A RECIPROCITY THEOREM FOR ERGODIC ACTIONS

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Abstract. An analogue of the Frobenius Reciprocity Theorem is proved for virtual groups over a locally compact separable group G . Specifically, an ergodic analytic Borel G -space $M(V\pi)$ is constructed from a virtual group V and a homomorphism $\pi: V \rightarrow G$ of V into G . This construction proves to be functorial for the category of virtual groups over G ; in fact, it is a left adjoint of the functor which takes an ergodic analytic Borel G -space T into the virtual group $T \times G$ together with projection $\rho: T \times G \rightarrow G$ onto G . Examples such as Kakutani's induced transformation and flows under functions show the scope of this construction.

A method for constructing the product of two virtual groups is also presented. Some of the structural properties of the product virtual group are deduced from those of the components. Finally, for virtual groups $\pi_1: V_1 \rightarrow G_1$ and $\pi_2: V_2 \rightarrow G_2$ over groups G_1 and G_2 respectively, the adjoint functor construction applied to $\pi_1 \times \pi_2: V_1 \times V_2 \rightarrow G_1 \times G_2$ is shown to give the product of the G_1 -space derived from $\pi_1: V_1 \rightarrow G_1$ and the G_2 -space derived from $\pi_2: V_2 \rightarrow G_2$, up to suitably defined isomorphism.

I. Introduction. In this brief introduction, we shall try to put into its proper historical perspective the main result proved in this paper. The present work can be viewed as the confluence of two different trends in modern functional analysis. The first is classical ergodic theory, whose main aim can still be considered to be that of classifying measure preserving transformations by extending the methods of spectral theory. It is well known that the classification of measure preserving transformations with discrete spectra is the only classification that has been completely achieved by spectral methods in Hilbert space. For more general measure preserving transformations, the sole innovation since the study began with von Neumann in the early thirties has been the introduction of the notion of entropy. This notion has been moderately successful in giving a new invariant of measure preserving transformations which can distinguish several conjugacy classes, but the reason why it works remains unclear. Furthermore, aside from entropy, no new invariant for the conjugacy problem of measure preserving transformations has come to the surface. It seems rather that the problem of conjugacy of measure preserving transformations will turn out to be as difficult as the problem of conjugacy of arbitrary bounded operators in Hilbert space.

The second trend is the theory of group representations, which has been far more successful than ergodic theory ever since the methods of functional analysis

Received by the editors May 3, 1971.

AMS 1970 subject classifications. Primary 22D40, 28A65; Secondary 22D30, 28A60.

Key words and phrases. Transformation space, analytic Borel space, ergodic, groupoid, virtual group, adjoint functor, flow under a function.

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were first applied to it by Weil, Segal, Mackey and others. More recently, several notions of the theory of group representations have been considerably streamlined by the methods of categorical algebra. See for a survey the paper of Lawvere [4].

It was Mackey who first brought to the attention of the mathematical public the possible analogies between group representations and group actions, and the possibility of carrying over to the study of group actions—in particular, those associated with measure preserving transformations—various of the notions formerly used only for linear representations. The work begun by Mackey, in a series of rather cryptic notes, has only recently been expanded and given a coherent form in the work of Ramsay. By ingenious ad hoc methods, Mackey was able to show that several of the “constructions” classically used in measure theory could be greatly extended by using analogous notions from the theory of group representations. Nevertheless, in Mackey’s budding theory these “constructions” remained isolated coincidences.

It is our purpose to show that at least one of Mackey’s constructions, perhaps the most important one, can be greatly extended and given a complete explanation within the context of categorical algebra. In fact, we will go one step further than Mackey, and show that his construction can be strengthened to give an analogue of the Frobenius Reciprocity Theorem for group actions, namely actions of groups on measurable spaces as defined below.

It has been known for some time that the Frobenius Reciprocity Theorem is a particular instance of the construction of the adjoint of a certain functor, namely the restriction functor of a representation. We take this as our background, and we show that with suitable definitions and constructions one can similarly construct the adjoint functor of an ergodic group action. We have been fortunate enough to carry through the complete formulation of this idea, despite a large number of measure theoretic details, which at present remain quite extensive, but which we hope that later workers in the field will be able to whittle down.

We give several applications of our construction to show that it is indeed an extension of the Reciprocity Theorem. Perhaps the most enlightening application is the one that gives us the construction of a flow under a function.

ACKNOWLEDGEMENTS. Some of the results of this paper were announced in [15]. The body of the paper is taken from the author’s Ph.D. thesis at M.I.T. He wishes to thank his thesis advisor, Professor Gian-Carlo Rota, for suggesting the main theorem and Professor Arlan Ramsay for help in reaching the final formulation of that theorem. Note that Professor Ramsay’s paper [13] partially overlaps the present work.

II. Virtual groups and their functorial properties. Recall that a group is a small category with one object, where every morphism has an inverse. A *groupoid* is a small category where every morphism has an inverse. In a groupoid V the set of objects can be identified with the unit morphisms. For every morphism $f \in V$ denote the inverse of f by f^{-1} . Then ff^{-1} is the *left unit* of f and $f^{-1}f$ is the *right unit* of f .

We refer to Moore [9, pp. 2–18] for the definitions of the measure theoretic and Borel space terms appearing hereafter. An *analytic Borel groupoid* is a groupoid V , together with an analytic Borel space structure on the set of morphisms, and a measure class C such that: (a) The domain D of composition of two morphisms is a Borel subset of $V \times V$, under the product Borel structure. (b) Composition $(f, h) \rightarrow fh$ and inversion $f \rightarrow f^{-1}$ are Borel maps from D to V and V to V respectively. (c) Inversion leaves invariant the measure class C , i.e. takes null sets into null sets.

Condition (d) must be prefaced by a few explanatory remarks. Let U denote the collection of units of V . Then U is a Borel subset of V and hence forms an analytic Borel space under its relative Borel structure. (See Chapter 4 of [13].) The right unit map $d: V \rightarrow U$ and the left unit map $r: V \rightarrow U$ are both Borel. $d: V \rightarrow U$ induces a measure class $\bar{C}$ on U , setting $\bar{\mu}(A) = \mu(d^{-1}(A))$ for μ in C . Any μ in C satisfies $\mu = \int \mu_s d\bar{\mu}(s)$, where μ_s is a measure on V living on $d^{-1}(s)$, and for a fixed Borel set A in V , the function $s \rightarrow \mu_s(A)$ is Borel on U . The fibering is unique: if $\mu = \int \nu_s d\bar{\mu}(s)$, then $\nu_s = \mu_s$ for $\bar{C}$ -almost all s ; furthermore, changing μ to an equivalent measure does not change the measure class of μ_s for $\bar{C}$ -almost all s ; this leads to measure classes C_s on almost all fibers $d^{-1}(s)$. (d) For $s \in U$ and $r(f) = s$ the map $h \rightarrow hf$ carries $d^{-1}(s)$ bijectively to $d^{-1}(d(f))$ and C_s to $C_{d(f)}$. We require that $C_{r(f)}f = C_{d(f)}$ for all f with $r(f)$ and $d(f)$ in some *conull* (complement of a null) Borel set U_0 of U .

Before defining virtual group we call attention to the fact that the measure class $\bar{C}$ on U can be equally well defined by $r: V \rightarrow U$, setting $\bar{\mu}(A) = \mu(r^{-1}(A))$ for μ in C . This follows from the invariance of C under inversion. Moreover, each μ in C has a fiber measure decomposition with respect to $r: V \rightarrow U$; this leads to measure classes on almost all the fibers $r^{-1}(s)$ and a condition equivalent to (d) concerning the invariance of the fiber measure classes with respect to transformations $h \rightarrow fh$.

An analytic Borel groupoid (V, C) is said to be *ergodic* whenever every real Borel function ϕ on the sets of units U such that $\phi(d(f)) = \phi(r(f))$ for almost all f in V is $\bar{C}$ -almost everywhere constant. For short, an ergodic analytic Borel groupoid is called a *virtual group*.

In a virtual group (V, C) let U_0 be a $\bar{C}$ -conull Borel subset of U . Taking all $f \in V$ such that both $d(f)$ and $r(f)$ are in U_0 , we obtain another virtual group, the *inessential contraction* (abbreviated i.c.) $V|U_0$. Again, say that two units u and v are *equivalent* when $d(f) = u$ and $r(f) = v$ for some f in V ; if $A \subset U$, write $[A]$ for the *saturation* of A (set of units equivalent to some unit of A) under this equivalence relation; note that $[A] = r(d^{-1}(A))$ and that $[A]$ is analytic if A is a Borel set.

An analytic Borel groupoid (V, C) is termed *essentially transitive* whenever there is a unit u in U whose saturation $[u]$ is conull in U . An essentially transitive analytic Borel groupoid is ergodic, but not conversely. (V, C) is termed *essentially principal* whenever there is a conull set of units U_0 such that for every u in U_0 , $\{f \in V : d(f) = r(f) = u\} = \{u\}$.

A *strict homomorphism* ψ between virtual groups (V_1, C_1) and (V_2, C_2) is a functor

from V_1 to V_2 which is also a Borel map, and such that if $\bar{\psi}$ is the associated map of the units U_1 of V_1 to the units U_2 of V_2 , then $\bar{\psi}^{-1}(A)$ is a $\bar{C}_1$ -null set for every saturated $\bar{C}_2$ -null set A . (This apparently different definition is equivalent to Ramsey's. See Lemma 6.6 of [13].) A *homomorphism* of (V_1, C_1) to (V_2, C_2) is a Borel map whose restriction to some i.c. is a strict homomorphism. Two homomorphisms ψ_1 and $\psi_2: (V_1, C_1) \rightarrow (V_2, C_2)$ are *strictly similar* if $\theta(r_1(f))\psi_1(f) = \psi_2(f)\phi(d_1(f))$ for all $f \in V_1$ and for some Borel map $\theta: U_1 \rightarrow V_2$ for which both sides are defined, where U_1, d_1, r_1 refer to the units and unit maps of V_1 . ψ_1 and $\psi_2: (V_1, C_1) \rightarrow (V_2, C_2)$ are *similar* if there is an i.c. of V_1 on which they are strictly similar. Similarity is an equivalence relation. Given homomorphisms $\psi: V_1 \rightarrow V_2$ and $\kappa: V_2 \rightarrow V_3$, the composition $\kappa \circ \psi$ may not be a homomorphism. However there is a homomorphism $\phi: V_1 \rightarrow V_2$ similar to ψ such that $\kappa \circ \phi$ is also a homomorphism. (κ and ϕ are said to be *composable*.) Similarity classes $[\psi]$ of homomorphisms ψ are preserved under composition. (See Chapter 6 of [13] for a discussion of these delicate points.) Taking virtual groups as objects and similarity classes of homomorphisms as morphisms, one obtains a category. Two virtual groups V_1 and V_2 which are isomorphic in this category are called *similar*. In other words, there are morphisms $[\kappa]: V_1 \rightarrow V_2$ and $[\psi]: V_2 \rightarrow V_1$ such that $[\psi] \circ [\kappa] = [\text{id}_1]$ and $[\kappa] \circ [\psi] = [\text{id}_2]$, where id_1 and id_2 are the identity maps on V_1 and V_2 respectively. Similarity of virtual groups is an equivalence relation.

A locally compact separable group G is a virtual group when endowed with its Haar measure class. A virtual group V , together with a homomorphism $\pi: V \rightarrow G$, briefly $V\pi$, will be called a *virtual group over G* . The *Mackey category* $M(G)$ of G has the virtual groups over G as objects, and as morphisms the similarity classes of homomorphisms which make the obvious triangle over G commute, namely, which commute with the action of $[\pi]$.

The category $R(G)$ of *ergodic actions* of G has as objects the transformation spaces T of G , namely: (a) T is an analytic Borel space; (b) the map $(t, x) \rightarrow tx$ of $T \times G \rightarrow T$ is Borel; (c) T has a measure class C which is invariant under the set of Borel automorphisms $t \rightarrow tx$; (d) (T, C) is ergodic: the only invariant Borel sets are null or conull. The morphisms of $R(G)$ are equivalence classes of maps, as follows: T_1 and T_2 being objects, consider all Borel maps $\phi: T_1 \rightarrow T_2$ such that: (a) there is a conull invariant analytic subset of T_1 on which ϕ is *G-equivariant*, i.e. $\phi(tx) = \phi(t)x$; (b) if N is a null invariant set in T_2 ; $\phi^{-1}(N)$ is null too. Two maps ϕ and ψ are *equivalent* if there is a Borel map $\alpha: T_1 \rightarrow G$ such that $\phi(t)\alpha(t) = \psi(t)$ and $\alpha(tx) = x^{-1}\alpha(t)x$ for all t in some conull invariant analytic subset of T_1 . This equivalence is preserved under composition of maps; the equivalence classes $[\phi]$ are the morphisms of $R(G)$. Note here and for future reference that the collection of analytic sets is closed under intersection and the formation of images and inverse images under Borel maps between analytic spaces.

Before starting the proof of our main theorem, we must expand on Mackey's method of turning an ergodic G -space into a virtual group over G [5], [6]. The

kernel of the idea is as follows: For a given ergodic action of G on T , give $T \times G$ the product Borel structure and product measure class and define $\pi: T \times G \rightarrow G$ as projection onto G . Defining $(s, x)(t, y) = (s, xy)$ whenever $sx = t$ gives $T \times G$ a groupoid structure whose units can be naturally identified with the set T . (The set of units is really $T \times \{e\}$, where e is the identity of G .) This construction maps the objects of $R(G)$ into the objects of the Mackey category $M(G)$.

THEOREM 1. *There is a functor $R: R(G) \rightarrow M(G)$ extending the above construction, which is faithful on objects and morphisms and whose image is a full subcategory of the Mackey category.*

Proof. Given a morphism class $[\phi]: T_1 \rightarrow T_2$ in $R(G)$, let us define a similarity class of homomorphisms $R([\phi]): R(T_1) \rightarrow R(T_2)$ in $M(G)$. First observe that the Borel map $(t, x) \rightarrow (\phi(t), x) = R(\phi)(t, x)$ is a homomorphism between $R(T_1)$ and $R(T_2)$. Now if $\alpha: T_1 \rightarrow G$ provides an equivalence between the two $R(G)$ maps ϕ and ψ , then $(\phi(t), x)(\phi(tx), \alpha(tx)) = (\phi(t), \alpha(t))(\psi(t), x)$ on some i.c. of $R(T_1)$, and so $t \rightarrow (\phi(t), \alpha(t))$ implements a similarity between $R(\phi)$ and $R(\psi)$. Thus $[R(\phi)] = R([\phi])$ gives a meaningful definition. It is relatively simple to check that R preserves the composition of morphisms.

R is clearly faithful on objects. To demonstrate that R is faithful on morphisms, it suffices to prove that if $\phi, \psi: T_1 \rightarrow T_2$ are $R(G)$ maps such that $R(\phi)$ and $R(\psi)$ are similar, then ϕ and ψ are equivalent. With this in mind note that $(\phi(t), x)(\theta(tx), \beta(tx)) = (\theta(t), \beta(t))(\psi(t), x)$ on some i.c., where $t \rightarrow \theta(t)$ and $t \rightarrow \beta(t)$ are Borel maps. Obviously $\phi(t) = \theta(t)$ here, so that $\phi(t)\beta(t) = \psi(t)$. Furthermore, $\beta(tx) = x^{-1}\beta(t)x$ on this i.c. Considering G to be a G -space with action defined by $y \cdot x = x^{-1}yx$, one can replace β by a Borel map $\alpha: T_1 \rightarrow G$ which agrees with β except on a null set and which is G -equivariant on an invariant analytic conull set of T_1 . (Use the proof of Theorem 3.6 of [13].) Thus there is a common invariant analytic conull set A where ϕ, ψ and α are all G -equivariant. Since $\phi(t)\alpha(t) = \psi(t)$ almost everywhere, one can reduce A to a set B with the same properties such that $\phi(t)\alpha(t) = \psi(t)$ for all $t \in B$.

To show that R maps onto a full subcategory assume $\kappa: R(T_1) \rightarrow R(T_2)$ is a $M(G)$ homomorphism. Let π_i ($i=1, 2$) be the projection of $R(T_i)$ onto G . Then there is a Borel map $\theta: T_1 \rightarrow G$ and an i.c. of $R(T_1)$ on which κ is strict and $\pi_2 \circ \kappa(t, x)\theta(tx) = \theta(t)\pi_1(t, x)$. Put $\kappa(t, x) = (\sigma(t, x), \delta(t, x))$ and look at $\kappa((t, e)(t, x))$. It follows first that $\sigma(t, x) = \sigma(t, e)$ on the i.c. and second that the Borel map $(t, x) \rightarrow (\sigma(t, e), \delta(t, x))$ is a homomorphism agreeing with κ there. Define a homomorphism ψ similar to κ by

$$(t, x) \rightarrow (\sigma(t, e)\theta(t), \theta(t)^{-1}\kappa(t, x)(\sigma(tx, e)\theta(tx), \theta(tx)^{-1})^{-1} = \psi(t, x)$$

on the i.c. and constant elsewhere. Then $\pi_2 \circ \psi(t, x) = \pi_1(t, x) = x$ and a glance at $\psi((t, x)(tx, x^{-1}))$ reveals that $\bar{\psi}(t) = \sigma(t, e)\theta(t)$ satisfies $\sigma(t, e)\theta(t)x = \sigma(tx, e)\theta(tx)$ on the above i.c. By the proof of Theorem 3.6 of [13] there is a Borel map $\eta: T_1 \rightarrow T_2$

which agrees with $t \rightarrow \sigma(t, e)\theta(t)$ except on a null set and is G -equivariant on an invariant analytic conull set. Thus $R(\eta)$ agrees with ψ on an i.c. and so is similar to κ . This completes the proof of the theorem.

III. The Adjoint Functor Theorem: Statement and proof.

THEOREM 2. *The functor R has a left adjoint M .*

The proof is long and will be broken into a series of propositions. M will also be called the Mackey Functor.

PROPOSITION 2.1. *Given a virtual group $V\pi$ over G one can construct an ergodic analytic Borel G -space $M(V\pi)$.*

Proof. By passing to an i.c. if necessary, one can assume that π is a strict homomorphism. For convenience choose a specific finite measure ν in the measure class of V . $\bar{\nu}$ will denote the measure induced on the units U of V by the right unit map d .

The product space $U \times G$ is an analytic Borel G -space under the G -action $(u, x)y = (u, y^{-1}x)$. Let μ be a finite measure in the Haar measure class of G . Then the product measure $\bar{\nu} \times \mu$ is quasi-invariant under the action of G . To see this apply Fubini's Theorem and the quasi-invariance of μ . Next define an equivalence relation $\sim$ on $U \times G$ which commutes with the action of G . $(u, x) \sim (w, y)$ iff $(r(f), x) = (u, x)$ and $(d(f), x\pi(f)) = (w, y)$ for some $f \in V$. Call a set A in the collection of equivalence classes $U \times G / \sim$ measurable iff A (when viewed as a set in $U \times G$) differs from a Borel set in $U \times G$ by a null set. The family of measurable sets of $U \times G / \sim$ forms a σ -algebra. Furthermore, $\bar{\nu} \times \mu$ induces a measure class on the measurable sets of $U \times G / \sim$ which is complete, i.e. every set which is contained in a null set of $U \times G / \sim$ is itself measurable. From here on $U \times G / \sim$ will be considered with the Borel structure of measurable sets and the induced measure class.

Next note that the measurable sets in $U \times G / \sim$ give rise to a G -invariant closed subalgebra $MA(U \times G / \sim)$ of the measure algebra $MA(U \times G)$. Equivalently, $MA(U \times G / \sim)$ is the closed subalgebra of $MA(U \times G)$ generated by the measurable sets in $U \times G$ which are saturated with respect to $\sim$. Since every closed subalgebra of a standard measure algebra is standard, a theorem of Mackey, given as Theorem 3.3 of [13], implies the existence of a standard Borel G -space $M(V\pi)$ equipped with an invariant measure class such that its associated measure algebra is isomorphic as a Boolean G -space to $MA(U \times G / \sim)$. $M(V\pi)$ is the standard (hence necessarily analytic) Borel G -space we are seeking.

It remains to demonstrate that the action of G on $M(V\pi)$ is ergodic. Clearly it is enough to show that the action of G on $MA(U \times G / \sim)$ is ergodic. (See [9] for a discussion of the equivalent notions of ergodicity on analytic Borel G -spaces.) Thus let D be a measurable set in $U \times G$ which is the union of $\sim$ equivalence classes and satisfies $\bar{\nu} \times \mu(D \triangle Dx) = 0$ for all $x \in G$, where " $\triangle$ " denotes symmetric difference. Since $U \times G$ is an analytic Borel G -space, there is a Borel set F with

$\bar{\nu} \times \mu(F \triangle D) = 0$ and $F = Fx$ for $x \in G$. It is not too difficult to see that F must be of the form $B \times G$, where B is a Borel set in U . Compute as follows:

$$\begin{aligned} 0 &= \iint |\chi_{B \times G}(u, x) - \chi_D(u, x)| \, d\bar{\nu}(u) \, d\mu(x) \\ &= \iint |\chi_{B \times G}(d(f), x) - \chi_D(d(f), x)| \, d\nu(f) \, d\mu(x) \\ &= \iint |\chi_{d^{-1}(B)}(f) - \chi_D(d(f), x)| \, d\nu(f) \, d\mu(x) \\ &= \iint |\chi_{d^{-1}(B)}(f) - \chi_D(r(f), x\pi(f)^{-1})| \, d\nu(f) \, d\mu(x), \end{aligned}$$

where the last equality is a consequence of the fact that D is a union of $\sim$ equivalence classes. Applying Fubini's Theorem and the quasi-invariance of μ to the last equation above gives

$$(*) \quad 0 = \iint |\chi_{d^{-1}(B)}(f) - \chi_D(r(f), x)| \, d\nu(f) \, d\mu(x).$$

Similarly, the quasi-invariance of ν under $f \rightarrow f^{-1}$ and Fubini's Theorem imply

$$\begin{aligned} 0 &= \iint |\chi_{d^{-1}(B)}(f^{-1}) - \chi_D(d(f^{-1}), x)| \, d\nu(f) \, d\mu(x) \\ (**) \quad &= \iint |\chi_{r^{-1}(B)}(f) - \chi_D(r(f), x)| \, d\nu(f) \, d\mu(x). \end{aligned}$$

Adding (*) and (**) yields

$$0 = \iint |\chi_{r^{-1}(B)}(f) - \chi_{d^{-1}(B)}(f)| \, d\nu(f) \, d\mu(x).$$

Hence for some x , $\chi_B(d(f)) = \chi_B(r(f))$ for almost all f . Because V is ergodic B must be either null or conull. It follows that F and D are null or conull.

REMARKS. Suppose $V|U_0$ is an i.c. of V and $\sim_0$ is the restriction of the equivalence $\sim$ to $U_0 \times G$. Then the closed subalgebra of $MA(U \times G)$ derived from measurable sets in $U_0 \times G/\sim_0$ is the same as the one derived from $U \times G/\sim$. Hence $M(V\pi)$ and $M(V|U_0\pi_0)$ are the same, where π_0 is the restriction $\pi|_{V|U_0}$.

If $V\pi$ is in $M(G)$, then the equivalence relation $\sim$ on $U \times G$ is analytic. This means that as a subset of $(U \times G) \times (U \times G)$, $\sim$ is analytic. Indeed, $\sim$ can be identified with the image of the analytic space $V \times G$ under the Borel map $(f, x) \rightarrow (r(f), x) \times (d(f), x\pi(f))$. Now consider $U \times G/\sim$ with the quotient Borel structure, instead of the Borel structure derived from the $\sim$ saturated measurable sets in $U \times G$. If by chance $U \times G/\sim$ is countably separated, then $U \times G/\sim$ is actually an analytic Borel G -space. (See Propositions 2.9 and 3.1 of Chapter 1 of [9].) When $U \times G/\sim$ is an analytic Borel G -space, Theorem 7.7 of [13] implies that up to invariant null sets, $U \times G/\sim$ and $M(V\pi)$ are isomorphic as analytic Borel G -spaces

with invariant measure classes. Another sufficient condition for $U \times G/\sim$ to be analytic is the existence of an analytic subset of $U \times G$ meeting each $\sim$ equivalence class in exactly one point. (See Proposition 2.12 of Chapter 1 of [9].)

Let us also observe that for T in $R(G)$, $M(R(T))$ can be taken to be T . In fact, the equivalence relation $\sim$ on $T \times G$ reduces to $(t, x) \sim (ty, xy)$ and so the map $(t, x) \rightarrow tx^{-1}$ factors to give a G -equivariant Borel bijection of $T \times G/\sim$ (with the quotient Borel structure) onto T . Since T is countably separated, it is possible to prove $T \times G/\sim$ is too. By the above remark $T \times G/\sim$ is an analytic Borel G -space. Hence the Borel bijection is even a Borel isomorphism. (See Proposition 2.5 of Chapter 1 of [9].) Finally, it follows from Fubini's Theorem that the measure class induced on T by $(t, x) \rightarrow tx^{-1}$ is the same as the given measure class on T .

Note also that we are using a strong form of the axiom of choice in defining $M(V\pi)$. Indeed, there may be many analytic Borel G -spaces with measure algebras which are σ -isomorphic as Boolean G -spaces to $MA(U \times G/\sim)$. We select precisely one such space and one G -equivariant σ -isomorphism between its measure algebra and $MA(U \times G/\sim)$. (See [11] for a discussion of this strong form of the axiom of choice.)

PROPOSITION 2.2. *Given the morphism $[\kappa]: V_1\pi_1 \rightarrow V_2\pi_2$ in $M(G)$, one can construct a $R(G)$ morphism $M([\kappa]): M(V_1\pi_1) \rightarrow M(V_2\pi_2)$.*

The proof will be given as a sequence of three lemmas. In what follows the sets of units, the unit maps, and the $\sim$ equivalence relations for $V_1\pi_1$ and $V_2\pi_2$ will be distinguished by numerical subscripts, e.g., U_1 will denote the set of units of V_1 . Those homomorphisms in $[\kappa]$ which are not composable with π_2 will be disregarded. This, along with the first remark above, allows us to take π_1, π_2 , and κ strict.

LEMMA 2.3. *If $\theta(r_1(f))\pi_2 \circ \kappa(f) = \pi_1(f)\theta(d_1(f))$ holds on some i.c. of V_1 , then there corresponds a homomorphism $M(\kappa_\theta): M(V_1\pi_1) \rightarrow M(V_2\pi_2)$.*

Proof. Passing to an i.c. we can assume $\pi_2 \circ \kappa$ strictly similar to π_1 via θ . Consider the Borel map $(u, x) \rightarrow (\bar{\kappa}(u), x\theta(u)) = \alpha(u, x)$ of $U_1 \times G$ to $U_2 \times G$. α is G -equivariant and factors through the equivalence relations to give a map $U_1 \times G/\sim_1 \rightarrow U_2 \times G/\sim_2$. Indeed,

$$\begin{aligned} (r_1(f), x) &\rightarrow (\bar{\kappa}(r_1(f)), x\theta(r_1(f))) \\ &= (r_2(\kappa(f)), x\theta(r_1(f))) \sim_2 (d_2(\kappa(f)), x\theta(r_1(f))\pi_2 \circ \kappa(f)) \\ &= (\bar{\kappa}(d_1(f)), x\pi_1(f)\theta(d_1(f))) \end{aligned}$$

and

$$(d_1(f), x\pi_1(f)) \rightarrow (\bar{\kappa}(d_1(f)), x\pi_1(f)\theta(d_1(f))).$$

To show that the factored map induces a G -equivariant σ -homomorphism $MA(U_2 \times G/\sim_2) \rightarrow MA(U_1 \times G/\sim_1)$ it is necessary to prove that the inverse image

of a null set A in $U_2 \times G / \sim_2$ is null. It is also necessary to show that the inverse image of a measurable set is measurable. Consider the first condition. Viewing A as a set in $U_2 \times G$, $\{u \in U_2 : A^u \text{ is not null}\}$ is clearly null. Here A^u means the section $\{x \in G : (u, x) \in A\}$. Also since $A^{d_2(f)} = A^{r_2(f)}\pi_2(f)$, $\{u : A^u \text{ is not null}\}$ is saturated in U_2 . Because κ is a homomorphism, $\bar{\kappa}^{-1}\{u : A^u \text{ is not null}\}$ is null. Now $\{u \in U_1 : \alpha^{-1}(A)^u \text{ is not null}\} = \bar{\kappa}^{-1}\{u \in U_2 : A^u \text{ is not null}\}$ because $\alpha^{-1}(A)^u = \{x \in G : (\bar{\kappa}(u), x\theta(u)) \in A\} = A^{\kappa(u)}\theta(u)^{-1}$. Finally Fubini's Theorem yields that $\alpha^{-1}(A)$ is null.

As for the second condition let B be a measurable set in $U_2 \times G$ which is saturated with respect to $\sim_2$. Then there is a $\sim_2$ -saturated set A in $U_2 \times G$ which is analytic and which differs from B by a null set. (See the first part of the proof of Theorem 7.11 of [13].) The inverse image of A is analytic in $U_1 \times G$ and hence measurable. Furthermore, the inverse images of $A \setminus B$ and $B \setminus A$ are null and thus also measurable. If we write B as $(A \setminus (A \setminus B)) \cup (B \setminus A)$, it follows that the inverse image of B is measurable too.

To complete the proof of the lemma simply apply Theorem 3.6 of [13] to the induced G -equivariant σ -homomorphism $MA(U_2 \times G / \sim_2) \rightarrow MA(U_1 \times G / \sim_1)$.

LEMMA 2.4. *If $\delta(r_1(f))\pi_2 \circ \kappa(f) = \pi_1(f)\delta(d_1(f))$ also holds on some i.c. of V_1 , then $M(\kappa_\theta)$ and $M(\kappa_\delta)$ belong to the same morphism class.*

Proof. By passing to an i.c. assume that both θ and δ provide a strict similarity between $\pi_2 \circ \kappa$ and π_1 . Now note that

$$(\bar{\kappa}(u), x\theta(u))x\theta(u)\delta(u)^{-1}x^{-1} = (\bar{\kappa}(u), x\delta(u)\theta(u)^{-1}x^{-1}x\theta(u)) = (\bar{\kappa}(u), x\delta(u)).$$

The Borel map $(u, x) \rightarrow x\theta(u)\delta(u)^{-1}x^{-1}$ is constant on $\sim_1$ equivalence classes because $(r_1(f), x) \rightarrow x\theta(r_1(f))\delta(r_1(f))^{-1}x^{-1}$ while

$$\begin{aligned} (d_1(f), x\pi_1(f)) &\rightarrow x\pi_1(f)\theta(d_1(f))\delta(d_1(f))^{-1}\pi_1(f)^{-1}x^{-1} \\ &= x\theta(r_1(f))\pi_2 \circ \kappa(f)\pi_2 \circ \kappa(f)^{-1}\delta(r_1(f))^{-1}x^{-1} \\ &= x\theta(r_1(f))\delta(r_1(f))^{-1}x^{-1}. \end{aligned}$$

Furthermore

$$(u, x)y = (u, y^{-1}x) \rightarrow y^{-1}x\theta(u)\delta(u)^{-1}x^{-1}y.$$

Hence $(u, x) \rightarrow x\theta(u)\delta(u)^{-1}x^{-1}$ factors to give a Borel map $\beta: U_1 \times G / \sim_1 \rightarrow G$ which satisfies $\beta(sx) = x^{-1}\beta(s)x$.

Before dealing further with β , observe that Theorem 2.1 of [13] indicates the existence of a Borel map from $U_1 \times G / \sim_1$ to $M(V_1\pi_1)$ which induces the G -equivariant σ -isomorphism between their respective measure algebras. This map can be regularized by the process of Theorem 3.6 of [13] to give a measurable (in this case necessarily Borel too) map $\iota_1: U_1 \times G / \sim_1 \rightarrow M(V_1\pi_1)$ which is G -equivariant on an invariant conull set. The same reasoning gives a map $\iota_2: U_2 \times G / \sim_2 \rightarrow M(V_2\pi_2)$ with similar properties.

Let $\eta_\theta: U_1 \times G/\sim_1 \rightarrow U_2 \times G/\sim_2$ and $\eta_\delta: U_1 \times G/\sim_1 \rightarrow U_2 \times G/\sim_2$ be the maps which result from factoring $(u, x) \rightarrow (\bar{\kappa}(u), x\theta(u))$ and $(u, x) \rightarrow (\bar{\kappa}(u), x\delta(u))$ respectively. Affixing a $*$ to a map to denote the induced σ -homomorphism, the following relations clearly hold: $M(\kappa_\theta)^* = \iota_1^{*-1} \circ \eta_\theta^* \circ \iota_2^*$ and $M(\kappa_\delta)^* = \iota_1^{*-1} \circ \eta_\delta^* \circ \iota_2^*$. If G is given the G -space structure discussed in paragraph 2 of Theorem 1 and the measure class induced by β from $U_1 \times G/\sim_1$, then $\iota_1^{*-1} \circ \beta^*$ can be used to define a Borel map $\alpha: M(V_1\pi_1) \rightarrow G$ which satisfies $\alpha(tx) = x^{-1}\alpha(t)x$ on some invariant analytic conull set. This is again a consequence of Theorem 3.6 of [13]. By definition $\alpha^* = \iota_1^{*-1} \circ \beta^*$.

Putting the above facts together, there is some common invariant conull set where $M(\kappa_\theta) \circ \iota_1 = \iota_2 \circ \eta_\theta$, $M(\kappa_\delta) \circ \iota_1 = \iota_2 \circ \eta_\delta$, $\alpha \circ \iota_1 = \beta$, and the following computations work: $M(\kappa_\theta) \circ \iota_1(s)\alpha \circ \iota_1(s) = M(\kappa_\theta) \circ \iota_1(s)\beta(s) = M(\kappa_\theta) \circ \iota_1(s\beta(s)) = \iota_2 \circ \eta_\theta(s\beta(s)) = \iota_2(\eta_\theta(s)\beta(s)) = \iota_2 \circ \eta_\delta(s) = M(\kappa_\delta) \circ \iota_1(s)$. Hence $M(\kappa_\theta) \cdot \alpha = M(\kappa_\delta)$ almost everywhere as contended.

LEMMA 2.5. *If ψ is a homomorphism similar to κ , then $M(\psi_\varepsilon)$ is equivalent to $M(\kappa_\theta)$ for every choice of ε .*

Proof. Suppose without loss of generality that $\delta(r_1(f))\kappa(f) = \psi(f)\delta(d_1(f))$ is a strict similarity between the strict homomorphisms κ and ψ . Since $\theta(r_1(f))\pi_2 \circ \kappa(f) = \pi_1(f)\theta(d_1(f))$ and π_2 is taken strict, it follows that $\theta(r_1(f))\pi_2(\delta(r_1(f)))^{-1} \cdot \pi_2 \circ \psi(f) = \pi_1(f)\theta(d_1(f))\pi_2(\delta(d_1(f)))^{-1}$. Because

$$\begin{aligned} (\bar{\psi}(u), x\theta(u)\pi_2 \circ \delta(u)^{-1}) \\ &= (r_2(\delta(u)), x\theta(u)\pi_2 \circ \delta(u)^{-1}) \sim_2 (d_2(\delta(u)), x\theta(u)\pi_2 \circ \delta(u)^{-1}\pi_2 \circ \delta(u)) \\ &= (\bar{\kappa}(u), x\theta(u)), \end{aligned}$$

the two maps $(u, x) \rightarrow (\bar{\psi}(u), x\theta(u)\pi_2 \circ \delta(u)^{-1})$ and $(u, x) \rightarrow (\bar{\kappa}(u), x\theta(u))$ factor to give the same map $U_1 \times G/\sim_1 \rightarrow U_1 \times G/\sim_2$. Finish the proof by applying the result of the last lemma.

REMARK. Let $\delta: T_1 \rightarrow T_2$ be a map in some morphism class of $R(G)$. Then $M(R(\delta)_\theta) = \delta$ if θ equals the identity of G everywhere. Hence $M(R([\delta])) = [\delta]$ too.

PROPOSITION 2.6. *If $[\kappa]: V_1\pi_1 \rightarrow V_2\pi_2$ and $[\psi]: V_2\pi_2 \rightarrow V_3\pi_3$ are $M(G)$ morphisms, then $M([\psi] \circ [\kappa]) = M([\psi]) \circ M([\kappa])$.*

Proof. Assume that ψ and κ are composable and that all homomorphisms and similarities are strict. From the two relations $\theta_2(r_2(h))\pi_3 \circ \psi(h) = \pi_2(h)\theta_2(d_2(h))$ and $\theta_1(r_1(f))\pi_2 \circ \kappa(f) = \pi_1(f)\theta_1(d_1(f))$ follows the relation $\theta_1(r_1(f))\theta_2 \circ \bar{\kappa}(r_1(f))\pi_3 \circ \psi \circ \kappa(f) = \pi_1(f)\theta_1(d_1(f))\theta_2 \circ \bar{\kappa}(d_1(f))$. Observe that the Borel map

$$(u, x) \rightarrow (\bar{\psi} \circ \bar{\kappa}(u), x\theta_1(u)\theta_2 \circ \bar{\kappa}(u))$$

from $U_1 \times G$ to $U_3 \times G$ is the composition of the two Borel maps $(u, x) \rightarrow (\bar{\kappa}(u), x\theta_1(u))$ and $(w, y) \rightarrow (\bar{\psi}(w), y\theta_2(w))$ from $U_1 \times G$ to $U_2 \times G$ and $U_2 \times G$ to $U_3 \times G$ respectively. The same statement can be made for the corresponding

factored maps. This behavior is transferred to the induced σ -homomorphisms and from there to the resulting $R(G)$ homomorphisms.

PROPOSITION 2.7. *Given the morphism $[\kappa]: V\pi \rightarrow R(T)$ in $M(G)$, where T is a G -space in $R(G)$, there is an $R(G)$ morphism $[\kappa]^\wedge: M(V\pi) \rightarrow T$.*

Proof. Just put $[\kappa]^\wedge = M([\kappa])$.

PROPOSITION 2.8. *Given an $R(G)$ morphism $[\psi]: M(V\pi) \rightarrow T$, where $V\pi$ is a virtual group over G , there is an $M(G)$ morphism $[\psi]^\# : V\pi \rightarrow R(T)$.*

Proof. Let us first observe that there is a map $\varepsilon: U \times G \rightarrow M(V\pi)$ belonging to some $R(G)$ morphism class such that the induced σ -homomorphism ε^* gives the natural inclusion of the measure algebra over $M(V\pi)$ into the measure algebra over $U \times G$. As Theorem 7.8 of [13] shows, $f \rightarrow \pi'(f) = (\varepsilon(r(f)), e, \pi(f))$ is a $M(G)$ homomorphism from $V\pi$ to $R(M(V\pi))$, where e is the identity of G . Now set $[\psi]^\# = R([\psi]) \circ [\pi']$.

LEMMA 2.9. *$M([\pi']) = [\iota_d]$, where π' is the $M(G)$ homomorphism of the last proposition and ι_d is the identity map $M(V\pi) \rightarrow M(V\pi)$.*

Proof. Let $\rho: R(M(V\pi)) \rightarrow T$ be projection onto G . Then $\rho \circ \pi' = \pi$, so put $\theta = e$ and calculate $M(\pi'_\theta)$. $(u, x) \rightarrow (\pi'(u), x) = ((\varepsilon(u, e), e), x)$, which goes to $\varepsilon(u, e)x^{-1} = \varepsilon(u, x)$ in the quotient map of $M(V\pi) \times G$ onto $M(V\pi)$.

PROPOSITION 2.10. *There is a one-to-one correspondence between the morphisms $[\kappa]: V\pi \rightarrow R(T)$ and $[\psi]: M(V\pi) \rightarrow T$. In fact, $([\kappa]^\wedge)^\# = [\kappa]$ and $([\psi]^\#)^\wedge = [\psi]$.*

Proof. $([\psi]^\#)^\wedge = M(R([\psi]) \circ [\pi']) = M(R([\psi])) \circ M([\pi']) = [\psi] \circ [\iota_d] = [\psi]$ by the last lemma and the remark after Lemma 2.5.

To prove that $([\kappa]^\wedge)^\# = [\kappa]$ suppose $\theta(r(f))\rho \circ \kappa(f) = \pi(f)\theta(d(f))$ is a strict similarity, with $\rho: R(T) \rightarrow G$ projection onto G . $M(\kappa_\theta)$ is in the morphism class $[\kappa]^\wedge$ and is induced by factoring the map $(u, x) \rightarrow \bar{\kappa}(u)\theta(u)^{-1}x^{-1}$ of $U \times G$ into T . Indeed, if $\varepsilon: U \times G \rightarrow M(V\pi)$ is the map described in Proposition 2.8, then $M(\kappa_\theta) \circ \varepsilon$ and $(u, x) \rightarrow \bar{\kappa}(u)\theta(u)^{-1}x^{-1}$ must agree on some conull invariant analytic set of $U \times G$. Also note that $\bar{\kappa}(r(f))\rho \circ \kappa(f) = d(\bar{\kappa}(r(f)), \rho \circ \kappa(f)) = d(\kappa(f)) = \bar{\kappa}(d(f))$. Hence on some i.c. of V ,

$$\begin{aligned} R(M(\kappa_\theta)) \circ \pi'(f) &= (M(\kappa_\theta)(\varepsilon(r(f), e)), \pi(f)) = (\bar{\kappa}(r(f))\theta(r(f))^{-1}, \pi(f)) \\ &= (\bar{\kappa}(r(f))\theta(r(f))^{-1}, \theta(r(f))\rho \circ \kappa(f)\theta(d(f))^{-1}) \\ &= (\bar{\kappa}(r(f))\theta(r(f))^{-1}, \theta(r(f))(\bar{\kappa}(r(f)), \rho \circ \kappa(f))(\bar{\kappa}(r(f))\rho \circ \kappa(f), \theta(d(f))^{-1})) \\ &= (\bar{\kappa}(r(f)), \theta(r(f))^{-1})^{-1}\kappa(f)(\bar{\kappa}(d(f)), \theta(d(f))^{-1}). \end{aligned}$$

Thus $u \rightarrow (\bar{\kappa}(u), \theta(u)^{-1})$ implements a similarity between $R(M(\kappa_\theta)) \circ \pi'$ and κ .

PROPOSITION 2.11 (NATURALITY).

(a) *Given morphisms $[\kappa]: V_1\pi_1 \rightarrow V_2\pi_2$ and $[\psi]: V_2\pi_2 \rightarrow R(T)$ in $M(G)$, $([\psi]^\wedge \circ M([\kappa]))^\# = [\psi] \circ [\kappa]$.*

(b) Given morphisms $[\kappa]: T_1 \rightarrow T_2$ and $[\psi]: M(V\pi) \rightarrow T_1$ in $R(G)$, $R([\kappa]) \circ [\psi]^\# = ([\kappa] \circ [\psi])^\#$.

Proof. (a) $([\psi]^\wedge \circ M([\kappa]))^\# = R(M([\psi]) \circ M([\kappa])) \circ [\pi'] = R(M([\psi] \circ [\kappa])) \circ [\pi'] = [\psi] \circ [\kappa]$.

(b) $R([\kappa]) \circ [\psi]^\# = R([\kappa]) \circ R([\psi]) \circ [\pi'] = R([\kappa] \circ [\psi]) \circ [\pi'] = ([\kappa] \circ [\psi])^\#$.

IV. Applications of the Adjoint Functor Theorem.

Discrete flow under a function. Consider the virtual group $S \times \mathbf{Z}$ formed by an ergodic action of the integers on an analytic Borel space S . Given a Borel function $f: S \rightarrow \mathbf{Z}$ with positive integer values let us define a virtual group homomorphism $\pi: S \times \mathbf{Z} \rightarrow \mathbf{Z}$ as follows:

$$\begin{aligned}\pi(s, 0) &= 0, \\ \pi(s, m) &= - \sum_{l=0}^{m-1} f(s^l), \quad m > 0, \\ \pi(s, -m) &= \sum_{l=1}^m f(s^{-l}),\end{aligned}$$

where s^l indicates the action of the integer l on the point s . To clarify matters somewhat note that the homomorphism π is uniquely determined by the Borel function $s \rightarrow \pi(s, 1) = -f(s)$. Indeed $\pi(s, n) = \pi(s, n-1) + \pi(s^{n-1}, 1)$ and $\pi(s, -n) + \pi(s^{-n}, n) = \pi(s, 0) = 0$.

Now look at the Borel subspace $E = \{(s, n) : 0 \leq n < f(s)\}$ of $S \times \mathbf{Z}$ with measure class the product measure class restricted to E . Suppose it can be shown that E meets each $\sim$ equivalence class in exactly one point. Then it will follow that the natural map $S \times \mathbf{Z} / \sim \rightarrow E$ is a Borel isomorphism. (See Theorem 15, Corollary 2 and Proposition 2.4 of Chapter 1 of [9].)

So assume $s \in S$ and $n \geq 0$. Then there is a unique integer m with $f(s^m) > n + \pi(s, m) \geq 0$. For either $f(s) > n \geq 0$ or $n \geq f(s^{m-1}) + \dots + f(s)$ for some largest integer $m > 0$. To show that m is unique suppose $k > m$. Then

$$\begin{aligned}n + \pi(s, k) &= n + \pi(s, m) + \sum_{l=m}^{k-1} \pi(s^l, 1) \\ &= n + \pi(s, m) - f(s^m) - \sum_{l=m+1}^{k-1} f(s^l) < 0.\end{aligned}$$

This reduces the problem to eliminating the possibility $k < m$. The case $k < 0$ is easily disposed of since $f(s^k) \leq \pi(s, k)$. Thus assume $0 \leq k < m$. Then $f(s^k) > n + \pi(s, k) \geq 0$ implies $f(s^k) + f(s^{k-1}) + \dots + f(s) > n$, contradicting the choice of m .

In case $n < 0$ the above assertion is also true. Since $\pi(s, m) \leq 0$ for $m \geq 0$, clearly no $m \geq 0$ satisfies

$$f(s^m) > n + \pi(s, m) \geq 0.$$

Thus consider the largest m with $-n > f(s^{-m+1}) + \dots + f(s^{-1})$. It is easy to verify

that $f(s^{-m}) > n + \pi(s, -m) \geq 0$. Suppose $k > m$. Then $-n \leq f(s^{-k+1}) + \cdots + f(s^{-1})$, so

$$f(s^{-k}) \leq n + \sum_{l=1}^k f(s^{-l}) = n + \pi(s, -k).$$

On the other hand, if $0 \leq k < m$, $-n > f(s^{-k}) + \cdots + f(s^{-1}) = \pi(s, -k)$ so $0 > n + \pi(s, -k)$.

Next define a $\mathbb{Z}$ action on E by $(s, n)l = (s^m, n + l + \pi(s, m))$, where $0 \leq n + l + \pi(s, m) < f(s^m)$. Because $\mathbb{Z}$ is commutative, the $\mathbb{Z}$ action on $S \times \mathbb{Z}$ as described in Proposition 2.1 can be taken to be $(s, n)l = (s, n + l)$. Under these definitions the natural map $S \times \mathbb{Z} / \sim \rightarrow E$ is obviously $\mathbb{Z}$ -equivariant. To complete the identification of $S \times \mathbb{Z} / \sim$ with E suppose N is a null set in E . Then $(s, n) \in S \times \mathbb{Z}$ maps into N iff $(s^m, n + \pi(s, m)) \in N$. Hence

$$s \in \bigcup_{k=0}^{\infty} \bigcup_{m=-\infty}^{\infty} \{t : (t^m, k) \in N\},$$

which is a null set by Fubini's Theorem. Since this is true independently of n , the inverse image of N is null. It also follows immediately that the image of a null set is null.

Kakutani's induced transformation. Again suppose the integers define an ergodic action on an analytic Borel space S . Let A be any Borel set in S of positive measure. If the given measure class on S possesses a finite invariant measure or if S is non-atomic, then with the exception of a null set every point of A enters A infinitely often. (See Theorem 1.15 of [1].) Define a virtual group V^A to consist of all pairs (s, n) from $A \times \mathbb{Z}$ with $s \in A$ and $s^n \in A$. It is easy to see that V^A is a groupoid. Furthermore, restricting the measure class of V to the contraction V^A gives a virtual group which is similar to V . (See Theorem 6.18 of [13].)

Assuming that almost every point of A enters A infinitely often, we define a virtual group homomorphism $\pi: V^A \rightarrow \mathbb{Z}$. First some notation. If $s \in A$ and s enters A again, let $p(s)$ be the first positive integer with $s^{p(s)} \in A$. Similarly, let $n(s)$ be the first negative integer with $s^{n(s)} \in A$, provided such an integer exists. It suffices to define π on an i.c. of V^A . Now according to Kakutani's classic argument, there is a Borel set $B \subset A$ differing from A by a null set such that the map $s \rightarrow s^{p(s)} = T_B(s)$ is defined and is a Borel isomorphism of B onto itself. Furthermore, both the image and inverse image of every null set is null under T_B . (See p. 193, Volume 1 of [3].) π is defined on the i.c. $V^A|B$ as follows:

$$\pi(s, 0) = 0$$

$$\begin{aligned} \pi(s, m) &= -k, \quad \text{where } m > 0, m = m_1 + \cdots + m_k \\ &\quad \text{and } m_1 = p(s), m_2 = p(s^{m_1}), \dots, m_k = p(s^{m_1 + \cdots + m_{k-1}}), \end{aligned}$$

$$\begin{aligned} \pi(s, m) &= k, \quad \text{where } m < 0, m = m_1 + \cdots + m_k \\ &\quad \text{and } m_1 = n(s), m_2 = n(s^{m_1}), \dots, m_k = n(s^{m_1 + \cdots + m_{k-1}}). \end{aligned}$$

It should be fairly clear that π is a Borel map and algebraically a strict homomorphism. For instance,

$$\begin{aligned} \{(s, m) : \pi(s, m) = -k\} \\ = \bigcup_{m=k}^{\infty} \left\{ (s, m) : s \in \bigcup_{\substack{m=m_1+\dots+m_k \\ m_i > 0}} \left(B \cap \left(t : \begin{array}{c} t^l \\ l \neq m_1, m_1+m_2, \dots, m \\ 0 \leq l \leq m \end{array} \in B^c \right) \right. \right. \\ \left. \left. \cap \left(t : \begin{array}{c} t^l \\ l = m_1, m_1+m_2, \dots, m \end{array} \in B \right) \right) \right\}. \end{aligned}$$

The aim in defining $\pi: V^A|_B \rightarrow \mathbf{Z}$ is to show that the integer action defined by T_B on B can be identified with the integer action on $B \times \mathbf{Z}/\sim$. With this in mind map any point (s, n) of $B \times \mathbf{Z}$ into $T_B^n(s)$. To prove that this map is constant on $\sim$ equivalence classes suppose $m = p(s)$. Then

$$(s^m, n + \pi(s, m)) \rightarrow T_B^{n-m}(s^m) = T_B^n(T_B^{-1}(s^m)) = T_B^n(s).$$

Since $(s, n+m) \rightarrow T_B^{n+m}(s) = T_B^n(T_B^m(s))$, the map is clearly $\mathbf{Z}$ -equivariant. If $T_B^n(s) = T_B^m(t)$, then $T_B^{n-m}(s) = t$ and so $n-m = -\pi(s, k)$ for some integer k . But this entails $(s^k, n + \pi(s, k)) = (t, m)$ and so the map factors to give a bijection from $B \times \mathbf{Z}/\sim$ onto B . By fixing n and using the fact that T_B is a Borel isomorphism, it is easy to verify that this bijection is a Borel isomorphism. In similar fashion one can show that the image and inverse image of a null set is null.

A virtual group homomorphism with a special property. We wish to construct a virtual group homomorphism with the property that the inverse image of some null set of units is not null under the unit map. This would indicate a real difference between the definition of homomorphism we have adopted and its replacement by a definition stipulating that the inverse image of every null set of units be null under the unit map. The latter definition has the advantage of eliminating composability problems between homomorphisms. However, it has the defect of not permitting one to pre- and post-multiply a homomorphism κ by a compatible Borel function θ , $\theta(r(f))\kappa(f)(d(f))^{-1}$, and still wind up with a homomorphism.

To construct the desired homomorphism let S be the unit circle with Lebesgue measure and suppose the integers act on S by an irrational rotation. Define a virtual group homomorphism $\pi: S \times \mathbf{Z} \rightarrow \mathbf{R}$ into the reals by $\pi(s, n) = n$. Then the quotient space $S \times \mathbf{R}/\sim$ with the quotient Borel structure is Borel isomorphic and measure theoretically the same as $S \times [0, 1)$ equipped with the obvious product measure. If $(s, t)x$ is defined to be $(s^{-[t-x]}, t-x-[t-x])$, where $[t-x]$ is the unique integer $0 \leq t-x-[t-x] < 1$, then $S \times \mathbf{R}/\sim$ and $S \times [0, 1)$ are even Borel isomorphic as $\mathbf{R}$ -spaces. (See the example at the end of Chapter 4 of [13].) Now consider the Borel map $s \rightarrow (s, 0)$ of S into $S \times [0, 1)$. It clearly satisfies $(s^n, 0) = (s, 0)n$. On the one hand, the inverse image of the Borel null set $S \times \{0\}$ is all of S . On the other hand, suppose B is an $\mathbf{R}$ -invariant null set of $S \times [0, 1)$. To show that the inverse image of B under the map $s \rightarrow (s, 0)$ is null it suffices to prove that the section $B_{(0)}$ is null in S . But this follows from the fact that $B_{(0)} \times [0, 1) \subset B$. Thus the Borel map $(s, n) \rightarrow$

$((s, 0), n)$ of $S \times \mathbf{Z}$ into $(S \times [0, 1)) \times \mathbf{R}$ is a virtual group homomorphism with the property that the inverse image of some null sets of units is not null under the unit map.

More comments on the two definitions of virtual group homomorphism. This has little to do with the Adjoint Functor Theorem, but let us indicate a case where the definitions of virtual homomorphisms given in the last example coincide. Suppose $\kappa = R(\psi)$, where $\psi: T \rightarrow S$ belongs to an $R(G)$ morphism class. ψ can be identified with the unit map of κ . The saturated sets in T and S are simply the invariant sets. Next assume the measure classes of T and S both contain invariant probability measures. Let the given invariant probability measure on S be ν and the invariant probability measure induced on S by ψ be μ . Then μ is absolutely continuous with respect to ν on the σ -algebra of invariant Borel sets. We wish to show that μ is absolutely continuous with respect to ν on the σ -algebra of all Borel sets.

To do this we recall a result of Varadarajan. (See Theorem 4.1 of [16].) There is an operator $f \rightarrow \mathbf{U}f$ from the Banach space of all bounded real-valued Borel functions on S into the Banach space of all bounded real-valued Borel functions on S which are left invariant by the action of G . $\mathbf{U}$ is a conditional expectation operator for the σ -algebra of invariant Borel sets and every invariant probability measure. Now take a Borel set A in S with $\nu(A) = 0$. Let χ_A be the characteristic function of A . Then $\chi_A \geq 0$ ν and μ -almost everywhere, so $\nu(A) = \int \chi_A d\nu = \int (\mathbf{U} \chi_A) d\nu = 0$ and the absolute continuity of μ with respect to ν on the invariant Borel sets imply $\mu(A) = \int \chi_A d\mu = \int (\mathbf{U} \chi_A) d\mu = 0$.

V. Products of virtual groups.

THEOREM 3. *Let (V_1, C_1) and (V_2, C_2) be analytic Borel groupoids. Then $(V_1 \times V_2, C_1 \times C_2)$ is an analytic Borel groupoid which is a virtual group if and only if both (V_1, C_1) and (V_2, C_2) are. $(V_1 \times V_2, C_1 \times C_2)$ is essentially transitive if and only if both (V_1, C_1) and (V_2, C_2) are. Similarly, $(V_1 \times V_2, C_1 \times C_2)$ is essentially principal if and only if both (V_1, C_1) and (V_2, C_2) are. If $\kappa_1: V_1 \rightarrow W_1$ and $\kappa_2: V_2 \rightarrow W_2$ are virtual group homomorphisms, then $\kappa_1 \times \kappa_2: V_1 \times V_2 \rightarrow W_1 \times W_2$ is a virtual group homomorphism. Furthermore, if V_1 and V_2 are similar to W_1 and W_2 respectively, then $V_1 \times V_2$ is similar to $W_1 \times W_2$.*

Proof. Let (V_1, C_1) and (V_2, C_2) be analytic Borel groupoids. Consider the cartesian product $V_1 \times V_2$. It is an analytic Borel space and has a natural groupoid structure. The composition $(f_1, f_2)(h_1, h_2)$ is defined to be $(f_1 h_1, f_2 h_2)$ whenever $d_1(f_1) = r_1(h_1)$ and $d_2(f_2) = r_2(h_2)$. Inversion is the Borel map $(f_1, f_2) \rightarrow (f_1, f_2)^{-1} = (f_1^{-1}, f_2^{-1})$. The right unit $d(f_1, f_2)$ of (f_1, f_2) is $(d_1(f_1), d_2(f_2))$ and the left unit $r(f_1, f_2) = (r_1(f_1), r_2(f_2))$. Clearly both d and r are Borel maps. Their common range is $U_1 \times U_2$, where U_i is the set of units of V_i , $i = 1, 2$. If D_i is the domain of composition for V_i , then the domain of composition D of $V_1 \times V_2$ is the image of $D_1 \times D_2$ under the natural Borel isomorphism of $(V_1 \times V_1) \times (V_2 \times V_2)$ onto $(V_1 \times V_2) \times (V_1 \times V_2)$. Hence D is a Borel set. Composition is also a Borel map from D to $V_1 \times V_2$.

Since the product measure class $C_1 \times C_2$ on $V_1 \times V_2$ is well defined, it is possible to choose symmetric probability measures μ_i from C_i and consider only the product measure $\mu_1 \times \mu_2$. (Symmetric means $\mu_i(A) = \mu_i(A^{-1})$ for every Borel set A in V_i .) Let us first prove that $\mu_1 \times \mu_2$ is symmetric. Suppose A_1 and A_2 are Borel sets in V_1 and V_2 respectively. Then

$$\begin{aligned}\mu_1 \times \mu_2((A_1 \times A_2)^{-1}) &= \mu_1 \times \mu_2(A_1^{-1} \times A_2^{-1}) = \mu_1(A_1^{-1})\mu_2(A_2^{-1}) \\ &= \mu_1(A_1)\mu_2(A_2) = \mu_1 \times \mu_2(A_1 \times A_2).\end{aligned}$$

By finite additivity $\mu_1 \times \mu_2(A^{-1}) = \mu_1 \times \mu_2(A)$ whenever A is a finite disjoint union of Borel rectangles. Passing to monotone limits, $\mu_1 \times \mu_2(A^{-1})$ and $\mu_1 \times \mu_2(A)$ are seen to agree for all Borel sets A in the product σ -algebra.

The same technique of verifying a certain property for Borel rectangles, then by additivity for finite disjoint unions of Borel rectangles, then for all Borel sets in the product σ -algebra by passing to monotone limits, can be used to establish the following. Let

$$\mu_1 = \int \mu_1^{u_1} d\bar{\mu}_1(u_1) \quad \text{and} \quad \mu_2 = \int \mu_2^{u_2} d\bar{\mu}_2(u_2)$$

be decompositions of μ_1 and μ_2 with respect to r_1 and r_2 . Then

$$\mu_1 \times \mu_2 = \int \mu_1^{u_1} \times \mu_2^{u_2} d\bar{\mu}_1 \times \bar{\mu}_2(u_1, u_2)$$

is the decomposition of $\mu_1 \times \mu_2$ with respect to r . Now let us check the invariance of the measure class $C_1 \times C_2$. Take conull Borel sets $U'_i \subset U_i$ such that whenever $A_i \subset r_i^{-1}(d_i(f_i))$ and $d_i(f_i), r_i(f_i) \in U'_i$, $\mu_i^{r_i(f_i)}(f_i A_i) = 0$ iff $\mu_i^{d_i(f_i)}(A_i) = 0$. Assume that both $r(f_1, f_2)$ and $d(f_1, f_2)$ are in the conull Borel set $U'_1 \times U'_2$. Then, for $A \subset r^{-1}(d(f_1, f_2))$,

$$\begin{aligned}\mu_1^{r_1(f_1)} \times \mu_2^{r_2(f_2)}((f_1, f_2)A) \\ &= \int \mu_1^{r_1(f_1)}\{h_1 \in r_1^{-1}(r_1(f_1)) : (h_1, h_2) \in (f_1, f_2)A\} d\mu_2^{r_2(f_2)}(h_2) \\ &= \int \mu_1^{r_1(f_1)}\{h_1 \in r_1^{-1}(r_1(f_1)) : f_1^{-1}h_1 \in A_{f_2^{-1}h_2}\} d\mu_2^{r_2(f_2)}(h_2).\end{aligned}$$

By Fubini's Theorem $\mu_1^{r_1(f_1)} \times \mu_2^{r_2(f_2)}((f_1, f_2)A) = 0$ iff for $\mu_2^{r_2(f_2)}$ almost all $h_2 \in r_2^{-1}(r_2(f_2))$,

$$\mu_1^{r_1(f_1)}\{h_1 \in r_1^{-1}(r_1(f_1)) : f_1^{-1}h_1 \in A_{f_2^{-1}h_2}\} = 0$$

iff for $\mu_2^{r_2(f_2)}$ almost all $h_2 \in r_2^{-1}(r_2(f_2))$,

$$\mu_1^{d_1(f_1)}\{g_1 \in r_1^{-1}(d_1(f_1)) : g_1 \in A_{f_2^{-1}h_2}\} = 0$$

iff for $\mu_2^{d_2(f_2)}$ almost all $g_2 \in r_2^{-1}(d_2(f_2))$,

$$\mu_1^{d_1(f_1)}\{g_1 \in r_1^{-1}(d_1(f_1)) : g_1 \in A_{g_2}\} = 0$$

iff $\mu_1^{d_1(f_1)} \times \mu_2^{d_2(f_2)}(A) = 0$. This completes the proof that $(V_1 \times V_2, C_1 \times C_2)$ is an analytic Borel groupoid.

Next suppose (V_1, C_1) and (V_2, C_2) are virtual groups. To prove that $(V_1 \times V_2, C_1 \times C_2)$ is a virtual group it is enough to show that every saturated analytic set of units is null or conull. (See Theorem 4.2 of [13].) So let A be a saturated analytic set of units in $U_1 \times U_2$. The section $A_{u_2} = \{u_1 : (u_1, u_2) \in A\}$ is saturated. It is analytic because it is the inverse image of A under the Borel map $u_1 \rightarrow (u_1, u_2)$. Hence the definition of ergodicity implies A_{u_2} is either null or conull. Now the set $\{u_2 : A_{u_2} \text{ is null}\}$ is also saturated. It is measurable since $u_2 \rightarrow \bar{\mu}_1(A_{u_2})$ is measurable. Hence it too is null or conull. These dichotomies and Fubini's Theorem imply A is null or conull.

On the other hand suppose either (V_1, C_1) or (V_2, C_2) fails to be a virtual group. Then one of them, say (V_1, C_1) , has a saturated analytic set of units U'_1 which is neither null nor conull. But then $U'_1 \times U_2$ is a saturated analytic set of units in $U_1 \times U_2$ which is neither null nor conull.

To show that $(V_1 \times V_2, C_1 \times C_2)$ is essentially transitive if and only if both (V_1, C_1) and (V_2, C_2) are, consider the saturation $r(d^{-1}(u_1, u_2))$ of any unit (u_1, u_2) . $r(d^{-1}(u_1, u_2)) = r(d_1^{-1}(u_1) \times d_2^{-1}(u_2)) = r_1(d_1^{-1}(u_1)) \times r_2(d_2^{-1}(u_2))$ is conull if and only if both $r_1(d_1^{-1}(u_1))$ and $r_2(d_2^{-1}(u_2))$ are conull.

For a virtual group V let $Vu = \{f \in V : d(f) = r(f) = u\}$ for every unit u in V . To prove that $V_1 \times V_2$ is essentially principal if and only if both V_1 and V_2 are, note that $V_1 \times V_2(u_1, u_2) = V_1 u_1 \times V_2 u_2$ for each pair (u_1, u_2) in $U_1 \times U_2$. Then

$$\begin{aligned} \{(u_1, u_2) \in U_1 \times U_2 : V_1 \times V_2(u_1, u_2) = \{(u_1, u_2)\}\} \\ = \{u_1 \in U_1 : V_1 u_1 = \{u_1\}\} \times \{u_2 \in U_2 : V_2 u_2 = \{u_2\}\}. \end{aligned}$$

It follows from Fubini's Theorem that $\{(u_1, u_2) \in U_1 \times U_2 : V_1 \times V_2(u_1, u_2) = \{(u_1, u_2)\}\}$ is conull in $U_1 \times U_2$ if and only if both V_1 and V_2 are essentially principal.

Next assume $\kappa_1 : V_1 \rightarrow W_1$ and $\kappa_2 : V_2 \rightarrow W_2$ are virtual group homomorphisms. Then $\kappa_1 \times \kappa_2 : V_1 \times V_2 \rightarrow W_1 \times W_2$ is a Borel map and algebraically a homomorphism on some i.c. of $V_1 \times V_2$. If $\bar{\kappa}_i$ is the unit map corresponding to κ_i , $i = 1, 2$, then $\bar{\kappa}_1 \times \bar{\kappa}_2$ is the unit map corresponding to $\kappa_1 \times \kappa_2$. Let A be a saturated null set of units in $W_1 \times W_2$. Then $\{u_1 : \bar{\kappa}_1(u_1) \in A_{\bar{\kappa}_2(u_2)}\}$ is null whenever $A_{\bar{\kappa}_2(u_2)}$ is null since $A_{\bar{\kappa}_2(u_2)}$ is saturated and κ_1 is a homomorphism. Now consider the saturated set $\{w \text{ a unit in } W_2 : A_w \text{ is null}\}$. Because it is also conull, $\{u_2 : A_{\bar{\kappa}_2(u_2)} \text{ is null}\}$ is conull. Thus Fubini's Theorem implies that $\bar{\kappa}_1 \times \bar{\kappa}_2^{-1}(A)$ is null.

Finally, given the similarity of V_i and W_i , $i = 1, 2$, the similarity of $V_1 \times V_2$ and $W_1 \times W_2$ can be established by the following observation: If θ_i implements a similarity between the virtual group homomorphisms κ_i and ψ_i , then $\theta_1 \times \theta_2$ implements a similarity between $\kappa_1 \times \kappa_2$ and $\psi_1 \times \psi_2$.

EXAMPLE. Let $V = S \times Z$, where S is the unit circle with Lebesgue measure and Z acts on S by an irrational rotation. For the virtual group $V \times V$ together with

projection onto each coordinate to be the categorical product of V with itself, it seems reasonable that the map $\text{id} \times \text{id}: V \rightarrow V \times V$ in the diagram below should be a homomorphism. $\text{id} \times \text{id}(f) = (f, f)$. But this is false by the following reasoning. Consider the saturation $\bigcup_{n,m \in \mathbb{Z}} D \cdot (n, m)$ of the diagonal D in the product space $S \times S$. Since the action of $\mathbb{Z} \times \mathbb{Z}$ on $S \times S$ leaves the measure invariant, $\bigcup_{n,m \in \mathbb{Z}} D \cdot (n, m)$ is a saturated null set in $S \times S$. However, its inverse image under the unit map $\text{id}^- \times \text{id}^-$ is all of S .

$$\begin{array}{ccc}
 V \times V & \xrightarrow{\text{proj}} & V \\
 \text{proj} \downarrow & \searrow \text{id} \times \text{id} & \uparrow \text{id} \\
 V & \xleftarrow{\text{id}} & V
 \end{array}$$

REMARKS. An analogue of Theorem 3 for countable products of virtual groups fails because Kakutani's Theorem for the absolute continuity of infinite product measures makes it impossible to define a single measure class on the countable product space. (See Theorem 22.36 of [2].) For the same reason trouble arises in verifying the invariance of the fiber measures for countable products.

Coproducts probably do not exist in the category of virtual groups since they would correspond to disjoint unions, which would destroy ergodicity.

The second theorem of this section provides a link between the Mackey functor and products of virtual groups.

THEOREM 4. *Suppose $\pi_1: V_1 \rightarrow G_1$ and $\pi_2: V_2 \rightarrow G_2$ are virtual groups over the separable locally compact groups G_1 and G_2 respectively. Let M_i be the Mackey Functor from $M(G_i)$ to $R(G_i)$, $i=1$ or 2 . Similarly, let $M_{1,2}$ be the Mackey Functor from $M(G_1 \times G_2)$ to $R(G_1 \times G_2)$. Then $M_{1,2}(V_1 \times V_2 \pi_1 \times \pi_2)$ is isomorphic as a standard Borel $G_1 \times G_2$ -space with invariant measure class to $M_1(V_1 \pi_1) \times M_2(V_2 \pi_2)$.*

Proof. In more or less obvious notation define the equivalence relation $\sim$ on $U_1 \times U_2 \times G_1 \times G_2$ by $(r_1(f_1), r_2(f_2), x_1, x_2) \sim (d_1(f_1), d_2(f_2), x_1 \pi_1(f_1), x_2 \pi_2(f_2))$. Then we must show that $U_1 \times U_2 \times G_1 \times G_2 / \sim$ and $U_1 \times G_1 / \sim_1 \times U_2 \times G_2 / \sim_2$ have measure algebras which are isomorphic as standard Boolean $G_1 \times G_2$ -spaces. This suffices since $U_1 \times G_1 / \sim_1 \times U_2 \times G_2 / \sim_2$ and $M_1(V_1 \pi_1) \times M_2(V_2 \pi_2)$ do.

Now the trivial rearrangement $U_1 \times G_1 \times U_2 \times G_2$ and redefinition of $\sim$ allow us to consider $\sim$ to be the product of $\sim_1$ and $\sim_2$. Noting that the canonical bijection $U_1 \times G_1 \times U_2 \times G_2 / \sim \rightarrow U_1 \times G_1 / \sim_1 \times U_2 \times G_2 / \sim_2$ is Borel and $G_1 \times G_2$ -equivariant, it is enough to prove that the σ -homomorphism it induces is actually a σ -isomorphism. Under this map the inverse image of a set is null iff the set itself is null. Hence the σ -homomorphism is one-to-one. To prove that it is onto write $U_1 \times G_1 = S_1$ and $U_2 \times G_2 = S_2$ and let μ_1 and μ_2 be probability measures in the measure classes of S_1 and S_2 respectively. Give $S_1 \times S_2$ the product probability

measure $\mu_1 \times \mu_2$. Since the σ -homomorphism induced from $S_1 \times S_2 / \sim \rightarrow S_1 / \sim_1 \times S_2 / \sim_2$ is measure preserving and every measure algebra is complete as a metric space, the problem reduces to showing that every measurable set A in $S_1 \times S_2$ which is saturated with respect to $\sim$ can be approximated by Borel sets in $S_1 / \sim_1 \times S_2 / \sim_2$.

The idea is to approximate A by finite disjoint unions of measurable rectangles $\bigcup_{i=1}^n B_i \times C_i$, where each B_i is saturated with respect to $\sim_1$ and each C_i with respect to $\sim_2$. So first of all choose a sequence $\{C_n\}_{n=1}^\infty$ of measurable sets in S_2 which are saturated with respect to $\sim_2$ and which give a dense subset of the separable measure algebra over $S_2 / \sim_2$. For $\varepsilon > 0$ and n a positive integer define $B_n = \{x_1 \in S_1 : \mu_2(A^{x_1} \triangle C_n) < \varepsilon\}$. It follows immediately that each B_n is measurable and saturated with respect to $\sim_1$. Also Fubini's Theorem implies that $\bigcup_{n=1}^\infty B_n$ differs from S_1 by a null set. Now put $D_1 = B_1$, $D_2 = B_2 \setminus B_1$, $D_3 = B_3 \setminus (B_1 \cup B_2)$, etc. The D_n 's have the same properties as the B_n 's with the additional property of disjointness. Let us see how closely A is approximated by $\bigcup_{i=1}^n D_i \times C_i$:

$$\begin{aligned} & \iint |\chi_A - \chi_{D_1 \times C_1} - \cdots - \chi_{D_n \times C_n}| d\mu_2 d\mu_1 \\ & \leq \int_{D_1} \int |\chi_A - \chi_{D_1 \times C_1}| d\mu_2 d\mu_1 + \cdots \\ & \quad + \int_{D_n} \int |\chi_A - \chi_{D_n \times C_n}| d\mu_2 d\mu_1 + \int_{S_1 \setminus \bigcup_{i=1}^n D_i} \int |\chi_A| d\mu_2 d\mu_1 \\ & \leq \varepsilon \mu_1 \left(\bigcup_{i=1}^n D_i \right) + \mu_1 \left(S_1 \setminus \left(\bigcup_{i=1}^n D_i \right) \right). \end{aligned}$$

Hence for n large enough $\bigcup_{i=1}^n D_i \times C_i$ approximates A within 2ε say. This estimate establishes the theorem.

REMARK. Suppose $[\kappa]: W_1 \rho_1 \rightarrow V_1 \pi_1$ is a morphism in $M(G_1)$ and $[\theta]: W_2 \rho_2 \rightarrow V_2 \pi_2$ a morphism in $M(G_2)$. Then $M_1(\kappa) \times M_2(\theta)$ is essentially the same as $M_{1,2}(\kappa \times \theta)$. Since nothing novel is involved here, we leave the details to the interested reader.

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