SEMIPRIMARY HEREDITARY ALGEBRAS

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Abstract. Let Σ be a semiprimary k -algebra, with radical M . If Σ admits a splitting then $\dim_k \Sigma/M \leq \dim_k \Sigma$. The residue algebra Σ/M^2 is finite (cohomological) dimensional if and only if all residue algebras are finite dimensional. If $\dim_k \Sigma = 1$ then all residue algebras are finite dimensional.

1. Introduction. We consider the following properties of algebras over a field k :

- (p1): $\dim_k \Sigma \leq 1$.
- (p2): $\dim_k \Sigma/I$ is finite for every two sided ideal I in Σ .
- (p3): $\dim_k \Sigma/M^2$ is finite, where M is the (Jacobson) radical of Σ .
- (p4): Σ is a residue algebra of Ω , where $\text{gl.dim } \Omega \leq 1$, $\dim_k \Sigma/M$ is finite and Σ/M is isomorphic to Ω/N , N being the (Jacobson) radical of Ω .
- (p5): Σ is a residue algebra of Ω , where $\dim_k \Omega \leq 1$ and Σ/M^2 is isomorphic to Ω/N^2 .

For a finite dimensional k -algebra Σ , it was proved by Eilenberg, Nagao and Nakayama in [6] that (p1) $\Rightarrow$ (p2), while Jans and Nakayama proved in [7] the implications (p3) $\Rightarrow$ (p4) $\Rightarrow$ (p5). Thus for finite dimensional k -algebras one has the equivalences (p2) $\Leftrightarrow$ (p3) $\Leftrightarrow$ (p4) $\Leftrightarrow$ (p5).

The purpose of this paper is to establish the implication (p1) $\Rightarrow$ (p2), and the equivalences (p2) $\Leftrightarrow$ (p3) $\Leftrightarrow$ (p4) for semiprimary rings that are k -algebras. The equivalence (p4) $\Leftrightarrow$ (p5) can be deduced in certain particular cases as for instance if Σ/M is a finite dimensional k -algebra. To this extent we give an example of a semiprimary ring Σ for which $\dim_k \Sigma = 1$ and $\dim_k \Sigma/M = 1$.

As it turns out the passage from finite dimensional k -algebras to semiprimary ones is made possible by a lemma that seems to be of some interest in its own sake, namely:

A semiprimary k -algebra Σ that admits a splitting $\Sigma = \Delta + M$ [9] satisfies the inequality $\dim_k \Delta \leq \dim_k \Sigma$, where Δ denotes the residue algebra Σ/M .

In [2] Auslander proved that if Δ is a finite dimensional k -algebra and $\dim_k \Sigma$ is finite, then $\dim_k \Sigma = \text{gl.dim } \Sigma$. He raised the problem whether it is necessary that $\dim_k \Delta = 0$ (e.g. [4] and [5]). We prove the answer to be affirmative in case that $\dim_k \Sigma/M^2$ is finite.

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2. Hereditary algebras. A k -algebra Σ is said to be a semiprimary k -algebra if Σ is a semiprimary ring, i.e. its (Jacobson) radical M is nilpotent and the residue ring Σ/M is a semisimple (Artinian) ring. Set $\Delta = \Sigma/M$. We say that a k -algebra Σ is an hereditary k -algebra if $\dim_k \Sigma \leq 1$. By Σ° we denote the apposite ring to Σ . By $(\Sigma:k) < \infty$ we denote that the k -algebra Σ is finite dimensional (as a k -vector space). For the rest we write $\dim$ for $\dim_k$, and $\otimes$ for $\otimes_k$. We say that Σ admits a splitting if $\Sigma = \Delta + M$ [7], [9]. A crucial step towards our main theorem is the following lemma:

LEMMA 1. *If Σ admits a splitting, $\Sigma = \Delta + M$, then $\dim \Delta \leq \dim \Sigma$.*

Proof. If $\dim \Sigma = \infty$ we are done. Otherwise $\dim \Sigma$ is finite, and we may assume that $\dim \Sigma = t < \infty$. By [4] we have the equality $\text{gl.dim } \Sigma \otimes \Delta^\circ = \dim \Sigma = t < \infty$. Since Δ is a semisimple ring, M is a projective right Δ -module. From the natural isomorphism of $M \otimes \Delta^\circ$ with $M \otimes_\Delta (\Delta \otimes \Delta^\circ)$ it follows that $M \otimes \Delta^\circ$ is a projective right $\Delta \otimes \Delta^\circ$ -module. Hence via the natural embedding of $\Delta \otimes \Delta^\circ$ into $\Sigma \otimes \Delta^\circ$, $\Sigma \otimes \Delta^\circ$ becomes a projective right $\Delta \otimes \Delta^\circ$ -module. Denote by f the natural embedding of $\Delta \otimes \Delta^\circ$ into $\Sigma \otimes \Delta^\circ$, and denote by g the canonical epimorphism of $\Sigma \otimes \Delta^\circ$ onto $\Delta \otimes \Delta^\circ$, then $g \circ f$ is the identity map on $\Delta \otimes \Delta^\circ$.

For any left $\Delta \otimes \Delta^\circ$ -module A we set $A_f = (\Sigma \otimes \Delta^\circ) \otimes_{(\Delta \otimes \Delta^\circ)} A$.

For any left $\Sigma \otimes \Delta^\circ$ -module B we set $B_g = (\Delta \otimes \Delta^\circ) \otimes_{(\Sigma \otimes \Delta^\circ)} B$.

There results a $\Delta \otimes \Delta^\circ$ isomorphism from A onto $(A_f)_g$, for every left $\Delta \otimes \Delta^\circ$ -module A .

Let A be a left $\Delta \otimes \Delta^\circ$ -module, and let

$$0 \rightarrow L \rightarrow P_{t-1} \rightarrow \cdots \rightarrow P_0 \rightarrow A \rightarrow 0$$

be an exact sequence of left $\Delta \otimes \Delta^\circ$ -modules, where $P_0, \dots, P_{t-1}$ are projective $\Delta \otimes \Delta^\circ$ -modules. We claim that either L is a projective $\Delta \otimes \Delta^\circ$ -module, or else $L=0$.

Since $\Sigma \otimes \Delta^\circ$ is a projective right $\Delta \otimes \Delta^\circ$ -module, there results an exact sequence of left $\Sigma \otimes \Delta^\circ$ -modules:

$$0 \rightarrow L_f \rightarrow (P_{t-1})_f \rightarrow \cdots \rightarrow (P_0)_f \rightarrow A_f \rightarrow 0$$

where $(P_i)_f = (\Sigma \otimes \Delta^\circ) \otimes_{(\Delta \otimes \Delta^\circ)} P_i$, for $i=0, \dots, (t-1)$. Thus $(P_0)_f, \dots, (P_{t-1})_f$ are $\Sigma \otimes \Delta^\circ$ -projective. Since $\text{l.gl.dim } \Sigma \otimes \Delta^\circ = \dim \Sigma = t$, it follows that either L_f is a projective $\Sigma \otimes \Delta^\circ$ -module, or else $L_f=0$. Hence L is $\Delta \otimes \Delta^\circ$ isomorphic to the $\Delta \otimes \Delta^\circ$ -module $(L_f)_g = (\Delta \otimes \Delta^\circ) \otimes_{(\Sigma \otimes \Delta^\circ)} L_f$. Therefore $L=0$ or else L is a projective $\Delta \otimes \Delta^\circ$ -module.

Therefore for every left $\Delta \otimes \Delta^\circ$ -module A we have $\text{l.p.dim}_{\Delta \otimes \Delta^\circ} A \leq t$, thus $\text{l.gl.dim } \Delta \otimes \Delta^\circ \leq t$. Since by [4] we have the equality $\dim \Delta = \text{l.gl.dim } \Delta \otimes \Delta^\circ$ we may conclude that the inequality $\dim \Delta \leq \dim \Sigma$ holds.

Recall that all residue rings of a semiprimary ring Σ are of finite global dimension iff Σ is a residue ring of a semiprimary ring Ω for which $\text{gl.dim } \Omega \leq 1$, and this

is the case iff $\text{gl.dim } \Sigma/M^2$ is finite [9]. Under each of these equivalent conditions Σ admits a splitting $\Sigma = \Delta + M$.

The splitting of Σ is inherited by every residue ring Σ_1 of Σ , $\Sigma_1 = \Delta_1 + M_1$. Furthermore, Δ_1 is (up to an isomorphism) a direct factor of Δ .

We are now ready to state and prove our main theorem that establishes the equivalences (p2) $\Leftrightarrow$ (p3) $\Leftrightarrow$ (p4) for semiprimary k -algebras.

THEOREM 1. *The following are equivalent:*

- (a) $\dim \Delta < \infty$ and $\text{gl.dim } \Sigma/M^2 < \infty$.
- (b) $\dim \Sigma/I < \infty$ for every two sided ideal I in Σ .
- (c) $\dim \Sigma/M^2 < \infty$.

Proof. (a) $\Rightarrow$ (b): From $\text{gl.dim } \Sigma/M^2 < \infty$ it follows by [9] that $\text{gl.dim } \Sigma/I < \infty$ for every two sided ideal I in Σ . Set $\Delta_1 = (\Sigma/I)/(I + M/I)$ then Δ_1 is a direct factor of Δ , hence $\dim \Delta_1 \leq \dim \Delta$. Combining the equality $\dim \Sigma/I = \text{l.gl.dim } \Sigma/I \otimes \Delta_1^\circ$ [4] with the inequality $\text{l.gl.dim } \Sigma/I \otimes \Delta_1^\circ \leq \text{l.gl.dim } \Sigma/I + \dim \Delta_1^\circ$ [5] it results that $\dim \Sigma/I$ is finite.

(b) $\Rightarrow$ (c) is obvious.

(c) $\Rightarrow$ (a): Since $\text{gl.dim } \Sigma/M^2 \leq \dim \Sigma/M^2$ [5], then $\text{gl.dim } \Sigma/M^2 < \infty$. Hence by [9] Σ/M^2 admits a splitting, and thus Lemma 1 implies the inequality $\dim \Delta \leq \dim \Sigma/M^2$, therefore $\dim \Delta$ is finite.

Observe that under each of the equivalent conditions in Theorem 1, Σ is a residue of a semiprimary k -algebra Ω with radical N , such that Ω/N is isomorphic with Δ , and $\text{gl.dim } \Omega \leq 1$. This is an immediate consequence of Theorem 1 applied to $\Omega(\Delta, N)$ [9]. It is worth noticing that Σ admits a splitting, $\Sigma = \Delta + M$.

As for $\dim \Omega$, from $\dim \Omega = \text{l.gl.dim } \Omega \otimes \Delta^\circ$ it follows that $\dim \Delta \leq \dim \Omega \leq \dim \Delta + 1$.

In the next section we will bring some examples showing that it is possible that $\dim \Omega = \dim \Delta + 1$, but it is also possible that the equality $\dim \Omega = \dim \Delta$ will hold.

Consider the case where k is the center of Σ . One can easily construct examples in which Σ is a residue ring of a semiprimary hereditary ring Ω with radical N , such that Ω/N is isomorphic with Δ , but Ω is not a k -algebra, i.e., not every semiprimary hereditary ring—of which Σ is a residue ring—is a k -algebra [10, Example 1].

Notice that if Δ is a finite dimensional k -algebra then $\dim \Delta = 0$. One verifies that if $M \neq 0$ then $\dim \Omega = 1$. Furthermore, if Ω is any semiprimary hereditary ring with radical N of which Σ is a residue ring, such that Ω/N is isomorphic with Δ , then Ω admits a splitting, $\Omega = \Delta + A + N^2$. Therefore, if one insists on Ω/N^2 being isomorphic to Σ/M^2 it follows that up to an isomorphism Ω is uniquely determined. This establishes the equivalence (p4) $\Leftrightarrow$ (p5) in case Δ is a finite dimensional k -algebra. Also in this case we have $\dim \Omega/I = \text{gl.dim } \Omega/I$ for every two sided ideal I in Ω . In particular from [10] it results that $\dim \Omega/I \leq \dim \Omega/N^2$, whenever $I \subset N^2$.

We do not know if this last inequality holds without the assumption $\dim \Delta = 0$.

Our next aim is to prove the implication (p1) $\Rightarrow$ (p2) for semiprimary k -algebras. Recall that the validity of this implication for finite dimensional k -algebras is based on the equality $\dim \Sigma = \text{gl.dim } \Sigma$, which is a consequence of $\dim \Delta = 0$ under these circumstances (e.g. [6]). For semiprimary k -algebras we have by [5] the inequality $\text{gl.dim } \Sigma \leq \dim \Sigma$. Furthermore, if $\text{gl.dim } \Sigma/M^2$ is finite then by [9] Σ admits a splitting $\Sigma = \Delta + M$. We proceed with a sequence of corollaries to get the desired implication.

COROLLARY 1. *If Σ admits a splitting, $\Sigma = \Delta + M$, then $\dim \Delta \leq \dim \Sigma/I$ for every two sided ideal I in Σ that is contained in the radical.*

Proof. Since the splitting is inherited by all residue rings of Σ , and since $I \subset M$ implies that $(\Sigma/I)/(I+M/I)$ is isomorphic with Δ , then we have applying Lemma 1: $\dim \Delta \leq \dim \Sigma/I$.

COROLLARY 2. *If $M^2 = 0$ then $\dim \Delta \leq \dim \Sigma$.*

Proof. If $\dim \Sigma = \infty$ we are done. Otherwise $\dim \Sigma$ is finite, hence $\text{gl.dim } \Sigma$ is finite. Therefore Σ admits a splitting, $\Sigma = \Delta + M$, and the result follows from Lemma 1.

COROLLARY 3. *If $\dim \Sigma = 1$ then $\dim \Delta \leq 1$.*

Proof. The proof is an immediate consequence of Lemma 1 which is applicable in this case, since $\text{gl.dim } \Sigma \leq \dim \Sigma = 1$ implies the splitting of Σ .

It seems interesting to notice that one can prove that $\dim \Delta$ is finite by observing that $\Delta \otimes \Delta^\circ$ is a residue ring of the hereditary ring $\Sigma \otimes \Delta^\circ$ by the nilpotent two sided ideal $M \otimes \Delta^\circ$ (e.g. [6]).

As a consequence there results the implication (p1) $\Rightarrow$ (p2).

COROLLARY 4. *If $\dim \Sigma = 1$ then $\dim \Sigma/I$ is finite for every two sided ideal I in Σ .*

Proof. This is an immediate consequence of Theorem 1 since by Corollary 3 $\dim \Delta \leq 1$, and since $\text{gl.dim } \Sigma \leq \dim \Sigma$ implies that $\text{gl.dim } \Sigma/M^2$ is finite.

3. Examples. In this section we will bring some examples of k -algebras, all the residue algebras of which have finite cohomological dimension. We will be mainly concerned with the inequalities $\dim \Delta \leq \dim \Sigma \leq \dim \Delta + \text{gl.dim } \Sigma$, and with the equality $\dim \Sigma = \text{gl.dim } \Sigma$ without $(\Delta:k)$ being finite.

Let $k(x_1, \dots, x_n, y_1, \dots, y_m)$ be the field of rational functions in $n+m$ variables over the field k . We will identify $k(k(x_1, \dots, x_n), k(y_1, \dots, y_m))$ with its natural embedding in $k(x_1, \dots, x_n, y_1, \dots, y_m)$.

EXAMPLE 1. Let Σ be the k -subalgebra of the 2×2 matrix algebra over the field of rational functions in one variable over a field k , $k(x)$. A matrix σ belongs to Σ iff σ is of the form

$$\begin{pmatrix} a & 0 \\ b & c \end{pmatrix},$$

where a is an element of k , and b, c are elements of $k(x)$.

Obviously Σ is a left Artinian hereditary ring with radical M of square zero, and $\dim \Delta = 1$. We claim that $\dim \Sigma = 1$. It will suffice to show that $\text{l.gl.dim } \Sigma \otimes k(x) = 1$. Identify $\Sigma \otimes k(x)$ with a subring of the 2×2 matrix algebra over $k(x) \otimes k(x)$, namely: σ' belongs to $\Sigma \otimes k(x)$ iff σ' is of the form:

$$\begin{bmatrix} \alpha & 0 \\ \beta & \gamma \end{bmatrix},$$

where α belongs to $k \otimes k(x)$ (which is isomorphic to $k(x)$), and β, γ belong to $k(x) \otimes k(x)$.

Let J be a left ideal in $\Sigma \otimes k(x)$, then one readily verifies that J is of one of the following two types:

Type 1. Every element in J is of the form

$$\begin{bmatrix} 0 & 0 \\ \beta & \gamma \end{bmatrix}.$$

Type 2. J is a direct sum of two subideals J_1 and J_2 where every element of J_1 is of the form

$$\begin{bmatrix} 0 & 0 \\ 0 & \gamma \end{bmatrix}$$

and a matrix σ' belongs to J_2 iff it is of the form

$$\begin{bmatrix} \alpha & 0 \\ \beta & 0 \end{bmatrix}.$$

Since $\dim k(x) = 1$ it follows from [3, Theorem 5.4, p. 14] that in either case J is a projective left $\Sigma \otimes k(x)$ -module. Hence by [3, Theorem 5.4, p. 14] it follows that $\text{l.gl.dim } \Sigma \otimes k(x) = 1$.

A similar treatment, using the fact that $k(x_1, \dots, x_n) \otimes k(x_1, \dots, x_n)$ is a Neotherian ring—where $k(x_1, \dots, x_n)$ is the field of rational functions in n variables over k —gives:

EXAMPLE 1*. Let Σ be the k -subalgebra of the 2×2 matrix algebra over $k(x_1, \dots, x_n)$. A matrix σ belongs to Σ iff σ is of the form

$$\begin{bmatrix} a & 0 \\ b & c \end{bmatrix},$$

where a is an element of k , and b, c are elements of $k(x_1, \dots, x_n)$.

Σ is a left Artinian ring with radical M of square zero, $\dim \Delta = n$ [5], and $\text{gl.dim } \Sigma = 1$. Finally, by the remark made above, we have by checking $\dim \Sigma$ via $\text{l.gl.dim } \Sigma \otimes k(x_1, \dots, x_n)$ that $\dim \Sigma = n$.

EXAMPLE 2. By taking successive rings of triangular matrices of the ring Σ that was constructed in Example 1 (1*) we obtain a left Artinian ring $\Lambda = T_{n_t}(\dots T_{n_1}(\Sigma) \dots)$. By [5] it follows that

$$\dim \Lambda = \text{gl.dim } \Lambda = t + 1 \quad (\dim \Lambda = t + n, \text{gl.dim } \Lambda = t + 1).$$

Furthermore, if N is the radical of Λ then $\dim \Lambda/N = \dim \Delta$, since Λ/N is isomorphic to a direct product of $n_1 \cdots n_t$ copies of Δ .

Summarizing we have:

PROPOSITION 1. *For every pair of positive integers n, s there exists a k -algebra Σ for which $\text{gl.dim } \Sigma = s$, $\dim \Delta = n$, and $\dim \Sigma < \text{gl.dim } \Sigma + \dim \Delta$.*

Taking $n = 1$ there will result a k -algebra Σ for which $\dim \Sigma = \text{gl.dim } \Sigma < \infty$, such that $\dim \Delta = 1$.

EXAMPLE 3. Let Σ be the k -subalgebra of the 2×2 matrix algebra over $k(x, y)$ —the field of rational functions in two variables over the field k . A matrix σ belongs to Σ iff σ is of the form

$$\begin{bmatrix} a & 0 \\ b & c \end{bmatrix},$$

where a belongs to $k(y)$, b belongs to $k(x, y)$, and c belongs to $k(x)$.

Σ is a semiprimary ring with radical of square zero. Obviously $\dim \Delta = 1$, $\text{gl.dim } \Sigma = 1$, and it is an easy exercise to check that $\dim \Sigma = 2$.

EXAMPLE 3*. Take Σ to be the k -subalgebra of the 2×2 matrix algebra over $k(x_1, \dots, x_n, y_1, \dots, y_n)$ —the field of rational functions in $2n$ variables over the field k . A matrix σ belongs to Σ iff σ is of the form

$$\begin{bmatrix} a & 0 \\ b & c \end{bmatrix},$$

where a belongs to $k(y_1, \dots, y_n)$, b belongs to $k(x_1, \dots, x_n, y_1, \dots, y_n)$, and c belongs to $k(x_1, \dots, x_n)$.

Σ is a semiprimary ring, and $\text{gl.dim } \Sigma = 1$. Again by straightforward computations it follows that $\dim \Sigma = n + 1$, and from [5] $\dim \Delta = n$.

EXAMPLE 4. By taking successive rings of triangular matrices of the ring Σ that was constructed in Example 3 (3*) we obtain a semiprimary ring $\Lambda = T_{n_t}(\cdots T_{n_1}(\Sigma) \cdots)$ with radical N . From [5] it follows that $\text{gl.dim } \Lambda = t + 1$, and $\dim \Lambda = t + 2$ ($\dim \Lambda = t + n + 1$). Furthermore, since Λ/N is the direct product of $n_1 \cdots n_t$ copies of Δ , $\dim \Lambda/N = 1$ ($\dim \Lambda/N = n$).

Summarizing we obtain:

PROPOSITION 2. *For every pair of positive integers n, s there exists a k -algebra Σ for which $\text{gl.dim } \Sigma = s$, $\dim \Delta = n$, and $\dim \Sigma = \text{gl.dim } \Sigma + \dim \Delta$.*

Notice that in all our examples, k is the center of each of the constructed rings.

4. Applications. In [2] Auslander proved that if $\dim \Sigma < \infty$ and if $(\Delta:k) < \infty$ then $\dim \Sigma = \text{gl.dim } \Sigma$. He raised the problem whether $\dim \Sigma$ is necessarily zero. In [4] Eilenberg proved that if $\dim \Sigma < \infty$ and $(\Sigma:k) < \infty$ then $\dim \Delta = 0$ and $\dim \Sigma = \text{gl.dim } \Sigma$. In §3 we saw that it is possible to have $\dim \Sigma < \infty$ and $\dim \Sigma = \text{gl.dim } \Sigma$ without $(\Sigma:k)$ nor $(\Delta:k)$ being finite. Furthermore, $\dim \Sigma = \text{gl.dim } \Sigma$ may hold without $\dim \Delta$ being zero. Still we have:

PROPOSITION 3. *If $M^2=0$, if $(\Delta:k)<\infty$, and if $\dim \Sigma$ is finite then $\dim \Delta=0$.*

Proof. By Corollary 2 $\dim \Delta \leq \dim \Sigma < \infty$. Since $(\Delta:k) < \infty$ we now have $\dim \Delta = 0$.

In this respect it is worth stating an immediate consequence of Theorem 1, that turns out to be just an affirmative answer to the problem raised by Auslander in a particular case.

COROLLARY 5. *If $(\Delta:k) < \infty$ then the following are equivalent:*

- (a) $\dim \Delta = 0$ and $\text{gl.dim } \Sigma/M^2 < \infty$,
- (b) $\dim \Sigma/M^2 < \infty$.

Under each of these equivalent conditions $\dim \Sigma/I = \text{gl.dim } \Sigma/I < \infty$ for every two sided ideal I in Σ .

Let Σ_1 (Σ_2) be a semiprimary k -algebra with radical M_1 (M_2), and set $\Delta_i = \Sigma_i/M_i$ for $i=1, 2$. Assuming that $(\Delta_i:k) < \infty$, and $\dim \Sigma_i/M_i^2 < \infty$ for $i=1, 2$ it follows that $\dim \Delta_i = 0$ for $i=1, 2$. Denote $\Delta = \Delta_1 \otimes \Delta_2$, and $N = M_1 \otimes \Delta_2 + \Delta_1 \otimes M_2$ then it readily follows that $\dim \Omega \leq 1$, where $\Omega = \Omega(\Delta, N)$ [9]. Furthermore, $\Sigma_1 \otimes \Sigma_2$ is a residue k -algebra of Ω , and $(\Delta:k) < \infty$. We therefore have:

THEOREM 2. *The class of semiprimary k -algebras $\mathfrak{E}$ is closed under tensor products. A semiprimary k -algebra Σ belongs to $\mathfrak{E}$ iff $\dim \Sigma/M^2 < \infty$ and $(\Delta:k) < \infty$.*

Notice that this theorem is no longer valid if we replace $\dim \Sigma/M^2 < \infty$ by $\text{gl.dim } \Sigma/M^2 < \infty$.

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