

# THE ENVELOPE OF HOLOMORPHY OF RIEMANN DOMAINS OVER A COUNTABLE PRODUCT OF COMPLEX PLANES

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**Abstract.** This paper deals with the problem of constructing envelopes of holomorphy for Riemann domains over a locally convex space. When this locally convex space is a countable product of complex planes the existence of the envelope of holomorphy is proved and the domains of holomorphy are characterized.

For the Riemann domains over the cartesian product  $C^N$  of a countable number of complex planes, the domains of holomorphy are characterized and the existence of the envelope of holomorphy is proved. Also, for Riemann domains over a complex separated locally convex space  $E$  such that the closed convex hull of every compact subset is compact, the existence of the normal envelope of holomorphy is proved.

Let  $(U, \varphi)$  be a Riemann domain over  $E$ . This means that  $U$  is a connected separated topological space and  $\varphi$  is a local homeomorphism from  $U$  into  $E$ . If  $a \in U$  and  $A \subset E$ ,  $a + A$  is defined by

$$a + A = [\varphi|W]^{-1}(\varphi(a) + A),$$

$W$  being an open subset of  $U$  where  $\varphi$  is a homeomorphism,  $a \in W$ , and  $\varphi(a) + A \subset \varphi(W)$ . When  $A$  has only one element  $h$ ,  $a + h$  denotes the unique element of  $a + \{h\}$ . If  $B$  is a subset of  $U$ ,

$$B + A = \bigcup_{b \in B} (b + A)$$

where  $b + A$  has the meaning just stated. A complex mapping  $f$  defined in  $U$  is holomorphic if, for every  $u$  in  $U$ , there is an open convex balanced neighborhood  $U$  of zero in  $E$  and a sequence of continuous  $n$ -homogeneous polynomials in  $E$ :  $(n!)^{-1} \hat{d}^n f(u)$ ,  $n = 0, 1, \dots$ , such that  $u + U \subset U$  and

$$f(u + h) = \sum_{n=0}^{\infty} (n!)^{-1} \hat{d}^n f(u)(h),$$

the series converging uniformly for  $h$  in  $U$ . In the algebra  $\mathcal{H}(U)$  of all complex

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holomorphic mappings in  $U$  it is considered the Nachbin topology generated by all algebra seminorms ported by compact subsets of  $U$  [1]. Let  $S(U)$  be the spectrum of  $\mathcal{H}(U)$ , that is, the set of all continuous homomorphisms from  $\mathcal{H}(U)$  onto  $\mathbb{C}$ .

**PROPOSITION 1.** *If  $h \in S(U)$ , there is a unique  $a_h \in E$  such that  $T(a_h) = h(T \circ \varphi)$  for all  $T$  in the topological dual  $E'$  of  $E$ .*

**Proof.** There is a compact subset  $K$  of  $U$  such that

$$|h(f)| \leq \sup \{|f(k)|; k \in K\}$$

for every  $f$  in  $\mathcal{H}(U)$ . This fact is denoted by  $h \prec K$ . Let  $K$  be the closed convex hull of  $\varphi(K)$ . If  $\mathcal{T} = \{T_1, T_2, \dots, T_n\}$  is a finite subset of  $E'$  and

$$\alpha_{\mathcal{T}} = \{x \in K; T_i(x) = h(T_i \circ \varphi), i = 1, 2, \dots, n\},$$

$\alpha_{\mathcal{T}}$  is nonempty. If this was not the case,  $T = (T_1, \dots, T_n)$  would be a continuous mapping from  $E$  into  $\mathbb{C}^n$  such that  $(h(T_1 \circ \varphi), \dots, h(T_n \circ \varphi)) \notin T(K)$ . Hence there would be a complex linear mapping  $G$  in  $\mathbb{C}^n$  such that

$$\operatorname{Re} G(h(T_1 \circ \varphi), \dots, h(T_n \circ \varphi)) > \sup \{\operatorname{Re} G \circ T(x); x \in K\}.$$

This would imply that the holomorphic mapping in  $U$   $f = \exp G \circ T \circ \varphi$  would satisfy the inequality

$$|h(f)| > \sup \{|f(u)|; u \in K\},$$

against the assumption that  $h \prec K$ . Since  $K$  is compact and the collection  $\{\alpha_{\mathcal{T}}; \mathcal{T} \subset E', \mathcal{T} \text{ finite}\}$  of closed subsets of  $K$  satisfies the finite intersection property, the intersection of the whole collection is nonempty. This intersection has a unique point because  $E'$  separates the points of  $E$ .

Let  $h \prec K$  and  $U$  be an open balanced convex neighborhood of zero in  $E$  such that  $K + U \subset U$  and  $K + L$  is compact for every compact subset  $L$  of  $U$ . If  $u \in U$  and  $f \in \mathcal{H}(U)$ ,

$$h_u(f) = \sum_{n=0}^{\infty} (n!)^{-1} h(d^n f(\cdot)(u)),$$

defines an element  $h_u$  of  $S(U)$  such that  $a_{h_u} = a_h + u$ . For all  $h, K$  and  $U$  as above, the collection of all

$$N_{h,U} = \{h_u; u \in U\}$$

defines a topology in  $S(U)$  such that the mapping  $\pi: h \in S(U) \mapsto a_h \in E$  is a local homeomorphism which maps the connected open set  $N_{h,U}$  onto  $\pi(h) + U$  homeomorphically. The proofs of these facts are the same as those of the case in which  $E$  is a Banach space [2]. It is supposed now that  $\mathcal{H}(U)$  separates the points of  $U$ . If  $i(u) \in S(U)$  is the evaluation homomorphism associated to  $u \in U$ , then  $i: U \rightarrow S(U)$  is a biholomorphism from  $U$  onto an open subset  $U_s$  of  $S(U)$ . Let  $(E(U), \pi)$  be the Riemann domain over  $E$ , where  $E(U)$  is the connected component of  $S(U)$  containing  $U_s$ . If  $f \in \mathcal{H}(U)$ , its extension  $f' \in \mathcal{H}(E(U))$  is defined by  $f'(h) = h(f)$  for all  $h$  in  $E(U)$ .

Let  $(E, \psi)$  and  $(D, \varphi)$  be Riemann domains over  $E$  such that  $D$  is canonically identified to an open subset of  $E$  by means of a biholomorphism.  $(E, D)$  is an extension pair if for each  $f$  in  $\mathcal{H}(D)$  there is  $f' \in \mathcal{H}(E)$  such that  $f'|_D = f$ . If the mapping  $f \in \mathcal{H}(D) \mapsto f' \in \mathcal{H}(E)$  is a homeomorphism, the extension pair  $(E, D)$  is normal.

$(E(U), U)$  is an extension pair. It is normal by the following result.

**THEOREM 1.** *Let  $(E, D)$  be an extension pair. If, for every  $x$  in  $E$ , the mapping  $f \in \mathcal{H}(D) \mapsto f'(x) \in C$  is linear and continuous, then  $(E, D)$  is normal.*

**Proof.** Let  $\pi$  and  $\varphi$  be the local homeomorphisms defining the Riemann domains  $E$  and  $D$  respectively. To prove the theorem it is enough to show that for every algebra seminorm  $p$  in  $\mathcal{H}(E)$ , ported by a compact subset  $L$  of  $E$ , there is an algebra seminorm  $q$  in  $\mathcal{H}(D)$ , ported by some compact subset  $K$  of  $D$ , such that  $p(f') \leq q(f)$  for all  $f$  in  $\mathcal{H}(D)$ . By the assumptions of the theorem, for each  $x$  in  $L$  there is a compact subset  $K_x$  of  $D$  such that  $|f'(x)| \leq \sup \{|f(t)|; t \in K_x\}$  for every  $f$  in  $\mathcal{H}(D)$ . Let  $V_x$  be a closed convex balanced neighborhood of zero in  $E$  such that  $V_x$  is contained in the interior  $(2V_x)^\circ$  of  $2V_x$  and (i)  $x + 2V_x \subset E$ , (ii)  $K_x + 4V_x \subset D$ , (iii)  $K_x + L$  is compact for all compact subsets  $L$  of  $4V_x$ . Since  $L$  is compact, there is a finite cover  $\{x_i + V_{x_i} = x_i + V_i; i = 1, 2, \dots, n\}$  of  $L$ . For  $i = 1, 2, \dots, n$  we set:

$$L_i = K_{x_i} + \bigcup_{|\lambda| \leq 2} \lambda \{[\pi(L \cap (x_i + V_i)) - \pi(x_i)] \cap V_i\}.$$

Hence  $L_i \subset K_{x_i} + 2V_i \subset D$  and  $L_i$  is compact. Let  $K$  be the union of the  $L_i$ ,  $i = 1, 2, \dots, n$ , which is a compact subset of  $D$ . For every open subset  $W$  of  $D$  containing  $K$ , there is an open balanced neighborhood  $A$  of zero in  $E$  such that

$$W_i = K_{x_i} + \bigcup_{|\lambda| \leq 2} \lambda \{[\pi((L + A) \cap (x_i + (2V_i)^\circ)) - \pi(x_i)] \cap (2V_i)^\circ\}$$

is an open subset of  $D$  containing  $L_i$  for all  $i = 1, 2, \dots, n$ . The union of all  $W_i$  contains  $K$ . The set  $A$  may be chosen in such a way that this union is a subset of  $W$ . Now

$$B(W) = \bigcup_{i=1}^n [(L + A) \cap (x_i + (2V_i)^\circ)]$$

is an open subset of  $E$  containing  $L$ . If  $x \in B(W)$ , then  $x$  is in  $x_i + (2V_i)^\circ$  for some  $i$ ,  $\pi(x) - \pi(x_i)$  belongs to  $(2V_i)^\circ$  and, for  $|\lambda| \leq 1$ ,  $x_i + \lambda(\pi(x) - \pi(x_i))$  is in  $E$ . The function  $g(\lambda) = f'(x_i + \lambda(\pi(x) - \pi(x_i)))$  defined in a neighborhood of the set

$$\{\lambda \in C; |\lambda| \leq 1\}$$

is such that:

$$g(\lambda) = \sum_{m=0}^{\infty} \lambda^m (m!)^{-1} g^{(m)}(0) \quad \text{and} \quad f'(x) = g(1) = \sum_{m=0}^{\infty} (m!)^{-1} g^{(m)}(0).$$

Therefore

$$\begin{aligned} |f'(x)| &\leq \sum_{m=0}^{\infty} (m!)^{-1} |\hat{d}^m f'(x_i)(\pi(x) - \pi(x_i))| \\ (1) \qquad &\leq \sum_{m=0}^{\infty} (m!)^{-1} \sup_{k \in K_{x_i}} |\hat{d}^m f(k)(\pi(x) - \pi(x_i))|. \end{aligned}$$

If  $k \in K_{x_i}$ , then  $k + \lambda(\pi(x) - \pi(x_i))$  is in  $D$  for all  $|\lambda| \leq 2$ . The function  $g_1(\lambda) = f(k + \lambda(\pi(x) - \pi(x_i)))$  is defined for all  $|\lambda| \leq 2$ . The Cauchy inequalities for this function at 0 imply that

$$|\hat{d}^m f(k)(\pi(x) - \pi(x_i))| \leq m! 2^{-m} \sup_{t \in W_i} |f(t)|$$

for  $m=0, 1, 2, \dots$ . These inequalities and (1) imply

$$|f'(x)| \leq \sup_{t \in W_i} |f(t)| \cdot \sum_{m=0}^{\infty} 2^{-m} \leq 2 \sup_{t \in W} |f(t)|.$$

Therefore

$$\sup_{x \in B(W)} |f'(x)| \leq 2 \sup_{t \in W} |f(t)|$$

for every open subset  $W$  of  $D$  such that  $K$  is contained in  $W$ . It follows that for every open subset  $W$  of  $D$  containing  $K$  there is  $c(B(W)) > 0$  such that

$$p(f') \leq 2c(B(W)) \sup_{t \in W} |f(t)|$$

for all  $f$  in  $\mathcal{H}(D)$ . The supremum of the family of all algebra seminorms  $s$  in  $\mathcal{H}(D)$ , ported by  $K$  and such that

$$s(f) \leq 2c(B(W)) \sup_{t \in W} |f(t)|$$

for all open sets  $W$  containing  $K$  and all  $f$  in  $\mathcal{H}(D)$ , is a member of this family. This supremum is the seminorm  $q$  we need to complete the proof of this theorem.

Now it is easy to show the following result:

**PROPOSITION 2.** *If  $(U, \varphi)$  is a Riemann domain over  $E$  such that  $\mathcal{H}(U)$  separates the points of  $U$ , then  $(E(U), \pi)$  and the biholomorphism  $i$  from  $U$  onto the open subset  $U_s$  of  $E(U)$  are such that (a)  $\mathcal{H}(E(U))$  separates the points of  $E(U)$ ; (b)  $(E(U), U)$  is a normal extension pair. Moreover,  $(E(U), \pi)$  is maximum relative to (a) and (b) in the following sense: if  $(M, \psi)$  is a Riemann domain over  $E$  and  $j$  is a biholomorphism from  $U$  onto an open subset  $U_M$  of  $M$  and (a) and (b) are satisfied when we replace  $E(U)$  by  $M$ , then  $M$  may be identified to an open subset of  $E(U)$  by a biholomorphism preserving the points of  $U$ .*

Throughout the remaining part of this paper  $E = \mathbb{C}^N$  and the points and the subsets of  $\mathbb{C}^n$  are identified to the points and subsets of  $\mathbb{C}^n \times (0, 0, \dots) \subset \mathbb{C}^N$ ,  $n=1, 2, \dots$

A Riemann domain  $(U, \varphi)$  over  $\mathbb{C}^N$  is of order  $n$  at a point  $u$  of  $U$  if  $n$  is the smallest positive integer such that there is an open polydisc  $B$  in  $\pi_n^{-1}(\mathbb{C}^N)$  with center 0 for which  $u+v \in U$  for every  $v$  in  $\pi_n^{-1}(B)$ . Here  $\pi_n$  denotes the projection mapping from  $\mathbb{C}^N$  onto the space of the first  $n$  variables.  $(U, \varphi)$  is of order (at most)  $n$  in a subset  $A$  of  $U$  if  $(U, \varphi)$  is of order (at most)  $n$  at each point of  $A$ .  $(U, \varphi)$  is locally pseudoconvex if  $(U_V, \varphi_V)$  is pseudoconvex for each affine subspace  $V$  of  $\mathbb{C}^N$  of dimension two.  $U_V$  denotes the topological subspace  $\varphi^{-1}[\varphi(U) \cap V]$  of  $U$  and

$\varphi_V$  denotes the restriction of  $\varphi$  to  $U_V$ . In this case, for each  $v$  in  $V$ , the mapping  $z \in U_V \mapsto -\log \delta_{U_V}(z, v) \in \mathbb{C}$  is plurisubharmonic. Recall that

$$\delta_{U_V}(z, v) = \inf \{ |\lambda|; u + \lambda v \in U_V \}.$$

**PROPOSITION 3.** *If  $(U, \varphi)$  is a locally pseudoconvex Riemann domain over  $\mathbb{C}^N$ , then there is a positive integer  $n$  such that  $(U, \varphi)$  is of order  $n$  in  $U$  and*

$$\varphi(U) = \pi_n \circ \varphi(U) \times \mathbb{C}^{N-[0, n-1]}.$$

**LEMMA 1.** *Let  $(U, \varphi)$  be locally pseudoconvex and of order  $n$  at a point  $u$  of  $U$ . Let  $r$  be the largest real positive number such that  $u+b \in U$  for each  $b$  in the open polydisc  $B_r$  in  $\pi_n(\mathbb{C}^N)$  with center 0 and radius  $r$ . Then  $u+v \in U$  for each  $v$  in  $\pi_n^{-1}(B_r)$ .  $(U, \varphi)$  is of order at most  $n$  at each one of these  $u+v$ .*

**Proof.**  $\varphi(u)$  may be considered equal to zero with no loss of generality. Let  $w = (w_j)_{j \in N} \in \pi_n^{-1}(B_r)$ . If  $\pi_n(w) = 0$ , then  $u+w \in U$  because  $(U, \varphi)$  is of order  $n$  at  $u$ . If  $\pi_n(w) \neq 0$  and  $w_j = 0$  for each  $j \geq n$ ,  $u+w \in U$  because  $w \in B_r$ . If  $\pi_n(w) \neq 0$  and  $w_j \neq 0$  for some  $j \geq n$ , consider  $z = (z_j)_{j \in N} = (0, \dots, 0, w_n, w_{n+1}, \dots) \in \mathbb{C}^N$ . The subspace  $V$  of  $\mathbb{C}^N$  generated by  $z$  and  $w$  has dimension two. Since  $(U_V, \varphi|_{U_V})$  is pseudoconvex,  $-\log \delta_{U_V}(t, w-z)$  is a plurisubharmonic function of  $t$  in  $U_V$ .  $(U, \varphi)$  of order  $n$  at  $u$  implies that there are positive real numbers  $\varepsilon_0, \dots, \varepsilon_{n-1}$  such that  $u+v \in U$  for each  $v$  in  $\mathbb{C}^N$  such that  $|v_i| < \varepsilon_i$ ,  $i=0, 1, \dots, n-1$ . Hence  $u+\lambda z \in U_V$  for each  $\lambda \in \mathbb{C}$  because  $\lambda z_i = 0$ ,  $i=0, 1, \dots, n-1$ , and  $\varphi(u+\lambda z) = \lambda z \in V$ . Consequently  $-\log \delta_{U_V}(u+\lambda z, w-z)$  is a subharmonic function of  $\lambda$  in  $\mathbb{C}$ . If  $\varepsilon$  is the minimum of the  $\varepsilon_i$ ,  $i=0, 1, \dots, n-1$  and  $\delta$  is the product of  $\varepsilon$  by the inverse of the supremum of the  $|w_i|$ ,  $i=0, 1, \dots, n$ , then  $|\alpha(w_i - z_i)| < \varepsilon$  for each  $|\alpha| < \delta$  and  $i=0, 1, \dots, n-1$ . It follows that  $u+\lambda z + \alpha(w-z)$  is in  $U_V$  for all  $\lambda$  in  $\mathbb{C}$  and  $|\alpha| < \delta$ . Thus  $-\log \delta_{U_V}(u+\lambda z, w-z)$  is a bounded above subharmonic function of  $\lambda$  in  $\mathbb{C}$ , hence constant. Since  $\delta_{U_V}(u+0z, w-z) > 1$ ,  $\delta_{U_V}(u+z, w-z) > 1$  and  $u+w \in U$ .

**LEMMA 2.** *Let  $(U, \varphi)$  and  $u$  be as in Lemma 1. Let  $W$  be an open connected neighborhood of  $u$  such that  $\varphi|_W$  is a homeomorphism from  $W$  onto  $\varphi(u) + A_0 \times \dots \times A_s \times \mathbb{C}^{N-[0, s]}$ , where each  $A_i$  is an open ball in  $\mathbb{C}$  with center 0 and  $s \geq n-1$ . Then  $(U, \varphi)$  is of order at most  $n$  in  $W$  and  $\varphi(U) \supset \varphi(u) + A_0 \times \dots \times A_{n-1} \times \mathbb{C}^{N-[0, n-1]}$ .*

**Proof.**  $\varphi(u)$  may be considered equal to 0 without any loss of generality. Let  $W_n = A_0 \times \dots \times A_{n-1}$  and  $W'_n$  be the set of all points  $w$  of  $W_n$  such that  $(U, \varphi)$  is of order at most  $n$  at  $[\varphi|_W]^{-1}(w)$ . By Lemma 1, if  $w \in W'_n$ ,  $W'_n$  contains the largest polydisc of radius  $r > 0$  with center  $w$  which is contained in  $W_n$ . Since  $0 \in W'_n$ , it follows that  $W_n = W'_n$ . If  $w \in W$ ,  $\varphi(w) \in W'_n \times \mathbb{C}^{N-[0, n-1]}$ . Hence, applying Lemma 1 for the case  $u = \varphi^{-1}[\pi_n \varphi(w)]$ , it is easy to see that  $(U, \varphi)$  is of order at most  $n$  at  $w = u + (\varphi(w) - \pi_n \varphi(w))$ .

**Proof of Proposition 1.** Let  $V$  be the set of all points of  $U$  where  $(U, \varphi)$  is of order at most  $n$ . By Lemma 2,  $V$  is open. Let  $(x_k)_{k=0}^\infty$  be a sequence of points of  $V$  converging to  $x$  in  $U$ . Let  $W$  be an open connected neighborhood of  $x$  in  $U$  such

that  $\varphi|W$  is a homeomorphism from  $W$  onto  $\varphi(x) + A_0 \times \cdots \times A_s \times C^{N-[0,s]}$ , where each  $A_i$  is an open ball in  $C$  with center 0. Thus  $x_k \in W$  for  $k$  large enough and, by Lemma 2,  $(U, \varphi)$  is of order at most  $n$  in  $W$ . Hence  $x \in V$  and  $V$  is closed in  $U$ . Since  $U$  is connected,  $V$  is equal to  $U$ . Now the remaining part of the proof follows easily.

**PROPOSITION 4.** *Let  $(U, \varphi)$  be a locally pseudoconvex Riemann domain over  $C^N$ . There is  $n > 0$  in  $N$  such that  $(U, \varphi)$  is of order  $n$  in  $U$  and  $(U_n, \varphi_n)$  is a manifold of holomorphy spread over  $C^n$  if  $U_n = \varphi^{-1}[\pi_n \circ \varphi(U)]$  and  $\varphi_n = \varphi|U_n$ .*

**Proof.** Proposition 1 implies that there is a positive  $n$  in  $N$  such that  $(U, \varphi)$  is of order  $n$  in  $U$ . Thus  $\varphi(U) = \pi_n \circ \varphi(U) \times C^{N-[0,n-1]}$ . If  $(U_n, \varphi_n)$  is defined as above, it is a manifold spread over  $C^n$ . Since  $(U, \varphi)$  is locally pseudoconvex,  $(U_n, \varphi_n)$  is locally pseudoconvex, hence a manifold of holomorphy spread over  $C^n$ .

**PROPOSITION 5.** *Let  $(U, \varphi)$  be a Riemann domain over  $C^N$  of order  $n$  in  $U$  and let  $v$  be a point of  $C^N$ . Consider the manifolds spread over  $C^n$   $(U_n, \varphi_n)$  and  $(V_n, \psi_n)$  given by  $U_n = \varphi^{-1}[\pi_n \circ \varphi(U)]$ ,  $V_n = \varphi^{-1}[v - \pi_n(v) + \pi_n \circ \varphi(U)]$ ,  $\varphi_n = \varphi|U_n$ ,  $\psi_n = \varphi|V_n$ . Then there is a biholomorphism between them. In particular, if  $(U_n, \varphi_n)$  is of holomorphy,  $(V_n, \psi_n)$  is also of holomorphy.*

**Proof.** Consider the mappings

$$b_1: x \in V_n \mapsto x + [\pi_n \circ \varphi(x) - \varphi(x)] \in U_n,$$

$$b_2: z \in U_n \mapsto z + [v - \pi_n(v)] \in V_n.$$

It is easy to see that  $b_1 \circ b_2$  and  $b_2 \circ b_1$  are the identity mappings in  $U_n$  and in  $V_n$  respectively. They are also local homeomorphisms. In fact consider  $x$  in  $V_n$  and an open neighborhood  $W'$  of  $x$  in  $U$  such that  $\varphi|W'$  is a homeomorphism and  $\varphi(W') = \varphi(x) + A_0 \times \cdots \times A_t \times C^{N-[0,t]}$ , where each  $A_i$  is an open ball in  $C$  with center 0. Let  $W$  be an open neighborhood of  $x + [\pi_n \varphi(x) - \varphi(x)]$  in  $U$  such that  $\varphi|W$  is a homeomorphism and  $\varphi(W) = \pi_n \varphi(x) + B_0 \times \cdots \times B_s \times C^{N-[0,s]}$  is contained in  $\pi_n \varphi(x) = A_0 \times \cdots \times A_t \times C^{N-[0,t]}$ , each  $B_i$  being an open ball in  $C$  with center 0. Let  $W''$  be the open neighborhood of  $x \in U$  given by

$$[\varphi|W']^{-1}[\varphi(x) + B_0 \times \cdots \times B_s \times C^{N-[0,s]}].$$

Now it is easy to see that  $b_1|W'' \cap V_n$  is a homeomorphism from  $W'' \cap V_n$  onto  $W \cap U_n$ . It is also easy to verify that  $f \circ b_1 \in \mathcal{H}(V_n)$  and  $g \circ b_2 \in \mathcal{H}(U_n)$  for every  $f \in \mathcal{H}(U_n)$  and  $g \in \mathcal{H}(V_n)$ .

A Riemann domain  $(U, \varphi)$  over  $C^N$  is a domain of holomorphy if there is  $f \in \mathcal{H}(U)$  with no extension  $f' \in \mathcal{H}(U')$  for every Riemann domain  $(U', \varphi')$  over  $C^N$  extending  $(U, \varphi)$  properly.  $(U', \varphi')$  extends  $(U, \varphi)$  properly if there is a biholomorphism  $j$  from  $U$  onto a proper open subset  $U_0$  of  $U'$ . In this case,  $f$  has an extension  $f' \in \mathcal{H}(U')$  if  $f' \circ j = f$ .

**PROPOSITION 6.** *Let  $(U, \varphi)$  be a Riemann domain over  $C^N$  of order  $n$  in  $U$  and such that  $(U_n, \varphi_n)$ , defined as above, is a manifold of holomorphy spread over  $C^n$ . Then  $(U, \varphi)$  is a domain of holomorphy.*

**Proof.** There is  $f_n \in \mathcal{H}(U_n)$  with no extension  $f'_n \in \mathcal{H}(U'_n)$  for each manifold  $(U'_n, \varphi'_n)$  spread over  $C^n$  extending  $(U_n, \varphi_n)$  properly.

$$f: x \in U \mapsto f(x) = f_n[x + (\pi_n \varphi(x) - \varphi(x))] \in C$$

is holomorphic in  $U$ . In fact: if  $x$  is in  $U$ , let  $W''$  and  $W$  be considered as in the proof of Proposition 5. It is quite clear that

$$(*) \quad f \circ [\varphi|W'']^{-1}(\varphi(x) + b) = f \circ [\varphi|W]^{-1}[\pi_n \varphi(x) + b]$$

for each  $b$  in  $B_0 \times \cdots \times B_s \times C^{N-[0, s]}$ . But, in  $\varphi(W)$ ,  $f \circ [\varphi|W]^{-1}$  depends only on the first  $n$  variables and it is holomorphic in  $\pi_n \varphi(W)$  since it is equal to

$$f_n \circ [\varphi|W \cap U_n]^{-1}$$

there. Hence it is holomorphic in  $\varphi(W)$  ([3], [4], [5]). Since  $\varphi(W)$  is a translation of  $\varphi(W'')$  and  $(*)$  holds,  $f \circ [\varphi|W'']^{-1}$  is holomorphic in  $\varphi(W'')$ . Thus  $f$  is an element of  $\mathcal{H}(U)$  and the restriction of it to  $U_n$  is equal to  $f_n$ . If  $f$  has an extension  $f'$  in  $\mathcal{H}(U')$  for some Riemann domain  $(U', \varphi')$  over  $C^N$  extending  $(U, \varphi)$  properly, there is some manifold  $(V_n, \psi_n)$  spread over  $C^n$  (of the type used in the proof of Proposition 5) which is not of holomorphy. Proposition 5 implies that  $(U_n, \varphi_n)$  is not a manifold of holomorphy spread over  $C^n$ , a contradiction to the hypothesis of this proposition. Therefore  $(U, \varphi)$  is a domain of holomorphy.

**PROPOSITION 7.** *Let  $(U, \varphi)$  be a Riemann domain of holomorphy over  $C^N$  such that  $\mathcal{H}(U)$  separates the points of  $U$ . Then  $(E(U), \pi)$  is canonically identified to  $(U, \varphi)$ .*

The proof of this proposition is an immediate consequence of Proposition 2.

A Riemann domain  $(U, \varphi)$  over  $C^N$  is holomorphically convex if, for each compact subset  $K$  of  $U$  and each balanced convex open neighborhood  $U$  of 0 in  $C^N$  such that  $K+U \subset U$  and  $K+L$  is compact for every compact subset  $L$  of  $U$ ,  $\hat{K}_U + U \subset U$ , where

$$\hat{K}_U = \left\{ u \in U; |f(u)| \leq \sup_{t \in K} |f(t)|, \forall f \in \mathcal{H}(U) \right\}.$$

**PROPOSITION 8.** *Let  $(U, \varphi)$  be a Riemann domain over  $C^N$  such that  $\mathcal{H}(U)$  separates the points of  $U$  and  $(E(U), \pi)$  is canonically identified to  $(U, \varphi)$ . Then  $(U, \varphi)$  is holomorphically convex.*

**Proof.** Let  $K$  be a compact subset of  $U$  and let  $U$  be an open balanced convex neighborhood of 0 in  $C^N$  such that  $K+U \subset U$  and  $K+L$  is compact for every compact subset  $L$  of  $U$ . Then, by the remarks we have done after Proposition 1,  $\varphi(\hat{K}_U) + U \subset \varphi(U)$  and  $N_{i(x), U} \subset S(U)$  for each  $x$  in  $\hat{K}_U$ . Since  $N_{i(x), U}$  is open connected and  $x$  is in  $E(U)$ , it follows that  $N_{i(x), U}$  is contained in  $E(U)$  for every  $x$  in  $\hat{K}_U$ . But  $U$  is identified to  $E(U)$  and  $N_{i(x), U}$  is the same set as  $x+U$  for each  $x$  in  $\hat{K}_U$ . Hence  $\hat{K}_U + U \subset U$ .

**PROPOSITION 9.** *If  $(U, \varphi)$  is a holomorphically convex Riemann domain over  $C^N$ , it is locally pseudoconvex.*

**Proof.** If  $V$  is an affine subspace in  $C^N$  of dimension two,  $(U_V, \varphi_V)$  is a manifold spread over  $C^2$ , where  $U_V = \varphi^{-1}[\varphi(U) \cap V]$  and  $\varphi_V = \varphi|_{U_V}$ . To show that  $U_V$  is pseudoconvex, it is enough to prove that  $d(\hat{K}_{U_V}) > 0$  for every compact subset  $K$  of  $U_V$ . Let  $K$  be a compact subset of  $U_V$  and let  $U$  be an open balanced convex neighborhood of 0 in  $C^N$  such that  $K + U \subset U$  and  $K + L$  is compact for each compact subset  $L$  of  $U$ . Then  $\hat{K}_U + U \subset U$  and  $\varphi(\hat{K}_U) + U \subset \varphi(U)$ . Since  $\varphi(\hat{K}_U)$  is contained in the closed convex hull of  $\varphi(K)$  and  $\varphi(K) = \varphi_V(K) \subset V$ , it follows that

$$\varphi(\hat{K}_U) + U \cap V \subset \varphi(U) \cap V = \varphi_V(U_V).$$

Now

$$\hat{K}_{U_V} + U \cap V \subset \hat{K}_U \cap U_V + U \cap V \subset (\hat{K}_U + U) \cap U_V \subset U \cap U_V \subset U_V.$$

It follows that there is a polydisc  $B$  in  $V$  with center 0 and radius  $r > 0$  such that  $\hat{K}_{U_V} + B \subset U_V$ . This means that  $d(\hat{K}_{U_V}) > 0$ .

Now it is possible to enunciate the following theorem whose proof we have just finished.

**THEOREM 2.** *Let  $(U, \varphi)$  be a Riemann domain over  $C^N$  such that  $\mathcal{H}(U)$  separates the points of  $U$ . The following conditions are equivalent:*

- (1)  $(U, \varphi)$  is a domain of holomorphy.
- (2)  $(E(U), \pi)$  is canonically identified to  $(U, \varphi)$ .
- (3)  $(U, \varphi)$  is holomorphically convex.
- (4)  $(U, \varphi)$  is locally pseudoconvex.
- (5) There is  $n > 0$  in  $N$  such that  $(U, \varphi)$  is of order  $n$  in  $U$  and  $(U_n, \varphi_n)$  is a manifold of holomorphy spread over  $C^n$ , if  $\varphi_n = \varphi|_{U_n}$  and  $U_n = \varphi^{-1}[\pi_n \circ \varphi(U)]$ .

**REMARKS.** (a) Theorem 2 was proved by Hirschowitz in [5] for the case in which  $(U, \varphi)$  is an open subset of  $C^N$ .

(b) The implications  $(1) \Rightarrow (2) \Rightarrow (3) \Rightarrow (4)$  are true for a Riemann domain  $(U, \varphi)$  over a locally convex space  $E$  such that the closed convex hull of each compact subset is compact.

Let  $(U, \varphi)$  be a Riemann domain over  $C^N$  such that  $\mathcal{H}(U)$  separates the points of  $U$ . The envelope of holomorphy of  $(U, \varphi)$  is a Riemann domain  $(U_0, \varphi_0)$  over  $C^N$  which is maximum in the sense stated in Proposition 2 with the word "normal" erased in condition (b).

Theorem 2 and Proposition 2 imply that the following result is true:

**PROPOSITION 10.** *If  $(U, \varphi)$  is a Riemann domain over  $C^N$  and  $\mathcal{H}(U)$  separates the points of  $U$ , then  $(E(U), \pi)$  is the envelope of holomorphy of  $(U, \varphi)$ .*

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