of  $\mathcal{C}$  such that  $N(u_i) \neq 0$  for all  $i$ ,  $N(u_i, u_j) = 0$  for  $i \neq j$ , and  $u_i$ ,  $1 \leq i \leq m$ , span a composition algebra  $\mathcal{B} \subseteq \mathcal{C}$ . We take  $L(\mathcal{C})$  to be the rational function field in  $m-1$  indeterminates  $x_2, \dots, x_m$  over  $K$ , assuming as a convention that this will be  $K$  if  $m=1$ , and define

$$\lambda(u) = N(u_1)^{-1} N\left(\sum_2^m u_i x_i + u_{m+1}\right) = N(u_1)^{-1} \left(\sum_2^m x_i^2 N(u_i) + N(u_{m+1})\right)$$

in  $L(\mathcal{C})$ .

The generic splitting field  $F(\mathcal{C})$  is defined as follows:  $F(\mathcal{C}) = L(\mathcal{C})$  if  $\mathcal{C}$  is split;  $F(\mathcal{C}) = L(\mathcal{C})((-\lambda(u))^{1/2})$  if  $\mathcal{C}$  is not split.

We show now that  $F(\mathcal{C})$  is dependent, up to isomorphism, only on  $\mathcal{C}$ , and not on the choice of the  $u_i$ , proving first

**LEMMA 1.** *Let  $\mathcal{C}$  be a composition division algebra over  $K$ ,  $u_i, v_i$ ,  $1 \leq i \leq m+1$  sets of elements of  $\mathcal{C}$  satisfying the conditions above and such that  $u_i$ ,  $1 \leq i \leq m$ , and  $v_i$ ,  $1 \leq i \leq m$ , span the same subalgebra  $\mathcal{B}$  of  $\mathcal{C}$ . Then  $L(\mathcal{C})((-\lambda(u))^{1/2})$  is isomorphic to  $L(\mathcal{C})((-\lambda(v))^{1/2})$ .*

**Proof.** By (2),  $\mathcal{C} = \mathcal{B} + \mathcal{B}u_{m+1}$  and  $\mathcal{B}^{\perp} = \mathcal{B}u_{m+1}$ . Thus there is  $b \in \mathcal{B}$  such that  $v_{m+1} = bu_{m+1}$ ,  $N(b) \neq 0$ . Since  $bu_i$ ,  $1 \leq i \leq m$  span  $\mathcal{B}$ ,

$$\alpha v_1 + \sum_2^m x_i v_i + v_{m+1} = \sum_1^m \xi_i (bu_i) + bu_{m+1} = b \left(\sum_1^m \xi_i u_i + u_{m+1}\right)$$

for any  $\alpha \in L(\mathcal{C})((-\lambda(v))^{1/2})$ , where  $\xi_i$ ,  $1 \leq i \leq m$ , are  $K$ -linear combinations of  $\alpha$  and the  $x_i$ ,  $2 \leq i \leq m$ , and conversely. For  $\alpha = (-\lambda(v))^{1/2}$ ,

$$0 = N\left(\alpha v_1 + \sum_2^m x_i v_i + v_{m+1}\right) = N(b) N\left(\sum_1^m \xi_i u_i + u_{m+1}\right)$$

and, since  $N(b) \neq 0$ ,  $\xi_1^2 = -N(u_1)^{-1}(\sum_2^m \xi_i^2 N(u_i) + N(u_{m+1}))$ . Since the  $\xi_i$  generate  $L(\mathcal{C})((-\lambda(v))^{1/2})$  over  $K$ , it follows that there is an isomorphism of  $L(\mathcal{C})((-\lambda(u))^{1/2})$  onto  $L(\mathcal{C})((-\lambda(v))^{1/2})$  mapping  $x_i$  onto  $\xi_i$ ,  $2 \leq i \leq m$ , and  $(-\lambda(u))^{1/2}$  onto  $\xi_1$ .

We shall obtain our results on the independence of  $F(\mathcal{C})$  from the choice of the  $u_i$ , and on the invariance of  $F(\mathcal{C})$  under isomorphism of  $\mathcal{C}$ , as corollaries to

**THEOREM 1.** *Let  $u_i, v_i, 1 \leq i \leq m+1$  be elements of a division composition algebra  $\mathcal{C}$ , satisfying the criteria given for the  $u_i$  in defining  $F(\mathcal{C})$ . Let  $u_i, 1 \leq i \leq m$ , span the subalgebra  $\mathcal{B}$  and let  $v_i, 1 \leq i \leq m$ , span the subalgebra  $\mathcal{B}'$ . Then  $L(\mathcal{C})((-\lambda(u))^{1/2})$  is isomorphic to  $L(\mathcal{C})((-\lambda(v))^{1/2})$ .*

**Proof.** We consider the separate cases  $m=1, 2$ , or  $4$ .

*Case 1.  $m=1$ .* The only one-dimensional composition subalgebra of  $\mathcal{C}$  is  $K1$ , hence  $\mathcal{B}=\mathcal{B}'$  and the result follows from Lemma 1.

*Case 2.  $m=2$ .* If  $\mathcal{B}=\mathcal{B}'$ , Lemma 1 again yields the desired result. Thus we may assume  $\mathcal{B} \cap \mathcal{B}' = K1$ .

If  $1, u$  are an orthogonal basis for  $\mathcal{B}$ ,  $v \in \mathcal{B}^\perp$ , then  $1, v$  also span a subalgebra, say  $\mathcal{D}$ , of  $\mathcal{C}$ . Taking  $u_1=1, u_2=u, u_3=v, u'_1=1, u'_2=v, u'_3=u$ , we see easily that since  $\lambda(u)=N(u)x_1^2+N(v)$ ,  $\lambda(u')=N(v)x_1^2+N(u)$ , the mapping taking  $x_1$  onto  $x_1^{-1}$ ,  $(-\lambda(u))^{1/2}$  onto  $x_1^{-1}(-\lambda(u'))^{1/2}$  determines an isomorphism of  $L(\mathcal{C})((-\lambda(u))^{1/2})$  onto  $L(\mathcal{C})((-\lambda(u'))^{1/2})$ .

Since  $\mathcal{B}^\perp, (\mathcal{B}')^\perp$  are two-dimensional subspaces of the three dimensional space  $(K1)^\perp$ , there is  $z \in \mathcal{B}^\perp \cap (\mathcal{B}')^\perp, z \neq 0$ . By the above observation and Lemma 1,  $L(\mathcal{C})((-\lambda(u))^{1/2}), L(\mathcal{C})((-\lambda(v))^{1/2})$  are isomorphic to fields  $L(\mathcal{C})((-\lambda(u'))^{1/2}), L(\mathcal{C})((-\lambda(v'))^{1/2})$  respectively, where  $u'_1=1=v'_1, u'_2=z=v'_2$ . By Lemma 1 the latter fields are isomorphic and the result follows.

*Case 3.  $m=4$ .* Again, if  $\mathcal{B}=\mathcal{B}'$  we are finished. To complete the proof we shall show the result follows in the event  $\dim(\mathcal{B} \cap \mathcal{B}')=2$ , and shall give a method of reducing the case  $\mathcal{B} \cap \mathcal{B}' = K1$  to the case  $\dim(\mathcal{B} \cap \mathcal{B}')=2$ .

We show first that if  $\mathcal{D}$  is a composition subalgebra of  $\mathcal{B}$  of dimension 2 with orthogonal basis  $1, a_1, a_2 \in \mathcal{B} \cap \mathcal{D}^\perp, a_3 \in \mathcal{B}^\perp$ , and we take  $u_1=1, u_2=a_1, u_3=a_2, u_4=a_1a_2, u_5=a_3, u'_1=1, u'_2=a_1, u'_3=a_3, u'_4=a_1a_3, u'_5=a_2$  (such sets are easily seen to satisfy the necessary criteria for use in defining  $F(\mathcal{C})$ ), then  $L(\mathcal{C})((-\lambda(u))^{1/2})$  is isomorphic to  $L(\mathcal{C})((-\lambda(u'))^{1/2})$ . For  $\alpha \in L(\mathcal{C})((-\lambda(u))^{1/2})$ ,

$$\alpha 1 + x_1 a_1 + x_2 a_2 + x_3 a_1 a_2 + a_3 = (\alpha 1 + x_1 a_1 + a_3) + (x_2 1 + x_3 a_1) a_2$$

and since, for  $\alpha = (-\lambda(u))^{1/2}$ ,  $N(\alpha 1 + x_1 a_1 + x_2 a_2 + x_3 a_1 a_2 + a_3) = 0$ , we have  $N((x_2 1 + x_3 a_1)^{-1}(\alpha 1 + x_1 a_1 + a_3) + a_2) = 0$ . Since  $(x_2 1 + x_3 a_1)^{-1} = (x_2^2 + x_3^2 N(a_1))^{-1} \times (x_2 1 - x_3 a_1)$  by (1) we have, carrying out the multiplication term by term, and converting,

$$N\left(\sum_1^4 \xi_i u'_i + u'_5\right) = \sum_1^4 \xi_i^2 N(u'_i) + N(u'_5) = 0,$$

where

$$\begin{aligned} \xi_1 &= (x_2^2 + x_3^2 N(a_1))^{-1}(\alpha x_2 + x_1 x_3 N(a_1)) \\ \xi_2 &= (x_2^2 + x_3^2 N(a_1))^{-1}(x_1 x_2 - \alpha x_3) \\ \xi_3 &= (x_2^2 + x_3^2 N(a_1))^{-1} x_2 \\ \xi_4 &= -(x_2^2 + x_3^2 N(a_1))^{-1} x_3. \end{aligned}$$

In  $K(\xi_1, \xi_2, \xi_3, \xi_4) \subseteq L(\mathcal{C})((-\lambda(u))^{1/2})$  are the elements

$$\xi_3^2 + \xi_4^2 N(a_1) = (x_2^2 + x_3^2 N(a_1))^{-1},$$

and hence  $x_2, x_3; x_2(\alpha x_2 + x_1 x_3 N(a_1)) - x_3 N(a_1)(x_1 x_2 - \alpha x_3) = \alpha(x_2^2 + x_3^2 N(a_1))$ , hence  $\alpha$ ; and finally  $x_1$ . Thus  $K(\xi_1, \xi_2, \xi_3, \xi_4) = L(\mathcal{C})((-\lambda(u))^{1/2})$  when  $\alpha = (-\lambda(u))^{1/2}$ , and the mapping taking  $x_i$  onto  $\xi_i, 2 \leq i \leq 4$ , and  $(-\lambda(u))^{1/2}$  onto  $\xi_1$  determines an isomorphism of  $L(\mathcal{C})((-\lambda(u))^{1/2})$  onto  $L(\mathcal{C})((-\lambda(u))^{1/2})$  since

$$\xi_1^2 = -N(u'_1)^{-1} \left( \sum_2^4 \xi_i^2 N(u'_i) + N(u'_5) \right).$$

Now if  $\mathcal{B} \cap \mathcal{B}' = \mathcal{D}$  is two-dimensional, and  $z \in \mathcal{B}^\perp \cap (\mathcal{B}')^\perp$ , the latter intersection being nontrivial from dimensionality arguments as in Case 2, we may use the above result and Lemma 1 to show  $L(\mathcal{C})((-\lambda(u))^{1/2}), L(\mathcal{C})((-\lambda(v))^{1/2})$  are isomorphic respectively to fields  $L(\mathcal{C})((-\lambda(u'))^{1/2}), L(\mathcal{C})((-\lambda(v'))^{1/2})$  where  $u'_i, 1 \leq i \leq 4$ , and  $v'_i, 1 \leq i \leq 4$ , span the same subalgebra  $\mathcal{D} + \mathcal{D}z$ . Lemma 1 then completes the argument.

If  $\mathcal{B} \cap \mathcal{B}' = K1$ , we have again a nontrivial  $z \in \mathcal{B}^\perp \cap (\mathcal{B}')^\perp$  and we take subalgebras  $\mathcal{D}, \mathcal{D}'$  of dimension 2 in  $\mathcal{B}, \mathcal{B}'$  respectively. Again it follows that  $L(\mathcal{C})((-\lambda(u))^{1/2})$  is isomorphic to  $L(\mathcal{C})((-\lambda(u'))^{1/2})$  where  $u'_i, 1 \leq i \leq 4$ , span  $\mathcal{D} + \mathcal{D}z$ , and that  $L(\mathcal{C})((-\lambda(v))^{1/2})$  is isomorphic to  $L(\mathcal{C})((-\lambda(v'))^{1/2})$ , where  $v'_i, 1 \leq i \leq 4$ , span  $\mathcal{D}' + \mathcal{D}'z$ . Since  $(\mathcal{D} + \mathcal{D}z) \cap (\mathcal{D}' + \mathcal{D}'z)$  is the algebra spanned by 1 and  $z$ , we have reduced the argument to the case  $\mathcal{B} \cap \mathcal{B}'$  two-dimensional and are finished.

**COROLLARY 1.** *The field  $F(\mathcal{C})$  is independent of the choice of the  $u_i \in \mathcal{C}$  used in defining it.*

**Proof.** If  $\mathcal{C}$  is split,  $F(\mathcal{C})$  depends only on the dimension of  $\mathcal{C}$  for its definition. If  $\mathcal{C}$  is not split, Theorem 1 shows the independence from  $u_i$ .

**COROLLARY 2.** *If  $\mathcal{C}$  is isomorphic to  $\mathcal{C}'$  then  $F(\mathcal{C})$  is isomorphic to  $F(\mathcal{C}')$ .*

**Proof.** If  $\varphi$  is an isomorphism of  $\mathcal{C}$  onto  $\mathcal{C}'$ ,  $N'(x\varphi) = N(x)$  for all  $x \in \mathcal{C}$  by (4). If  $u_i, 1 \leq i \leq m+1$ , are chosen as above to define  $F(\mathcal{C})$  and  $u_i, 1 \leq i \leq m$ , span  $\mathcal{B} \subseteq \mathcal{C}$ , the elements  $u_i\varphi$  in  $\mathcal{C}'$  are orthogonal, have  $N'(u_i\varphi) \neq 0$  and  $u_i\varphi, 1 \leq i \leq 4$ , span the

composition subalgebra  $\mathcal{B}\varphi \subseteq \mathcal{C}'$ . Thus  $u_i\varphi$ ,  $1 \leq i \leq m+1$  may be used to define  $F(\mathcal{C}')$ . Now  $L(\mathcal{C})$  is clearly isomorphic to  $L(\mathcal{C}')$  and

$$\begin{aligned} \lambda(u) &= N(u_1)^{-1} \left( \sum_2^m N(u_i)x_i^2 + N(u_{m+1}) \right) \\ &= N'(u_1\varphi)^{-1} \left( \sum_2^m N'(u_i\varphi)x_i^2 + N'(u_{m+1}\varphi) \right) = \lambda(u\varphi) \end{aligned}$$

so  $F(\mathcal{C}) = L(\mathcal{C})((- \lambda(u))^{1/2})$  is isomorphic to  $L(\mathcal{C}')((- \lambda(u\varphi))^{1/2}) = F(\mathcal{C}')$ .

**III. Properties of  $F(\mathcal{C})$ .** In this section we prove a sequence of lemmas leading to the proof of our main theorem. We first prove

**LEMMA 2.** *Let  $K(x_1, \dots, x_n)$  be the rational function field in  $n$  indeterminates  $x_1, \dots, x_n$ ,  $F$  a field extension of  $K$ ,  $\alpha_1, \dots, \alpha_n \in F$ . Then there is a  $K$ -place of  $K(x_1, \dots, x_n)$  into  $F \cup \infty$  mapping  $x_i$  onto  $\alpha_i$ ,  $1 \leq i \leq n$ .*

**Proof.** By induction on  $n$ . The result is well known if  $n=1$  and the place can, in fact, be defined explicitly. If  $n > 1$ , we use the induction hypothesis, with  $K$  replaced by  $K(x_1)$  to claim there is a  $K(x_1)$ -place  $\psi$  of  $K(x_1)(x_2, \dots, x_n)$  into  $K(x_1)(\alpha_2, \dots, \alpha_n)$  such that  $x_i$  maps to  $\alpha_i$ ,  $i > 1$ . Now by the validity of the result for one indeterminate, there is a place  $\varphi$  of  $K(x_1)(\alpha_2, \dots, \alpha_n) = K(\alpha_2, \dots, \alpha_n)(x_1)$  into  $F \cup \infty$  fixing the elements of  $K(\alpha_2, \dots, \alpha_n) \subseteq F$  and mapping  $x_1$  onto  $\alpha_1$ .  $\psi\varphi$  is then a  $K$ -place of  $K(x_1, \dots, x_n)$  into  $F \cup \infty$  with the desired property.

**COROLLARY.** *Let  $\lambda \in K(x_1, \dots, x_n)$  such that  $K(x_1, \dots, x_n)(\lambda^{1/2})$  is a quadratic extension of  $K(x_1, \dots, x_n)$ ,  $\alpha_1, \dots, \alpha_n \in F$ ,  $F$  a field extension of  $K$ . Then there is a  $K$ -place  $\varphi$  of  $K(x_1, \dots, x_n)$  into  $F \cup \infty$  mapping  $x_i$  onto  $\alpha_i$  for all  $1 \leq i \leq n$  and, if  $\lambda\varphi$  is a square in  $F$ ,  $\varphi$  can be extended to a  $K$ -place of  $K(x_1, \dots, x_n)(\lambda^{1/2})$  into  $F \cup \infty$  mapping  $\lambda$  onto a square root of  $\lambda\varphi$  in  $F$ .*

**Proof.** That  $\varphi$  exists follows from Lemma 2. It is known (e.g., [3]), that a place from  $K(x_1, \dots, x_n)$  into  $F \cup \infty$  can be extended to a place  $\varphi'$  of  $K(x_1, \dots, x_n)(\lambda^{1/2})$  into  $F' \cup \infty$ ,  $F'$  the algebraic closure of  $F$ . Since, however,  $(\lambda^{1/2})\varphi'$  must be a square root of  $\lambda\varphi$  in  $F'$ , and since the square roots of  $\lambda\varphi$  in  $F'$  are in fact, in  $F$ ,  $(\lambda^{1/2})\varphi' \in F$  and  $\varphi'$  maps  $K(x_1, \dots, x_n)(\lambda^{1/2})$  into  $F \cup \infty$ .

If  $\mathcal{C}$  is a composition algebra over  $K$ ,  $F$  a field extension of  $K$ , we say  $F$  splits  $\mathcal{C}$  ( $F$  is a splitting field of  $\mathcal{C}$ ) if  $\mathcal{C}_F$  is split.

**LEMMA 3.**  *$L = K(x_1, \dots, x_n)$ , the field of rational functions in  $n$  indeterminates,  $n \geq 0$ , splits  $\mathcal{C}$  if and only if  $\mathcal{C}$  is split over  $K$ .*

**Proof.** We show that, if  $K(x_1, \dots, x_n)$  splits  $\mathcal{C}$ ,  $n \geq 1$ , then  $K(x_1, \dots, x_{n-1})$  also splits  $\mathcal{C}$  and hence, by induction,  $K$  splits  $\mathcal{C}$  so  $\mathcal{C}$  is split.

Let  $u_1, \dots, u_e$  be an orthogonal basis for  $\mathcal{C}$  with respect to  $N(x, y)$ . This is also an orthogonal basis for  $\mathcal{C}_L$  over  $L$  and, if  $\mathcal{C}_L$  is split, there are  $\xi_i \in L$ ,  $1 \leq i \leq e$ , such

that  $N(\sum_1^i \xi_i u_i) = 0$ . Clearing the denominators of the  $\xi_i$  we have, since  $N(\alpha x) = \alpha^2 N(x)$  for  $\alpha \in L$ , polynomials  $p_i$  in  $K[x_1, \dots, x_n]$ , not all  $p_i \equiv 0$ , such that  $N(\sum_1^i p_i u_i) = \sum_1^i p_i^2 N(u_i) = 0$ . We assume, without loss of generality, that  $x_n$  occurs in some  $p_i$  and we let  $k$  be the maximum of the degrees of the polynomials  $p_i$ , considered as polynomials in  $x_n$  over  $K(x_1, \dots, x_{n-1})$ . We can write each  $p_i = x_n^k q_i + r_i$  where  $q_i \in K[x_1, \dots, x_{n-1}]$ ,  $r_i \in K[x_1, \dots, x_n]$ ,  $r_i$  of degree less than  $k$  in  $x_n$ . Then  $\sum_1^i (x_n^k q_i + r_i)^2 N(u_i) = 0$  and, since the  $x_i$  are algebraically independent, we must have  $(\sum_1^i q_i^2 N(u_i)) x_n^{2k} = 0$ , hence  $\sum_1^i q_i^2 N(u_i) = 0$  in  $K(x_1, \dots, x_{n-1})$ . Thus  $K(x_1, \dots, x_{n-1})$  splits  $\mathcal{C}$ . Induction completes the proof that  $\mathcal{C}$  is split over  $K$ .

Conversely, if  $\mathcal{C}$  is split over  $K$  and  $F$  is any field containing  $K$ , there is  $u \in \mathcal{C}$ ,  $u \neq 0$  such that  $N(u) = 0$ . But  $u \in \mathcal{C}$  implies  $u \in \mathcal{C}_F$  so, since  $N_F(u) = N(u) = 0$ ,  $\mathcal{C}_F$  is split. In particular  $\mathcal{C}_L$  is split.

**LEMMA 4.** *Let  $\mathcal{C}$  be a composition algebra over  $K$ ,  $F, F'$  field extensions of  $K$ ,  $\varphi$  a  $K$ -place of  $F$  into  $F' \cup \infty$ . If  $\mathcal{C}_F$  is split, so is  $\mathcal{C}_{F'}$ .*

**Proof.** We show first that if  $\lambda_1, \dots, \lambda_n$  are elements of  $F$ , not all zero, there is some  $j$  such that  $(\lambda_j^{-1} \lambda_i) \varphi \in F'$ ,  $i = 1, \dots, n$ . Let  $j$  be such that  $\lambda_j \neq 0$  and such that the number  $t$  of  $i$  for which  $(\lambda_j^{-1} \lambda_i) \varphi = \infty$  is minimal. If  $t = 0$  we are done. If not, we may assume, without loss of generality, that  $(\lambda_j^{-1} \lambda_i) \varphi = \infty$ ,  $1 \leq i \leq t$ ,  $(\lambda_j^{-1} \lambda_i) \varphi \in F'$ ,  $t < i \leq n$ .  $\lambda_i \neq 0$  since otherwise  $(\lambda_j^{-1} \lambda_i) \varphi = 0 \varphi = 0 \neq \infty$ . Thus  $(\lambda_i^{-1} \lambda_i) \varphi = ((\lambda_j^{-1} \lambda_i)^{-1} \times (\lambda_j^{-1} \lambda_i)) \varphi = 0$  for  $t < i \leq n$ , and  $(\lambda_i^{-1} \lambda_i) \varphi = 1 \varphi = 1 \in F'$  and hence for  $\lambda_i$  there are at most  $(t-1)$   $i$  such that  $(\lambda_i^{-1} \lambda_i) \varphi = \infty$ , a contradiction to the minimality of  $t$ . Thus  $t = 0$ .

Now if  $\mathcal{C}_F$  is split, and  $u_i, i = 1, \dots, n$ , are an orthogonal basis of  $\mathcal{C}$  over  $K$ , hence of  $\mathcal{C}_F$  over  $F$  and of  $\mathcal{C}_{F'}$  over  $F'$ , there are  $\lambda_i \in F$  such that not all  $\lambda_i$  are zero and  $N_F(\sum_1^n \lambda_i u_i) = \sum_1^n \lambda_i^2 N(u_i) = 0$ . For  $\lambda_j$  such that  $(\lambda_j^{-1} \lambda_i) \varphi \in F'$  for all  $i$ ,

$$\sum_1^n (\lambda_j^{-1} \lambda_i)^2 N(u_i) = 0$$

and hence,  $\sum_1^n (\lambda_j^{-1} \lambda_i)^2 \varphi N(u_i) = 0$ . Since  $(\lambda_j^{-1} \lambda_i)^2 \varphi = ((\lambda_j^{-1} \lambda_i) \varphi)^2$ , it follows that  $N_{F'}(\sum_1^n (\lambda_j^{-1} \lambda_i) \varphi u_i) = 0$  and, since  $(\lambda_j^{-1} \lambda_j) \varphi = 1 \neq 0$ ,  $\mathcal{C}_{F'}$  is split.

**LEMMA 5.** *Let  $\mathcal{C}$  be a division composition algebra over  $K$ ,  $\lambda \in K$ , and suppose  $L = K(\lambda^{1/2})$  is a quadratic extension of  $K$ . Then  $\mathcal{C}_L$  is split if and only if there is  $u \in (K1)^\perp \subseteq \mathcal{C}$  such that  $N(u) = -\lambda$ .*

**Proof.** If there is  $u \in (K1)^\perp$  with  $N(u) = -\lambda$ , then  $x = (\lambda^{1/2})1 + u \in \mathcal{C}_L$  clearly has  $N_L(x) = 0$ , so  $\mathcal{C}_L$  is split. Conversely, if  $\mathcal{C}_L$  is split, then there is  $x = a + (\lambda^{1/2})b$ ,  $a, b \in \mathcal{C}$ , such that  $x \neq 0$ ,  $N_L(x) = 0$ . But  $N_L(x) = N(a) + \lambda N(b) + 2N(a, b)(\lambda^{1/2})$  and thus  $N(a, b) = 0$ ,  $N(ab^{-1}) = N(a)N(b)^{-1} = -\lambda$ . Since  $N(ab^{-1}, 1) = N(a, b)N(b^{-1}) = 0$ ,  $u = ab^{-1}$  satisfies the criteria.

Finally we give a slight generalization of a result of Jacobson [4], first defining subspaces  $V, V'$  of composition algebras  $\mathcal{C}, \mathcal{C}'$  respectively, to be equivalent if there

is a nonsingular linear transformation  $\varphi$  of  $V$  onto  $V'$  such that  $N'(x\varphi) = N(x)$  for all  $x \in V$ ,  $N, N'$  denoting the respective quadratic forms of  $\mathcal{C}$  and  $\mathcal{C}'$ .

**LEMMA 6.** *If a composition algebra  $\mathcal{C}$  is equivalent to a subspace of a composition algebra  $\mathcal{C}'$ , then  $\mathcal{C}$  is isomorphic to a subalgebra of  $\mathcal{C}'$ .*

**Proof.** The proof is essentially that of Jacobson [4]. Let  $\varphi$  be the mapping of  $\mathcal{C}$  into  $\mathcal{C}'$  such that  $N'(x\varphi) = N(x)$  for all  $x \in \mathcal{C}$  and suppose that  $\mathcal{B}, \mathcal{B}'$  are isomorphic composition subalgebras of  $\mathcal{C}, \mathcal{C}'$  respectively. By (4),  $\mathcal{B}$  and  $\mathcal{B}'$  are equivalent and, since  $\mathcal{B}$  and  $\mathcal{B}\varphi$  are clearly equivalent,  $\mathcal{B}'$  and  $\mathcal{B}\varphi$  are equivalent subspaces of  $\mathcal{C}'$ . By Witt's Theorem for bilinear forms,  $(\mathcal{B}')^\perp$  and  $(\mathcal{B}\varphi)^\perp$  are equivalent in  $\mathcal{C}'$ . Thus, if there is  $u \in \mathcal{B}^\perp$  with  $N(u) \neq 0$ , which is the case unless  $\mathcal{B} = \mathcal{C}$ , then there is  $u' \in (\mathcal{B}')^\perp$  such that  $N'(u') = N'(u\varphi) = N(u)$ . Then the algebras  $\mathcal{B} + \mathcal{B}u, \mathcal{B}' + \mathcal{B}'u'$  are composition subalgebras of  $\mathcal{C}, \mathcal{C}'$  respectively which are isomorphic by (2). Beginning with  $\mathcal{B} = K1, \mathcal{B}' = K1'$  one can, in successive steps, thus construct an isomorphism of  $\mathcal{C}$  into  $\mathcal{C}'$ .

Since in this proof, whenever  $2 \dim \mathcal{B} = \dim \mathcal{C}$ , we need only produce elements  $u, u'$  in  $\mathcal{B}^\perp, (\mathcal{B}')^\perp$  respectively with  $N(u) = N'(u') \neq 0$ , we can clearly weaken the hypotheses to obtain the

**COROLLARY.** *Let  $\mathcal{C}$  be a  $2n$ -dimensional composition algebra,  $V$  a nonisotropic ( $N(x, y)$  nondegenerate when restricted to  $V$ ) subspace of  $\mathcal{C}$  of dimension  $n + 1$  which contains an  $n$ -dimensional composition subalgebra  $\mathcal{B}$  of  $\mathcal{C}$ . Then if  $V$  is equivalent to a subspace of a composition algebra  $\mathcal{C}'$ ,  $\mathcal{C}$  is isomorphic to a subalgebra of  $\mathcal{C}'$ .*

We are now prepared to restate and prove

**THEOREM 2.** *Let  $\mathcal{C}$  be a composition algebra of dimension greater than one over  $K$ . Then*

1.  $\mathcal{C}_{F(\mathcal{C})}$  is split.
2. If  $F \supseteq K$  is any field, then  $\mathcal{C}_F$  is split if and only if there is a  $K$ -place of  $F(\mathcal{C})$  into  $F \cup \infty$ .
3. If  $\mathcal{C}'$  is any composition algebra over  $K$  such that  $\mathcal{C}'_{F(\mathcal{C})}$  is split, then either  $\mathcal{C}'$  is split over  $K$  or  $\mathcal{C}$  is isomorphic to a subalgebra of  $\mathcal{C}'$ .

**Proof.** As in the definition of  $F(\mathcal{C})$  in §II, we pick a set  $u_i, 1 \leq i \leq m + 1, m = 2^k - 1$ , where  $2^k = \dim \mathcal{C}$ , and denote by  $\mathcal{B}$  the composition subalgebra of  $\mathcal{C}$  spanned by  $u_i, 1 \leq i \leq m$ . We may assume, by Lemma 1, that  $u_1 = 1$  and hence

$$\lambda(u) = N\left(\sum_2^m x_i u_i + u_{m+1}\right).$$

**Proof of 1.** If  $\mathcal{C}$  is split, Lemma 3 yields the result since  $F(\mathcal{C}) = L(\mathcal{C})$  is a rational function field in  $m - 1$  indeterminates over  $K$ . If  $\mathcal{C}$  is not split, neither is  $\mathcal{C}_{L(\mathcal{C})}$  by Lemma 3, and since  $\lambda(u)$  is by definition  $N(\sum_2^m x_i u_i + u_{m+1})$ , where  $\sum_2^m x_i u_i + u_{m+1} \in (L(\mathcal{C})1)^\perp$ , Lemma 5 yields the result.

**Proof of 2.** If there is a  $K$  place from  $F(\mathcal{C})$  to  $F \cup \infty$ ,  $\mathcal{C}_F$  is split, by Lemma 4 and Part 1 of this theorem.

If  $\mathcal{C}$  is split,  $\mathcal{C}_F$  is split for any  $F \supseteq K$ . By Lemma 2, there is a  $K$ -place of  $F(\mathcal{C}) = K(x_2, \dots, x_m)$  into  $F \cup \infty$  for any  $F \supseteq K$  as desired.

Suppose  $\mathcal{C}$  is not split,  $\mathcal{C}_F$  is split. By (5),  $\mathcal{C}_F$  contains a totally isotropic subspace  $W$  of dimension  $m$  over  $F$ . By a dimensionality argument  $W$  intersects  $Fu_1 + \dots + Fu_{m+1}$  so there is  $u = \beta u_1 + \sum_{2 \leq i}^{m+1} \beta_i u_i$  in  $\mathcal{C}_F$ ,  $u \neq 0$ , with  $N_F(u) = 0$ . Thus

$$\beta^2 = - \sum_2^{m+1} \beta_i^2 N(u_i)$$

and, if  $\beta_{m+1} \neq 0$ ,

$$(\beta \beta_{m+1}^{-1})^2 = - \sum_2^m (\beta_i \beta_{m+1}^{-1})^2 N(u_i) - N(u_{m+1}).$$

By Lemma 2, corollary, there is a  $K$ -place  $\varphi$  of  $F(\mathcal{C}) = K(x_2, \dots, x_m)((-\lambda(u))^{1/2})$  into  $F \cup \infty$  mapping  $x_i$  to  $\beta_i \beta_{m+1}^{-1}$ ,  $(-\lambda(u))^{1/2}$  to  $\pm \beta \beta_{m+1}^{-1}$ .

If  $\beta_{m+1} = 0$ , some  $\beta_i$ ,  $i \neq m+1$  must be nonzero, since  $0 = \beta^2 + \sum_2^m \beta_i^2 N(u_i)$  and not all of  $\beta, \beta_i$  are zero. We assume, without loss of generality, that  $\beta_m \neq 0$ . Then  $(\beta \beta_m^{-1})^2 = \sum_2^m (\beta_i \beta_m^{-1})^2 N(u_i)$ . Again by the corollary to Lemma 2, there is a  $K$ -place of  $F(\mathcal{C}) = K(x_2, \dots, x_m)((-\lambda(u))^{1/2}) = K(x_2 x_m^{-1}, \dots, x_{m-1} x_m^{-1}, x_m^{-1})(x_m^{-1}(-\lambda(u))^{1/2})$  into  $F \cup \infty$  mapping  $x_i x_m^{-1}$  to  $\beta_i \beta_m^{-1}$ ,  $2 \leq i < m$ ,  $x_m^{-1}$  to zero, and  $(x_m^{-1}(-\lambda(u))^{1/2})$  to  $\pm \beta \beta_m^{-1}$ , since  $x_i x_m^{-1}$ ,  $2 \leq i < m$ ,  $x_m^{-1}$  are algebraically independent over  $K$ .

**Proof of 3.** If  $\mathcal{C}$  is split,  $F(\mathcal{C})$  is a rational function field over  $K$  and hence, if  $\mathcal{C}'_{F(\mathcal{C})}$  is split,  $\mathcal{C}'$  is split over  $K$  by Lemma 3.

If  $\mathcal{C}, \mathcal{C}'$  are not split over  $K$  and  $\mathcal{C}'_{F(\mathcal{C})}$  is split, then since  $\mathcal{C}_{L(\mathcal{C})}$  is not split and  $F(\mathcal{C})$  is a quadratic extension of  $L(\mathcal{C})$ , Lemma 5 implies there is  $u' \in (1')^\perp \subseteq \mathcal{C}'_{L(\mathcal{C})}$  such that  $N'_{L(\mathcal{C})}(u') = \lambda(u)$ . Thus in  $\mathcal{C}'_{L(\mathcal{C})(x_1)}$ ,

$$N'_{L(\mathcal{C})(x_1)}(x_1 1 + u') = x_1^2 + \sum_2^m x_i^2 N(u_i) + N(u_{m+1}).$$

It follows easily from a result of Pfister ([5], Satz 3) that the subspace  $Ku_1 + \dots + Ku_{m+1}$  is equivalent to a subspace of  $\mathcal{C}'$ . By the Corollary to Lemma 6,  $\mathcal{C}$  is isomorphic to a subalgebra of  $\mathcal{C}'$ .

We note finally that, in the event  $\dim \mathcal{C} = 4$ , i.e., when  $\mathcal{C}$  is a generalized quaternion algebra over  $K$ , a judicious choice of the elements  $u_i$  in the definition of  $F(\mathcal{C})$  will give rise to the same splitting field obtained by Witt [7].

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