

## LOCAL BLOW-UP OF STRATIFIED SETS UP TO BORDISM

BY

S. BUONCRISTIANO AND M. DEDÒ

**ABSTRACT.** Homological obstructions are given, whose vanishing is a necessary and sufficient condition for the existence of a blow-up of an abstract prestratification  $V$  along a 'locally top-dimensional' substratification  $V'$ .

**Introduction.** Let us begin with an example. Let  $W$  be the figure eight in the plane, in which we distinguish two strata, namely  $O$  and  $Y = W - O$ . Let  $\Sigma\mathbf{P}$  be the suspension on the real projective plane with strata  $Z = A \cup B$  and  $X = \Sigma\mathbf{P} - Z$ , where  $A$  and  $B$  are the vertices of  $\Sigma\mathbf{P}$ . The product  $V = W \times \Sigma\mathbf{P}$  has singularity  $SV$  formed by the strata  $O \times X$ ,  $O \times Z$ ,  $Y \times Z$ . It is easy to see that  $V$  does not admit a blow-up which is a smooth manifold, since the link of  $Y \times Z$  in  $V$  is the manifold  $\mathbf{P}$  which does not bound. Thus we may study the following problem of local resolvability. Let  $V' = O \times \Sigma\mathbf{P}$  with strata  $O \times X$ ,  $O \times Z$  and let  $N$  be a small neighbourhood of  $V'$  in  $V$ ;  $N$  has singularity  $SN$  in codimension one, while  $N - V'$  has singularity in codimension two. Is it possible to construct a blow-up  $\hat{V} \rightarrow V$  which "coincides" with  $V$  outside  $N$  and such that the codimension of  $S\hat{V}$  is equal to two? In the example which we are considering,  $\hat{V}$  is easily obtained: it suffices to take  $\hat{V} = \hat{W} \times \Sigma\mathbf{P}$ , where  $\hat{W}$  is a resolution of  $W$  as indicated in Figure 1.

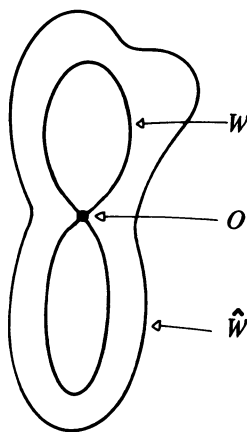


FIGURE 1

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In this paper we study the problem of local resolution in the context of abstract prestratifications (according to Mather [8]). Let  $V$  be such a stratified set and  $V' \subset V$  a substratification which is locally top-dimensional (Definition 2.1). Let  $N = T(V', V)$  be the tubular neighbourhood of  $V'$  in  $V$ . Theorem 2.13 gives homological obstructions whose vanishing is a necessary and sufficient condition for the existence of a blow-up  $\hat{V} \rightarrow V$  along  $V'$  (Definition 2.3) which replaces  $N$  by  $\hat{N}$  such that  $\dim S\hat{N} = \dim S(N - V')$ . Thus the problem is that of resolving the “higher” strata of  $V'$  up to a blow-up.

The paper is organised as follows.

In §1 we recall those properties of stratified sets which will be used in the sequel. We prove that the bordism theory of stratified cycles is an ordinary homology theory with coefficients in  $\mathbf{Z}_2$ . Then we define auxiliary objects, namely stratified sets endowed with a compatible system of trivialisations of their tubular neighbourhoods. These objects are not without independent interest.

§2 is dedicated to the definition of the obstructions and to the proof of Theorem 2.13.

In §3 we briefly explain the difficulties which arise in trying to extend the previously developed theory to the case of  $G$ -stratified sets, where  $G$  is one of the classical Lie groups  $SO$ ,  $U$ ,  $SU$ ,  $Sp$ . As one should expect, the vanishing of the obstructions is now a sufficient condition for the existence of  $\hat{V}$  only in the presence of additional hypothesis on the homology of  $V'$ .

## 1. Stratified sets.

*Notation.*  $[ \ ]$  will mean homology class, bordism class in the appropriate group, or fundamental class, depending on the context.

$A + B$  will be the disjoint union of the topological spaces  $A$  and  $B$ .

Manifold will mean  $C^\infty$  manifold of finite dimension.

Up to §3, (co)homology will be with  $\mathbf{Z}_2$  coefficients.

Below we recall the definition and the basic properties of a (bounded) stratified set; for full details the reader is referred to [8, 7, 17, 14].

1.1 DEFINITION. A *stratified set* is a triple  $\{V, \chi, \tau\}$  satisfying the following axioms:

(A1)  $V$  is a Hausdorff, locally compact, topological space with a countable basis for its topology. In  $V$  there is given a closed subset  $\dot{V}$ .

(A2)  $\chi$  is a family of locally closed subsets of  $V$  and  $V$  is the disjoint union of the members of  $\chi$ .

The members of  $\chi$  are called the *strata* of  $V$ .

(A3) Each stratum  $X$  of  $V$  is a topological manifold (in the induced topology), possibly with boundary  $\partial X$ , provided with a smooth structure of class  $C^\infty$ .  $\dot{V}$  is the disjoint union of  $\{\partial X, X \in \chi\}$ .

(A4) The family  $\chi$  is locally finite.

(A5) The family  $\chi$  satisfies the axiom of the frontier: if  $X, Y \in \chi$  and  $Y \cap \bar{X} \neq \emptyset$ , then  $Y \subseteq \bar{X}$ ; if  $Y \subseteq \bar{X}$  and  $Y \neq X$ , we write  $Y < X$ .

(A6)  $\tau$  is a triple  $\{\{T_X\}, \{\pi_X\}, \{\rho_X\}\}$ , where, for each  $X \in \chi$ ,  $T_X$  is an open neighbourhood of  $X$  in  $V$ ,  $\pi_X$  is a continuous retraction of  $T_X$  onto  $X$ ,  $\pi_X|_{T_X \cap \dot{V}}$  is

a continuous retraction of  $T_X \cap \dot{V}$  onto  $\partial X$  and  $\rho_X$  is a continuous function  $\rho_X: T_X \rightarrow [0, \infty)$ .

$T_X$  is called the *tubular neighbourhood* of  $X$ ,  $\pi_X$  the *local retraction* of  $T_X$  onto  $X$  and  $\rho_X$  the *tubular function* of  $X$ .

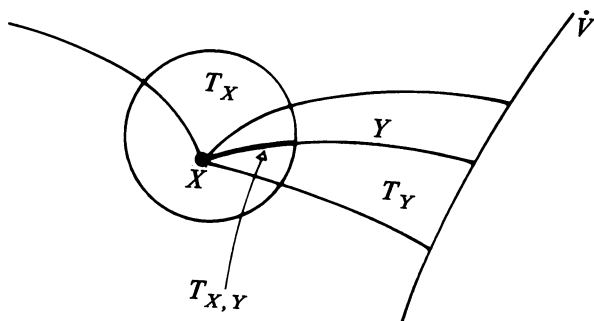


FIGURE 2

(A7)  $X = \{v \in T_X: \rho_X(v) = 0\}$ . If  $X$  and  $Y$  are any strata, we let  $T_{X,Y} = T_X \cap Y$ ,  $\pi_{X,Y} = \pi_X|_{T_{X,Y}}$  and  $\rho_{X,Y} = \rho_X|_{T_{X,Y}}$  (see Figure 2). Then  $\pi_{X,Y}$  is a map of  $T_{X,Y}$  onto  $X$  and  $\rho_{X,Y}$  is a map of  $T_{X,Y}$  onto  $(0, \infty)$ . Note that  $T_{X,Y} \cap \dot{V} = T_X \cap \partial Y$ .

(A8) For any strata  $X < Y$ , the maps

$$\begin{aligned} (\pi_{X,Y}, \rho_{X,Y}): T_{X,Y} &\rightarrow X \times (0, \infty), \\ (\pi_{X,Y}|, \rho_{X,Y}|): T_{X,Y} \cap \dot{V} &\rightarrow \partial X \times (0, \infty) \end{aligned}$$

are smooth submersions.

(A9) For any strata  $X, Y, Z$  we have

$$\begin{aligned} \pi_{X,Y} \pi_{Y,Z}(v) &= \pi_{X,Z}(v), \\ \rho_{X,Y} \pi_{Y,Z}(v) &= \rho_{X,Z}(v) \end{aligned}$$

whenever both sides of these equations are defined, i.e. whenever  $v \in T_{Y,Z}$  and  $\pi_{Y,Z}(v) \in T_{X,Y}$ .

(A10) If  $X, Y$  are strata and  $T_{X,Y} \neq \emptyset$ , then  $X < Y$ .

(A11) If  $X, Y$  are strata and  $T_X \cap T_Y \neq \emptyset$ , then  $X$  and  $Y$  are comparable, i.e. one of the following holds:  $X < Y$ ,  $Y < X$  or  $X = Y$ .

We say that  $(V, \chi, \tau)$  is a stratified set *bounded* by  $\dot{V}$ . If  $\dot{V} = \emptyset$ ,  $V$  is an *unbounded* stratified set with  $\dot{\chi} = \{\partial X, X \in \chi\}$  and  $\dot{\tau} = \{\{T_X \cap \dot{V}\}, \{\pi_X\}, \{\rho_X\}\}$ .

We shall avoid mentioning  $\dot{V}$ , when this is obvious from the context.

Let  $(V, \chi, \tau)$  be a compact stratified set. The *cone*  $(cV, c\chi, c\tau)$  is defined as the mapping cylinder stratified set of the constant map  $f: V \rightarrow \{0\}$ .

The *open cone*  $(c\dot{V}, c\dot{\chi}, c\dot{\tau})$  is the open mapping cylinder  $c\dot{V} = V \times [0, \infty)/(x, 0) \sim (x', 0)$  with an obvious stratification and structure. In particular we have the tubular function  $\rho_0: c\dot{V} \rightarrow [0, \infty)$ ,  $\rho_0(x, t) = t$ .

A subset  $\chi'$  of  $\chi$  is a *substratification* of  $\chi$  if, for every pair  $(X, Y) \in \chi' \times \chi$ ,  $Y < X$  implies  $Y \in \chi'$ .

Then  $V' = \bigcup_{X \in \chi'} X$  is a closed subspace of  $V$  and is a stratified set with tubular neighbourhoods

$$\tau' = \{ \{T_X \cap V'\}, \{\pi_X|_{T_X \cap V'}\}, \{\rho_X|_{T_X \cap V'}\}, X \in \chi' \}.$$

$V'$  will be called a *substratified set* of  $V$ .

If  $X$  is a stratum, then  $\bar{X} = \bigcup_{Y \leq X} Y$  is a substratified set of  $V$ ; more generally, for any subset  $\zeta$  of  $\chi$ , we have a substratified set  $\bar{\zeta} = \{Y \in \chi: Y \leq X \in \zeta\}$ , called the *closure* of  $\zeta$ .

A stratum  $X$  of  $\chi$  is *principal* if there is no  $Y \in \chi$  such that  $X < Y$ .

If  $X \in \chi$ , the *frontier*  $\mathfrak{F}X$  is the substratified set  $\mathfrak{F}X = \{Y \in \chi: Y < X\}$ .

The *i-skeleton*  $\chi^{(i)} = \{X \in \chi: \dim X \leq i\}$  is a substratified set of  $V$ .

We define the *dimension* of  $V$  as the integer  $\dim V = \max\{\dim X, X \in \chi\}$ .

The *depth* of  $V$  is the integer  $d$  such that, if  $n$  is the dimension of  $V$ , then  $\chi^{(n-d)} \neq \{\emptyset\}$ , while  $\chi^{(n-h)} = \{\emptyset\}$  for  $h > d$ . Let  $W$  be a subset of  $V$  and suppose that  $W$  itself is a stratified set. We say that  $W$  is a *restriction* of  $V$  if:

(a) for each stratum  $X$  of  $W$  there is a stratum  $X'$  of  $V$  such that  $X$  is a framed  $C^\infty$  proper submanifold of  $X'$ ;

(b)  $T_X = T_{X' \cap W}$ ;  $\pi_X = \pi_{X'}|_{T_X}$ ;  $\rho_X = \rho_{X'}|_{T_X}$ .

Let  $X \in \chi$ . Consider  $V_X = \rho_X^{-1}[0, 1]$  and  $\dot{V}_X = \rho_X^{-1}(1)$ .  $V_X$  will be referred to as the *closed tubular neighbourhood* of  $X$  in  $V$ .  $V_X$  is a stratified set with strata  $\{V_X \cap Y, X \leq Y\}$  bounded by  $\dot{V}_X \cup (V_X \cap \dot{V})$ .

If  $Y > X$ , the tubular neighbourhood  $T'_Y$  and the projections  $\pi'_Y$  are obtained by restricting the corresponding  $T_Y$  and  $\pi_Y$ ; the tubular functions  $\rho'_Y$  are the restrictions of the  $\rho_Y$ ; for  $Y = X$ , we define  $T'_X = V_X - \dot{V}_X$ ,  $\pi'_X = \pi_X|_{T'_X}$  and we need to reparametrize  $\rho_X$  by the formula  $\rho'_X(v) = \tan(\rho_X(v) \cdot \pi/2)$ .

More generally, let  $V' \subset V$  be a substratified set. We call  $T(V', V) = \bigcup_{X \in \chi'} V_X$  the *closed tubular neighbourhood* of  $V'$  in  $V$ .

The *exterior* of  $V'$  in  $V$  is defined by  $E(V', V) = \overline{V - T(V', V)}$ .

Similarly to the case  $V' = X$  above, one may define a structure of stratified sets on  $T(V', V)$  and  $E(V', V)$ .

Let  $\dot{T}(V', V)$  be the topological frontier of  $T(V', V)$ ; let  $\underline{X} = E(\mathfrak{F}X, \bar{X})$  and  $V_{\underline{X}} = \pi_X^{-1}(\underline{X})$ . Then  $V = \bigcup_{X \in \chi} V_{\underline{X}}$ . Using this decomposition of  $V$  and the stratified sets  $V_X$ ,  $\dot{V}_X$  defined above, one is able to prove the following:

(a)  $\dot{T}(V', V)$  has a structure of a stratified set which is a restriction of  $(V, \chi, \tau)$ ;  $T(V', V)$  is bounded by  $\dot{T}(V', V) \cup T(V' \cap \dot{V}, \dot{V})$ .

(b)  $T(V', V)$  is the mapping cylinder of a projection  $\pi: \dot{T}(V', V) \rightarrow V'$ .

For a proof the reader is referred to [17, 2.9].

Similarly  $E(V', V)$  is a stratified set bounded by

$$\dot{E}(V', V) = \dot{T}(V', V) \cup E(V' \cap \dot{V}, \dot{V}).$$

When there is no possibility of misunderstanding, we shall write  $T_{V'}$  and  $E_{V'}$  instead of  $T(V', V)$  and  $E(V', V)$ .

Another important property of  $V$  which we shall use is the following:  $V$  has the homotopy type of a finite dimensional CW-complex [17, 2.8].

Finally, we call *isomorphism* of stratified sets a homeomorphism  $f: V \rightarrow V'$  such that:

(a) to each  $X \in \chi$  there corresponds a  $X' \in \chi'$  such that  $f$  restricts to a diffeomorphism between  $T_{X,Y}$  and  $T_{X',Y'}$ , for all  $X \leq Y$ ;

(b)  $f\pi_X(v) = \pi_{X'}f(v)$ ;

(c)  $\rho_{X'}f(v) = \rho_X(v)$ .

*Homology via stratified sets.* Let  $V$  be a compact stratified set of dimension  $n$ . The  $(n-1)$ -skeleton of  $V$  will be referred to as the *singularity* of  $V$ , written  $SV$ .

1.2 DEFINITION. A *closed stratified  $n$ -cycle* is a (necessarily unbounded) compact stratified set  $V$  of dimension  $n$  such that:

(a)  $V = \overline{V - SV}$ ;

(b)  $V - SV$  is an  $n$ -dimensional manifold without boundary;

(c)  $\dim SV < n - 1$ .

Let us consider a pair  $(V, \partial V)$  with  $V$  a compact stratified set bounded by  $\dot{V}$  and  $\dot{V} \subset \partial V \subset V$ ; assume that  $\partial V - \dot{V}$  is a substratified set of  $V$ . Then  $\partial V$  has a structure of an unbounded stratified set induced by the structure of  $\dot{V}$  and that of  $\partial V - \dot{V}$ .

1.3 DEFINITION. A pair  $(V, \partial V)$  as above is a *relative stratified  $n$ -cycle* (with boundary  $\partial V$ ) if:

(a)  $V = \overline{V - SV}$ ;

(b)  $V - SV$  is an  $n$ -dimensional manifold with boundary  $\partial V - SV$ ;

(c)  $\partial V$  is a closed stratified  $(n-1)$ -cycle with  $S(\partial V) = SV \cap \partial V$ ;

(d)  $\dim SV < n - 1$ .

Of course  $\partial V$  may be empty, and in this case the above definition coincides with 1.2.

Stratified cycles satisfy the following two easy but important properties.

( $\mathcal{P}$ ) Let  $I = [0, 1]$  with the obvious structure of stratified set bounded by  $\dot{I}$ ; then  $V \times I$  may be given the structure of a relative stratified cycle with boundary  $V \times \dot{I} \cup \partial V \times I$ .

( $\mathcal{G}$ ) Let  $V, W$  be stratified  $n$ -cycles;  $\partial V = V' \cup V''$  with  $V'$  and  $V''$  relative stratified  $(n-1)$ -cycles and  $V' \cap V'' = \partial V' = \partial V''$  and similarly for  $\partial W = W' \cup W''$ . If  $h: V' \rightarrow W'$  is an isomorphism, then the union  $U = V \cup_h W$  may be given the structure of a stratified  $n$ -cycle with boundary  $\partial U = V'' \cup_h W''$ .

Using the above properties, the *bordism group* of stratified  $n$ -cycles in a topological pair  $(A, B)$  may be defined in the usual way [5, §5]. It will be written  $H_n^X(A, B)$ . This is a functor on the category of topological pairs and continuous maps and there is a natural degree  $-1$  transformation  $\partial^X: H_n^X(A, B) \rightarrow H_{n-1}^X(B, \emptyset)$  obtained by restriction to the boundary.

1.4 THEOREM.  $\{H_n^X(A, B), \partial^X\}$  is an ordinary homology theory with  $\mathbb{Z}_2$  coefficients.

PROOF. The homotopy axiom and the exactness axiom are proved much in the same way as in the case of smooth bordism, using ( $\mathcal{P}$ ) and ( $\mathcal{G}$ ) [5, §5]. We leave the easy details to the reader. In order to prove the Mayer-Vietoris axiom we need the following definitions and lemma.

A closed subset  $P$  of the stratified  $n$ -set  $V$  is *controlled* if  $P \cap T_X = \pi_X^{-1}(P \cap X)$  for  $X \in \chi$ .

Let  $f: V \rightarrow A$  be a continuous map from the stratified set  $V$  to the topological space  $A$ ;  $f$  is said to be *controlled* if  $f\pi_X = f$  on  $T_X$ , for each  $X \in \chi$ .

In particular, if  $f$  is controlled and  $C$  is a closed subset of  $A$ , then  $f^{-1}(C)$  is a controlled subset of  $V$ .

Let  $P$  and  $Q$  be two closed disjoint subsets of the stratified  $n$ -set  $V$  and let  $W$  be an  $(n-1)$ -dimensional restriction of  $V$ . Assume  $V$  is connected. We say that  $W$  *separates*  $P$  and  $Q$  if  $V - W$  consists of two connected components  $V_P$  and  $V_Q$  such that  $P \subset V_P$  and  $Q \subset V_Q$ . The definition extends immediately to a general  $V$  componentwise.

**1.5 LEMMA.** *Let  $P$  and  $Q$  be disjoint controlled subsets of the stratified relative  $n$ -cycle  $V$ . There exists a restriction  $W$  of  $V$  such that  $W$  separates  $P$  and  $Q$ . Moreover, if  $W' \subset \partial V$  separates  $P \cap \partial V$  and  $Q \cap \partial V$ , we may choose  $W$  such that  $W \cap \partial V = W'$ .*

**PROOF.** By induction on the depth  $d$  of  $V$ . If  $d = 0$ ,  $V$  is a manifold and the proposition is proved in [5, 3.1, p. 10].

Let  $V$  have depth  $d$  and assume the proposition true for stratified cycles of depth  $< d$ . For simplicity assume that there is only one stratum  $X$  in  $X^{(d)}$ ; otherwise one applies the same argument simultaneously to all the strata in  $X^{(d)}$ . Assume also  $\partial V = \emptyset$ .

$X$  is an unbounded compact manifold and thus (by the case  $d = 0$ ) there exists a submanifold  $W(X)$  of  $X$  such that  $W(X)$  separates  $P \cap X$  and  $Q \cap X$ . Consider the exterior  $E(X, V)$ .  $E(X, V)$  is a stratified set bounded by  $\dot{V}_X = \rho_X^{-1}[0, 1]$ ; the submersion conditions for  $\pi_X$  imply that  $W(\dot{E}) = \pi_X^{-1}(W(X)) \cap \dot{V}_X$  is a stratified set restriction of  $\dot{V}_X$ .

Now  $E(X, V)$  has depth  $< d$ ,  $P \cap E(X, V)$  and  $Q \cap E(X, V)$  are controlled subsets of  $E(X, V)$  and  $W(\dot{E})$  separates  $P \cap \dot{E}(X, V)$  and  $Q \cap \dot{E}(X, V)$ . Thus, by the inductive hypothesis,  $W(\dot{E})$  extends to  $W(E) \subset E(X, V)$  separating  $P \cap E(X, V)$  and  $Q \cap E(X, V)$ . Finally the required  $W$  is given by  $W(E) \cup \pi_X^{-1}(W(X)) \cap V_X$ , the union being taken along  $W(\dot{E})$ . It is clear now that the same arguments allow one to deal with the case  $\partial V \neq \emptyset$ .  $\square$

**PROOF OF 1.4 (CONTINUATION).** Now we use the lemma to show that the Mayer-Vietoris axiom is satisfied. Let  $f: V \rightarrow A$  be a continuous map from the stratified set  $V$  to the topological space  $A$ . Using the mapping cylinder structure of  $T_X$  and the H.E.P., it is easy to prove (by induction on the depth of  $V$ ) that  $f$  is homotopic to a controlled map; moreover, if  $f_0$  and  $f_1$  are controlled and homotopic, then there exists a controlled homotopy joining  $f_0$  to  $f_1$ .

Now assume that  $V$  is a closed stratified  $n$ -cycle and  $A = A_1 \cup A_2$  with  $A_i$  open in  $A$ , so that we have an element  $[f: V \rightarrow A] \in H_n^X(A)$ . We seek to define a Mayer-Vietoris homomorphism  $\Delta: H_n^X(A) \rightarrow H_{n-1}^X(A_1 \cap A_2)$ . Up to homotopy, assume  $f$  is controlled; then  $P = f^{-1}(A - A_1)$  and  $Q = f^{-1}(A - A_2)$  are disjoint (closed) controlled subsets of  $V$ . If  $W$  is as in the lemma we define  $\Delta[f: V \rightarrow A] = [f: W \rightarrow A]$ .

By relativising the above construction in an obvious way, one sees that  $\Delta$  is a well-defined homomorphism. At this point the Mayer-Vietoris axiom follows from standard bordism-type arguments. Finally, the dimension axiom is an immediate consequence of the following property of stratified cycles.

(©) *cone construction*. If  $V$  is a closed stratified cycle of positive dimension,  $cV$  is a relative cycle with boundary  $V$ .

This completes the proof of the theorem.  $\square$

*Trivialised sets*. Roughly speaking, these are stratified sets in which every tubular neighbourhood is a fibre bundle provided with a trivialisation. The system of trivialisations is required to be “compatible” with the projections and the tubular functions in the sense explained below. An alternative (equivalent) definition of a trivialised set is sketched at the end of this section.

1.6 DEFINITION. A *trivial set* is a stratified set  $(V, \chi, \tau)$  such that, for each  $X \in \chi$  there is given an open cone  $D(X)$  and a homeomorphism  $h_X: T_X \rightarrow X \times D(X)$ , called *trivialisation*.

1.7 DEFINITION. A *trivialised set* is a trivial set  $(V, \chi, \tau, \{D(X)\}, \{h_X\})$  satisfying the following compatibility conditions.

If  $X \leq Y$ , then  $h_X$  restricts to a diffeomorphism between  $T_{X,Y}$  and  $X \times D(X)_Y$ , with  $D(X)_Y$  a stratum of  $D(X)$ ;  $D(X)$  is in turn a trivial set with strata  $\{D(X)_Y: X \leq Y\}$  and  $D(Y) = D(D(X)_Y)$  (compatibility of duals);  $h_X(T_X \cap T_Y) = X \times T_{D(X)_Y}$ .

On  $T_X \cap T_Y$  we have

$$\begin{aligned} (1_X \times \pi_{D(X)_Y})h_X &= h_X \pi_Y, \\ (1_X \times h_{D(X)_Y})h_X &= (h_X \times 1_{D(Y)})h_Y \end{aligned}$$

(compatibility of trivialisations with projections: see diagram  $(1)_V$ ) and

$$\rho_{D(X)_Y} p_2 h_X = \rho_Y; \quad \rho_0 p_2 h_{D(X)_Y} = \rho_{D(X)_Y}$$

(compatibility of trivialisations with tubular functions: see diagram  $(2)_V$ ); here  $p_2$  is the projection on the second factor and  $\rho_0$  is the tubular function of  $D(Y)$ .

$$\begin{array}{ccc} T_{X,Y} & \xrightarrow{h_X} & X \times D(X)_Y \\ \uparrow \pi_Y & & \uparrow 1_X \times \pi_{D(X)_Y} \\ T_X \cap T_Y & \xrightarrow{h_X} & X \times T_{D(X)_Y} \\ \downarrow h_Y & & \downarrow 1_X \times h_{D(X)_Y} \\ T_{X,Y} \times D(Y) & \xrightarrow{h_X \times 1_{D(Y)}} & X \times D(X)_Y \times D(Y) \end{array} \quad \text{diagram } (1)_V$$

$$\begin{array}{ccc} X \times T_{D(X)_Y} & \xleftarrow{h_X} & T_X \cap T_Y \\ \downarrow p_2 & & \downarrow \rho_Y \\ T_{D(X)_Y} & \xrightarrow{\rho_{D(X)_Y}} & [0, \infty) \\ \downarrow h_{D(X)_Y} & & \uparrow \rho_0 \\ D(X)_Y \times D(Y) & \xrightarrow{p_2} & D(Y) \end{array} \quad \text{diagram } (2)_V$$

If  $D(X)$  is the open cone on  $L(X)$ , then  $L(X)$  is referred to as the *link* of  $X$ . We identify  $L(X)$  with  $L(X) \times \{1\} \subset D(X)$  and write  $C(X)$  for the cone  $cL(X)$ . Thus  $h_x$  restricts to a map  $V_x, \dot{V}_x \rightarrow X \times C(X), X \times L(X)$ . When there is possibility of confusion, we shall write  $L(X, V), D(X, V), C(X, V)$  instead of  $L(X), D(X), C(X)$ .

All terminology and notation introduced for stratified sets translates immediately into the context of trivialised sets. Below we sketch the modifications deriving from the additional structure present in a trivialised set.

The cone and the open cone over a trivialised set are trivialised sets in a natural way. Similarly for a subtrivialised set of a trivialised set. If  $W \subset V$  are trivialised sets, we say that  $W$  is a *restriction* of  $V$  if  $W$  is a restriction of  $V$  as stratified sets (i.e. (a) and (b) above hold) and (c)  $L(X, V) = L(X', V)$ ;  $h_X = h_{X'}|_{T_X}$  (we are using previous notation).

Let  $V, V'$  be trivialised sets and  $f: V \rightarrow V'$  be an isomorphism of stratified sets. We say that  $f$  is an *isomorphism of trivialised sets* if  $f$  induces an isomorphism of diagrams between the various diagrams  $(1)_V, (2)_V$  and the corresponding  $(1)_{V'}, (2)_{V'}$ . There is a bordism group  $\bar{H}_n^X(A, B)$  defined in the same way as  $H_n^X(A, B)$  but using *trivialised*  $n$ -cycles rather than only stratified  $n$ -cycles. The proof of the following theorem is thoroughly similar to that of Theorem 1.4.

Let  $\bar{\partial}^X: \bar{H}_n^X(A, B) \rightarrow \bar{H}_{n-1}^X(B, \emptyset)$  be the natural transformation induced by restriction to the boundary.

1.8 THEOREM.  $\{\bar{H}_n^X(A, B), \bar{\partial}^X\}$  is an ordinary homology theory with coefficients in  $\mathbb{Z}_2$ .  $\square$

*The pinched product  $\times_{\sim}$ .* Let  $(W, \chi, \tau)$  be a stratified set and  $M$  a closed manifold. Consider the cone  $cM$ ; the product  $V = W \times cM$  is a stratified set (possibly after straightening the corner if  $\dot{V} \neq \emptyset$ ). Define  $W \times_{\sim} cM = \bigcup_X \bar{V}_X$  and  $W \times_{\sim} M = \bigcup_X \dot{V}_X$ , where  $X$  varies over the top-dimensional strata of  $W$  and  $\bar{V}_X = V_X \cup \mathbb{F}X$ ,  $\dot{V}_X = \dot{V}_X \cup \mathbb{F}X$ .  $W \times_{\sim} cM$  and  $W \times_{\sim} M$  are easily seen to be stratified sets (trivialised if  $W$  is trivialised) with structure induced from  $W \times cM$  by intersection (see Figure 3).

Note that, topologically,  $W \times_{\sim} cM = W \times cM / (x, y) \sim (x, y')$  if  $(x, y, y') \in SW \times cM \times cM$ , and similarly for  $W \times_{\sim} M$ . The following proposition follows easily from definitions.

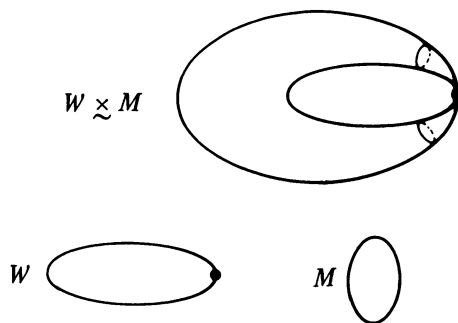


FIGURE 3



**1.9 PROPOSITION.** *Let  $(V, \chi, \tau, \{h_X\})$  be a trivialised set and  $X \in \chi$ . Then  $h_X$  induces isomorphisms of trivialised sets  $\bar{V}_X \cong \bar{X} \times C(X, V)$  and  $\bar{V}_X \cong \bar{X} \times L(X, V)$ .*  
□

In view of the above proposition, we may confuse  $\bar{V}_X$  and  $\bar{V}_X$  with  $\bar{X} \times C(X)$  and  $\bar{X} \times L(X)$  respectively and  $V_X, \dot{V}_X$  with  $\underline{X} \times C(X), \underline{X} \times L(X)$  respectively.

Note that, if  $V$  is a cycle,  $\bar{V} \times M$  is a relative cycle with boundary  $\partial(\bar{V} \times M) = \partial V \times M$  and, if  $\dim M > 0$ ,  $V \times cM$  is also a relative cycle with boundary

$$\partial(V \times cM) = \partial V \times cM \cup V \times M,$$

the union being taken along  $\partial V \times M$ .

*The alternative definition of a trivialised set.* Here we limit ourselves to sketching the pattern and the main points of the definition, leaving the reader to complete the easy details.

A trivialised set consists of a stratified set (the *underlying stratified set*) with additional structure. An isomorphism of trivialised sets is an isomorphism of stratified sets which preserves the additional structure.

We proceed by induction on the depth  $d$  of the underlying stratified set  $(V, \chi, \tau)$ .

A *trivialised set of depth 0* is a manifold. If  $V' \subset V$  are trivialised sets of depth 0,  $V'$  is a *restriction* of  $V$  if  $V'$  is a proper framed submanifold of  $V$ . If  $V, V'$  are trivialised sets of depth 0, the *product* trivialised set of  $V$  and  $V'$  is the manifold given by the product  $V \times V'$ . An *isomorphism* between trivialised sets of depth 0 is a diffeomorphism  $f: V \rightarrow V'$ .

Now assume that, for stratified sets of depth  $< d$ , we have defined

- (a) the notion of a trivialised set (of depth  $< d$ );
- (b) the notion of restriction  $V' \subset V$  of trivialised sets;
- (c) the product of a trivialised set  $V$  (of depth  $< d$ ) with a trivialised set  $V'$  of depth 0;
- (d) the notion of isomorphism between trivialised sets (of depth  $< d$ ).

Let  $(V, \chi, \tau)$  be a stratified set of depth  $d$  and assume, just for notational simplicity, that there is exactly one stratum  $X \in \chi$  of codimension  $d$ .

$(V, \chi, \tau)$  is a *trivialised set* if there exists an open cone  $D(X)$  on

$$L(X) = L(X) \times \{1\} \subset D(X)$$

and an isomorphism of (pairs of) stratified sets  $h_X: T_X, \dot{V}_X \rightarrow X \times D(X), X \times L(X)$  such that:

- (1)  $V - X$  is a trivialised set (of depth  $< d$ ) and  $(T_X - X), \dot{V}_X$  are restrictions of  $V - X$ ;
- (2)  $L(X)$  is a trivialised set of depth  $< d$  and  $D(X) - \{0\}$  has the structure of a trivialised set induced by the canonical identification with  $L(X) \times (0, \infty)$ ;
- (3)  $h_X$  restricts to an isomorphism of trivialised sets between  $T_X - X, \dot{V}_X$  and  $X \times (D(X) - \{0\}), X \times L(X)$  respectively, where  $X \times (D(X) - \{0\})$  and  $X \times L(X)$  have a structure of trivialised sets by (c) above.

In order to complete the inductive definition, one needs to define the notions of *restriction*, *product* and *isomorphism* for trivialised sets of depth  $d$ . This is left to the reader.

Most of the results of this paper will concern stratified sets, while trivialised sets will be used only as a tool. The main advantage of trivialised sets is that, if the link  $L(X)$  is a boundary, then the stratum  $X$  may be resolved by a blow-up; the same is not true for a general stratified set. However, the idea of a trivialised set is not without independent interest; for instance, it arises naturally in the following three situations:

(1) Sullivan's geometric description of connected  $K$ -theory at odd primes via join-like singularity [13, 2].

(2) In [4] it is proved that a transverse map  $f: M \rightarrow X$  from a manifold to a transverse CW-complex  $X$  determines on  $M$  a structure of a trivialised set (with additional properties) well-defined up to isomorphism.

(3) Levitt's and Akbulut-King's presentation of a  $PL$  manifold as a (particular) trivialised set [1, 18].

*The fundamental class of a stratified cycle.* We are going to define a natural transformation of homology theories  $F(A, B): H_*^X(A, B) \rightarrow H_*(A, B)$ ; for simplicity, let  $B = \emptyset$  and  $z = [f: V \rightarrow A] \in H_n^X(A)$ . From excision and Lefschetz duality we have  $H_n(V, SV) \cong \mathbb{Z}_2 \oplus \cdots \oplus \mathbb{Z}_2$  (the summands corresponding to the connected components of  $V - SV$ ).

Taking the sum of generators gives a class  $[V] \in H_n(V, SV)$ , called the *fundamental class* of  $V$ . From exactness and  $\dim SV \leq n - 2$ , we have an isomorphism  $\alpha_*: H_n(V) \rightarrow H_n(V, SV)$ , where  $\alpha: (V, \emptyset) \rightarrow (V, SV)$  is inclusion. We define  $F(A)(z)$  to be the class  $f_* \alpha_*^{-1}[V]$ , written also  $\hat{z}$ .  $F(A, B)$  is similarly defined and, using the comparison theorem, it is easy to see that  $F(-, -)$  is an equivalence of homology theories on finite CW pairs.

Let  $V$  be a stratified set,  $M$  a manifold and  $f: V \rightarrow M$  a (continuous) map. Let  $P \subset M$  be a closed submanifold. We say that  $f$  is *transverse* to  $P$  if, for each stratum  $X$  of  $V$ ,  $f|_X$  is transverse to  $P$ . If  $x$  is a point of  $M$  and  $f$  is transverse to  $x$ , we say that  $x$  is a *regular value* of  $f$ . From Sard's theorem we have that the set of regular values is dense in  $M$ .

The two propositions below are proved by standard (homological) arguments.

Let  $M$  be a manifold of dimension  $n$ .

**1.10 PROPOSITION.** *Let  $z = [f: V, \partial V \rightarrow M, \partial M] \in H_n^X(M, \partial M)$ . Then  $z = 0$  if and only if, for each regular value  $x \in M - \partial M$ ,  $f^{-1}(x)$  consists of an even number of points (necessarily lying in  $V - SV$ ).  $\square$*

Let  $P$  be a closed  $p$ -dimensional submanifold of  $M - \partial M$  with inclusion  $i: P \hookrightarrow M - \partial M$ . Let  $D: H_q(M, \partial M) \rightarrow H^{n-q}(M)$  be the Lefschetz duality isomorphism.

**1.11 PROPOSITION.** *Let  $z = [f: V, \partial V \rightarrow M, \partial M] \in H_q^X(M, \partial M)$ ,  $p + q = n$ , and assume that  $f$  is transverse to  $P$ . Then the following equality holds in  $H_0(P)$ :  $(i^* D \hat{z}) \cap [P] = [f^{-1}(P) \rightarrow P]$ .  $\square$*

In this paragraph we have considered the theory  $H_*^X(-)$ . However, it is clear that what has been said applies also to the theory of trivialised cycles  $\bar{H}_*^X(-)$ .

**2. A blow-up theorem.** Let  $V$  be a stratified  $n$ -set and  $V' \subset V$  a substratified set. Let  $X$  be a stratum of the singularity  $SV$ ; we say that  $X$  is incident to  $V'$  if  $X > Y$  for some stratum  $Y$  of  $V'$ .

**2.1 DEFINITION.**  $V' \subset V$  is locally top-dimensional if  $\dim V' > \dim X$ , for any stratum  $X$  of  $SV$  incident to  $V'$ .

Figure 4 gives an example of a substratified set  $V'$  which is not locally top-dimensional.

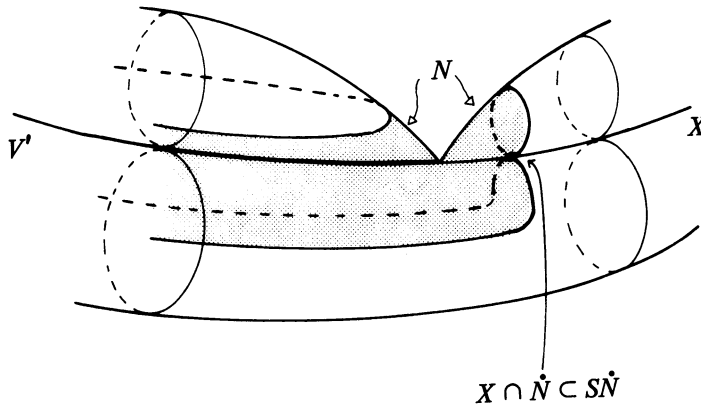


FIGURE 4

**2.2 REMARK.** Let  $N = T(V', V)$  and  $X$  be a stratum of  $SV$  incident to  $V'$ . Then  $X \cap \hat{N}$  is a stratum of  $\hat{N}$  and  $S\hat{N} = \bigcup_{X \in SV} (X \cap \hat{N})$ . Moreover,  $\text{codim}_{\hat{N}}(X \cap \hat{N}) = \text{codim}_V X$ . Therefore, if we put  $\beta = \beta(V', V) = \text{codim}_{\hat{N}} S\hat{N}$  and  $\gamma = \gamma(V', V) = \text{codim}_V V'$ , then  $V'$  is locally top-dimensional if and only if  $\beta > \gamma$ . Note that, in particular, if  $\hat{N}$  is a manifold, then  $V'$  is locally top-dimensional.

Let  $V$  be an unbounded stratified  $n$ -set,  $V'$  a substratified set of  $V$  and  $N = T(V', V)$ .

Let  $\hat{V}$  be an unbounded stratified  $n$ -set, with  $\hat{V} = P \cup \hat{N}$ , where  $P$  and  $\hat{N}$  are bounded stratified  $n$ -sets such that  $\hat{P} = \hat{N} = P \cap \hat{N}$ .

**2.3 DEFINITION.** We say that the pair  $(\hat{V}, \hat{N})$  is a *blow-up of  $(V, N)$*  (or a *blow-up of  $V$  along  $V'$* ) if:

- (a)  $\dim S\hat{N} \leq \dim SN$ ;
- (b) there exists a map  $f: (\hat{V}, \hat{N}) \rightarrow (V, N)$  which restricts to an isomorphism  $f: P \rightarrow E_{V'}$ .

In order to simplify notation, we shall often identify  $P$  with  $E_{V'}$  by means of  $f$  (i.e. write  $f$  as  $\text{id}$  on  $P$ ).

**2.4 REMARKS.** (a) Note that the above definition does not require (as the definition of a blow-up usually does) that  $\hat{N}$  deformation retracts onto  $f^{-1}(V')$ . However, this may always be achieved by “adding a collar”: that is, using a collar of  $\hat{N}$  in  $N$ , we may identify  $\hat{V}$  with  $E_{V'} \cup_{\hat{N} \times \{1\}} \hat{N} \times [0, 1] \cup_{\hat{N} \times \{0\}} \hat{N}$ . Let  $\pi: N \rightarrow V'$  be a mapping cylinder projection for  $N$ . Then we define a blow-up map  $g: \hat{V} \rightarrow V$  as follows:  $g|_{E_{V'}} = \text{id}$ ;  $g|_{\hat{N}} = \pi f$  and  $g|_{\hat{N} \times [0, 1]}$  is the quotient map  $\hat{N} \times [0, 1] \rightarrow N$ . Then  $g \simeq f$  and  $\hat{N} \cup_{\hat{N} \times \{0\}} \hat{N} \times [0, 1]$  deformation retracts onto  $\hat{N} = g^{-1}(V')$ .

(b) When it is not necessary, we shall avoid mentioning the map  $f$ .

(c) Let  $(\hat{V}, \hat{N})$  be a blow-up of  $(V, N)$ ,  $N_1 \subset \hat{N}$ , and  $(\hat{V}, \hat{N}_1)$  be a blow-up of  $(\hat{V}, N_1)$ ; then the pair  $(\overline{\hat{V} - N \cup \hat{N}}, \hat{N}^*)$ , where

$$\hat{N}^* = (\overline{\hat{N} - N_1}) \cup \hat{N}_1,$$

is a blow-up of  $(V, N)$  which we call the composition of the blow-ups  $\hat{V}$  and  $\hat{V}$  (see Figure 5).

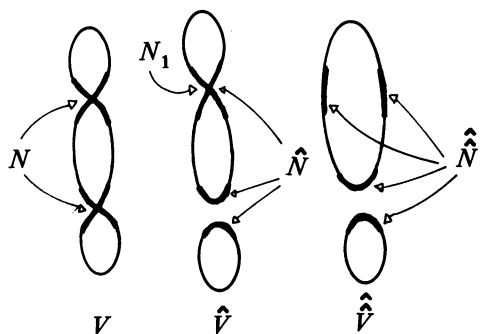


FIGURE 5

Let  $\eta_m$  be the smooth unoriented bordism group of dimension  $m$ . For each  $m \geq 0$ , we fix a basis  $\mathfrak{B}_m = \{[M_h], h = 1, \dots, \dim \eta_m\}$  and characteristic classes  $\sigma_h^m \in H^m(-)$  (which are polynomials in the Stiefel-Whitney classes) such that the matrix of characteristic numbers  $\{\sigma_h^m[M_k]\}$  is the unit matrix.

**2.5 REMARK.** In the following we shall be dealing only with spaces having the homotopy type of finite CW-complexes. Thus we may identify the groups  $H_n(-)$ ,  $H_n^x(-)$ ,  $\bar{H}_n^x(-)$ .

Let  $W$  be a compact unbounded stratified  $n$ -set and  $m$  an integer such that  $0 \leq m \leq (\text{codim } SW) - 2$ . Choose a relative trivialised  $(n - m)$ -cycle  $q_h: Q_h, \partial Q_h \rightarrow E_{SW}, \dot{E}_{SW}$  Lefschetz dual to  $\sigma_h^m \in H^m(E_{SW})$ . Since  $T_{SW}$  deformation retracts onto  $SW$  and  $\dim SW < n - m - 1 = \dim \partial Q_h$ , the cycle  $q_h|: \partial Q_h \rightarrow \dot{E}_{SW}$  bounds in  $H_{n-m-1}(T_{SW})$ . Thus one may choose a trivialised cycle  $b_h: B_h, \partial B_h \rightarrow T_{SW}, \dot{T}_{SW}$  such that  $\partial B_h = \partial Q_h$  and  $b_h| \partial B_h = q_h| \partial Q_h$ ; form the union  $A_h = B_h \cup_{\partial} Q_h$ ,  $a_h: A_h \rightarrow W$ ,  $a_h = q_h \cup b_h$ ;  $(A_h, a_h)$  is a closed trivialised  $(n - m)$ -cycle in  $W$ .

**2.6 PROPOSITION.** *The homology class of  $(A_h, a_h)$  does not depend on the choice of the representatives  $(Q_h, q_h)$  and  $(B_h, b_h)$ .*

**PROOF.** Let  $r: R \rightarrow E_{SW}$  be a homology between  $(Q_h, q_h)$  and another representative  $(Q'_h, q'_h)$ ;  $r$  restricts to a homology  $r|: R_{\partial} \rightarrow E_{SW}$  between  $\partial Q_h$  and  $\partial Q'_h$ . Then the union  $U = B_h \cup R_{\partial} \cup B'_h$  is a closed  $(n - m)$ -cycle in  $T_{SW}$  and the map  $u: U \rightarrow T_{SW}$  is given by  $u = b_h \cup r| \cup b'_h$  (see Figure 6); since, as before,  $\dim U > \dim SW$ , this cycle bounds in  $H_{n-m}(T_{SW})$ , hence there exists a cycle  $p: P \rightarrow T_{SW}$  such that  $\partial P = U$  and  $p|U = u$ . Finally form the cycle  $Z = R \cup_{R_{\partial}} P$ , whose boundary is  $A_h + A'_h$ . There is a map  $z: Z \rightarrow W$  given by  $z = r \cup p$  and such that  $z|A_h = a_h$ ,  $z|A'_h = a'_h$ . Therefore  $(Z, z)$  is a homology between  $(A_h, a_h)$  and  $(A'_h, a'_h)$ .  $\square$

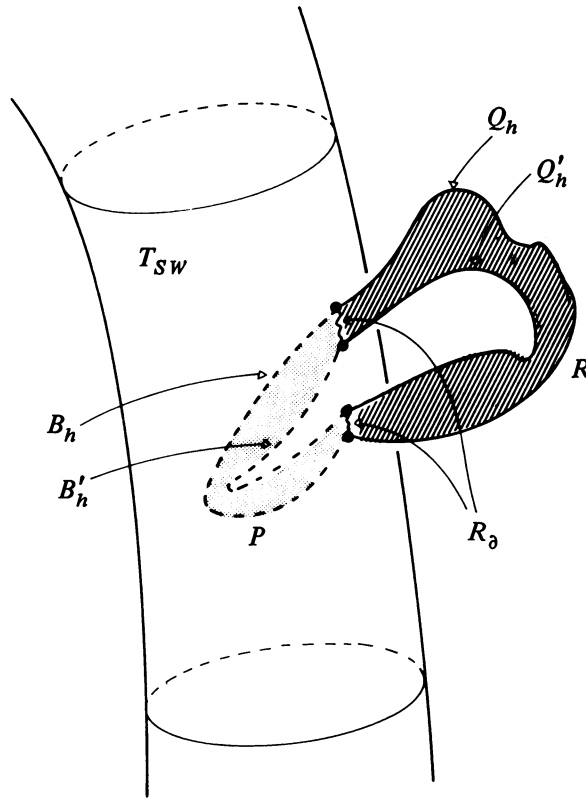


FIGURE 6

Thus the above construction gives a well-defined homology class  $\mu_{n-m}^h(W) \in H_{n-m}(W)$ .

2.7 REMARKS. (a) If  $SW = \emptyset$ , then  $\mu_{n-m}^h(W)$  coincides with the Poincaré dual of  $\sigma_h^m \in H^m(W)$ .

(b) Let  $W$  be a relative stratified  $n$ -cycle and  $m \geq 0$  be an integer such that  $m \leq \text{codim } SW - 2$  and  $m \leq \text{codim } S(\partial W) - 2$ ; then, by relativising the above construction in an obvious way, one obtains well-defined relative classes  $\mu_{n-m}^h(W, \partial W)$ .

In the following, let  $V$  be a compact unbounded stratified  $n$ -set,  $V' \subset V$  a locally top-dimensional substratified set,  $N = T(V', V)$ ,  $\beta = \text{codim}_N S\dot{N}$ . Applying the above construction to  $W = \dot{N}$ , for each  $m = 0, \dots, \beta - 2$  and each  $h = 1, \dots, \dim \eta_m$ , we have the homology class  $\mu_{n-m-1}^h(\dot{N})$ . Recall that  $N$  is the mapping cylinder of  $\dot{\pi}: \dot{N} \rightarrow V'$ . Then, associated to  $V'$ , we define classes  $\vartheta_{n-m-1}^h(V') = \dot{\pi}_* \mu_{n-m-1}^h(\dot{N}) \in H_{n-m-1}(V')$ .

In this section we shall prove that the classes  $\vartheta_i^h(V')$  are the only obstructions to the existence of a blow-up  $(\hat{V}, \hat{N})$  of  $V$  along  $V'$  which increases the codimension of the singularity of  $V$  up to a certain stage.

**2.8 LEMMA.** *Let  $V$  be a compact unbounded trivialised  $n$ -set and  $V' \subset V$  a locally top-dimensional substratified set with  $\text{codim}_V V' = \gamma$ . Suppose that, for each top-dimensional stratum  $X$  in  $V'$ ,  $[L(X, V)] = 0$  in  $\eta_{\gamma-1}$ . Then there exists a blow-up  $f: (\hat{V}, \hat{N}) \rightarrow (V, N)$  of  $V$  along  $V'$  such that  $\text{codim } S\hat{N} > \gamma$ .*

**PROOF.** For each top-dimensional stratum  $X$  in  $V'$ , choose a manifold  $M(X)$  such that  $\partial M(X) = L(X)$ . Let  $\hat{V}, \hat{N}$  be the stratified set obtained from  $V, N$  by replacing  $\bar{X} \times C(X)$  with  $\bar{X} \times M(X)$  for each  $X$ . Choose a map  $f_X: M(X) \rightarrow C(X) = cL(X)$  which identifies an open collar of  $L(X)$  in  $M(X)$  with the punctured cone  $cL(X) - \{0\}$  and maps the rest of  $M(X)$  to 0. Then a blow-up  $f: (\hat{V}, \hat{N}) \rightarrow (V, N)$  of  $V$  along  $V'$  is constructed using  $f_X$  on each fibre of  $\bar{X} \times M(X)$  and the identity elsewhere.

□

Note that  $\hat{V}$  depends on the choice of the manifolds  $M(X)$ .

**2.9 LEMMA.** *Let  $V$  be a compact unbounded trivialised  $n$ -set and  $V' \subset V$  a locally top-dimensional substratified set with  $\text{codim}_V V' = \gamma$ . Then the following statements are equivalent:*

- (a)  $\partial_{n-\gamma}^h(V') = 0$ , for each  $h = 1, \dots, \dim \eta_{\gamma-1}$ ;
- (b) *there exists a blow-up  $(\hat{V}, \hat{N})$  of  $V$  along  $V'$  with  $\text{codim } S\hat{N} > \gamma$ .*

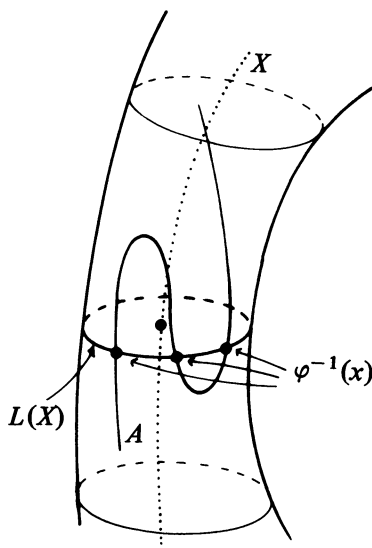


FIGURE 7

**PROOF.** (a)  $\Rightarrow$  (b). Let  $X$  be a top-dimensional stratum of  $V'$ . Then  $L(X) = L(X, V)$  is necessarily a manifold because  $V'$  is locally top-dimensional. By the previous lemma, we only need to prove that  $L(X)$  is a boundary. Fix  $h$ ; a representative of  $\partial_{n-\gamma}(V') = \partial_{n-\gamma}^h(V')$  is, by definition,

$$\varphi: A \xrightarrow{a} \dot{N} \xrightarrow{\pi} V',$$

where  $(A, a) = (Q \cup B, q \cup b)$  and  $(Q, q)$  is a Lefschetz dual of  $\sigma_h^{\gamma-1} \in H^{\gamma-1}(E_{S\hat{N}})$ .

From excision and homotopy it follows easily that  $[A, \varphi] = 0$  if and only if  $[Q, \pi q] = 0$  in  $E(SV', V')$ . Let  $x \in \underline{X} - \partial \underline{X}$  be a regular value for  $\pi q$ ; then  $[A, \varphi] = 0$  implies that  $\varphi^{-1}(x)$  consists of an even number of points (by Proposition 1.10).

By the definition of a trivialised set  $\pi: V_{\underline{X}} \rightarrow \underline{X}$  may be identified with projection  $\underline{X} \times L(X) \rightarrow \underline{X}$ ; in particular,  $x$  is certainly a regular value for the restriction of  $\pi$  and its inverse image is  $\pi^{-1}(x) \cong L(X)$ . This implies that  $q: Q \rightarrow E_{S\hat{N}}$  meets  $\pi^{-1}(x)$  transversely in a finite number of points. At the cohomology level this corresponds to restricting the class  $\sigma_h^{\gamma-1}$  to  $\pi^{-1}(X) \cong L(X)$  (see Proposition 1.11). Since  $\sigma_h^{\gamma-1}$  is a characteristic class and  $\pi^{-1}(x)$  is framed in  $E_{S\hat{N}}$ , the Poincaré dual of  $\sigma_h^{\gamma-1}|_{\pi^{-1}(x)}$  is a set of points whose sum (mod 2) is exactly the characteristic number  $\sigma_h^{\gamma-1}[L(X)]$  (see Figure 7). Since this is equal to the number of points in  $\varphi^{-1}(x)$ , we have proved that  $\sigma_h^{\gamma-1}[L(X)] = 0$ .

As this happens for every  $h = 1, \dots, \dim \eta_{\gamma-1}$ , we deduce that  $L(X)$  is a boundary.

Note that, if  $\gamma = 1$ , we have just one class  $\vartheta_{n-1}(V')$  which is represented by  $\pi: \hat{N} \rightarrow V'$ . Thus  $L(X) \cong \pi^{-1}(x)$  consists of an even number of points.

(b)  $\Rightarrow$  (a). Let  $f: (\hat{V}, \hat{N}) \rightarrow (V, N)$  be a blow-up of  $V$  along  $V'$ , such that  $\text{codim } S\hat{N} > \gamma$ . As also  $\text{codim } S\hat{N} = \text{codim } S\hat{N} = \beta > \gamma$ , by Remark 2.7(b) we can define classes  $\mu_{n-\gamma+1}^h(\hat{N}, \hat{N})$ . We fix a particular index  $h$  and, for simplicity, we drop it from the notation. Consider the following diagram:

$$\begin{array}{ccccc}
 H_{n-\gamma+1}(\hat{N}, \hat{N}) & \xrightarrow{\quad \partial_* \quad} & H_{n-\gamma}(\hat{N}) & \xrightarrow{\quad \hat{j}_* \quad} & H_{n-\gamma}(\hat{N}) \\
 \downarrow f_* & & \downarrow (f|)_* & & \downarrow f_* \\
 H_{n-\gamma+1}(N, \hat{N}) & \xrightarrow{\quad \partial_* \quad} & H_{n-\gamma}(\hat{N}) & \xrightarrow{\quad j_* \quad} & H_{n-\gamma}(N) \\
 & & \searrow \pi_* & \swarrow \cong & \downarrow \pi_* \\
 & & H_{n-\gamma}(V') & & 
 \end{array}$$

By construction, we have  $\partial_* \mu_{n-\gamma+1}(\hat{N}, \hat{N}) = \mu_{n-\gamma}(\hat{N})$  and therefore  $\hat{j}_* \mu_{n-\gamma}(\hat{N}) = 0$ . Thus

$$\vartheta_{n-\gamma}(V') = \pi_* \mu_{n-\gamma}(\hat{N}) = \pi_* j_* \mu_{n-\gamma}(\hat{N}) = \pi_* f_* \hat{j}_* \mu_{n-\gamma}(\hat{N}) = 0$$

as required.  $\square$

The idea is now to go on inductively, that is to prove that the classes  $\vartheta_{n-\gamma-1}^h$  vanish if and only if there exists a blow-up  $(\hat{V}, \hat{N})$  of  $V$  along  $V'$  with  $\text{codim } S\hat{N} > \gamma + 1$ . Of course we must assume  $\beta > \gamma + 1$ , otherwise the classes  $\vartheta_{n-\gamma-1}^h$  are not defined. More precisely, we wish to prove the following

**2.10 THEOREM.** *Let  $V$  be a compact unbounded trivialised  $n$ -set,  $V' \subset V$  a locally top-dimensional substratified set,  $N = T(V', V)$ ,  $\beta = \text{codim}_{\hat{N}} S\hat{N}$ ,  $\gamma = \text{codim}_V V'$ . Let  $k$  be an integer such that  $k < \beta - \gamma + 1$  (so that the classes  $\mu_{n-m-1}^h(\hat{N})$  are defined, for each  $m \leq \gamma + k - 2 \leq \beta - 2$ ). Then the following statements are equivalent:*

(a) *all the classes  $\vartheta_{n-m-1}^h(V')$  vanish, for each  $m = \gamma - 1, \dots, \gamma + k - 2$  and for each  $h = 1, \dots, \dim \eta_m$ ;*

(b) *there exists a blow-up  $(\hat{V}, \hat{N})$  of  $V$  along  $V'$  such that  $\text{codim } S\hat{N} \geq \gamma + k$ .*

Note that, if  $\beta = 1$ , this theorem is vacuous.

The lemma we have just proved is the first stage in proving the theorem: however we cannot just iterate the lemma since, once one has constructed the blow-up  $(\hat{V}, \hat{N})$  of  $(V, N)$  such that  $\text{codim } S\hat{N} > \gamma$ , it is no longer true that, for a top-dimensional stratum  $X$  in  $\hat{N}$ ,  $L(X)$  is necessarily a boundary. Instead, the proof will go through the following stages.

(a) Let  $X$  be a top-dimensional stratum in  $\hat{N}$  such that  $\bar{X}$  is a cycle and  $L(X, \hat{V}) = M_h$ , with  $[M_h] \in \mathfrak{B}_\gamma$ . Then we shall prove that the vanishing of the corresponding obstruction  $\mathfrak{d}_{n-\gamma-1}^h(V')$  implies  $f_*([\bar{X}]) = 0$  in  $H_{n-\gamma-1}(V')$ .

(b) From the vanishing of  $f_*([\bar{X}])$ , for each top-dimensional stratum  $X$  in  $\hat{N}$ , we shall construct a new blow-up of  $(V, N)$  with singularity in codimension  $> \gamma + 1$ .

(c) We iterate this procedure.

Of course,  $\bar{X}$  may well fail to be a cycle, because there may exist strata  $Y < X$  with  $\dim Y = \dim X - 1$ . So, first of all, we shall prove the following

**2.11 LEMMA.** *Let  $V$  be a compact unbounded trivialised  $n$ -set and  $V'$  a locally top-dimensional substratified set such that  $\dim V' = n - \gamma - 1$ , and let  $N = T(V', V)$ . Then there exists a blow-up  $(\tilde{V}, \tilde{N})$  of  $V$  along  $V'$  such that, if  $\tilde{X}$  is any top-dimensional stratum of  $S\tilde{N}$ , then:*

- (a)  $[L(\tilde{X}, \tilde{V})] \in \mathfrak{B}_\gamma$ ;
- (b)  $\tilde{X}$  is a trivialised  $(n - \gamma - 1)$ -cycle;
- (c) if  $\tilde{Y}$  is any other top-dimensional stratum of  $S\tilde{N}$  and  $\tilde{X} \neq \tilde{Y}$ , then  $[L(\tilde{X}, \tilde{V})] \neq [L(\tilde{Y}, \tilde{V})]$ .

**PROOF.** Let  $X$  be a top-dimensional stratum of  $V'$ . Then  $L(X) = L(X, V)$  is a  $\gamma$ -dimensional manifold, bordant to a sum  $M_1 + \cdots + M_r$ , where  $[M_i] \in \mathfrak{B}_\gamma$ . We wish to “replace”  $X$  by  $r$  copies  $X_1, \dots, X_r$  of  $X$ , with  $L(X_i) = M_i$ . The construction is essentially the same as in Lemma 2.8 (where  $[M_1] = \cdots = [M_r] = 0$ ). Let  $W'$  be a bordism between  $L(X)$  and  $M_1 + \cdots + M_r$ , and define  $W = W' \cup (c_1 M_1 + \cdots + c_r M_r)$ , where  $c_i M_i$  is the cone over  $M_i$  with vertex  $c_i$  and the union is taken along  $M_1 + \cdots + M_r$ . Choose a map  $f_W: W \rightarrow C(X) = cL(X)$  which identifies an open collar of  $L(X)$  in  $W$  with the punctured cone  $C(X) - \{0\}$  and takes the rest of  $W$  to the vertex. Then a blow-up  $(V^*, N^*)$  of  $(V, N)$  is constructed by replacing  $\bar{X} \times C(X)$  with  $\bar{X} \times W$ ; the map  $f: (V^*, N^*) \rightarrow (V, N)$  is defined using the map  $f_W$  on each fibre of  $\bar{X} \times W$  and the identity elsewhere.

Clearly, if we do the above construction on each top-dimensional stratum  $X$  in  $V'$ , the blow-up  $(V^*, N^*)$  satisfies property (a); thus (see Remark 2.4(c)) we can assume that the stratified  $n$ -set  $V$  already satisfies (a).

Now, let  $Y$  be a stratum of  $V'$  such that  $\dim Y = n - \gamma - 2$  and  $X_1, \dots, X_r$  be the top-dimensional strata of  $V'$  such that  $Y < X_1, \dots, Y < X_r$ . Let  $W = L(Y, V)$ ;  $W$  is a trivialised  $(\gamma + 1)$ -set with isolated singularities  $SW = \{Y_1, \dots, Y_r\}$  and, if  $Y_i = X_i \cap W$  is a singular point of  $W$ , we have  $L(Y_i, W) = L(X_i, V) \in \mathfrak{B}_\gamma$ . We wish to “replace”  $cW$  by a trivialised set  $W'$  such that  $SW' = \{*\} + \{1\text{-dimensional manifolds with boundary}\}$ .  $E(SW, W)$  is a  $(\gamma + 1)$ -manifold, whose boundary is  $L(Y_1, W) + \cdots + L(Y_r, W)$ ; as  $L(Y_i, W) = L(X_i, V) \in \mathfrak{B}_\gamma$ , to each  $X_i$  there must



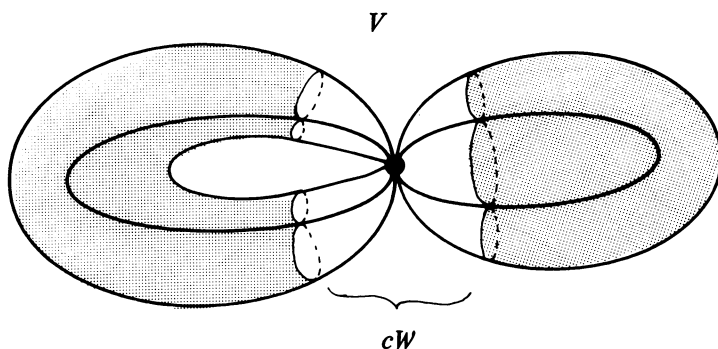


FIGURE 8

correspond to an  $X_j$  such that  $L(X_i)$  is bordant to  $L(X_j)$  (see Figure 8); w.l.o.g. we may assume  $L(X_i) = L(X_j) = L$ . Then  $W'$  is obtained as follows: embed  $W$  as  $W \times \{0\}$  in  $W \times [0, 1/2]$  and, for each pair  $X_i, X_j$  as above, attach a “handle”  $[1, 2] \times cL$  by identifying  $\{1\} \times cL$  with  $Y_i \times cL$  and  $\{2\} \times cL$  with  $Y_j \times cL$ . We obtain a trivialised  $(\gamma + 1)$ -cycle  $Q$  such that  $\partial Q = W \times \{1/2\} + M$ , where  $M$  is a manifold (see Figure 9). Finally define  $W' = Q \cup_M cM$ .  $W'$  is a trivialised  $(\gamma + 2)$ -set with the required properties,  $\partial W' = W$  and, in the usual way, we may construct a map  $f_W: W' \rightarrow cW$  such that  $f_W|_W = 1_W$ .

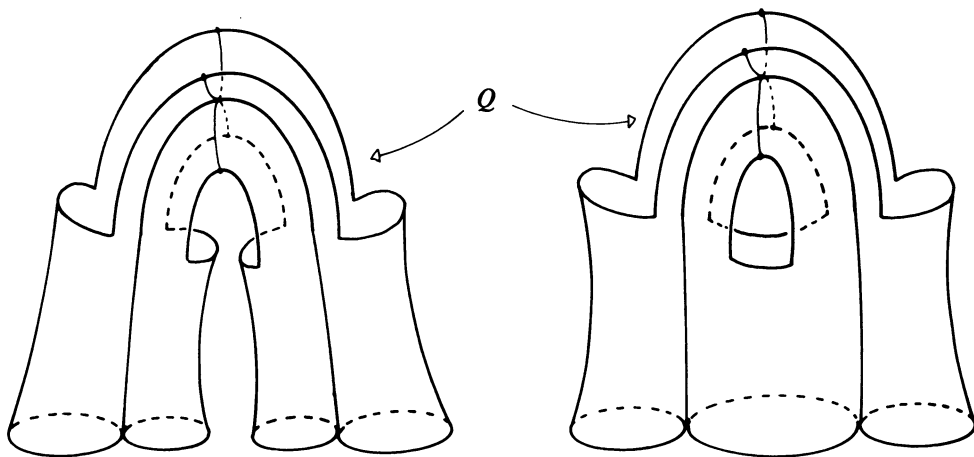


FIGURE 9

Now let  $(\tilde{V}, \tilde{N})$  be the trivialised set obtained from  $(V, N)$  by replacing each fibre  $\bar{Y} \times cW$  with  $\bar{Y} \times W'$ , for each  $(n - \gamma - 2)$ -dimensional stratum  $Y$  of  $V'$  (such that  $Y < X_i$  for more than two  $(n - \gamma - 1)$ -dimensional strata  $X_i$  of  $V'$ ). There is an obvious map  $f: (\tilde{V}, \tilde{N}) \rightarrow (V, N)$  constructed from the maps  $f_W$  (see Figures 8 and 10).  $(\tilde{V}, \tilde{N})$  is the required blow-up: in fact it satisfies properties (a) and (b) and, in order to satisfy property (c), it is enough to “unite” any two  $(n - \gamma - 1)$ -dimensional strata  $\tilde{X}$  and  $\tilde{Y}$  such that  $[L(\tilde{X}, \tilde{V})] = [L(\tilde{Y}, \tilde{V})]$ .  $\square$

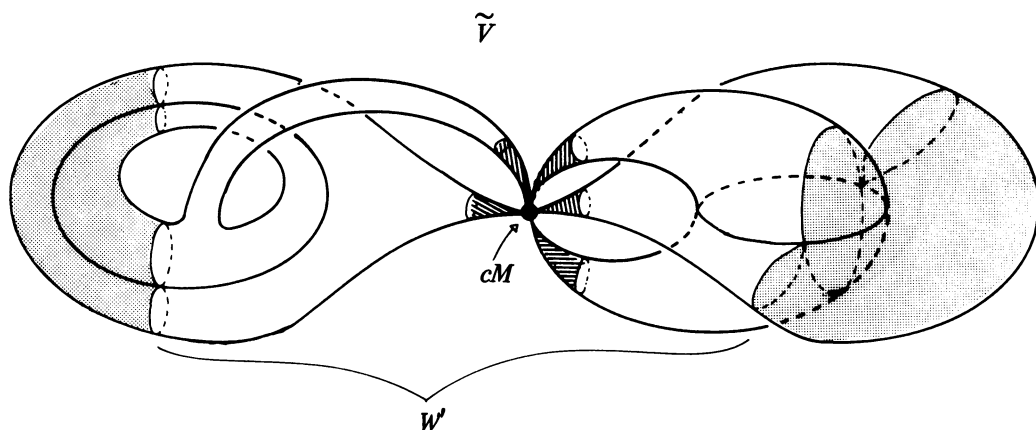


FIGURE 10

**2.12 LEMMA.** Let  $V$  be a compact unbounded trivialised  $n$ -set,  $V' \subset V$  a locally top-dimensional substratified set and  $f: (\tilde{V}, \tilde{N}) \rightarrow (V, N)$  a blow-up of  $V$  along  $V'$  such that:

(a) for each top-dimensional stratum  $\tilde{X}$  in  $S\tilde{N}$ ,  $\tilde{X}$  is a trivialised  $k$ -cycle;

(b)  $f_*[\tilde{X}] = 0$  in  $H_k(V')$  (or, equivalently, in  $H_k(N)$ ).

Then there exists another blow-up  $g: (\hat{V}, \hat{N}) \rightarrow (V, N)$  of  $V$  along  $V'$  such that  $\text{codim } S\hat{N} > \text{codim } S\tilde{N}$ . (Note the special case  $f = \text{id}$ .)

**PROOF.** Let  $\tilde{X}_1, \dots, \tilde{X}_q$  be the top-dimensional strata of  $S\tilde{N}$ . For each  $i = 1, \dots, q$ , let  $(D_i, d_i)$  be a homology to zero of  $f_*[\tilde{X}_i]$ , that is  $D_i$  is a trivialised  $(k+1)$ -cycle,  $\partial D_i = \tilde{X}_i$  and  $d_i: D_i \rightarrow N$  is a map such that  $d_i|_{\partial D_i} = f$ . Let

$$U = \sum_{i=1, \dots, q} D_i \times M_i; \quad \partial U = \sum_{i=1, \dots, q} \tilde{X}_i \times M_i = \sum_{i=1, \dots, q} \tilde{X}_i \times L(\tilde{X}_i, \tilde{V}).$$

Define

$$R = \bigcap_{i=1, \dots, q} \overline{\tilde{V} - (\tilde{X}_i \times C(\tilde{X}_i))}, \quad \hat{V} = R \bigcup_{\partial U} U$$

and

$$\hat{N} = (R \cap \tilde{N}) \bigcup_{\partial U} U.$$

There is a natural blow-up map  $g: (\hat{V}, \hat{N}) \rightarrow (V, N)$  obtained from the composition of the projections  $D_i \times M_i \rightarrow D_i$  and the maps  $d_i$  (see Figure 11). Moreover,  $\dim S\hat{N} \leq \max_i \dim SD_i \leq k-1$ , so that  $(\hat{V}, \hat{N})$  is the required blow-up.  $\square$

**PROOF OF THEOREM 2.10.** The proof of (b)  $\Rightarrow$  (a) goes exactly as in Lemma 2.9.

In order to prove (a)  $\Rightarrow$  (b), let  $(V_1, N_1)$  be the blow-up of  $(V, N)$  constructed as in Lemma 2.9 using the vanishing of the classes  $\vartheta_{n-\gamma}^h(V')$ . If  $\beta > \gamma + 1$  (otherwise the theorem is already proved), let  $(\tilde{V}, \tilde{N})$  be a blow-up of  $(V_1, N_1)$  satisfying properties (a), (b), (c) of Lemma 2.11.

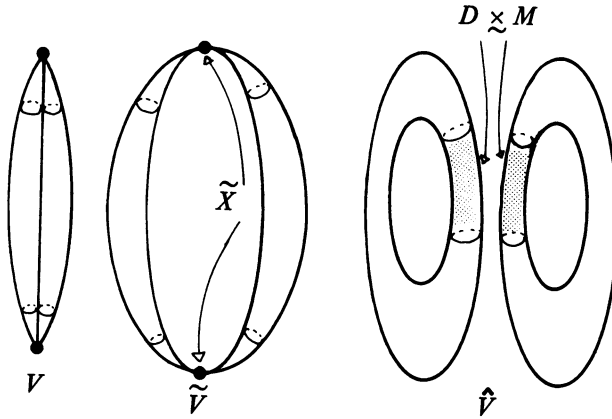


FIGURE 11

Let  $\tilde{X}_1, \dots, \tilde{X}_q$  be the top-dimensional strata of  $S\tilde{N}$ .  $\tilde{X}_i$  is a trivialised  $(n - \gamma - 1)$ -cycle, and, by the previous lemma, we only need to prove that  $f_*[\tilde{X}_i] = 0$  in  $H_{n-\gamma-1}(V')$ , where  $f: (\tilde{V}, \tilde{N}) \rightarrow (V, N)$  is the blow-up map. To do this, we shall use the vanishing of the obstructions  $\vartheta_{n-\gamma-1}^h(V')$ . After reordering the strata  $\tilde{X}_1, \dots, \tilde{X}_q$ , we may suppose that  $[L(\tilde{X}_h, \tilde{V})] = [M_h]$ . Let  $\tilde{V}'$  be the closure of  $\{\tilde{X}_1, \dots, \tilde{X}_q\}$  and  $Q = T(\tilde{V}', \tilde{V})$ . We may assume  $Q \subset \tilde{N} - \tilde{N}$ ; let  $\tilde{\pi}: Q \rightarrow \tilde{V}'$  be the mapping cylinder retraction and  $\tilde{\pi}^* = \tilde{\pi}|_Q$ . As  $\tilde{N} = \tilde{N}$  and  $\beta > \gamma + 1$ , we have well-defined classes  $\mu_{n-\gamma-1}^h(\dot{Q})$  and  $\vartheta_{n-\gamma-1}^h(\tilde{V}') = \tilde{\pi}_* \mu_{n-\gamma-1}^h(\dot{Q})$ . In  $H_{n-\gamma-1}(\tilde{V}')$  the class  $\vartheta_{n-\gamma-1}^h(\tilde{V}')$  will be equal to a linear combination (with  $\mathbb{Z}_2$  coefficients)

$$\vartheta_{n-\gamma-1}^h(\tilde{V}') = \sum_{i=1, \dots, q} k_i [\tilde{X}_i],$$

where  $[\tilde{X}_i]$  is the homology class of  $\tilde{X}_i \subset \tilde{V}'$ . But, using the same kind of arguments as in Lemma 2.9, one proves that  $k_i$  is exactly the characteristic number

$$\sigma_h^\gamma[L(\tilde{X}_i, \tilde{V})] = \sigma_h^\gamma[M_i] = \begin{cases} 1 & \text{if } i = h, \\ 0 & \text{if } i \neq h. \end{cases}$$

Then

$$\vartheta_{n-\gamma-1}^h(\tilde{V}') = \begin{cases} 0 & \text{if } h > q, \\ [\tilde{X}_h] & \text{if } h \leq q. \end{cases}$$

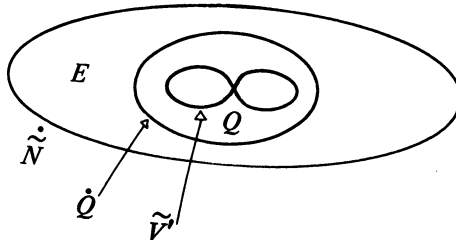


FIGURE 12

Then we only need to prove that  $f_* \vartheta_{n-\gamma-1}^h(\tilde{V}') = 0$ . Let  $E = E(\tilde{V}', \tilde{N})$ ;  $E$  is a trivialised  $n$ -set bounded by  $\dot{E} = \dot{\tilde{N}} + \dot{Q}$  (see Figure 12). Furthermore, by construction,  $\text{codim } SE$  and  $\text{codim } S\dot{E}$  are both greater than  $\gamma$ . Therefore, there are well defined classes  $\mu_{n-\gamma}^h(E, \dot{E})$  and

$$\partial_* \mu_{n-\gamma}^h(E, \dot{E}) = \mu_{n-\gamma-1}^h(\dot{\tilde{N}}) + \mu_{n-\gamma-1}^h(\dot{Q}).$$

Thus

$$(*) \quad f_* \tilde{j}_* \mu_{n-\gamma-1}^h(\dot{\tilde{N}}) + f_* \tilde{j}_* \mu_{n-\gamma-1}^h(\dot{Q}) = 0$$

(see the following diagram). But

$$\begin{array}{ccccc}
 & & H_{n-\gamma}(E, \dot{E}) & & \\
 & & \downarrow \partial_* & & \\
 & & H_{n-\gamma-1}(\dot{E}) & & \\
 \nearrow j'_* & & & \nwarrow \tilde{j}_* & \\
 H_{n-\gamma-1}(\dot{\tilde{N}}) & \xrightarrow{j''_*} & H_{n-\gamma-1}(E) & & \\
 \downarrow f_* = \text{id} & & \downarrow f_* & & \\
 H_{n-\gamma-1}(\dot{N}) & \xrightarrow{j_*} & H_{n-\gamma-1}(N) & & \\
 \searrow \tilde{\pi}_* & & \swarrow \pi_* & & \\
 & & H_{n-\gamma-1}(V') & &
 \end{array}$$

$$\begin{aligned}
 f_* \tilde{j}_* \mu_{n-\gamma-1}^h(\dot{\tilde{N}}) &= f_* j''_* \mu_{n-\gamma-1}^h(\dot{\tilde{N}}) \\
 &= j_* f_* \mu_{n-\gamma-1}^h(\dot{\tilde{N}}) = j_* \mu_{n-\gamma-1}^h(\dot{N}) \\
 &= \pi_*^{-1} \tilde{\pi}_* \mu_{n-\gamma-1}^h(\dot{N}) = \pi_*^{-1} \vartheta_{n-\gamma-1}^h(V') = 0,
 \end{aligned}$$

by our hypothesis. Thus, in (\*), we have

$$\begin{array}{ccccc}
 & & H_{n-\gamma-1}(\dot{Q}) & & \\
 \nearrow \tilde{\pi}_* & & \downarrow f_* & \nwarrow \tilde{j}_* & \\
 H_{n-\gamma-1}(\tilde{V}') & & & & H_{n-\gamma-1}(E) \\
 \searrow f_* & & \downarrow f_* & \swarrow f_* & \\
 & & H_{n-\gamma-1}(N) & &
 \end{array}$$

and

$$\begin{aligned}
 f_* \vartheta_{n-\gamma-1}^h(\tilde{V}') &= f_* \tilde{\pi}_* \mu_{n-\gamma-1}^h(\dot{Q}) \\
 &= f_* \tilde{j}_* \mu_{n-\gamma-1}^h(\dot{Q}) = 0,
 \end{aligned}$$

as we wanted.

Now we can use Lemma 2.12 to construct a blow-up  $(V_2, N_2)$  of  $V$  along  $V'$  such that  $\text{codim } SN_2 > \gamma + 1$ . If  $\beta = \gamma + 2$ , the theorem is proved; if  $\beta > \gamma + 2$ , the same procedure may be carried on without any new difficulty until we reach the stage where singularities are in codimension  $\geq \gamma + k$ . So the theorem is proved.  $\square$

Note that the vanishing of the obstructions has been used only in Lemma 2.9 and in this final proof, while Lemmas 2.8, 2.11 and 2.12 are only geometric constructions on the trivialised set  $V$ , which do not involve the vanishing of the classes  $\vartheta_{n-m-i}^h(V')$ . These lemmas use in an essential way the fact that  $V$  is trivialised; however, we wish to extend 2.10 to the more natural case where  $V$  is not necessarily trivialised.

**2.13 THEOREM.** *Let  $V$  be a compact unbounded stratified  $n$ -set and  $V', N, \beta, \gamma, k$  be as in 2.10. Then the following statements are equivalent:*

- (a)  $\vartheta_{n-m-1}^h(V') = 0$  for each  $m = 0, \gamma - 1, \dots, \gamma + k - 2$  and for each  $h = 1, \dots, \dim \eta_m$ ;
- (b) *there exists a blow-up  $(\hat{V}, \hat{N})$  of  $V$  along  $V'$  such that  $\text{codim } S\hat{N} \geq \gamma + k$ .*

**PROOF.** We consider a variant  $H_n^x(-; \beta)$  of the theory  $H_n^x(-)$ .  $H_n^x(-; \beta)$  is the bordism theory constructed in the same way as  $H_n^x(-)$  which uses stratified cycles and bordisms  $V$  such that  $\text{codim}_V SV \geq \beta$  and  $\text{codim}_{\partial V} S(\partial V) \geq \beta$ . The same arguments used for  $H_n^x(-)$  prove that  $H_n^x(-; \beta)$  is a generalized homology theory (defined on the category of all topological pairs). The dimension axiom is not satisfied, but the cone construction gives

$$\begin{aligned} H_0(\text{point}; \beta) &\cong \mathbb{Z}_2; \\ H_i(\text{point}; \beta) &= \eta_i, \quad \text{for } i \leq \beta - 2; \\ H_i(\text{point}; \beta) &= 0, \quad \text{for } i > \beta - 2. \end{aligned}$$

In exactly the same fashion one constructs  $\bar{H}_n^x(-; \beta)$ , the bordism theory of trivialised cycles with singularities in codimension  $\geq \beta$ . There is a natural transformation  $F(-): \bar{H}_n^x(-; \beta) \rightarrow H_n^x(-; \beta)$  defined by forgetting the trivialisations.  $F$  is clearly a map of homology theories and, by the cone construction,  $F(\text{point})$  is an isomorphism. Therefore, by the comparison theorem,  $F$  is an isomorphism on finite CW-complexes.

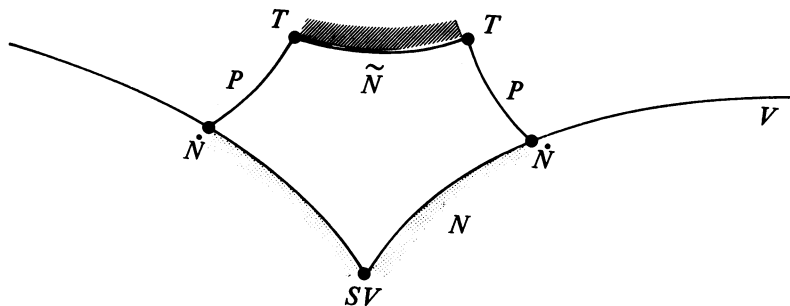


FIGURE 13

Consider  $f: N_1 \rightarrow N$  with  $N_1 = P \cup \tilde{N}$ ; here  $f: P \rightarrow N$  is a bordism in  $H_n^X(N; \beta)$  between  $\dot{N} \subset N$  and a trivialised cycle  $T$  (see Figure 13). The existence of  $P$  follows from the existence of the isomorphism  $F$  above and the fact that  $N$  has the homotopy type of a finite CW-complex. To define  $\tilde{N}$ , first observe that  $f: T \rightarrow N$  is certainly null-homologous in  $N$  (because  $\dot{N} \subset N$  is null-homologous). Then we define  $(\tilde{N}, f)$  as a trivialised cycle whose boundary is  $(T, f)$ . Finally, the union  $P \cup \tilde{N}$  is taken along  $T$ . Now form  $\tilde{V} = \overline{V - N} \cup_{\tilde{N}} P \cup \tilde{N}$ . In general  $\tilde{V}$  will not be a blow-up of  $V$ , because  $\dim S\tilde{N}$  ( $\leq n - 2$ ) may be greater than  $n - \gamma$ . Note that, by construction,  $\dim SP = n - \beta < n - \gamma$ .

In order to construct the blow-up  $(\hat{V}, \hat{N})$  required by the theorem, it suffices to show that, if  $\tilde{X} = \{\tilde{X}_1, \dots, \tilde{X}_q\}$  are the top-dimensional strata of  $S\tilde{N}$  with  $\text{codim } \tilde{X}_i < \gamma + k$  and  $\tilde{V}' \subset S\tilde{N}$  is the closure of  $\tilde{X}$ , then there is a blow-up  $(\tilde{V}', \tilde{N}_1)$  of  $(\tilde{V}, \tilde{N})$  along  $\tilde{V}'$  such that  $\text{codim } S\tilde{N}_1 > \text{codim } \tilde{V}'$ . An iteration of this procedure will lead to the construction of  $(\hat{V}, \hat{N})$ .

The crucial point is that  $\tilde{N}$  is now a trivialised set. Thus Lemma 2.11 applies and we may assume that  $\tilde{X}_i$  is a trivialised cycle (with appropriate link) for  $i = 1, \dots, q$ . By Lemma 2.12 we only need to prove that  $f_*[\tilde{X}_i] = 0$  in  $H_m(N)$ ,  $m = \dim \tilde{X}_i$ . But if  $m > n - \gamma$  this is true because  $N$  deformation retracts onto  $V'$  and  $\dim V' = n - \gamma$ . If  $m \leq n - \gamma$ , then one may apply to  $(\tilde{N}, \tilde{V}')$  exactly the same arguments applied to  $(\tilde{N}, \tilde{V}')$  in the proof of Theorem 2.10. The only difference is that now  $E = E(\tilde{V}', \tilde{N})$  is a stratified set not necessarily trivialised, but this causes no problem.  $\square$

If  $\partial_{n-1}(V') = 0$ , then an obvious sufficient condition for  $\partial_{n-m-1}^h(V') = 0$  is the following: there is a cohomology class  $\xi_h^{n-m-1} \in H^{n-m-1}(N)$  such that

$$(\xi_h^{n-m-1} | \dot{N}) \cap [\dot{N}] = \mu_{n-m-1}^h(\dot{N}).$$

We have an interesting special case when  $V' = SV$ , and consequently  $\dot{N}$  is a manifold,  $\beta > n$ , and  $\mu_{n-m-1}^h(\dot{N})$  are the Poincaré dual of the classes  $\sigma_h^m \in H^m(\dot{N})$ .

**2.14 COROLLARY.** *Let  $V$  be a compact unbounded stratified set (not necessarily trivialised) such that  $\text{codim } SV = \gamma$ . Then the following statements are equivalent:*

- (a) *all the classes  $\partial_{n-m-1}^h(SV)$  vanish, for each  $m = 0, \gamma - 1, \dots, \gamma + k - 2$  and for each  $h = 1, \dots, \dim \eta_m$ ;*
- (b) *there exists a blow-up  $f: (\hat{V}, \hat{N}) \rightarrow (V, N)$  of  $V$  along  $SV$  such that  $V$  is trivialised and  $\text{codim } S\hat{N} \geq \gamma + k$ .*

*Here  $k$  is an integer such that  $1 \leq k \leq n + 1 - \gamma$  and in particular, if  $k = n + 1 - \gamma$  (so that  $\gamma + k - 2 = n - 1$ ) we obtain a blow-up  $\hat{V}$ , which is a manifold.  $\square$*

There is a natural notion of blow-up for stratified  $n$ -sets with boundary and a straightforward extension of Theorem 2.13 to the relative case; this may be used to deal with the uniqueness problem. We omit the details.

Let  $j$  be the inclusion of  $SV$  in  $V$ ; it is not difficult to prove that  $j_* \partial_{n-m-1}^h(SV) = 0$   $\forall m, \forall h$ ; this may be used to give a “resolution” of  $V$  up to a degree one map (not necessarily a blow-up).

We conclude this section by considering an “embedded” case (in analogy with [6, §5]). Let  $V$  be a compact unbounded stratified  $n$ -set,  $M$  a manifold and  $V \subset M$ . We

say that  $V$  is embedded in  $M$  if  $M$  is a stratified set and  $SM = V$ . Let  $\hat{V}$  be a compact submanifold of  $M$  without boundary. We say that  $\hat{V}$  is a *resolution* of  $V$  in  $M$  if  $\hat{V} \cap E(SV, M) = E(SV, V)$ . Two resolutions  $\hat{V}_1$  and  $\hat{V}_2$  are said to be *bordant* in  $M$  if and only if  $\hat{V}_1 \cap T(SV, M)$  and  $\hat{V}_2 \cap T(SV, M)$  are  $L$ -equivalent (in the sense of Thom [15]) relative to  $\hat{T}(SV, M)$  in  $T(SV, M)$ .

**2.15 PROPOSITION.** *Let  $V$  be a closed trivialised  $n$ -cycle embedded (as defined above) in the manifold  $M$  of dimension  $n + 1$ . If  $M$  is also trivialised, there is a resolution  $\hat{V}$  of  $V$  in  $M$ ; moreover,  $\hat{V}$  is unique up to framed bordism in  $M$ .*

**PROOF.** Let  $X$  be a top-dimensional stratum of  $SV$ ,  $\dim X = n - \gamma$ . Then  $L(X, M)$  is a sphere  $S^\gamma$  and  $L(X, V)$  is a framed  $(\gamma - 1)$ -submanifold of  $S^\gamma$  (by the definition of a trivialised set). In order to “resolve” the stratum  $X$  in this context, we only need to see if there is a framed cobordism of  $L(X, V)$  to zero in  $D^{\gamma+1}$ . But this happens if and only if the “Pontryagin map”  $f_X: S^\gamma \rightarrow S^1$  is null-homotopic ( $f_X(L(X, V)) = *$ ). As  $V$  is a cycle,  $\gamma > 1$  and  $f_X \cong *$ . Iterating this argument, one is able to resolve all strata of  $SV$ . Uniqueness may be proved in a similar way.  $\square$

There are extensions of the above proposition which lead to interesting examples. They are obtained by slightly relaxing the hypothesis  $\text{codim}_V SV > 1$  and the hypothesis of trivialisations. If  $\text{codim}_V SV = 1$ , the blow-up  $\hat{V}$  does not exist in general. For example, we could take  $M = S^3 = \mathbf{R}^3 \cup \infty$  and  $V$  the union of the unit sphere  $S^2 \subset \mathbf{R}^3$  and the 2-disk  $\{x^2 + y^2 \leq 1, z = 0\}$ . Whatever trivialisations we choose, there is no resolution of  $V$ , even if we do not require that  $\hat{V}$  is framed or that  $\hat{V} \subset M$ . However, if  $\text{codim}_V SV = 1$ , the above proof shows that the only obstructions to the existence of a framed resolution  $\hat{V}$  of  $V$  in  $M$  are the degrees of the maps  $f_X: S^1 \rightarrow S^1$  ( $f_X(L(X, V)) = *$ ) which classify the framed cobordism class of  $L(X, V)$  in  $S^1 = L(X, M)$ , for each  $(n - 1)$ -dimensional stratum  $X$  of  $SV$ . Now suppose that the tubular neighbourhoods of the strata of  $V - SV$  in  $M$  are arbitrary (thus possibly not trivial, while all the other tubular neighbourhoods are trivialised); in this case we say that  $V$  is embedded in  $M$  with *trivialised singularity*. We ask for a resolution  $\hat{V}$  of  $V$  in  $M$ , not necessarily framed in  $M$ .

**2.16 PROPOSITION.** *Let  $V$  be a compact unbounded trivialised  $n$ -set embedded in the  $(n + 1)$ -manifold  $M$  with trivialised singularity. Then  $V$  admits a resolution  $\hat{V}$  in  $M$  if and only if  $\partial_{n-1}(SV) = 0$ . Moreover,  $\hat{V}$  is unique up to bordism in  $M$ .*

**PROOF.** The idea is the same as for Proposition 2.15, to which we refer for notation. The hypothesis  $\partial_{n-1}(SV) = 0$  allows us to deal with the case where  $V$  is not a cycle. Let  $X$  be a top-dimensional stratum of  $SV$ ,  $\dim X = n - \gamma$ . First we assume  $\gamma > 1$ . The difference from the proof of 2.15 is that now  $L(X, V)$  is not necessarily framed in  $S^\gamma$ , so that the right space to use, instead of  $S^1$ , is the Thom space  $MO(1) \cong K(\mathbf{Z}_2, 1)$ . Thus  $\gamma > 1$  implies that the Thom map  $f_X: S^\gamma \rightarrow MO(1)$  (classifying  $L(X, V)$ ) is null-homotopic.

If  $\gamma = 1$ , then the obstructions to the existence of  $\hat{V}$  are the homotopy classes of the maps  $f_X: S^1 \rightarrow MO(1)$ . But  $\pi_1(MO(1)) \cong \mathbf{Z}_2$  and  $\partial_{n-1}(SV) \in H_{n-1}(SV)$  is represented, by definition, by the map  $\pi: \tilde{N} \rightarrow SV$ , where  $N = T(SV, V)$ . Thus, by

Proposition 1.10.  $\vartheta_{n-1}(SV) = 0 \Leftrightarrow L(X, V)$  is an even number of points  $\Leftrightarrow f_X$  is null-homotopic for all such  $X$ . The uniqueness part is proved by the same arguments.  $\square$

Observe that, in constructing the resolution  $\hat{V}$ , the trivialisations of the tubular neighbourhoods of the strata of  $SV$  are used in an essential way. In fact, when we replace  $V_X$  by  $X \times W$  with  $W$  an  $L$ -equivalence of  $L(X, V)$  to zero in  $D^{\gamma+1}$ , we must be sure that the resulting object has trivial tubular neighbourhoods and this is ensured by the compatibility of the trivialisations. Thus, in general, we can not weaken the condition that  $SV$  be trivialised in  $M$ . However, in some cases, the triviality of tubular neighbourhoods is due to topological reasons and need not be assumed. Such a situation occurs, for instance, when  $SV$  has contractible strata.

**2.17 PROPOSITION.** *Let  $V$  be a compact unbounded stratified  $n$ -set embedded in the  $(n+1)$ -manifold  $M$ . Suppose that each stratum of  $SV$  is contractible and  $\vartheta_{n-1}(SV) = 0$ . Then there is a resolution  $\hat{V}$  of  $V$  in  $M$ , unique up to bordism in  $M$ .*

The proof is practically the same as the proof of Proposition 2.16.  $\square$

An interesting example of the situation described in the above proposition is provided by a compatible triangulation of a closed  $n$ -dimensional analytic set  $V$  with singularity  $SV$  in an analytic manifold  $M$  of dimension  $n+1$ . Here the contractible strata are the simplexes of  $SV$  [6, 6.1].

To obtain more examples of resolvable embedded stratified sets we give the definitions below (following [6]). Let  $M$  be a manifold and  $M_1, \dots, M_{p+1}$  submanifolds of  $M$ . We say that  $M_1, \dots, M_{p+1}$  *intersect transversally* in  $M$  if either  $p = 0$  or, assuming inductively that  $M_1, \dots, M_p$  intersect transversally, it happens that, for any subset  $\{i_1, \dots, i_k\}$  of  $\{1, \dots, p\}$  the manifold  $M_{i_1} \cap \dots \cap M_{i_k}$  intersects  $M_{p+1}$  transversally (in the smooth category).

Given compact unbounded stratified sets  $V_1, \dots, V_{p+1}$  embedded in the manifold  $M$ , we say that a compact unbounded stratified set  $V$  is the *transverse intersection* of  $V_1, \dots, V_{p+1}$  if:

- (a)  $V_1 - SV_1, \dots, V_{p+1} - SV_{p+1}$  intersect transversally;
- (b)  $(V, SV) = (V_1 \cap \dots \cap V_{p+1}, SV_1 \cap \dots \cap SV_{p+1})$ .

We say that a compact unbounded stratified set  $Q$  is the *local transverse intersection* of  $V_1, \dots, V_{p+1}$  as above if there exists an open neighbourhood  $U$  of  $Q$  in  $M$  such that  $Q$  is the transverse intersection of  $V_1 \cap U, \dots, V_{p+1} \cap U$  in  $U$ .

**2.18 THEOREM.** *Let  $V_1, \dots, V_k$  be compact unbounded stratified  $n$ -sets uniquely resolvable in the  $(n+1)$ -dimensional manifold  $M$ ; if  $V$  is a local transverse intersection of  $V_1, \dots, V_k$  in  $M$ , then  $V$  is uniquely resolvable in  $M$ .*

**PROOF.** The proof of [6, 6.3] easily adapts to our context, thus we omit the details. The idea is very simple: use the hypothesis to choose resolutions  $\{\hat{V}'_i\}$  of  $\{V_i\}$  in  $M$ ; then use the fact that transversality is stable and generic to change  $\hat{V}'_i$  into  $\hat{V}_i$  so as to obtain that the  $\{\hat{V}_i\}$  intersect transversally. Their intersection is then the required resolution  $\hat{V}$ .  $\square$



**3. The oriented cases.** The same sort of geometric constructions considered in the last section may be applied to oriented cases. Let  $G$  be one of the classical Lie groups  $SO$ ,  $U$ ,  $SU$ ,  $Sp$ : below we define a (trivialised)  $G$ -stratified set  $V$  from a stratified set, essentially by adding a  $G$ -structure on each stratum, and requiring that all the conditions for  $V$  to be a stratified set respect the extra  $G$ -structure.

**DEFINITION.** A  $G$ -stratified set is a stratified set  $(V, \chi, \tau)$  in which each stratum has a structure of a  $G$ -manifold (see [11] for the definition of a  $G$ -manifold). We refer to §1 for notation and terminology. If  $W \subset V$  are  $G$ -stratified sets, we say that  $W$  is a *restriction* of  $V$  if (a) and (b) are satisfied and also

(c) the  $G$ -structure on  $X$  is the  $G$ -structure induced by  $X'$  via the framing of  $X$  in  $X'$ .

With the above definition we are able to define  $T(V', V)$ ,  $\dot{T}(V', V)$  and  $E(V', V)$  as  $G$ -stratified sets.

An *isomorphism* of  $G$ -stratified sets is an isomorphism  $f: V \rightarrow V'$  of stratified sets satisfying the additional property

(d)  $f$  restricts to an isomorphism of  $G$ -manifolds between  $T_{X,Y}$  and  $T_{X',Y'}$ .

The rest of §1 translates into the present context in an obvious way. In particular, we have the notion of a  $G$ -trivialised set, a  $G$ -stratified  $n$ -cycle (relative or closed) and of a  $G$ -trivialised  $n$ -cycle (relative or closed). We also have an associated bordism theory defined in the usual way. The following theorem is proved like Theorem 1.4.

**THEOREM.** *The bordism theory associated with  $G$ -stratified cycles (and the bordism theory associated with  $G$ -trivialised cycles) is homology theory with integral coefficients.*

□

Let  $\Omega_m^G$  denote the  $m$ -dimensional bordism group of  $G$ -manifolds. First of all one fixes a basis of the free part of  $\Omega_m^G$  and polynomials in the characteristic classes (which are now Chern classes, if  $G = U$  or  $SU$ , and  $G$ -Pontryagin classes, if  $G = SO$  or  $Sp$ ) such that the corresponding matrix of characteristic numbers is a diagonal matrix. This can be done using the existence of  $G$ -manifolds  $M_k$  such that  $s_k[M_k] \neq 0$  (see [9, §16], for  $G = U, SO$ ; [11, Chapter X], for  $G = SU$ ; and [12] for  $G = Sp$ ).

Then we can proceed as in §2 to define the obstructions. The main differences are essentially two.

(1) If  $G = SU$  or  $Sp$ , the torsion part of  $\Omega_m^G$  is not detected by cohomology characteristic numbers. Thus, for example, in order to prove that the vanishing of the  $(n - \gamma + 1)$ -obstructions implies that the link of a top-dimensional  $(n - \gamma)$ -stratum  $X$  of  $V'$  is a boundary, we have the following situation:

(a) if  $G = U$ , we can proceed exactly as in 2.9, using the obstructions constructed with Chern classes;

(b) if  $G = SO$ , we can proceed as in 2.9, using the obstructions constructed with  $SO$ -Pontryagin classes and Stiefel-Whitney classes, with a little more care, due to the fact that Stiefel-Whitney classes are unoriented homology classes;

(c) if  $G = SU$  or  $Sp$ , the same arguments imply only that the link of a top-dimensional stratum  $X$  is an element of the torsion part of  $\Omega_m^G$ , and we cannot, in general, have a blow-up of  $V$  along  $V'$ . However, we can still get a “2-blow-up” of  $V$  along  $V'$ .

(A  $k$ -blow-up  $f: (\hat{V}, \hat{N}) \rightarrow (V, N)$  is defined in the same way as in 2.3, replacing (b) by the following.

(b')  $\hat{V} - \hat{N}$  is a disjoint union  $V_1 + \cdots + V_k$  and  $f$  restricts to isomorphisms  $f|: V_i \rightarrow \overline{V - N}$ ,  $i = 1, \dots, k$ .)

(2) The characteristic numbers are now in  $\mathbf{Z}$  instead of  $\mathbf{Z}_{\frac{1}{2}}$ . Thus the vanishing of the obstructions implies the vanishing of a multiple of  $f_*[\tilde{X}_i]$  in  $H_*(V')$  (see 2.10); therefore, in general, the vanishing of the obstructions implies only the existence of a  $k$ -blow-up: in order to have sufficient conditions for the existence of a blow-up (at least in the cases  $G = U$  or  $SO$ ) of  $V$  along  $V'$ , one may add further conditions on the torsion part of the homology of  $V'$ .

The rest of the constructions of §2 can be extended with few more technical difficulties. One may note that, from an explicit construction of the basis of the free part of  $\Omega_m^G$ , we derive informations about the multiple required in the resolution of the  $k$ -dimensional strata. Thus, for example, one can prove results of this kind:

**THEOREM.** *Let  $V$  be a compact unbounded  $n$ -dimensional  $G$ -stratified set ( $G = U$  or  $SO$ ) such that, for each  $i = 0, \dots, n-1$ ,  $H_i(V')$  has no  $p$  torsion, for each prime  $p$  such that*

$$p < n/2 + 1 \text{ (if } G = U),$$

$$2 < p < n/2 + 1 \text{ (if } G = SO).$$

*Then there exists a blow-up  $(\hat{V}, \hat{N})$  of  $(V, N)$  along  $V'$ , with  $\hat{V}$  a  $G$ -manifold, if and only if all the obstructions  $\mathfrak{d}_{n-m-1}^h(V')$  vanish, for each  $m = 0, \dots, n-1$ .  $\square$*

We conclude this section with a discussion of Thom's example related to stratified sets. In [15] Thom defines a class  $z \in H_7(K(\mathbf{Z}_3, 1) \times K(\mathbf{Z}_3, 1))$  not representable by a smooth oriented manifold.

**PROPOSITION.** *Let  $V$  be a  $G$ -stratified cycle representing  $z$ . Then:*

(a) *If  $G = SO$ , there is a blow-up  $f: \hat{V} \rightarrow V$  of  $V$  along  $SV$ , with  $\hat{V}$  a  $G$ -trivialised cycle such that  $SV$  consists of exactly one stratum  $X$  of dimension two.*

(b) *If  $G = U, SU, Sp$  there is a  $2^k$ -blow-up  $f: \hat{V} \rightarrow V$ , with  $\hat{V}$  a  $G$ -trivialised set as above.*

**PROOF.** Let  $G = SO$  and  $N = T(SV, V)$ .  $\dot{N}$  bounds a  $G$ -stratified cycle  $N$ . From the fact that  $SV$  has the homotopy type of a finite CW-complex, we deduce that  $\dot{N} \subset N$  bounds a  $G$ -trivialised cycle  $\hat{N} \rightarrow N$ . Thus we may assume, up to a blow-up, that  $V$  is a  $G$ -trivialised cycle. As  $\Omega_i^{SO} = 0$  for  $i \leq 3$ , we are able to obtain a blow-up of  $V$  which is a  $G$ -trivialised cycle with singularity in codimension 5. By a further blow-up, one constructs a  $G$ -trivialised cycle  $\tilde{V}$  such that:

- (a)  $S\tilde{V}$  consists of exactly one stratum of dimension two;
- (b)  $L(S\tilde{V}, \tilde{V}) = \mathbf{CP}^2$  which represents a generator of  $\Omega_4^{SO}$ ;
- (c)  $S\tilde{V}$  is a  $G$ -trivialised cycle.

This is a consequence of the analogue of Lemma 2.11 and of the fact that  $\Omega_4^{SO}$  is generated by  $\mathbf{CP}^2$ .

From (c) we have that the singularity of  $S\tilde{V}$  consists of a finite set of points  $X_1, \dots, X_q$ . Consider one of these points, say  $X_i$ ;  $L(X_i, \tilde{V})$  is a 6-dimensional

$G$ -trivialised cycle  $W_i$  such that  $SW_i = W_i \cap S\tilde{V}$  is a 1-dimensional  $G$ -manifold with  $L(SW_i, W_i) = \mathbb{C}P^2$ . Choose a 2-dimensional  $G$ -manifold  $D_i$  such that  $\partial D_i = SW_i$  and put

$$Q_i = \overline{W_i - (SW_i \times c\mathbb{C}P^2)} \cup D_i \times \mathbb{C}P^2,$$

the union being taken along  $SW_i \times \mathbb{C}P^2$ .  $Q_i$  is a 6-dimensional  $G$ -manifold which bounds because  $\Omega_6^{SO} = 0$ . Thus there is a 7-dimensional  $G$ -manifold  $Q'_i$  with  $\partial Q'_i = Q_i$ . Then  $\partial(Q'_i \cup_{D_i \times \mathbb{C}P^2} (D_i \times c\mathbb{C}P^2)) = W_i$  and we may replace  $cW_i$  with

$$Q'_i \cup (D_i \times c\mathbb{C}P^2).$$

If we iterate this construction for every point  $X_i$  the resulting  $G$ -trivialised cycle is the required  $\hat{V}$ . Note that  $S\hat{V} = \bigcup_i (E(X_i, S\tilde{V}) \cup_{\partial D_i} D_i)$ . This completes the proof in the case  $G = SO$ .

Let  $G = SU, Sp$ . The argument is essentially the same as in the previous case, the only difference being that some of the groups  $\Omega_i^G$  are nontrivial, for  $i = 6$  or  $i < 4$ . However, if  $\Omega_i^G \neq 0$ , then  $\Omega_i^G \cong \mathbb{Z}_2$  [11, 19]. Thus the method of desingularisation described above may be applied to obtain a  $2^k$ -blow-up  $f: \hat{V} \rightarrow V$  satisfying the required conditions. Finally, the case  $G = U$  is included in the case  $G = SU$ . This completes the proof of the proposition.  $\square$

The above proposition, although stated for a particular  $z$ , obviously holds for any 7-dimensional homology class.

**COROLLARY.** *Let  $G = SO, U, SU, Sp$ ; the class  $z$  of the above proposition is representable by a  $G$ -trivialised cycle  $\hat{V}$  with  $S\hat{V}$  consisting of a single two-dimensional stratum.*

**PROOF.** Let  $[V] = z$  be as in the above proposition. If  $G = SO$ , then  $f: \hat{V} \rightarrow V$  being a blow-up is a degree-one map, thus the result follows. If  $G = SU, U, Sp$ , then  $f: \hat{V} \rightarrow V$  has degree  $2^k$ . Therefore  $2^k z$  is representable by a  $G$ -trivialised cycle  $\hat{V}$  with the required property. But, by the definition of  $z$ , we have  $3z = 0$ , which implies  $2^k z = \pm z$ . Thus either  $\hat{V}$  or  $-\hat{V}$  represents  $z$  as required.  $\square$

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF NAPLES, 80134 NAPLES, ITALY

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF PISA, 56100 PISA, ITALY