

# TREES, GLEASON SPACES, AND COABSOLUTES OF $\beta N \sim N$

BY

SCOTT W. WILLIAMS

**ABSTRACT.** For a regular Hausdorff space  $X$ , let  $\mathfrak{S}(X)$  denote its absolute, and call two spaces  $X$  and  $Y$  coabsolute ( $\mathfrak{G}$ -absolute) when  $\mathfrak{S}(X)$  and  $\mathfrak{S}(Y)$  ( $\beta\mathfrak{S}(X)$  and  $\beta\mathfrak{S}(Y)$ ) are homeomorphic. We prove  $X$  is  $\mathfrak{G}$ -absolute with a linearly ordered space iff the POSET of proper regular-open sets of  $X$  has a cofinal tree; a Moore space is  $\mathfrak{G}$ -absolute with a linearly ordered space iff it has a dense metrizable subspace; a dyadic space is  $\mathfrak{G}$ -absolute with a linearly ordered space iff it is separable and metrizable; if  $X$  is a locally compact noncompact metric space, then  $\beta X \sim X$  is coabsolute with a compact linearly ordered space having a dense set of  $P$ -points; CH implies but is not implied by "if  $X$  is a locally compact noncompact space of  $\pi$ -weight at most  $2^\omega$  and with a compatible complete uniformity, then  $\beta X \sim X$  and  $\beta N \sim N$  are coabsolute."

A *tree*  $T$  is a POSET (partially ordered set) in which  $] \leftarrow, t[$ , the set of predecessors of  $t$ , is well ordered for each  $t \in T$ . The trees most familiar to topologists are the Cantor tree, the Souslin trees, and the Aronszajn trees [Ku], [Ru]. In §1 we study conditions under which a given POSET contains a cofinal tree.

Recall [Po], [P.S.] that if  $X$  is a space,<sup>1</sup> then the *absolute*  $\mathfrak{S}(X)$  of  $X$  is the unique (up to a homeomorphism) extremally disconnected space that can be mapped irreducibly onto  $X$  by a perfect map. Following [C.N.2] call  $\beta\mathfrak{S}(X)$  the *Gleason space* of  $X$  and denote it by  $\mathfrak{G}(X)$ . Two spaces  $X$  and  $Y$  are *coabsolute* ( $\mathfrak{G}$ -absolute) whenever  $\mathfrak{S}(X)$  and  $\mathfrak{S}(Y)$  (respectively,  $\mathfrak{G}(X)$  and  $\mathfrak{G}(Y)$ ) are homeomorphic. Designate  $\mathfrak{R}(X)$  for the Boolean algebra of regular-open sets of  $X$ —then it is known that  $\mathfrak{G}(X) \cong \mathfrak{G}(Y)$  iff  $\mathfrak{R}(X) \cong \mathfrak{R}(Y)$ .

In §2, we begin an application of §1 to topology with several theorems. We prove:

(2.1)  $X$  is  $\mathfrak{G}$ -absolute with a linearly ordered space if, and only if,  $(\mathfrak{R}(X) \sim \{X\}, \supseteq)$  contains a cofinal tree.

(2.3) ((2.8)) A first countable (Moore) space is  $\mathfrak{G}$ -absolute with a linearly ordered space iff it has a dense linearly ordered (metrizable) subspace.

(2.10) A dyadic space is  $\mathfrak{G}$ -absolute with a linearly ordered space iff it is separable and metrizable.

We also give (2.6 and 2.7) sufficient conditions (dependent on certain cardinal functions) for a space  $X$  to have a dense linearly ordered subspace.

---

Received by the editors October 18, 1978 and, in revised form, May 20, 1980.

1980 *Mathematics Subject Classification*. Primary 54G05, 05C05, 02J05, 54D40, 54E30.

*Key words and phrases*. Tree, Gleason space, coabsolute, Stone-Čech remainder, Moore space.

<sup>1</sup>All spaces are infinite Hausdorff and regular.

©1982 American Mathematical Society  
 0002-9947/82/0000-1050/\$05.00

In §3 we consider coabsolutes of Stone-Čech remainders. For a noncompact completely regular space  $X$ , let  $X^* = \beta X \setminus X$ . We prove

(3.5) A locally compact noncompact metric space  $X$  has  $X^*$  coabsolute with a linearly ordered space having a dense set of  $P$ -points.

(3.9) If  $X$  is a locally compact noncompact metric space of density at most  $2^\omega$ , then  $X^*$  is coabsolute with one of  $\mathbf{N}^*$ ,  $\mathbf{R}^*$ , or  $\mathbf{N}^* + \mathbf{R}^*$ .

(3.13) The following is implied by **CH**, and consistent with and independent of  $\neg\mathbf{CH}$ : If  $X$  is locally compact noncompact, has  $\pi w(X) \leq 2^\omega$ , and if  $X$  admits a complete uniformity, then  $X^*$  is coabsolute with  $\mathbf{N}^*$ .

A result of importance in §§2 and 3 is the Stone duality theorem [C.N.2]. The Stone space of a Boolean algebra  $B$  is denoted by  $\text{St}(B)$ . “ $\equiv$ ” between POSETS or Boolean algebras means “is isomorphic to”.

We assume **ZFC**. **CH** is the continuum hypothesis, **SH** is Souslin’s hypothesis, and **MA** is Martin’s axiom. All cardinals and ordinals are von Neumann ordinals, so  $\beta < \alpha$  means  $\beta \in \alpha$ .  $\omega_0$  is denoted by  $\omega$  and if  $\kappa$  is a cardinal,  $2^\kappa$  is the cardinal number of the set of subsets of  $\kappa$ .  $|X|$  means the cardinality of  $X$ . If  $A$  and  $B$  are sets  ${}^A B$  denotes the set of functions from  $A$  to  $B$ . The standard binary tree [Ku] of height  $\lambda$ , an ordinal, is  $\{f \in {}^2\lambda : \alpha \in \lambda\}$  ordered by  $f \leq g$  when  $f = g \upharpoonright \text{dom}(f)$  and is denoted by  $\text{TREE}(\lambda)$ .

$\mathbf{N}$  is the space of natural numbers,  $\mathbf{Irr}$  is the space of irrationals, and  $\mathbf{R}$  is the space of reals. “int” and “cl” are the interior and closure operators. “ $\cong$ ” between spaces means “is homeomorphic” and  $A \sim B$  means the complement of  $B$  in  $A$ . “ $\Sigma$ ” and “ $+$ ” denote free union.

**1. Trees in POSETS.** Suppose  $P$  is a POSET,  $p \in P$ , and  $Q \subseteq P$ .  $Q$  is *cofinal* if  $r \in P \Rightarrow \exists q \in Q$  with  $r \leq q$ .  $Q$  is a *filter* if  $p > q \in Q \Rightarrow p \in Q$ .  $p \in P$  is *compatible*<sup>2</sup> with  $Q$  if, for each  $q \in Q$ ,  $\exists r$  with  $p \leq r$  and  $q \leq r$ .  $P$  is *separative* if, for each pair  $p, q \in P$ ,  $p \not\leq q \Rightarrow \exists r \geq q$  with  $r$  and  $p$  incompatible. If  $F$  is a cofinal filter in a separative POSET  $P$ , then each maximal incompatible family of  $F$  is a maximal incompatible family of  $P$ . On the other hand, if  $I$  is a maximal incompatible family of  $P$ , then  $\{p \in P : \exists i \in I, i \leq p\}$  is a cofinal filter of  $P$ .

Suppose  $T$  is a tree and  $\alpha$  is an ordinal, then

$$\text{lv}(T, \alpha) = \{t \in T : ] \leftarrow , t[ \text{ has order type } \alpha\}$$

is the  $\alpha$ th level of  $T$  also denoted by  $\text{lv}(\alpha)$  when there is no confusion.  $T(\alpha) = \bigcup \{\text{lv}(\beta) : \beta \in \alpha\}$  is the  $\alpha$ th subtree. The height of  $T$  is

$$h(T) = \inf\{\alpha : \text{lv}(\alpha) = \emptyset\}.$$

A branch  $b$  of  $T$  is a maximal linearly ordered subset of  $T$  and  $\text{ord}(b)$  denotes the order type of  $b$ .

If every linearly ordered subset of a POSET  $P$  is bounded above, then Zorn’s lemma provides  $P$  with a cofinal tree of height 1. However, within any POSET  $P$  we may build a tree  $T$ , recursively, by the subtrees  $T(\alpha)$ , having special properties:

<sup>2</sup> This is the first of several traditional [Bu], [Je] definitions (also separative,  $\kappa$ -distributive,  $\kappa$ -closed) for which we have reversed the usual order relation to maintain the orientation upwards for trees.

1.1. LEMMA. If  $P$  is a POSET, then there is a tree  $T \subseteq P$  satisfying:

- (1)  $\text{lv}(0)$  is a maximal incompatible family of  $P$ .
- (2) If, for  $\alpha \in h(T)$ ,  $b$  is a branch of  $T(\alpha)$  bounded above in  $P$ , then  $\text{lv}(\alpha)$  contains a maximal incompatible family of  $\bigcap \{t, \rightarrow [: t \in b\}$ , where each  $t, \rightarrow [ = \{p \in P: t < p\}$ .
- (3)  $\bigcap \{t, \rightarrow [: t \in b\} \subseteq T$  for each branch  $b$  of  $T$ .

Whenever a tree  $T$  satisfies 1.1(1)–(3) in a POSET  $P$ ,  $T$  will be called an *unbounded tree* of  $P$ . Since a cofinal tree in a POSET will be unbounded it is useful to define the ordinal invariants (under isomorphism)

$$\#(P) = \inf\{h(T): T \text{ is an unbounded tree of } P\}$$

$$\text{and } w\#(P) = \inf\{\#([p, \rightarrow [: p \in P\}.$$

1.2. THEOREM. For a tree  $T$  in a POSET  $P$  the following hold:

- (1)  $T$  is unbounded if  $p \in P$ ,  $(p \not\leq t \forall t \in T) \Rightarrow p$  is compatible with at least two incompatible elements of  $T$ .
- (2) If  $P$  is separative and  $T$  is unbounded, then  $(p \not\leq t \forall t \in T) \Rightarrow p$  is compatible with two elements of some level of  $T$ .
- (3) If  $P$  is separative, then  $\#([p, \rightarrow [) \leq \#(P) \forall p \in P$ .
- (4) [Ny] If every compatible pair of elements of  $P$  is linearly ordered and if  $T$  is unbounded, then  $T$  is cofinal in  $P$ .

PROOF. (1) Certainly  $T$  satisfies 1.1(1), for otherwise some element of  $P$  would be compatible with no elements of  $T$ . If  $\lambda \leq h(T)$  and if  $p$  is a successor of each member of a branch  $b$  of  $T(\lambda)$ , then  $b = \{t \in T(\lambda): p \text{ and } t \text{ are compatible}\}$ . So 1.1(3) is immediate while 1.1(2) uses, as well, the observations for 1.1(1).

(2) We note that if  $I$  is a maximal incompatible family of a separative POSET  $P$  and if  $p \in P$  is compatible with precisely one  $i \in I$ , then  $i \leq p$ . Otherwise, we may find  $q \in P$  so that  $p < q$  with  $q$  and  $i$  (and hence  $I \cup \{q\}$ ) incompatible.

So if  $p \in P$  is compatible with at most one element of each level, then 1.1(1) and (2) force  $p$  to be an upper bound of a branch of  $T$ . So  $p \in T$ , by 1.1(3).

(3) Suppose  $U$  is an unbounded tree of  $P$ ,  $\#(P) = h(U)$ , and  $p \in P$ . If  $p \leq u$  for some  $u \in U$ , then  $U \cap [p, \rightarrow [$  is an unbounded tree of  $[p, \rightarrow [$ . So assume  $p \not\leq u \forall u \in U$ . From (2)  $\exists$  a first  $\alpha_0 \in h(U)$  such that  $p$  is compatible with two elements of  $\text{lv}(U, \alpha_0)$ . We may find a maximal incompatible family  $I$  of  $\{q \in P: \exists u \in \text{lv}(U, \alpha_0), u < q, p < q\}$ . Starting with

$$T(\alpha_0 + 1) = U(\alpha_0) \cup I \cup \{u \in \text{lv}(U, \alpha_0): p \text{ and } u \text{ are incompatible}\}$$

we can build, using (2) to obtain each level, an unbounded tree  $T$  of  $P$  such that if  $\alpha \in h(T)$  and  $t \in \text{lv}(T, \alpha)$ , then there is a  $u \in \text{lv}(U, \alpha) \ni t \leq u$ . So  $h(U) = h(T)$ . Since  $I \subseteq [p, \rightarrow [$ , 1.1 shows that  $T \cap [p, \rightarrow [$  is an unbounded tree of  $[p, \rightarrow [$ . Therefore,

$$h([p, \rightarrow [) \leq h(T) = \#(P).$$

(4) This generalization of “every linearly ordered set has a well-ordered cofinal subset” is proved similarly to (2).  $\square$

For a POSET  $P$  and a cardinal  $\kappa$ ,  $P$  is called  $\kappa$ -distributive if each intersection of at most  $\kappa$  cofinal filters in  $P$  is cofinal;  $P$  is  $\kappa$ -closed whenever each increasing sequence of length at most  $\kappa$  is bounded above. In forcing arguments the above properties have seen considerable activity [Bu].

1.3. LEMMA [Bu, 3.11]. *Let  $P$  be a POSET and  $\kappa$  a cardinal.*

(1) *If  $P$  is  $\kappa$ -closed, it is  $\kappa$ -distributive.*

(2) *If  $\lambda$  is the first cardinal such that  $P$  is not  $\lambda$ -distributive (or  $\lambda$ -closed), then either  $\lambda = \omega$  or  $\lambda$  is a successor cardinal.*

1.4. LEMMA. *Suppose  $P$  is a POSET with no maximal elements,  $T$  is an unbounded tree of  $P$ , and  $\kappa$  is a cardinal.*

(1) *If  $P$  is  $\kappa$ -distributive and has no maximal elements, then  $\kappa^+ \leq h(T)$  and  $\text{lv}(T, \alpha)$  is a maximal incompatible family of  $P \forall \alpha \in \kappa^+$ .*

(2) *If  $P$  is  $\kappa$ -closed,  $\kappa < \text{cf}(\text{ord}(b)) \forall$  branches  $b$  of  $T$ .*

PROOF. As (2) is obvious, we suppose  $P$  is  $\kappa$ -distributive. Let  $\alpha$  be the first ordinal such that  $\text{lv}(\alpha)$  is not a maximal incompatible family of  $P$ . If  $\alpha \in \kappa^+$

$$C = \bigcap \left\{ \bigcup \{ [t, \rightarrow [ : t \in \text{lv}(\beta) \} : \beta \in \alpha \right\}$$

is a cofinal filter of  $P$ . Given  $c \in C$  we may choose  $t_\beta \in \text{lv}(\beta) \forall \beta \in \alpha$  such that  $t_\beta \leq c$ . As  $T$  is a tree,  $c$  is an upper bound of the branch  $b = \{t_\beta : \beta \in \alpha\}$  of  $T(\alpha)$ . From 1.1(2),  $c$  is compatible with some element of  $\text{lv}(\alpha)$  bounding  $b$  from above. Thus,  $\text{lv}(\alpha)$  is a maximal incompatible family of  $C$ , and hence, of  $P$ . As this is absurd, we must have  $\alpha \geq \kappa^+$ .  $\square$

1.5. THEOREM. *If  $P$  is a separative POSET without maximal elements, then  $w\#(P) = \sup\{\kappa^+ : P \text{ is } \kappa\text{-distributive}\}$ .*

PROOF. We denote the right-hand side of the above equation by  $\lambda$ . Then 1.4(1) shows  $\lambda \leq \#(P)$ . We consider two cases.

Case 1.  $w\#(P) = \#(P)$ . Suppose  $\{F_\alpha : \alpha \in \lambda\}$  is a family of cofinal filters in  $P$ . Let  $p \in P$  be arbitrary and  $J = \{q \in P : p \text{ and } q \text{ are incompatible}\}$ . We build, recursively, a tree  $T$  in  $]p, \rightarrow [$ .

Let  $T(0) = \emptyset$ . Suppose we are given an ordinal  $\alpha$ ,  $\alpha \leq \lambda$ , such that for each  $\beta < \alpha$ , we have found  $T(\beta)$  subject to the restriction

(\*) If  $\gamma < \beta$ , then  $\text{lv}(T, \gamma)$  is a maximal incompatible family of  $F_\gamma \cap ]p, \rightarrow [$ .

If  $\alpha$  is a limit ordinal, we must set  $T(\alpha) = \bigcup \{T(\beta) : \beta < \alpha\}$ . If  $\alpha$  is a successor ordinal, then  $|\alpha| < \lambda$  since  $\lambda$  is a cardinal. Set

$$F = F_\alpha \cap \left( \bigcap \left\{ \bigcup \{ ]t, \rightarrow [ : t \in \text{lv}(T, \beta) \} : \beta + 1 < \alpha \right\} \right).$$

So  $J \cup F$  is a cofinal filter of  $P$ . Now suppose  $r \in J \cup F$  and  $r > p$ .

Clearly  $r \in F$  and  $\exists r_\beta$  for each  $\beta < \alpha$  such that  $r_\beta < r$  and  $r \in \text{lv}(T, \beta)$ . Since  $T(\alpha - 1)$  is a tree,  $\{r_\beta : \beta + 1 < \alpha\}$  is a branch of  $T(\alpha - 1)$ . Since  $F$  is now a cofinal filter of  $]p, \rightarrow [$ , there is a maximal incompatible family  $I$  of  $]p, \rightarrow [$  contained in  $F$ . Set  $T(\alpha) = F \cup T(\alpha - 1)$ . As our hypothesis (\*) is now met, the construction of  $T$  is complete when we let  $T = T(\lambda)$ .

Now let us suppose that  $\lambda < \#(P)$ . Then  $T$  is not an unbounded tree of  $]p, \rightarrow [$ . From (\*), each  $\text{lv}(T, \alpha)$  is a maximal incompatible family of  $]p, \rightarrow [$ , so 1.1 implies that  $T$  has a branch  $b$  bounded above. If  $\text{ord}(b) < \lambda$ , then there is a  $t \in \text{lv}(T, \text{ord}(b))$  compatible with every element of  $b$ . Since  $T$  is a tree,  $t$  is an upper bound for  $b$ . As the latter is impossible,  $\text{ord}(b) = \lambda$ . Now if  $s$  is an upper bound for  $b$ , then (\*) implies  $p < s$  and  $s \in \bigcap \{F_\alpha : \alpha < \lambda\}$ . As  $p$  was chosen arbitrarily,  $\bigcap \{F_\alpha : \alpha < \lambda\}$  is a cofinal filter of  $P$ . So  $\lambda \nless \lambda^+$ . This is a contradiction. Therefore,  $\lambda = \#(P)$ .

Case 2.  $w\#(P) < \#(P)$ . Choose a maximal incompatible family  $I \subseteq P$  with

$$w\#(]p, \rightarrow [) = \#(]p, \rightarrow [) \forall p \in I.$$

Since  $\bigcup \{]p, \rightarrow [: p \in I\}$  is a cofinal filter of  $P$ ,  $\#(P) = \sup\{\#(]p, \rightarrow [): p \in I\}$ . On the other hand, we may add  $\bigcup \{]p, \rightarrow [: p \in I\}$  to any family of cofinal filters. So, from case 1,  $\#(]p, \rightarrow [) \leq \lambda \forall p \in I$ . Therefore,  $\lambda = \#(P)$ .  $\square$

We observe that case 2 of 1.5 also shows

1.6. COROLLARY.  $\#(P)$  is a cardinal whenever  $P$  is a separative POSET.

1.7. THEOREM. Suppose  $P$  is a separative POSET for which  $\#(P) = w\#(P)$ . If  $P$  has a cofinal family the union of  $\#(P)$  incompatible families, then  $P$  has a cofinal tree of height  $\#(P)$ .

PROOF. WLOG we assume  $\bigcup \{I_\alpha : \alpha \in \#(P)\}$  is cofinal in  $P$  where each is a maximal incompatible family of  $P$  containing no maximal elements of  $P$ . We construct an unbounded tree  $T$ , modifying the successor ordinal steps in 1.1, as follows:

If  $\alpha < \#(P)$ ,  $|\alpha| < \#(P)$  from 1.5. By 1.4

$$C = \left( \bigcup \{[i, \rightarrow [: i \in I_\alpha\} \right) \\ \cap \left( \bigcap \{ \bigcup \{[t, \rightarrow [: t \in \text{lv}(T(\alpha - 1), \beta)\} : \beta < \alpha - 1\} \right)$$

is a cofinal filter of  $P$ . So there is a maximal incompatible family  $I$  of  $P \ni I \subseteq C$ . Set  $T(\alpha) = T(\alpha - 1) \cup I$ .

It is clear that  $T$  is cofinal and  $h(T) = \#(P)$ .  $\square$

1.8. COROLLARY. (1) (Weiss) If  $P$  is a separative  $\kappa$ -closed POSET  $\forall \kappa < \lambda$  and  $P$  has a cofinal family which is the union of  $\lambda$  incompatible families, then  $P$  has a cofinal tree. (2) (Davies) If  $P$  is a separative  $\omega$ -distributive POSET and  $|P| \leq \omega_1$ , then  $P$  has a cofinal tree.

PROOF. Observe that both results follow from 1.7 even if “separative” is removed from their hypothesis since we only used “ $P$  is  $\kappa$ -distributive  $\forall \kappa < \#(P)$ ” in the proof of 1.7.  $\square$

1.9. THEOREM. If a separative POSET has a cofinal tree, then it has a cofinal tree of height  $\#(P)$ .

PROOF. In proving 1.5, we observed that there is a maximal incompatible family  $I$  of  $P$  such that,  $\forall i \in I$ ,  $w\#([i, \rightarrow [) = \#([i, \rightarrow [)$  and

$$\#(P) = \sup\{\#([i, \rightarrow [): i \in I\}.$$

So WLOG we may assume  $P$  has no maximal elements and  $\#(P) = w\#(P)$ . Suppose  $T$  is a cofinal tree in  $P$ ,  $U$  is an unbounded tree in  $P$ , and  $h(U) = \#(P)$ . From 1.4 each  $\text{lv}(U, \alpha)$  is a maximal incompatible family of  $P$ . So we may choose a maximal incompatible family of  $P$ ,

$$I_\alpha \subseteq (\cup \{[t, \rightarrow [ : t \in \text{lv}(U, \alpha)\}) \cap T.$$

If  $\cup \{I_\alpha : \alpha \in \#(P)\}$  is cofinal, the theorem follows from 1.7. So suppose  $t \in T$  such that  $t \not\leq i \forall i \in I_\alpha \forall \alpha \in \#(P)$ . Since  $P$  is separative, we have, from 1.2(2),  $\exists \alpha \in h(U)$  and  $u_0, u_1 \in \text{lv}(U, \alpha) \ni t$  is compatible with each  $u_n$ . Again using separativity  $\exists$ , for each  $n \in \{0, 1\}$ , a  $t_n \in T$  such that  $t < t_n$  and  $u_n < t_n$ .  $\exists$  for each  $n$ ,  $i_n \in I_\alpha$  such that  $u_n < i_n$  and  $t_n$  is compatible with  $i_n$ . Since  $T$  is a tree, either  $i_n \geq t_n \geq t$  (a contradiction) or  $i_n < t_n$ . In the latter case,  $t$  and  $i_n$  are compatible so  $i_0 < t$  and  $i_1 < t$  (a contradiction).  $\square$

From 1.7,  $2^\kappa = \kappa^+$  implies each  $\kappa$ -distributive POSET of cardinality at most  $2^\kappa$  has a cofinal tree. Our next theorem represents an attempt at removing the set-theoretic hypothesis from this result.

1.10. LEMMA. *Suppose  $\kappa$  is an infinite cardinal,  $P$  is a separative  $\kappa$ -closed POSET, and  $p \in P$  has no maximal successor; then  $p$  has  $2^\kappa$  incompatible successors.*

PROOF. We can construct a tree in  $[p, \rightarrow [$  isomorphic to  $\text{TREE}(\kappa + 1)$  by applying “separative” at successor ordinals to get two incompatible elements, and “ $\kappa$ -closed” at limit ordinals to get a single successor of a branch. The final level of  $\text{TREE}(\kappa + 1)$  contains  $2^\kappa$  incompatible elements.  $\square$

1.11. LEMMA. *Suppose  $\kappa$  is an infinite cardinal and  $P = \{p(\xi) : \xi \in 2^\kappa\}$  is a  $\kappa$ -closed separative POSET. If  $I = \{i(\alpha) : \alpha \in 2^\kappa\}$  is an incompatible family in  $P$ , then there is a family  $J \subseteq P$  subject to*

- (1)  $J = \{j(\alpha, \xi) : (\alpha, \xi) \in 2^\kappa \times 2^\kappa\}$ , where  $i(\alpha) < j(\alpha, \xi)$  for each  $\xi$ .
- (2) If  $p(\xi)$  is compatible with an element of  $J$  but  $p(\xi) \not\leq j \forall j \in J$ , then

$$|\{i \in I : p(\xi) \text{ and } i \text{ are compatible}\}| \leq |\xi|.$$

PROOF.  $J$  is constructed recursively via a diagonalization argument—we examine the  $\beta$ th step:

Suppose  $\delta$  is the first element of  $2^\kappa$  such that,  $\forall \alpha \in 2^\kappa$ ,  $p(\delta) \not\leq i(\alpha)$  and  $\forall \gamma \in \beta \forall \xi \in 2^\kappa$   $p(\delta) \not\leq j(\gamma, \xi)$ , but  $p(\delta)$  and  $i(\beta)$  are compatible. For some  $q \in P$  with  $p(\delta) < q$  and  $i(\beta) < q$  we choose a family  $\{j(\beta, \xi) : \xi \in 2^\kappa\}$  of maximal incompatible successors of  $i(\beta)$  to which  $q$  belongs.  $\square$

1.12. THEOREM. *Let  $\kappa$  be an infinite cardinal. If  $P$  is a separative  $\kappa$ -closed POSET with  $|P| \leq 2^\kappa$ , then  $P$  has a cofinal tree.*

PROOF. From 1.10,  $|P| < 2^\kappa \Rightarrow P$  has a cofinal tree of height 1. So WLOG assume  $P$  has no maximal elements and we have a listing of  $P$ ,  $\{p(\xi) : \xi \in 2^\kappa\}$ .

We can construct, as in 1.1, using 1.10 and 1.11, an unbounded tree  $T$  of  $P$  subject to the additional conditions:

- (4)  $\text{lv}(0)$  contains a successor of  $p(0)$ .

(5) If  $\alpha \in h(T)$  and  $b$  is a branch of  $T(\alpha)$  bounded above in  $P$ , then  $|\text{lv}(\alpha) \cap (\cap \{[t, \rightarrow : t \in b])| = 2^\kappa$ .

(6) If  $p(\zeta) \not\leq t \forall t \in T(\alpha)$  for  $\alpha \in h(T)$ , then  $\alpha \leq \zeta$  and  $|\{t \in T(\alpha) : p(\zeta) \text{ and } t \text{ are incompatible}\}| \leq |\zeta|$ .

Suppose  $p = p(\zeta) \in P$  and  $p \not\leq t \forall t \in T$ ; then there is, by 1.2(2), a first  $\alpha_0$  such that  $p$  is compatible with two elements of  $\text{lv}(\alpha_0)$ . Using 1.4(2) and following the proof of 1.10, we may build a tree  $S \subseteq T$  consisting of elements compatible with  $p$ , each of whose levels is contained in a level of  $T$ , and which is isomorphic to  $\text{TREE}(\kappa + 1)$ . Since  $\exists \lambda \in h(T)$  such that the last level of  $S$  is contained in  $\text{lv}(\lambda)$ ,  $p$  causes (6) to fail for  $\alpha = \lambda$ .  $\square$

1.13. LEMMA [Je, 29B]. *If  $P$  is a separative POSET, then there exists a unique (up to an isomorphism) complete Boolean algebra  $\mathfrak{B}(P)$  for which  $P$  is cofinally embedded in  $(\mathfrak{B}(P) - \{0\}, \geq)$ .*

If  $B$  is a Boolean algebra such that  $(B - \{1\}, \leq)$  or, equivalently,  $(B - \{0\}, \geq)$ , possesses (resp. a cofinal set  $P$  satisfying) the properties defined in this section for a POSET, we say for simplicity that  $B$  (resp. cofinally) possesses said property; therefore:

- (i) Every Boolean algebra is separative.
- (ii) No atomless  $\sigma$ -complete Boolean algebra is  $\omega$ -closed.<sup>3</sup>
- (iii)  $\mathfrak{B}(\text{TREE}(\omega_1))$  is cofinally  $\omega$ -closed.

1.14. COROLLARY. *Suppose  $\kappa$  is an infinite cardinal. If  $\kappa^+ = 2^\kappa$ , then  $\mathfrak{B}(\text{TREE}(2^\kappa))$  is the only complete atomless Boolean algebra which is cofinally  $\kappa$ -closed and has a cofinal set of cardinal  $2^\kappa$ . If  $2^{\kappa^+} = 2^\kappa$ , then there are at least two complete atomless Boolean algebras cofinally  $\kappa$ -closed and having cofinal subsets of cardinal  $2^\kappa$ .*

PROOF. The Pressing Down Lemma [Ku] shows that, for each  $\kappa$ ,  $\mathfrak{B}(\text{TREE}(\kappa^+)) \not\cong \mathfrak{B}(\text{TREE}(\kappa^{++}))$ . On the other hand (v) in the construction of  $T$  in 1.12 shows that if  $\kappa^+ = 2^\kappa$  and  $P$  is a  $\kappa$ -closed separative POSET without maximal elements of cardinality  $2^\kappa$ , then  $P$  has a cofinal tree isomorphic to  $\cup \{\text{lv}(\text{TREE}(\kappa^+), \lambda) : \lambda \text{ is a limit ordinal in } \kappa^+\}$ .  $\square$

1.15. *On products.* Suppose  $\kappa$  is a cardinal and  $P(\alpha)$  is a POSET for each  $\alpha \in \kappa$ ; there are two traditional definitions for partial orders on the Cartesian product  $\Pi = \Pi\{P(\alpha) : \alpha \in \kappa\}$ :

(1) The *lexicographic product*,  $\text{lex } \Pi$ , is ordered by “ $f < g$  whenever  $\exists \alpha \in \kappa$  with  $f(\alpha) < g(\alpha)$  and  $f(\beta) = g(\beta) \forall \beta \in \alpha$ ”. It is easy to see that  $\text{lex } \Pi$  has a cofinal tree whenever  $P(\alpha)$  has a cofinal tree  $\forall \alpha \in \kappa$ .

(2) The *usual product* on  $\Pi$ , denoted by  $\times \{P(\alpha) : \alpha \in \kappa\}$ , is ordered by “ $f \leq g$  whenever  $f(\alpha) \leq g(\alpha) \forall \alpha \in \kappa$ ”. An easy application of the Pressing Down Lemma shows  $\text{TREE}(\omega) \times \text{TREE}(\omega_1)$  has no cofinal tree. However, 1.7 shows that  $P \times Q$  has a cofinal tree whenever each of  $P$  and  $Q$  have a cofinal tree and  $w\#(P) = w\#(Q)$ .

1.16. REMARKS. (1) Is it consistent that “every  $\omega$ -distributive POSET of cardinality  $\omega_1$  is  $\omega$ -closed?” Not in a model of  $\text{ZFC} + \text{SH}$ ; however, Franklin Tall has

<sup>3</sup>In [Wo2], [Wo3] cofinally  $\omega$ -closed Boolean algebras are called *Cantor-separable*.

communicated to the author Peter Davies' affirmative answer under the assumption of the consistency of certain large cardinal axioms.

(2) For the POSET  $P$  of nonempty clopen subsets of  $\beta\mathbf{N} \sim \mathbf{N}$  (under " $\supseteq$ ") 1.5, 1.9, and 1.12 were proved, independently, in [B.P.S.].

(3) 1.8(2) is due to Peter Davies. 1.8(1) is an observation William Weiss made from one of our early results.

(4) Is it consistent with  $\mathbf{ZFC} + \mathbf{CH}$  that "there is precisely one complete atomless cofinally  $\omega$ -closed Boolean algebra with a cofinal set of cardinality  $2^\omega$ ?" See 3.13.

(5) For many POSETS  $P$ ,  $\#(P)$  is well defined by considering cofinal subsets of  $P$ . With proof similar to 1.2(3) and (4), this is true when  $P$  is either separative or when every compatible pair of elements of  $P$  are linearly ordered or when  $P$  is directed.

**2.  $\mathfrak{g}$ -absolutes of linearly ordered spaces.** Recall [Ju2] if  $(X, \tau)$  is a space, then a cofinal subset of  $(\tau - \{\emptyset\}, \supseteq)$  is known as a  $\pi$ -base ( *pseudobase* in [C.N.2]) and the  $\pi$ -weight,  $\pi w(X)$ , is the least cardinal possessed by a  $\pi$ -base for  $X$ . The weight,  $w(X)$ , is the least cardinal possessed by a base for  $\tau$ .

**2.1. THEOREM.** *For a space  $X$ , the following are equivalent:*

- (1)  $X$  has a  $\pi$ -base with a cofinal tree.
- (2) Every  $\pi$ -base of  $X$  has a cofinal tree.
- (3)  $X$  is  $\mathfrak{g}$ -absolute with a linearly ordered space.

**PROOF.** If  $Y$  is the set of isolated points of  $X$ , then  $Y$  is a subset of every  $\pi$ -base for  $X$ ,  $Y$  is the set of atoms of  $\mathfrak{R}(X)$ , and

$$\mathfrak{R}(X) \equiv \mathfrak{R}(Y \dot{\cup} \text{int}(X \sim Y)).$$

Since the free union of linearly ordered spaces is linearly ordered, we need only prove the theorem for  $X \sim Y$ . WLOG we assume  $X$  has no isolated points.

(1)  $\Rightarrow$  (2). Let  $P$  be a  $\pi$ -base for  $X$ . Since a cofinal subset of a  $\pi$ -base is a  $\pi$ -base, we suppose that  $(T, \supseteq)$  is a tree of nonempty open subsets of  $X$  such that  $T$  has the minimum possible height for a tree  $\pi$ -base for  $X$ . Since  $X$  has no isolated points,  $h(T)$  and  $\text{ord}(b)$  are limit ordinals whenever  $b$  is a branch of  $T$ . We now construct, recursively, two trees  $S_1 \subseteq T$  and  $S_2 \subseteq P$ .

For  $i \in \{1, 2\}$  let  $S_i(0) = \emptyset$ . Suppose we are given an ordinal  $\alpha \leq h(T)$  such that  $S_i(\beta)$  has been found, for each  $\beta < \alpha$  and each  $i \in \{1, 2\}$ , subject to the restriction  $\gamma < \beta \Rightarrow$

- (a)  $\text{lv}(S_i, \gamma)$  is a pairwise-disjoint family of nonempty open sets.
- (b) If  $b$  is a branch of  $S_i(\gamma)$  and if  $\text{int}(\cap b) \neq \emptyset$ , then

$$\text{int}(\cap b) \subseteq \text{cl}(\{p \in \text{lv}(S_2, \gamma) : p \subseteq \cap b\}).$$

(c)  $\text{lv}(S_1, \gamma) \subseteq T \sim T(\gamma)$ .

(d) If  $p \in \text{lv}(S_2, \gamma)$ , then  $p \subseteq \text{cl}(\{t \in \text{lv}(S_1, \gamma) : t \subseteq p\})$ .

If  $\alpha$  is a limit ordinal, we set  $S_i(\alpha) = \bigcup \{S_i(\beta) : \beta < \alpha\} \forall i$ . If  $\alpha$  is a successor ordinal, the choice of  $\text{lv}(S_i, \alpha - 1)$  is straightforward (given a  $\pi$ -base  $Q$  of a space  $Y$



and a nonempty open set  $G$  of  $Y$ ,  $G$  has a dense set which is the union of a family of pairwise-disjoint members of  $\mathcal{Q}$ ). Let  $S_i(\alpha) = S_i(\alpha - 1) \cup \text{lv}(S_i, \alpha - 1)$ . Our recursion hypothesis is clearly met. So our construction of  $S_i \forall i \in \{1, 2\}$  is complete when we set each  $S_i = S_i(h(T))$ .

Now (b) and (d) imply  $S_2$  is a  $\pi$ -base for  $X$  iff  $S_1$  is cofinal in  $T$ . (b) and (d) also imply that if  $\alpha < h(T)$  and if  $b$  is a branch of  $S_1(\alpha)$ , then

$$\text{int}(\cap b) \subseteq \text{cl}(\{t \in \text{lv}(S_1, \alpha) : t \subseteq \cap b\}).$$

From (c) and 1.1,  $S_1$  is an unbounded tree of  $T$ . 1.2(4) shows  $S_1$  is cofinal in  $T$ .

(2)  $\Rightarrow$  (3). Since an infinite Hausdorff space contains an infinite family of non-empty pairwise-disjoint open sets, we suppose  $T$  is a cofinal tree in  $\mathcal{R}(X)$  satisfying

(iv) if  $\alpha \in h(T)$  and  $b$  is a branch of  $T(\alpha)$  bounded above in  $\mathcal{R}(X)$ , then  $\text{lv}(T, \alpha)$  contains infinitely many elements each of whose closure is a subset of  $\cap b$ .

Following the standard [Ku] collapsing of Souslin and Aronszajn trees, order each  $\text{lv}(T, \alpha)$  so that  $\text{lv}(\alpha)$  and in the case of (iv), the successors of  $b$  in  $\text{lv}(\alpha)$ , form a discrete linearly ordered set without endpoints.

Set  $L = L(T) = \{b : b \text{ is a branch of } T\}$  and for  $b_0, b_1 \in L$  define  $b_0 < b_1$  if for some  $\alpha \in h(T)$

$$(b_0 \cap \text{lv}(T, \alpha)) < (b_1 \cap \text{lv}(T, \alpha)) \quad \text{while } b_0 \cap T(\alpha) = b_1 \cap T(\alpha).$$

Thus,  $L$  is linearly ordered. Set  $\psi(t) = \{b \in L : t \in b\}$  for each  $t \in T$ .

Since  $\psi(t)$  has no endpoints,  $\psi(t)$  is clopen; further, if in  $L$ ,  $b_0 < b_1 < b_2$ , then by (iv),  $\exists t \in b_1 \sim (b_0 \cup b_1) \ni \psi(t) \subseteq ]b_0, b_1[$ . So  $\psi$  embeds  $T$  cofinally within  $\mathcal{R}(L)$ . Now apply 1.13 and the Stone duality.

(3)  $\Rightarrow$  (1). Suppose  $L$  is a linearly ordered space without isolated points; then  $P = \{G \in \mathcal{R}(L) : G \text{ is an open interval of } L\}$  is cofinal in  $\mathcal{R}(L)$ . Suppose  $T$  is any unbounded tree of  $P$  and  $]x, y[ \in P$  such that  $t \not\subseteq ]x, y[ \forall t \in T$ . According to 1.2(2)  $\exists \beta \in h(T)$ ,  $]x_i, y_i[ \in \text{lv}(T, \beta)$  for  $i \in \{0, 1\}$ , such that  $]x, y[ \cap ]x_i, y_i[ \neq \emptyset$ ,

$$(*) \quad x_0 < x < y_0 < y, \quad x < x_1 < y < y_1,$$

and there are no points between  $y_0$  and  $x_1$ . Since  $\mathcal{R}(L)$  is closed under intersection,  $]x, y_0[ \in P$ . Again applying 1.2(2)  $\exists \alpha \in h(T)$ ,  $\alpha > \beta$ ,  $\exists ]x_j, y_j[ \in \text{lv}(T, \alpha)$  for  $j \in \{2, 3\}$ , such that  $]x_0, y_0[ \cap ]x_j, y_j[ \neq \emptyset$ ,  $x_2 < x < y_2 < y_0$ ,  $x < x_3 < y_0 < y_3$ , and there are no points between  $y_2$  and  $x_3$ . In particular,  $y_0 \in ]x_3, y_3[ \sim ]x_0, y_0[$ . Since  $T$  is a tree,  $\beta < \alpha$ , and  $L$  has no isolated points,  $]x_3, y_3[ \cap ]x_0, y_0[ = \emptyset$  which contradicts (\*). So  $T$  is cofinal in  $P$ . Now apply the Stone duality.  $\square$

**2.2. COROLLARY.** *For a space  $X$ , the following are equivalent:*

- (1)  $X$  has a  $\sigma$ -disjoint  $\pi$ -base.
- (2)  $\mathcal{R}(X)$  has a cofinal tree and  $\#(\mathcal{R}(X)) \leq \omega$ .
- (3)  $\exists$  a metric space  $M$   $\mathcal{G}$ -absolute with  $X$ .

**PROOF.** (1)  $\Rightarrow$  (2). This is a corollary of 1.7 since  $\mathcal{R}(X)$  is  $n$ -closed  $\forall$  finite cardinals  $n$ .

(2)  $\Rightarrow$  (3). In 2.1 (2)  $\Rightarrow$  (3) the tree  $T$  may be assumed to have height  $\omega$  (from 1.9). Thus, the space  $L$  is metrizable via  $\rho(b_0, b_1) = 2^{-n}$  when  $b_0 \cap \text{lv}(n) \neq b_1 \cap \text{lv}(n)$  and  $b_0 \cap T(n) = b_1 \cap T(n)$ .

(3)  $\Rightarrow$  (1). Every metric space has a  $\sigma$ -disjoint base.  $\square$

**2.3. THEOREM.** *Suppose  $X$  is a space whose every point has a well-ordered local base; then  $X$  is  $\mathfrak{S}$ -absolute with a linearly ordered space iff  $X$  has a dense linearly ordered subspace.*

**PROOF.** We need only show " $\Rightarrow$ ". WLOG assume  $X$  has no isolated points. For each  $x \in X$  let  $\mathfrak{N}(x) \subseteq \mathfrak{R}(X)$  be a well-ordered, by " $\supseteq$ ", local base at  $x$ . From 2.1,  $\mathfrak{R}(X)$  has a cofinal tree  $U$ . We construct a tree  $T$  and a function  $\psi: T \rightarrow X$  as follows:

Let  $T(1) = U(1)$  and  $\psi(t) \in t$  be arbitrarily chosen for each  $t \in T(1)$ . Suppose we are given an ordinal  $\lambda$  such that  $T(\alpha)$  and  $\psi(t) \in t$  have been constructed  $\forall \alpha \in \lambda \forall t \in T(\alpha)$  subject to the restrictions:

- (i)  $T(\alpha)$  satisfies 1.1(1) and (2).
- (ii)  $T(\alpha)$  satisfies (iv) of 2.1(2)  $\Rightarrow$  (3).
- (iii) If  $\beta < \alpha$  and  $b$  is a branch of  $T(\beta)$ , then

$$|\{t \in \text{lv}(T(\alpha), \beta): t \subseteq \cap b \text{ and } t \notin U\}| \leq 1,$$

where equality holds only if  $\psi$  is constant on a tail of  $b$ .

(iv) If  $\beta < \alpha$  and  $t \in T(\beta)$ , then  $T(\alpha)$  has a branch  $b$  with  $t \in b$  and  $\psi(b) = \{\psi(t)\}$ .

(v) If  $s, t \in T(\alpha)$ ,  $t \subsetneq s$ , and if  $\psi(t) = \psi(s)$ , then  $t \in \mathfrak{N}(\psi(s))$ .

If  $\lambda$  is a limit ordinal, set  $T(\lambda) = \bigcup \{T(\alpha): \alpha \in \lambda\}$ . If  $\lambda$  is a successor ordinal, then we will assign to each branch  $b$  of  $T(\lambda - 1)$  a family  $I(b)$  and we will set

$$T(\lambda) = T(\lambda - 1) \cup \left( \bigcup \{I(b): b \text{ is a branch of } T(\lambda - 1)\} \right).$$

For  $t \in T(\lambda) \sim T(\lambda - 1)$  and  $t \subseteq \cap b$ , we assign  $\psi(t) = \psi(s)$  if  $\psi$  is constantly  $\psi(s)$  on a tail of  $b$  and if  $t \in \mathfrak{N}(\psi(s))$ ; otherwise,  $\psi(t) \in t$  may be arbitrarily chosen.

Let  $I(b) = \emptyset$  whenever  $\text{int}(\cap b) = \emptyset$ . If  $\text{int}(\cap b) \neq \emptyset$  and  $\psi$  is not constant on a tail of  $b$ , we may choose, since  $X$  has no isolated points, a pairwise disjoint subfamily  $I(b)$  of  $U$  with  $\bigcup \{\text{cl}(u): u \in I(b)\}$  a dense subset of  $\text{int}(\cap b)$ . If  $\text{int}(\cap b) \neq \emptyset$  and  $\psi$  is constantly  $\psi(s)$  on a tail of  $b$  with  $s \in b$ , then (v) implies  $\text{int}(\cap b)$  is a nbhd of  $\psi(s)$ . Choose  $t \in \mathfrak{N}(\psi(s))$  with  $\text{cl}(t) \not\supseteq \text{int}(\cap b)$ . Then  $I(b)$  will be the union of  $\{t\}$  and an infinite pairwise disjoint subfamily of  $U$  such that  $\bigcup \{\text{cl}(u): u \in I(b) \sim \{t\}\}$  is a dense subset of  $\text{int}(\cap b) \sim \text{cl}(t)$ .

As the induction hypothesis is clearly satisfied, we can continue it until we have an unbounded tree  $T$ . Since  $\psi(t) \in t \forall t \in T$ ,  $\psi(T)$  is dense if  $T$  is cofinal in  $\mathfrak{R}(X)$ . So we suppose  $u \in U$  such that  $t \not\subseteq u \forall t \in T$ . By 1.2(2) there is a first ordinal  $\beta \in h(T)$  such that  $u \cap t_0, u \cap t_1 \in \mathfrak{R}(X) \sim \{\emptyset\}$  for two distinct elements  $t_0, t_1 \in \text{lv}(T, \beta)$ . So  $u \subseteq \cap b$  for a branch  $b$  of  $T(\beta)$ , and  $t_0 \cup t_1 \subseteq \cap b$ . Since  $U$  is a tree, neither  $t_0$  nor  $t_1$  is in  $U$ . This contradicts (iii) for  $\alpha = \beta + 1$ . So  $T$  is cofinal in  $\mathfrak{R}(X)$ .

From (iv) and (v) there is for each  $t \in T$  precisely one branch  $b(t)$  of  $T$  such that  $t \in b(t)$  and  $b(t)$  is a local base at  $\psi(t)$ . So when  $\{b(t): t \in T\}$  inherits the order given in 2.1(2)  $\Rightarrow$  (3), the map  $b(t) \rightarrow \psi(t)$  gives  $\psi(T)$  a linear order generating the subspace topology inherited from  $X$ .  $\square$

**2.4. COROLLARY [Wh].** *A first countable space has a  $\sigma$ -disjoint  $\pi$ -base iff it has a dense metrizable subspace.*

**PROOF.** In 2.3 each  $\mathcal{U}(x)$  can be assumed to have order type  $\omega$ , and, according to 2.2,  $h(U) = \omega$ . So  $h(T) = \omega$ . Following the proof of 2.2(2)  $\Rightarrow$  (3) we see that  $\psi(T)$  is metrizable.  $\square$

As a Souslin line has no dense metrizable subspace, it is consistent with **ZFC** that “ $\sigma$ -disjoint  $\pi$ -base” cannot be replaced by “ $\mathcal{G}$ -absolute with a linearly ordered space” in 2.4. However, it is replaceable for the class of first countable spaces  $X$  which have  $\#(\mathcal{R}(X)) \leq \omega$ . Therefore, we consider some cardinal functions which affect  $\#(\mathcal{R}(X))$ .

Henceforth, we shall use  $\#[w\#]$  to denote  $\#(\mathcal{R}(X)) [w\#(\mathcal{R}(X))]$  when there is no confusion. A topological translation of 1.5 and 1.9 yields

**2.5. LEMMA.** *Let  $X$  be a space and  $\{I_\alpha: \alpha \in \kappa\}$  be a collection of families of pairwise-disjoint nonempty open sets such that  $\text{cl}(\bigcup I_\alpha) = X \forall \alpha \in \kappa$ . If  $\kappa \leq w\#$ , then there is an unbounded tree  $T$  of  $\mathcal{R}(X)$  satisfying:*

- (1)  $h(T) = \#$ .
- (2)  $s, t \in T, s \subsetneq t \Rightarrow \text{cl}(s) \subseteq t$ .
- (3)  $\text{cl}(\bigcup \text{lv}(\alpha)) = X \forall \alpha \in w\#$ .
- (4)  $T$  satisfies (iv) of 2.1(2)  $\Rightarrow$  (3).
- (5)  $i \in I_\alpha \Rightarrow \exists t \in \text{lv}(\alpha) \ni t \subseteq i$ .

Further, if  $X$  is  $\mathcal{G}$ -absolute with a linearly ordered space, then  $T$  may be assumed to be cofinal in  $\mathcal{R}(X)$ .

Recall [C.N.2] that for a cardinal  $\kappa$ , a space  $X$  is  $\kappa$ -Baire if the intersection of at most  $\kappa$  many open dense subsets of  $X$  is dense [so Baire =  $\omega$ -Baire]; and  $x \in X$  is a  $P_\kappa$ -point if it has a local base  $\lambda$ -closed  $\forall \lambda < \kappa$  [so  $P$ -point =  $P_\omega$ -point].  $X$  is an almost  $P_\kappa$ -space [Le] if the intersection of less than  $\kappa$  many nonempty open subsets of  $X$  has nonempty interior (equivalently, if  $\mathcal{R}(X)$  is cofinally  $\lambda$ -closed  $\forall \lambda < \kappa$ ). A base (or  $\pi$ -base) for a space will be called  $\kappa$ -disjoint when it is the union of a collection of  $\kappa$  many families of pairwise disjoint sets (WLOG each family may be assumed to have union dense in  $X$ ).

The following should be compared to [C.N.1, 3.1] and [C.N.2, 6.15].

**2.6. THEOREM.** *For a space  $X$  with a  $\kappa$ -disjoint  $\pi$ -base  $B$ ,*

- (1)  $\kappa \geq \#$  (so  $\pi w(X) \geq \#$ ),
- (2) if  $\kappa = w\#$ ,  $X$  is  $\kappa$ -Baire, and if  $B$  is a base, then  $X$  has a dense subset of  $P_\kappa$ -points which is linearly orderable.

**PROOF.** (1) From 1.6 there is a family  $J$  of pairwise-disjoint nonempty regular-open subsets of  $X$  such that  $\bigcup J$  is dense in  $X$ ,  $w\#(\mathcal{R}(G)) = \#(\mathcal{R}(G)) \forall G \in J$ , and  $\# = \sup\{\#(\mathcal{R}(G)): G \in J\}$ . From 2.5(5)  $\kappa \geq \#(\mathcal{R}(G))$  for each  $G$ .

(2) Let  $B = \bigcup \{I_\alpha: \alpha \in \kappa\}$ , where each  $I_\alpha$  is pairwise-disjoint and has dense union. Let  $T$  be the tree guaranteed in 2.5 and set  $D = \{\bigcap b: b \text{ is a branch of } T, \text{ord}(b) = \kappa, \text{ and } \bigcap b \neq \emptyset\}$ . Since  $X$  is  $\kappa$ -Baire, 2.5(3) implies  $\bigcup D$  is dense. Since  $B$  is a base and  $T$  is a tree, 2.5(5) implies  $b$  is a well-ordered local base at  $\bigcap b$  and

$|\cap b| = 1$  whenever  $\cap b \in D$ . To see that  $\cup D$  is linearly orderable, follow the last paragraph of 2.3.  $\square$

**2.7. THEOREM.** *Suppose  $X$  is a space whose diagonal is the intersection of  $\kappa$  many open subsets of  $X \times X$ ; then  $\kappa \geq \#$ . Further, if  $\kappa = w\#$ ,  $X$  is  $\kappa$ -Baire, and if  $X$  is the intersection of at most  $\kappa$  many open subsets of  $\beta X$ , then  $X$  has a dense subset of  $P_\kappa$ -points which is linearly orderable.*

**PROOF.** Let  $\{\mathcal{O}(\alpha): \alpha \in \kappa\}$  be a collection of open sets of  $X \times X$  whose intersection is  $\Delta = \{(x, x): x \in X\}$ . Given  $x \in X$  and  $\alpha \in \kappa$ , there is a nbhd  $G$  of  $x$  such that  $G \times G \subseteq \mathcal{O}(\alpha)$ . Hence, for each  $\alpha \in \kappa$  there is a pairwise-disjoint collection  $I_\alpha \subseteq \mathfrak{R}(X)$  such that  $\text{cl}(\cup I_\alpha) = X$  and  $G \times G \subseteq \mathcal{O}(\alpha) \forall G \in I_\alpha$ . Let  $T$  be the tree of 2.5 constructed from  $\{I_\alpha: \alpha \in \kappa\}$ . If  $\lambda < w\#$ , then 2.5(5) implies  $\Delta \neq \cap \{\mathcal{O}(\alpha): \alpha \in \lambda\}$ . Following 2.5(1) shows  $\# \leq \kappa$ .

For the further, let  $\{H(\alpha): \alpha \in \lambda\}$  be a family of open subsets of  $\beta X$  whose intersection is  $X$ , and suppose  $\lambda \leq \kappa$ . For  $\alpha \in \kappa \sim \lambda$  set  $H(\alpha) = \beta X$ . For each  $\alpha \in \kappa$ , let

$$J_\alpha = \{H(\alpha) \cap \text{int}_{\beta X}(\text{cl}_{\beta X}(G)): G \in I_\alpha\}.$$

Let  $S \subseteq \mathfrak{R}(\beta X)$  be the tree of 2.5 constructed for  $\beta X$  from  $\{J_\alpha: \alpha \in \kappa\}$ . Using 2.5(2) we see  $\cap b$  is a nonempty compact subset of  $\beta X$  whenever  $b$  is a branch of  $S(\eta)$  for  $0 < \eta \leq \kappa$ . So  $b$  is always a local base for  $\cap b$  when  $\eta$  is a limit ordinal. Since  $\cap \{H(\alpha): \alpha \in \lambda\} = X$ , 2.5(5) implies  $\cap b \subseteq X$  whenever  $b$  is a branch of  $S(\eta)$  for  $\lambda \leq \eta \leq \kappa$ . Since  $\Delta = \cap \{\mathcal{O}(\alpha): \alpha \in \kappa\}$ , 2.5(5) implies  $|\cap b| = 1$  whenever  $b$  is a branch of  $S$  and  $\text{ord}(b) = \kappa$ . As  $X$  is  $\kappa$ -Baire, 2.5(3) implies  $\{x \in \cap b: b \text{ is a branch of } S, \text{ord}(b) = \kappa\}$  is dense in  $X$ . Now follow the last paragraph of 2.3.  $\square$

**2.8. COROLLARY.** *A Moore space is  $\mathfrak{G}$ -absolute with a linearly ordered space iff it has a dense metrizable subspace.*

**PROOF.** A Moore space is 1st countable so 2.3 applies. As it also has a  $G_\delta$ -diagonal 2.7, and hence 2.2, applies.  $\square$

**2.9. COROLLARY.** *For a Čech-complete space  $X$ , the following hold:*

- (1) *If  $w\#(\mathfrak{R}(X)) > \omega$ , then  $X$  has a dense open locally compact subspace.*
- (2) *If  $\#(\mathfrak{R}(X)) > \omega$  and  $X$  is perfectly normal, then  $X$  is not  $\omega_1$ -Baire.*
- (3) *If  $X$  has a  $G_\delta$ -diagonal, then  $X$  has a dense metrizable linearly orderable  $G_\delta$ -set.*

**PROOF.** (1) Consider  $\text{lv}(T, \omega)$  in the “further” of 2.7. (2) The Pressing Down Lemma implies  $\text{lv}(T, \omega_1) = \emptyset$  in the “further”. (3) This follows immediately from the “further” and 2.2.  $\square$

Recall [Ju1] the cardinal  $\kappa$  is a *caliber* for a space  $X$  if each collection of  $\kappa$  many nonempty open subsets of  $X$  contains a centered subfamily of cardinality  $\kappa$ . [Ju1, A2.2] shows that if  $X = {}^*\mathbb{2}$  is given the Tychonov product topology, then  $\omega_1$  is a caliber for  $X$  whenever  $\kappa \geq \omega_1$ .

Suppose  $X$  is a space for which  $\kappa$  is a caliber; if  $Y$  is the image of  $X$  under a continuous surjection and  $T$  is an unbounded tree of  $\mathfrak{R}(Y)$  satisfying 2.5(2) for  $Y$ , then  $|T| < \kappa$  since the inverse image of  $T$  satisfies 2.5(2).

The next theorem is essentially the theme of [Gv]. The preceding paragraph yields for us a shorter proof.

**2.10. THEOREM.** *A dyadic space is  $\mathfrak{G}$ -absolute with a linearly ordered space iff it is separable and metrizable.*

**PROOF.** ( $\Rightarrow$ )  $D$  is a dyadic space, if, by definition,  $D$  is a dense subset of a continuous image  $Y$  of  $2^{\omega}$ , where, by [Ju1, 4.9]  $\kappa = \pi w(Y) = w(Y)$ . From 2.1 and the above  $\kappa = \omega$  and so  $Y$  is a compact metric.  $\square$

**2.11. COROLLARY [Po].** *If a dyadic space is coabsolute with a metric space, it is metrizable.*

**2.12. REMARKS.** (1) A space is non-Archimedean (see [Ny] for a survey) provided it has a base in which every pair of members are either related by  $\subseteq$  or disjoint. By virtue of 1.2(4), these are spaces having inverted trees as bases. Observe that the space  $L$  of 2.1(2)  $\Rightarrow$  (3) and  $\psi(T)$  of 2.3 are non-Archimedean, while the dense subspaces in 2.6 and 2.7 are actually  $\kappa$ -metrizable. A technical modification of 2.1(2)  $\Rightarrow$  (3) shows that “linearly ordered” in 2.1(3) can be replaced by “subspace of linearly ordered”.

(2) Is there (in **ZFC**) a compact first countable space not the compactification of a linearly ordered space? We observe that the Pressing Down Lemma shows that if  $X$  is a Souslin line, then  $X \times [0, 1]$  has no dense linearly ordered subspace.

(3) In [Ta] methods for recognizing Souslin trees in topologies are investigated, and equivalences and implications of **SH** are given. We observe (from 1.6 and 2.9) that **SH** is equivalent to the statement “if  $X$  is an  $\omega_1$ -Baire Čech-complete perfectly normal space, then  $\#(\mathcal{R}(X)) \leq \omega$ ”.

(4) Does every compact almost  $P$ -space of weight  $2^\omega$  contain a dense linearly ordered subspace? From [C.N.1] the answer is yes if **CH** is assumed. From 1.12 and 2.3, a compact almost  $P$ -space of  $\pi$ -weight  $2^\omega$  contains a dense non-Archimedean linearly ordered subspace whenever it contains a dense set of points with well-ordered local bases. The last condition is necessary as every linearly ordered subspace of  $\mathfrak{S}(\beta N \sim N)$  contains no isolated points.

(5) 2.7 (for  $\kappa = \omega$ ) and 2.8 were originally proved independently of White’s result 2.4; however, 2.4 motivated 2.3. We observe that “Čech-complete” in 2.9 can be replaced by “ $p$ -space in the sense of Arhangel’skii”, and a similar generality works in 2.7.

**3. Coabsolutes of Stone-Čech remainders.** If  $Z$  is a zero set of a completely regular space  $X$ , then we let  $Z^* = \{x \in \beta X \sim X : Z \in x\}$ . So  $X^* = \beta X \sim X$ . It is well known (see [En]) that  $X^*$  can be nearly anything for a suitable pseudocompact space, and if  $X^*$  is dyadic, then  $X$  is pseudocompact. Thus, 2.10 especially encourages us to restrict our attention to a class of spaces in which every pseudocompact closed subspace is compact—in this case we consider the class of spaces with a compatible complete uniformity (which we will call *complete spaces*).<sup>4</sup> Further, if  $X$  is nowhere

<sup>4</sup>Shirota’s theorem says that when we assume no measurable cardinals exist, a space is complete iff it is realcompact. So the reader may wish to replace “complete” with “realcompact” throughout this section.

locally compact, then  $X^*$  and  $X$  are dense in  $\beta X$ . Therefore, we restrict our attention to complete locally compact noncompact spaces. With minor changes in proof the following is [Wo2, 3.2]:

3.1. LEMMA. *If  $X$  is complete, then  $X^*$  and  $(\mathfrak{C}(X))^*$  are coabsolute.*

3.2. LEMMA. *If  $X$  is a locally compact extremally disconnected noncompact space, then  $\exists \kappa \geq 2^\omega$  and a family  $\{D(\alpha): \alpha \in \kappa\}$  satisfying:*

(1) *Each  $D(\alpha)$  is the union of countably many pairwise-disjoint compact open subspaces of  $X$ .*

(2) *Either  $D(\alpha) \cong N$  or  $D(\alpha)$  has no isolated points.*

(3)  $\beta < \alpha \Rightarrow D(\beta)^* \cap D(\alpha)^* = \emptyset$ .

(4)  $X = \text{cl}(\bigcup \{D(\alpha)^*: \alpha \in \kappa\})$  and each  $D(\alpha)^*$  is open in  $X^*$ .

PROOF. Let  $E(0) = \{\{x\}: \{x\} \text{ is open in } X\}$  and choose, by local compactness, a maximal collection  $E(1)$  of pairwise disjoint nonempty open compact members of  $\mathfrak{R}(\text{int}(X \sim \bigcup E(0)))$ . For each  $n \in \{0, 1\}$  let  $\{e(\alpha, n): \alpha \in \kappa(n)\}$  be a listing of  $E(n)$  with a cardinal  $\kappa(n)$ .

If  $\kappa(n)$  is finite, let  $I(n) = \emptyset$ . If  $\kappa(n)$  is infinite, then choose  $I(n)$  to be a (maximal almost-disjoint) family of countably infinite subsets of  $\kappa(n)$  maximal w.r.t. "every intersection of distinct members is finite". We may choose  $|I(n)| \geq 2^\omega$  since it is well known [Ru] that  $\omega$  contains a maximal almost-disjoint family of cardinal  $2^\omega$ .

The desired family will be

$$\{D(i, n): i \in I(n), n \in \{0, 1\}\}, \quad \text{where } D(i, n) = \bigcup \{e(\alpha, n): \alpha \in i\}.$$

Its cardinality is at least  $2^\omega$  since  $X$  is not compact. (1) and (2) are certainly satisfied. (3) follows since  $I(n)$  is almost-disjoint or empty and  $(\bigcup E(0)) \cap (\bigcup E(1)) = \emptyset$ . If  $C$  is clopen in  $X$  and  $\emptyset \neq C^*$ , then  $\exists n \in \{0, 1\}$  and a countably infinite set  $j \subseteq \kappa(n)$  such that  $C \cap e(\alpha, n) \neq \emptyset$  for each  $\alpha \in j$  (otherwise,  $\bigcup (E(0) \cup E(1))$  would not be dense in  $X$ ). As  $I(n)$  is infinite and a maximal almost-disjoint family,  $i \cap j$  is infinite for some  $i \in I(n)$ . (4) follows since

$$\emptyset \neq \left( \bigcup \{C \cap e(\alpha, n): \alpha \in i \cap j\} \right)^* \subseteq C^* D(i, n)^*. \quad \square$$

3.3. LEMMA [F.G., 3.1]. *If  $X$  is realcompact and locally compact, then  $X^*$  is an almost  $P_{\omega_1}$ -space.*

3.4. THEOREM. *If  $X$  is a complete locally compact noncompact space each of whose nonempty open sets contains a nonempty open set of  $\pi$ -weight at most  $2^\omega$ , then  $X^*$  is coabsolute with a linearly ordered space having a dense set of  $P$ -points.*

PROOF. From 3.1 we may assume  $X$  is extremally disconnected, locally compact, noncompact with the same  $\pi$ -weight conditions. Thus, in 3.2 we may assume  $\pi w(e(\alpha, n)) \leq 2^\omega$ , and hence, from extremal disconnectedness  $2^\omega \leq \pi w(D(\alpha, i)^*) \leq (2^\omega)^\omega = 2^\omega$ . Since each  $D(\alpha, i)$  is  $\sigma$ -compact, each  $D(\alpha, i)^*$  is an almost  $P_{\omega_1}$ -space. From 1.3(2) and 1.12, each  $\mathfrak{R}(D(\alpha, i)^*)$ , and hence,  $\mathfrak{R}(X^*)$  has a cofinal tree  $T$  whose branches fail to have countable cofinality. The desired space is the Dedekind completion (with endpoints) of the space  $L$  in 2.1(2)  $\Rightarrow$  (3).  $\square$

As each locally compact metric space is the free union of  $\sigma$ -compact spaces which must have  $\pi$ -weight at most  $\omega$ , and as every locally compact metric space admits a complete uniformity, we have shown

3.5. COROLLARY. *A locally compact noncompact metric space  $X$  has  $X^*$  coabsolute with a linearly ordered space having a dense set of  $P$ -points.*

3.6. LEMMA. *If  $X$  is a locally compact, extremally disconnected, noncompact space with  $\pi w(X) = \omega$ , then  $X^*$  is homeomorphic to one of  $\mathbf{N}^*$ ,  $(\mathfrak{S}(\mathbf{R}))^*$ , or  $\mathbf{N}^* + \mathfrak{S}(\mathbf{R})^*$ .*

PROOF. Following the proof of 3.2,  $\pi w(X) = \omega$  yields  $X = E(0) \cup E(1)$ , where  $E(0)$  is the closure of all of the at most  $\omega$  isolated points of  $X$  and  $E(1) = X \sim E(0)$ . As  $X$  is extremally disconnected  $X^* = E(0)^* \cup E(1)^*$ .

When  $E(0)$  is not compact, each clopen set free ultrafilter on  $E(0)$  traces to an ultrafilter on  $\text{int}(E(0)) \cong \mathbf{N}$ ; therefore,  $E(0)^* \cong \mathbf{N}^*$ . When  $E(1)$  is not compact, it is the disjoint union of  $\omega$  many (since  $\pi w(X) = \omega$ ) compact spaces of  $\pi$ -weight  $\omega$  without isolated points. From [Si, 9c] each of the spaces is homeomorphic to  $\mathfrak{S}(\text{Cantor set})$ . Applying the same argument to  $\mathbf{R}$ , we have  $E(1) \cong \mathfrak{S}(\mathbf{R})$ .  $\square$

3.7. LEMMA. *If  $K$  is a compact space and if, for each  $n \in \{0, 1\}$ ,  $X(n)$  is the free union of a cardinal  $\kappa(n)$  many copies of  $K$ , where  $\omega \leq \kappa(n) \leq 2^\omega$ , then  $X(0)^*$  and  $X(1)^*$  contain homeomorphic dense open subspaces.*

PROOF. Write  $X(n) = \sum \{K(\alpha) : \alpha \in \kappa(n)\}$ , where  $K(\alpha) \cong K$  for each  $\alpha$ . Since  $\kappa(n) \leq 2^\omega$ ,  $\kappa(n)$  contains precisely  $2^\omega$  countably infinite subsets. Hence, following the argument in the proof of 3.2, we may choose a maximal almost-disjoint family  $I(n)$  of countably infinite subsets of  $\kappa(n)$  such that  $|I(n)| = 2^\omega$ . Then

$$\left\{ \left\{ x \in X(n)^* : \left( \left( \sum \{K(\alpha) : \alpha \in i\} \right) \sim K(\gamma) \right) \in x \forall \gamma \in i \right\} : i \in I(n) \right\}$$

is a pairwise-disjoint family of clopen subsets of  $X(n)^*$  whose union is dense in  $X(n)^*$  and whose members are homeomorphic to  $(\sum \{K(\alpha) : \alpha \in \omega\})^*$ .  $\square$

3.8. THEOREM. *If  $X$  is a complete locally compact noncompact space of  $\pi$ -weight at most  $2^\omega$ , and if every nonempty open set of  $X$  contains a nonempty open set of countable  $\pi$ -weight, then  $X^*$  is coabsolute with one of  $\mathbf{N}^*$ ,  $\mathbf{R}^*$ , or  $\mathbf{N}^* + \mathbf{R}^*$ .*

PROOF. Following the proof of 3.4, we observe that each  $D(\alpha, i)$  may also be assumed here to have countable  $\pi$ -weight, and from their construction in 3.2 there are precisely  $2^\omega$  of the sets  $D^*(\alpha, i)$  each of which is homeomorphic to one of the three spaces above by 3.6. Now apply 3.7 with  $K = \mathbf{N}^*$  and  $K = \mathbf{R}^*$ .  $\square$

3.9. COROLLARY. *Suppose  $X$  is a locally compact noncompact metric space of density at most  $2^\omega$ ; then  $X^*$  is coabsolute with*

- (1)  $\mathbf{N}^*$ , if  $X$  has a dense discrete subspace,
- (2)  $\mathbf{R}^*$ , if the set of isolated points of  $X$  has compact closure,
- (3)  $\mathbf{N}^* + \mathbf{R}^*$ , otherwise.

In [Wo1, Wo2] it is shown that if **CH** is assumed  $X^*$  is coabsolute with  $\mathbf{N}^*$  whenever  $X$  is locally compact, noncompact, and either metric of density at most  $2^\omega$

or with  $|\mathcal{R}(X)| = 2^\omega$ ; however, this follows from 1.14 which shows **CH** implies  $\mathcal{R}(\mathbf{N}^*) \equiv \mathcal{R}(Y)$  whenever  $Y$  is an almost  $P$ -space with  $\pi w(Y) = 2^\omega$  and no isolated points. We end this section with an example which shows  $2^\omega$  is essential in 3.4 and which allows us to remove **CH** from the hypothesis of Woods' results.

**3.10. EXAMPLE.** Suppose  $\kappa > \omega$  is a cardinal and  $\mathcal{D}(\kappa) = \Sigma\{\mathcal{D}(\kappa, n): n \in \omega\}$ , where each  $\mathcal{D}(\kappa, n) \cong {}^\kappa 2$  given the Tychonov product topology. If  $K$  denotes the linearly ordered space obtained from ordering  ${}^\omega 2$  lexicographically, then the following are equivalent:

- (1)  $\kappa \leq 2^\omega$ .
- (2)  $\mathcal{D}(\kappa)^*$  and  $K$  are coabsolute.
- (3)  $\mathcal{D}(\kappa)^*$  is coabsolute with a linearly ordered space.

**PROOF.** For each ordinal  $\alpha < \omega_1$ , we set

$$A(\alpha) = \{Z(f): \text{dom}(f) = \alpha\}, \quad \text{where } Z(f) = \{g \in {}^\kappa 2: g \upharpoonright \alpha = f\},$$

and  $L(\alpha) = \{\text{int}((\bigcup \{Z(f, n): n \in \omega\})^*): \forall n \in \omega \ Z(f, n) \in A(\alpha) \text{ and } Z(f, n) \subseteq D(\kappa, n)\}$ . Then  $T = \{L(\alpha): \alpha \in \omega_1\}$  is a tree in  $\mathcal{R}(\mathcal{D}(\kappa)^*)$  whose  $\alpha$ th level is  $L(\alpha)$ . We claim that  $T$  is an unbounded tree.

First we observe that  $\mathcal{D}(\kappa)^*$  has a  $\pi$ -base  $P(\kappa)$  of sets of the form  $\text{int}(Z^*)$  such that for each  $n \in \omega \ \exists h_n \in {}^\kappa 2$  and a countable set  $C_n \subseteq \kappa$  such that  $g \in Z \cap \mathcal{D}(\kappa, n)$  iff  $g \upharpoonright C_n = h_n$ . So if  $\alpha < \omega_1$  is the first ordinal with  $\omega_1 \cap (\bigcup \{C_n: n \in \omega\}) \subseteq \alpha$ , then  $\text{int}(Z^*)$  intersects two elements of  $L(\alpha + 1)$ . From 1.2(1),  $T$  is unbounded.

(1)  $\Rightarrow$  (2). From 1.9 and 1.12  $\mathcal{R}(\mathcal{D}(\kappa)^*)$  has a cofinal tree of height  $\omega_1$  since  $\pi w(\mathcal{D}(\kappa)^*) = 2^\omega$ . From 3.3 it has a cofinal tree order isomorphic to the union of the limit ordinal levels of  $\text{TREE}(\omega_1)$ . If  $L$  is constructed as in 2.1(2)  $\Rightarrow$  (3), then  $L$  is homeomorphic to a dense subspace of  $K$ .

(2)  $\Rightarrow$  (3). Obvious.

(3)  $\Rightarrow$  (1). As  $\mathcal{D}(\kappa)$  has caliber  $\omega_1$ , it follows that every pairwise-disjoint family of  $P(\kappa)$  has cardinality at most  $2^\omega$ . On the other hand,  $\pi w(\mathcal{D}(\kappa)^*)$  is at least  $2^\omega + \kappa$ . So if  $\mathcal{D}(\kappa)^*$  is coabsolute with a linearly ordered space, then  $\mathcal{R}(\mathcal{D}(\kappa)^*)$  has a cofinal tree  $S$  of height  $\omega_1$ . Since  $|S| = 2^\omega \cdot \omega_1 = 2^\omega$  and  $S$  is a  $\pi$ -base of  $\mathcal{D}(\kappa)^*$ ,  $\kappa \leq 2^\omega$ .  $\square$

**3.11. LEMMA.** *If  $X$  is a locally compact complete noncompact space, then  $\#(\mathcal{R}(X^*)) \leq \#(\mathcal{R}(\mathbf{N}^*))$ .*

**PROOF.** From 3.1 and 3.2  $X = \Sigma\{X(n): n \in \omega\}$ , where each  $X(n)$  is compact and extremally disconnected, can be assumed. One readily observes that the map  $X(n) \rightarrow n$  induces a function from  $\mathcal{R}(\mathbf{N}^*)$  to  $\mathcal{R}(X^*)$  which takes unbounded trees to unbounded trees isomorphic to their pre-images.  $\square$

**3.12. THEOREM.** *The following are equivalent statements in **ZFC**:*

- (1)  $\mathbf{N}^*$  and  $D(\omega_1)^*$  are coabsolute.
- (2)  $\#(\mathcal{R}(\mathbf{N}^*)) = \omega_1$ .
- (3) *If  $X$  is a locally compact complete noncompact space and if  $\pi w(X) \leq 2^\omega$ , then  $X^*$  and  $\mathbf{N}^*$  are coabsolute.*



PROOF. Since  $\#(\mathfrak{R}(\mathfrak{D}(\omega_1)^*)) = \omega_1$ ,  $(1) \Rightarrow (2)$ . From 3.11  $\#(\mathfrak{R}(X^*)) = \omega_1$  so  $(2) \Rightarrow (3)$  is similar to 3.10  $(3) \Rightarrow (1)$ .  $(3) \Rightarrow (1)$  is obvious.  $\square$

As we have observed before **CH** implies  $\#(\mathfrak{R}(N^*)) = \omega_1$ . However, as remarked in [B.P.S.] there are numerous models of **ZFC** +  $\neg$ **CH** in which  $\#(\mathfrak{R}(N^*)) = \omega_1$ , for example in any model  $\mathfrak{M}$  for which  $\mathfrak{M} \models \omega$  to have an  $\omega_1$ -scale [He] and  $\mathfrak{M} \models \omega_1 < 2^\omega$ . On the other hand, **MA** +  $\neg$ **CH** implies  $\mathfrak{R}(N^*)$  is cofinally  $\kappa$ -closed  $\forall \kappa < 2^\omega$  [Ru], and hence,  $\#(\mathfrak{R}(N^*)) = 2^\omega > \omega_1$ . Therefore, we have

3.13. COROLLARY. *The following statement is implied by CH, and is consistent with and independent of  $\neg$ CH: If  $X$  is a locally compact complete noncompact space with  $\pi\omega(X) \leq 2^\omega$ , then  $X^*$  and  $N^*$  are coabsolute.*

3.14. REMARKS. (1) 3.7 was communicated verbally to the author by S. Broverman for the case  $|K| = 1$ . The proof he gave is similar. R. G. Woods has informed us that 3.9(2) can also be proved using a recent result of E. K. van Douwen on remote points and a theorem in [Wo3].

(2) Are  $N^*$  and  $R^*$  coabsolute? As  $N^*$  is an almost  $P_\kappa$ -space iff  $R^*$  is an almost  $P_\kappa$ -space [vD1], we observe that a proof similar to that of 3.12  $(2) \Rightarrow (3)$  shows  $N^*$  and  $R^*$  are coabsolute whenever  $N^*$  is an almost  $P_\kappa$ -space  $\forall \kappa < \#(\mathfrak{R}(N^*))$ . The latter is true in a variety of situations (including **MA**). However, we do not know whether a negative answer is consistent.

(3) 3.10 was motivated by an example in [vD.vM.] called here  $\mathfrak{D}(2^\omega)$ .

(4) In the first draft of this paper we used a result in [vD2] to show 3.11. Originally we obtained 3.13 prior to 1.12 (and independently of [B.P.S.]); however, our proof was longer while a key lemma to our short proof in the first draft of this paper was false.

The author owes an appreciation to a large number of people for their comments, suggestions, and answers to queries. We acknowledge especially F. D. Tall, who communicated [B.P.S.] and advised us of relevant works in the Soviet Union; E. K. van Douwen, whose comments on [Wi] and whose preprints were timely; and the referees who waded through numerous terse arguments and frequent typographical and grammatical errors.

ADDED IN PROOF. The answer to the questions in 2.12(2) is “yes” (Todorćević); in 2.12(4) is “not  $\mathfrak{D}(\omega_2)$ ” (myself) and it is consistent that  $X^*$  has no dense linearly ordered subspace for every non-pseudo-compact space  $X$ .

## REFERENCES

- [Bu] B. J. Burgess, *Forcing*, Handbook of Mathematical Logic, North-Holland, Amsterdam, 1977, pp. 403–452.
- [B.P.S.] B. Balcar, J. Pelant and P. Simon, *The space of ultrafilters on  $N$  covered by nowhere dense sets*, Fund. Math. **110** (1980), 11–24.
- [Gv] G. Čertnov, *On spaces with the Martin property which are co-absolute with linearly ordered spaces*, Vestnik Moskov. Univ. Ser. I Mat. Meh. **28** (1973), 10–17.
- [C.N.1] W. W. Comfort and S. Negrepontis, *Homeomorphs of three subspaces of  $\beta N \sim N$* , Math. Z. **107** (1968), 53–58.
- [C.N.2] ———, *The theory of ultrafilters*, Die Grundlehren der Math. Wissenschaften, Band 211, Springer-Verlag, Berlin and New York, 1974.

- [vD1] E. K. van Douwen, *Martin's axiom and pathological points in  $\beta X \sim X$* , unpublished.
- [vD2] ———, *Transfer of information about  $\beta N \sim N$  via open remainder maps*, preprint.
- [vD.vM.] E. K. van Douwen and J. van Mill, *Parovicenko's characterization of  $\beta\omega - \omega$  implies CH*, Proc. Amer. Math. Soc. **72** (1978), 539–541.
- [En] R. Engelking, *General topology*, PWN, Warsaw, 1977.
- [F.G.] N. J. Fine and L. Gillman, *Extensions of continuous functions in  $\beta N$* , Bull. Amer. Math. Soc. **66** (1960), 376–381.
- [He] S. H. Hechler, *On the existence of certain cofinal subsets of  $\omega_\omega$* , Proc. Sympos. Pure Math., vol. 13, Part II, Amer. Math. Soc., Providence, R.I., 1974, pp. 153–173.
- [Je] T. Jech, *Lectures in set theory*, Lecture Notes in Math., vol. 217, Springer-Verlag, Berlin and New York, 1971.
- [Ju1] I. Juhasz, *Cardinal functions in topology*, Math. Centre Tracts no. 34, Mathematisch Centrum, 1971.
- [Ju2] ———, *Consistency results in topology*, Handbook of Mathematical Logic, North-Holland, Amsterdam, 1977, pp. 503–522.
- [Ku] K. Kunen, *Combinatorics*, Handbook of Mathematical Logic, North-Holland, Amsterdam, 1977, pp. 371–401.
- [Le] R. Levy, *Almost P-spaces*, Canad. J. Math. **31** (1977), 284–288.
- [Ny] P. Nyikos, *Some surprising base properties in topology*. II, Set-Theoretic Topology, Academic Press, New York, 1977, pp. 277–305.
- [Po] V. Ponomarev, *On the absolutes of a topological space*, Soviet Math. **4** (1963), 299–302.
- [P.S.] V. Ponomarev and L. Šapiro, *Absolutes of topological spaces and their continuous maps*, Russian Math. Surveys **31** (1976), 138–154.
- [Ru] M. E. Rudin, *Lectures in set-theoretic topology*, CBMS Regional Conf. Ser. in Math., no. 23, Amer. Math. Soc., Providence, R.I., 1977.
- [Si] R. Sikorski, *Boolean algebras*, Ergebnisse der Math. und ihrer Grenzgebiete, Band 25, Springer-Verlag, Berlin and New York, 1969.
- [Ta] F. D. Tall, *Stalking the Souslin tree—a topological guide*, Canad. Math. Bull. **19** (1976), 337–341.
- [Wh] H. E. White, *First countable spaces having special pseudobases*, Canad. Math. Bull. **21** (1978), 103–112.
- [Wi] S. W. Williams, *An application of trees to topology*, Topology Proc. **3** (1978), 523–525.
- [Wo1] R. G. Woods, *A Boolean algebra of regular closed subsets of  $\beta X \sim X$* , Trans. Amer. Math. Soc. **154** (1971), 23–36.
- [Wo2] ———, *Co-absolutes of remainders of Stone-Čech compactifications*, Pacific J. Math. **37** (1971), 545–560.
- [Wo3] ———, *Ideals of pseudocompact regular closed sets and absolutes of Hewitt realcompactifications*, General Topology Appl. **2** (1972), 315–331.

DEPARTMENT OF MATHEMATICS, STATE UNIVERSITY OF NEW YORK, BUFFALO, NEW YORK 14214