

# SOME APPLICATIONS OF THE TOPOLOGICAL CHARACTERIZATIONS OF THE SIGMA-COMPACT SPACES $l_f^2$ AND $\Sigma$

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**ABSTRACT.** We use a technique involving skeletoids in  $\sigma$ -compact metric ARs to obtain some new examples of spaces homeomorphic to the  $\sigma$ -compact linear spaces  $l_f^2$  and  $\Sigma$ . For example, we show that (1) every  $\aleph_0$ -dimensional metric linear space is homeomorphic to  $l_f^2$ ; (2) every  $\sigma$ -compact metric linear space which is an AR and which contains an infinite-dimensional compact convex subset is homeomorphic to  $\Sigma$ ; and (3) every weak product of a sequence of  $\sigma$ -compact metric ARs which contain Hilbert cubes is homeomorphic to  $\Sigma$ .

**1. Introduction.** We consider the  $\sigma$ -compact pre-Hilbert spaces  $l_f^2 = \{(x_i) \in l^2: x_i = 0 \text{ for almost all } i\}$  and  $\Sigma = \{(x_i) \in l^2: \sup |ix_i| < \infty\}$ . For any  $\sigma$ -compact locally convex metric linear space  $E$ , with completion  $\tilde{E}$ , the following results are known from work of Anderson, Bessaga and Pełczyński, and Toruńczyk (see [3, Chapter VIII]):

- (1)  $(\tilde{E}, E) \approx (l^2, l_f^2)$  if  $E$  is  $\aleph_0$ -dimensional;
- (2)  $(\tilde{E}, E) \approx (l^2, \Sigma)$  if  $E$  contains an infinite-dimensional compact convex subset.

In this paper we extend the above results to all  $\sigma$ -compact metric linear spaces  $E$  for which the completion  $\tilde{E}$  is an AR. More generally, it is shown that if  $C$  is a  $\sigma$ -compact convex subset of a metric linear space such that the closure  $\bar{C}$  is nonlocally compact, then:

- (I)  $C \approx l_f^2$  if  $C$  is  $\sigma$ -fd-compact (the countable union of finite-dimensional compacta);
- (II)  $C \approx \Sigma$  if  $C$  is an AR and contains an infinite-dimensional locally compact convex subset;
- (III) if the closure  $\bar{C}$  in some *complete* metric linear space is nonlocally compact and an AR, then  $(\bar{C}, C) \approx (l^2, l_f^2)$  if  $C$  is  $\sigma$ -fd-compact, and  $(\bar{C}, C) \approx (l^2, \Sigma)$  if  $C$  contains an infinite-dimensional locally compact convex subset.

The proof of (III) is based on the theory of skeletoids (cap sets and fd-cap sets) in  $l^2$ , and a result from [9]. However, it does involve many of the same constructions that appear in the proofs of (I) and (II), for which there is developed a method of skeletoids in  $\sigma$ -compact metric ARs based on the topological characterizations of  $l_f^2$  and  $\Sigma$  given in [13].

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This method is also used to obtain results on weak products. Specifically, every weak product of a sequence of nondegenerate  $\sigma$ -fd-compact metric ARs is homeomorphic to  $l_f^2$ , and every weak product of  $\sigma$ -compact ARs which contain Hilbert cubes is homeomorphic to  $\Sigma$ .

**2. Strongly universal properties and skeletoids.** We say that a metric space  $X$  is *strongly universal for compacta* (respectively, *strongly universal for finite-dimensional compacta*) if, for every map  $f: A \rightarrow X$  of a compactum (respectively, finite-dimensional compactum), for every closed subset  $B$  of  $A$  such that  $f|B$  is an imbedding, and for every  $\varepsilon > 0$ , there exists an imbedding  $h: A \rightarrow X$  such that  $h|B = f|B$  and  $d(h, f) < \varepsilon$ .

**2.1 THEOREM [13].** *A metric AR is homeomorphic to  $\Sigma$  (respectively, homeomorphic to  $l_f^2$ ) if and only if it is  $\sigma$ -compact and strongly universal for compacta (respectively,  $\sigma$ -fd-compact and strongly universal for finite-dimensional compacta).*

In verifying the strongly universal properties for the spaces discussed in §1, we find it convenient to work with skeletal versions of these properties, formulated with respect to a tower of subsets  $X_1 \subset X_2 \subset \dots$  in  $X$ . We say that  $\{X_i\}$  is a *strongly universal tower for compacta* (respectively, *strongly universal tower for finite-dimensional compacta*) if, for every map  $f: A \rightarrow X$  of a compactum (respectively, finite-dimensional compactum), for every closed subset  $B$  of  $A$  such that  $f|B: B \rightarrow X_m$  is an imbedding into some  $X_m$ , and for every  $\varepsilon > 0$ , there exists an imbedding  $h: A \rightarrow X_n$ , for some  $n \geq m$ , such that  $h|B = f|B$  and  $d(h, f) < \varepsilon$ . We refer to  $\bigcup_1^\infty X_i \subset X$  as a *skeletoid for compacta* (respectively, *skeletoid for finite-dimensional compacta*).

We also require the notion of a  $Z$ -set. A closed set  $F$  of a metric space  $X$  is a  *$Z$ -set in  $X$*  if all maps of compacta into  $X$  can be arbitrarily closely approximated by maps into  $X \setminus F$ . When  $X$  is an ANR, it suffices to consider maps of the Hilbert cube into  $X$ , or equivalently, maps of  $n$ -cells for all finite  $n$ .

**2.2 PROPOSITION.** *Let  $X$  be a metric ANR such that every compact subset is a  $Z$ -set. Then if  $X$  contains a skeletoid for compacta (respectively, skeletoid for finite-dimensional compacta),  $X$  is strongly universal for compacta (respectively, strongly universal for finite-dimensional compacta).*

**PROOF.** Given a map  $f: A \rightarrow X$  of a compactum, a closed subset  $B$  of  $A$  such that  $f|B$  is an imbedding, and  $\varepsilon > 0$ , we must construct an imbedding  $g: A \rightarrow X$  such that  $g|B = f|B$  and  $d(g, f) < \varepsilon$ . Let  $\{X_i\}$  be a strongly universal tower for compacta, and let  $\{A_i\}$  be a tower of compacta such that  $\bigcup_1^\infty A_i = A \setminus B$ . We will inductively construct a sequence of maps  $\{g_n: A \rightarrow X\}$  such that:

- (i)  $g_n(A_n) \subset X_{i(n)}$  for some  $i(n)$ ;
- (ii)  $g_n|A_n \cup B$  is an imbedding;
- (iii)  $g_n|A_{n-1} \cup B = g_{n-1}|A_{n-1} \cup B$  (set  $A_0 = \emptyset$  and  $g_0 = f$ );
- (iv)  $d(g_n, g_{n-1}) < \varepsilon/2^n$ .

Then  $g = \lim_{n \rightarrow \infty} g_n$  is the required imbedding.

Suppose maps  $g_0, \dots, g_{n-1}$  have been constructed. Since the compacta  $g_{n-1}(A_{n-1})$  and  $g_{n-1}(B)$  are disjoint, there exists a neighborhood  $U$  of  $A_{n-1}$  in  $A$  such that  $\text{dist}(g_{n-1}(U), g_{n-1}(B)) > 0$ . Take

$$\delta = \min\{\varepsilon/2^{n+1}, \text{dist}(g_{n-1}(U), g_{n-1}(B))\}.$$

Since  $X$  is an ANR, there exists  $\eta > 0$  such that every map  $g': A \rightarrow X$  with  $d(g', g_{n-1}) < \eta$  is  $\delta$ -homotopic to  $g_{n-1}$ . By the  $Z$ -set hypothesis, there exists a map  $g': A \rightarrow X \setminus g_{n-1}(B)$  with  $d(g', g_{n-1}) < \eta$ . Let  $h: A \times [0, 1] \rightarrow X$  be a  $\delta$ -homotopy between  $g' = h_0$  and  $g_{n-1} = h_1$ , and let  $\lambda: A \rightarrow [0, 1]$  be a Urysohn map with  $\lambda(A_{n-1}) = 1$  and  $\lambda(X \setminus U) = 0$ . Define  $\tilde{g}: A \rightarrow X$  by  $\tilde{g}(a) = h(a, \lambda(a))$ . Then  $\tilde{g}(A) \cap g_{n-1}(B) = \emptyset$ ,  $\tilde{g}|_{A_{n-1}} = g_{n-1}|_{A_{n-1}}$ , and  $\tilde{g}$  is  $\varepsilon/2^{n+1}$ -homotopic to  $g_{n-1}$ .

Choose  $0 < \mu < \text{dist}(\tilde{g}(A), g_{n-1}(B))$  such that every map  $h: A \rightarrow X$  with  $d(h, \tilde{g}) < \mu$  is  $\varepsilon/2^{n+1}$ -homotopic to  $\tilde{g}$ . By the tower hypothesis, there exists an imbedding  $h: A \rightarrow X_{i(n)}$  for some  $i(n) > i(n-1)$ , with  $h|_{A_{n-1}} = \tilde{g}|_{A_{n-1}} = g_{n-1}|_{A_{n-1}}$  and  $d(h, \tilde{g}) < \mu$ . Then  $h(A) \cap g_{n-1}(B) = \emptyset$ , and  $h$  is  $\varepsilon/2^n$ -homotopic to  $g_{n-1}$ . Using such a homotopy and a Urysohn map  $\lambda: A \rightarrow [0, 1]$  with  $\lambda(A_n) = 1$  and  $\lambda(B) = 0$ , we then construct the desired map  $g_n$ .

The identical construction works in the case that  $\{X_i\}$  is strongly universal for finite-dimensional compacta and  $A$  is finite-dimensional.

In general, the compact  $Z$ -set hypothesis in the above proposition is strictly necessary, and cannot be weakened to nowhere-local compactness. Consider the infinite-dimensional compact convex ellipsoid  $M = \{(x_i) \in l^2: \sum_1^\infty i^2 x_i^2 \leq 1\}$ , a topological Hilbert cube. Let  $M_{\text{core}} = \{(x_i) \in l^2: \sum_1^\infty i^2 x_i^2 < 1\}$ , and let  $W$  be a wild (i.e., not a  $Z$ -set) Cantor set in  $M$ . Then  $X = M_{\text{core}} \cup W$  is a  $\sigma$ -compact, nowhere-locally compact, convex subset of  $l^2$  which contains a skeletoid for compacta, but  $X \not\approx \Sigma$ , and is therefore not strongly universal for compacta, since  $W$  is not a  $Z$ -set in  $X$ .

There also exists a  $\sigma$ -fd-compact counterexample. With  $M$  and  $W$  as above, let  $M_f = M \cap l_f^2$ , and consider  $Y = M_f \cup W$ . Then  $Y$  is a  $\sigma$ -fd-compact, nowhere-locally compact AR which contains a skeletoid for finite-dimensional compacta, but again  $W$  is not a  $Z$ -set in  $Y$ . Thus  $Y \not\approx l_f^2$  and is not strongly universal for finite-dimensional compacta. (Although  $Y$  is nonconvex, it is easily seen that there exist maps  $g: M \rightarrow Y$  arbitrarily close to the identity map such that  $g|_W = \text{id}$  and  $g(M \setminus W) \subset M_f$ . Thus  $Y$  is arbitrarily finely dominated by  $M$ , and is therefore an AR.) It is an open question (see §4) whether the compact  $Z$ -set hypothesis is redundant when  $X$  is an infinite-dimensional,  $\sigma$ -fd-compact, convex subset of a metric linear space.

The skeletoids contained in the above counterexamples are proper subsets of the spaces. In the case that a  $\sigma$ -compact metric ANR is covered by a strongly universal tower, with each tower element  $\sigma$ -compact, compact subsets are automatically  $Z$ -sets. The following proposition will be used for weak products (§5).

**2.3. PROPOSITION.** *Let  $X$  be a metric ANR, and let  $\{X_i\}$  be a strongly universal tower for compacta (respectively, strongly universal tower for finite-dimensional compacta), with each  $X_i$   $\sigma$ -compact (respectively,  $\sigma$ -fd-compact), and such that  $\bigcup_1^\infty X_i = X$ . Then every compact subset of  $X$  is a  $Z$ -set.*

**PROOF.** We first verify that every compact subset (respectively, finite-dimensional compact subset) of a tower element  $X_i$  is a  $Z$ -set in  $X$ . Let  $F$  be such a subset, let  $f: K \rightarrow X$  be a map of a compactum, and let  $\varepsilon > 0$ . Consider the disjoint union  $K \cup F$ , and the map  $\tilde{f}: K \cup F \rightarrow X$  defined by  $\tilde{f}|_K = f$  and  $\tilde{f}|_F = \text{id}$ . Then  $\tilde{f}$  can be approximated by an imbedding  $h: K \cup F \rightarrow X_j$  for

some  $j > i$ , with  $h \mid F = \tilde{f} \mid F = \text{id}$  and  $d(h, \tilde{f}) < \varepsilon$ . Thus  $d(h \mid K, f) < \varepsilon$  and  $h(K) \cap F = \emptyset$ .

Of course, since  $X$  is an ANR, it suffices to consider the case that  $K$  is an  $n$ -cell. Thus if  $\{X_i\}$  is strongly universal for finite-dimensional compacta, and  $F \subset X_i$  is finite-dimensional, the above procedure still works.

Since  $X = \bigcup_1^\infty X_i$ , it follows that every compact subset of  $X$  is a  $\sigma Z$ -set (i.e., a countable union of  $Z$ -sets), and the proof of the proposition will be completed by the following.

**2.4. LEMMA.** *Every topologically complete closed  $\sigma Z$ -set in a metric ANR is a  $Z$ -set.*

**PROOF.** Consider  $F = \bigcup_1^\infty F_n$ , with each  $F_n$  a  $Z$ -set in  $X$ . Choose a complete metric  $d$  for  $F$ ; since  $F$  is closed in  $X$ ,  $d$  can be extended to  $X$ . Let a map  $f: K \rightarrow X$  of a compactum and  $\varepsilon > 0$  be given. Using the fact that each  $F_n$  is a  $Z$ -set, and the techniques in the second paragraph of the proof of 2.2, we may construct a sequence of maps  $\{f_n: K \rightarrow X\}$  and a sequence of positive constants  $\{\varepsilon_n\}$  such that:

- (i)  $f_n(K) \cap F_n = \emptyset$ ;
- (ii)  $\varepsilon_n < \min\{\text{dist}(f_n(K), F_n), \varepsilon_{n-1}/2\}$ , with  $\varepsilon_0 = \varepsilon$ ;
- (iii)  $d(f_n, f_{n+1}) < \varepsilon_n/2$ , with  $f_0 = f$ ;
- (iv)  $f_{n+1} \mid K_n = f_n \mid K_n$ , where  $K_n = \{q \in K: \text{dist}(f_n(q), F) \geq 2^{-n}\}$ .

The subsets  $K_n$  form a tower, and if  $\bigcup_1^\infty K_n = K$ ,  $\tilde{f} = \lim_{n \rightarrow \infty} f_n$  is a well-defined map of  $K$  into  $X \setminus F$ , with  $d(\tilde{f}, f) < \varepsilon$ . Suppose there exists  $q \in K \setminus \bigcup_1^\infty K_n$ . Then for some sequence  $\{y_n\}$  in  $F$ ,  $d(f_n(q), y_n) < 2^{-n}$  for each  $n$ . Since  $\{f_n(q)\}$  is Cauchy, so is  $\{y_n\}$ , and  $y_n \rightarrow y \in F$ . Hence  $f_n(q) \rightarrow y$ , and  $y \in F_m$  for some  $m$ . But since  $\text{dist}(f_m(K), F_m) > \varepsilon_m$  and  $d(f_m, f_n) < \varepsilon_m$  for each  $n > m$ , we cannot have  $f_n(q) \rightarrow y$ . Thus  $\bigcup_1^\infty K_n = K$ , and the proof is complete.

**3. Convex sets in metric linear spaces.** Throughout this section,  $C$  denotes a convex subset of a metric linear space  $E$ . We use a monotone invariant metric  $d$  on  $E$ , and the corresponding  $F$ -norm  $|\cdot|: E \rightarrow [0, \infty)$ , defined by  $|x| = d(x, \theta)$ , where  $\theta$  is the zero element. The monotone property means that  $|tx| \leq |x|$  if  $|t| \leq 1$ .

In attempting to identify convex sets in metric linear spaces which may be homeomorphic to  $l_f^2$  or  $\Sigma$ , we need first of all to determine whether compact subsets are  $Z$ -sets. As shown by the example following the proof of 2.2, it does not suffice to require only that the convex set be nowhere-locally compact. However, it is sufficient that the closure of a convex set be nonlocally compact (note that a closed convex set which fails to be locally compact at some point is nowhere-locally compact).

**3.1. PROPOSITION.** *If the convex set  $C$  has a nonlocally compact closure in  $E$ , then every compact subset of  $C$  is a  $Z$ -set in  $C$ .*

**PROOF.** We may assume  $\theta \in C$ . Consider a compact subset  $F$  of  $C$ , a map  $f: K \rightarrow C$  of a compactum, and  $\varepsilon > 0$ . Choose  $0 < \delta < 1$  such that  $|\delta f(q)| < \varepsilon/2$  for all  $q \in K$ . Set  $D = \{(x - (1 - \delta)f(q))/\delta: x \in F \text{ and } q \in K\}$ . Then  $D \subset E$  is compact. Since there is no compact neighborhood of  $\theta$  in  $\overline{C}$ , we must have  $\overline{C} \cap \{x \in E: |x| \leq \varepsilon/3\} \not\subset D$ , and there exists  $z \in C \setminus D$  with  $|z| < \varepsilon/2$ . Define a map  $g: K \rightarrow C$  by the formula  $g(q) = \delta z + (1 - \delta)f(q)$ . Since  $z \notin D$ ,  $g(q) \notin F$  for

any  $q \in K$ , and

$$|g(q) - f(q)| = |\delta z - \delta f(q)| \leq |z| + |\delta f(q)| < \varepsilon/2 + \varepsilon/2 = \varepsilon.$$

Thus  $F$  is a  $Z$ -set in  $C$ .

**3.2. LEMMA.** *Let  $\{C_i\}$  be a tower of convex sets such that  $\bigcup_1^\infty C_i$  is dense in the convex set  $C$ , and suppose that  $C$  is an AR and each  $C_i$  is an AR. Then for every map  $f: A \rightarrow C$  of a compactum, for every closed subset  $B$  of  $A$  such that  $f(B) \subset C_m$  for some  $m$ , and for every  $\varepsilon > 0$ , there exists a map  $g: A \rightarrow C_n$  for some  $n \geq m$ , such that  $g|_B = f|_B$  and  $d(g, f) < \varepsilon$ .*

**PROOF.** We will construct maps  $f_0, f_1: A \rightarrow C_n$ , for some  $n \geq m$ , such that  $f_0|_B = f|_B$  and  $d(f_1, f) < \varepsilon/2$ . Then for any Urysohn map  $\lambda: A \rightarrow [0, 1]$  such that  $\lambda(B) = 0$  and  $\{a \in A: |f_0(a) - f(a)| \geq \varepsilon/2\} \subset \lambda^{-1}(1)$ , the required map  $g$  may be defined by the formula  $g(a) = (1 - \lambda(a))f_0(a) + \lambda(a)f_1(a)$ .

The map  $f_0$  is obtained as an extension of the map  $f|_B$  into the AR space  $C_m$ .

In constructing the map  $f_1$ , we may assume that  $A$  is a Hilbert cube, since  $C$  is an AR. Thus we may assume that  $A$  admits small self-maps into finite-dimensional subcompacta. (If  $A$  itself is finite-dimensional, the AR hypothesis on  $C$  is unnecessary.) Choose  $\delta > 0$  such that  $|f(a) - f(a')| < \varepsilon/4$  for all  $a, a' \in A$  with  $d(a, a') < \delta$ . Choose a finite-dimensional subcompactum  $F$  of  $A$  for which there exists a map  $\tau: A \rightarrow F$  with  $d(\tau, \text{id}) < \delta$ . Choose  $\eta > 0$  such that  $|f(a) - f(a')| < \varepsilon/(\delta(\dim F + 1))$  for all  $a, a' \in F$  with  $d(a, a') < \eta$ . Let  $\mathcal{U}$  be a finite open cover of  $F$ , with  $\dim \text{Nerve } \mathcal{U} \leq \dim F$  and  $\text{mesh } \mathcal{U} < \eta$ . For each  $U \in \mathcal{U}$ , choose  $\varphi(U) \in \bigcup_1^\infty C_i$  such that for some  $a \in U$ ,  $|\varphi(U) - f(a)| < \varepsilon/(\delta(\dim F + 1))$ . This defines a partial realization of  $\text{Nerve } \mathcal{U}$  in some  $C_n$ ,  $n \geq m$ , which may be extended linearly to a full realization  $\varphi: \text{Nerve } \mathcal{U} \rightarrow C_n$ . Let  $\alpha: F \rightarrow \text{Nerve } \mathcal{U}$  be any barycentric map. Then the composition  $\tilde{f} = \varphi \circ \alpha$  maps  $F$  into  $C_n$ , and  $d(\tilde{f}, f|_F) < \varepsilon/4$ . Finally, take  $f_1 = \tilde{f} \circ \tau: A \rightarrow C_n$ . For each  $a \in A$ , we have

$$\begin{aligned} |f_1(a) - f(a)| &\leq |\tilde{f}(\tau(a)) - f(\tau(a))| + |f(\tau(a)) - f(a)| \\ &\leq \varepsilon/4 + \varepsilon/4 = \varepsilon/2. \end{aligned}$$

This completes the proof of the lemma.

An infinite-dimensional compact convex set which can be affinely imbedded in  $l^2$  is called a *Keller cube*. (For a discussion of such sets, including the fundamental theorem that all Keller cubes are homeomorphic to the Hilbert cube, we refer the reader to [3].)

**3.3. LEMMA.** *Let  $K$  be a Keller cube in a metric linear space  $E$ . Then for every finite set  $\{x_1, \dots, x_n\}$  in  $E$  the set  $L = \text{conv}\{K, x_1, \dots, x_n\}$  is also a Keller cube. Furthermore, there exists  $z \in K$  with the property that, for every such  $L$ , the subset  $\text{aur}_z L = \bigcup_{y \in L} [z, y]$  is a  $\sigma Z$ -set in  $L$ .*

**PROOF.** Let  $\alpha: K \rightarrow l^2$  be an affine imbedding. We may assume that  $\theta \in K$  and that  $\alpha(\theta) = (0, 0, \dots) \in l^2$ . For any  $L = \text{conv}\{K, x_1, \dots, x_n\}$   $\alpha$  can be extended to an affine imbedding of  $L$  as follows. If  $x_1 \in \text{span } K = \bigcup_1^\infty n(K - K)$ , say  $x_1 = n(k_1 - k_2)$ , set  $\alpha(x_1) = n(\alpha(k_1) - \alpha(k_2))$ . And if  $x_1 \notin \text{span } K$ , choose  $\alpha(x_1) \in l^2 \setminus \text{span } \alpha(K)$ . Then  $\alpha$  extends linearly to a homeomorphism between  $\text{conv}\{K, x_1\}$  and  $\text{conv}\{\alpha(K), \alpha(x_1)\}$ . Repeating this procedure  $n$  times, we obtain the desired extension of  $\alpha$  over  $L$ , with  $\alpha(L) = \text{conv}\{\alpha(K), \alpha(x_1), \dots, \alpha(x_n)\}$ .

By the foregoing, we may assume without loss of generality that  $E = l^2$  and  $(0, 0, \dots) \in K$ . Choose an orthogonal sequence  $\{u_i\}$  of nonzero vectors in the infinite-dimensional pre-Hilbert space  $\text{span } K$ . We may suppose that each  $u_i \in K - K$ ; pick  $v_i, w_i \in K$  such that  $u_i = v_i - w_i$ . Since  $K$  is compact, the sequence  $\{w_i\}$  is bounded. Consider  $z = \sum_1^\infty 2^{-i} w_i$ . We have  $z \in K$ , and  $z + 2^{-i} u_i \in K$  for each  $i$ . It follows from Proposition 2.5 of [4] that for any compact convex set  $L \supset K$ ,  $\text{aur}_z L$  is a  $\sigma Z$ -set in  $L$ .

We are now ready to construct skeletoids in convex sets.

**3.4. PROPOSITION.** *Let  $C$  be a separable infinite-dimensional convex set. Then  $C$  contains a skeletoid for finite-dimensional compacta, and if  $C$  is an AR and contains a Keller cube, then  $C$  contains a skeletoid for compacta.*

**PROOF.** Let  $\{x_i\}$  be a dense sequence in  $C$ , and define  $C_i = \text{conv}\{x_1, \dots, x_i\}$ ,  $i \geq 1$ . We verify that  $\{C_i\}$  is a strongly universal tower for finite-dimensional compacta. Given a map  $f: A \rightarrow C$  of a finite-dimensional compactum, a closed subset  $B$  of  $A$  such that  $f|_B: B \rightarrow C_m$  is an imbedding into some  $C_m$ , and  $\varepsilon > 0$ , we must construct an imbedding  $h: A \rightarrow C_r$  for some  $r \geq m$ , such that  $h|_B = f|_B$  and  $d(h, f) < \varepsilon$ . By 3.2,  $f$  may be approximated by a map  $g: A \rightarrow C_n$  for some  $n \geq m$ , such that  $g|_B = f|_B$  and  $d(g, f) < \varepsilon/2$ . (As noted in the proof of 3.2, the finite-dimensionality of  $A$  makes the AR hypothesis on  $C$  unnecessary.) Since  $A$  is finite-dimensional, there exists a map  $\varphi: A \rightarrow J$  into some finite-dimensional cell  $J$ , with  $\varphi|_B$  a constant map onto some boundary point  $p$  of  $J$ , such that if  $\varphi(a) = \varphi(a')$ , then either  $a = a'$  or  $a, a' \in B$ . Since  $\{\dim C_i\}$  is unbounded, there exists an imbedding  $e: C_n \times J \rightarrow C_r$ , for some  $r > n$ , such that  $e(x, p) = x$  and  $|e(x, q) - x| < \varepsilon/2$  for all  $x \in C_n$  and  $q \in J$ . Then the required imbedding  $h: A \rightarrow C_r$  is defined by the formula  $h(a) = e(g(a), \varphi(a))$ .

Now suppose  $C$  contains a Keller cube  $K$ . Let  $z \in K$  be a point with the property specified in 3.3. We may assume  $z = \theta$ . As before, let  $\{x_i\}$  be a dense sequence in  $C$ , and define  $L_i = \text{conv}\{K, x_1, \dots, x_i\}$ ,  $i \geq 1$ . Then each Keller cube  $L_i$  has the property that  $\text{aur}_\theta L_i = [0, 1] \cdot L_i$  is a  $\sigma Z$ -set in  $L_i$ . Equivalently, for each  $0 < t < 1$  the Keller cube  $tL_i$  is a  $Z$ -set in  $L_i$ .

Let  $\{t_i\}$  be a strictly increasing sequence of positive numbers such that  $t_i \rightarrow 1$ . For each  $i$ , set  $C_i = t_i L_i$ . Then  $\{C_i\}$  is a tower of convex sets, with each  $C_i \approx I^\infty$ , and  $\bigcup_1^\infty C_i$  is dense in  $C$ . Since the pair  $(t_{i+1}L_{i+1}, t_iL_{i+1})$  is homeomorphic to the pair  $(L_{i+1}, tL_{i+1})$  for some  $0 < t < 1$ ,  $t_iL_{i+1}$  is a  $Z$ -set in  $t_{i+1}L_{i+1}$ . Thus  $C_i = t_iL_i \subset t_iL_{i+1}$  is a  $Z$ -set in  $C_{i+1}$ . By Anderson's theorem on topological infinite deficiency [1],  $(C_{i+1}, C_i) \approx (C_i \times I^\infty, C_i \times \text{pt})$ .

We verify that  $\{C_i\}$  is a strongly universal tower for compacta. Let a map  $f: A \rightarrow C$ , a closed subset  $B$  of  $A$ , and  $\varepsilon > 0$  be given as before (except that now we do not assume  $A$  is finite-dimensional). Let  $g: A \rightarrow C_n$  be the approximation given by 3.2, with  $g|_B = f|_B$  and  $d(g, f) < \varepsilon/2$ . There exists a map  $\varphi: A \rightarrow I^\infty$ , with  $\varphi|_B$  a constant map onto a point  $p$ , such that if  $\varphi(a) = \varphi(a')$ , then either  $a = a'$  or  $a, a' \in B$ . Let  $e: C_n \times I^\infty \rightarrow C_{n+1}$  be an imbedding such that  $e(x, p) = x$  and  $|e(x, q) - x| < \varepsilon/2$  for all  $x \in C_n$  and  $q \in I^\infty$ . Then as before, the formula  $h(a) = e(g(a), \varphi(a))$  defines the required imbedding  $h: A \rightarrow C_{n+1}$ .

The hypothesis in 3.4 concerning the existence of Keller cubes in convex sets has an easier, but equivalent, formulation. We say that a convex set  $C$  in a metric

linear space  $E$  is *locally complete* at  $x \in C$  if there exists a neighborhood of  $x$  in  $C$  which is complete with respect to an invariant metric on  $E$ .

**3.5. PROPOSITION.** *Every infinite-dimensional convex set which is somewhere locally complete contains a Keller cube.*

**PROOF.** We may assume  $C$  is locally complete at  $\theta \in C$ , i.e., there exists  $\varepsilon > 0$  such that every Cauchy sequence in  $C \cap \{x \in E: |x| \leq \varepsilon\}$  converges in  $C$ . Let  $\{x_i\}$  be a linearly independent sequence in  $C$ . We will construct a sequence of scalars  $\{\tau_i\}$ , with  $0 < \tau_i \leq 2^{-i}$  for each  $i$ , such that the correspondence  $(t_i) \rightarrow \sum_1^\infty t_i x_i$  defines an affine imbedding of the Keller cube  $I^\infty = \prod_1^\infty [0, \tau_i] \subset l^2$  into  $C$ . Choose  $0 < \tau_1 \leq 1/2$  such that  $|\tau_1 x_1| < \varepsilon/2$ . Suppose inductively that  $\tau_1, \dots, \tau_n$  have been chosen. For each  $m$ ,  $1 \leq m \leq n$ , set

$$\delta_m = \inf \left\{ \left| \sum_1^m s_i x_i - \sum_1^m t_i x_i \right| : (s_i), (t_i) \in \prod_1^m [0, \tau_i], \right. \\ \left. \text{and } |s_i - t_i| \geq 1/m \text{ for some } i \right\}.$$

Since  $(t_i) \rightarrow \sum_1^m t_i x_i$  is an imbedding of  $\prod_1^m [0, \tau_i]$  into  $C$ , we have  $\delta_m > 0$  for each  $m$ . Now choose  $\tau_{n+1} > 0$  such that  $|\tau_{n+1} x_{n+1}| < \varepsilon/2^{n+1}$  and  $\tau_{n+1} < \min\{1/2^{n+1}, \delta_1/2^n, \dots, \delta_n/2\}$ . With the scalars  $\{\tau_i\}$  so chosen, it is routine to verify that the correspondence  $(t_i) \rightarrow \sum_1^\infty t_i x_i$  is an affine imbedding of  $I^\infty$  into  $C$ .

Thus a convex set contains a Keller cube if and only if it contains an infinite-dimensional convex set which is somewhere locally complete. In particular, a convex set containing an infinite-dimensional locally compact convex set contains a Keller cube.

**4. Convex sets homeomorphic to  $l_f^2$  and  $\Sigma$ .** We can now prove the results stated in §1.

**4.1. THEOREM.** *Let  $C$  be a  $\sigma$ -compact subset of a metric linear space such that the closure  $\overline{C}$  is nonlocally compact. If  $C$  is  $\sigma$ -fd-compact, then  $C \approx l_f^2$ . If  $C$  is an AR and contains an infinite-dimensional locally compact convex subset, then  $C \approx \Sigma$ .*

**PROOF.** Clearly,  $C$  is infinite dimensional, and by 3.1 every compact subset of  $C$  is a  $Z$ -set.

Suppose  $C$  is  $\sigma$ -fd-compact. As a convex subset of a linear space,  $C$  is contractible and locally contractible. Since every  $\sigma$ -fd-compact locally contractible metric space is an ANR [10],  $C$  is an AR. By 3.4,  $C$  contains a skeletoid for finite-dimensional compacta. Then 2.2 shows that  $C$  is strongly universal for finite-dimensional compacta, and 2.1 gives  $C \approx l_f^2$ .

Now suppose that  $C$  is an AR and contains an infinite-dimensional locally compact convex subset. Then  $C$  contains a Keller cube by 3.5, and by 3.4  $C$  contains a skeletoid for compacta. Then  $C$  is strongly universal for compacta, and  $C \approx \Sigma$ .

Since no infinite-dimensional metric linear space is locally compact, we have the following corollary.

4.2. COROLLARY. *Every infinite-dimensional  $\sigma$ -fd-compact metric linear space (in particular, every  $\aleph_0$ -dimensional metric linear space) is homeomorphic to  $l_f^2$ . Every  $\sigma$ -compact metric linear space which is an AR and contains an infinite-dimensional locally compact convex subset is homeomorphic to  $\Sigma$ .*

It was shown in [8] that every  $\sigma$ -compact locally convex metric linear space which contains a topological Hilbert cube is homeomorphic to  $\Sigma$ , and an example was given of such a space which contains no infinite-dimensional locally compact convex subsets. We do not know whether the hypothesis in the above corollary (or in the theorem) concerning the existence of an infinite-dimensional locally compact convex subset can be weakened by requiring only that  $C$  contain a topological Hilbert cube.

As mentioned in §2, it is not known whether every infinite-dimensional  $\sigma$ -fd-compact convex subset of a metric linear space has the property that compact subsets are  $Z$ -sets. (Note that every such convex set must be locally infinite dimensional, and is therefore a first-category space. Thus in any case it is nowhere-locally compact). By 3.4, every such convex set  $C$  contains a skeletoid for finite-dimensional compacta. Thus, the question of whether  $C \approx l_f^2$  reduces to the question of whether every compact subset of  $C$  is a  $Z$ -set. We do have the following partial answer.

4.3. COROLLARY. *Let  $C$  be an infinite-dimensional  $\sigma$ -fd-compact convex subset of a metric linear space  $E$ , and suppose that  $E$  does not contain a Keller cube. Then  $C \approx l_f^2$ .*

PROOF.  $\overline{C}$  must be nonlocally compact, since otherwise it would contain a Keller cube, by 3.5. Thus the corollary follows from 4.1.

In particular, every infinite-dimensional  $\sigma$ -fd-compact *symmetric* convex subset  $C$  of a metric linear space is homeomorphic to  $l_f^2$ , since in this case  $\text{span } C = \bigcup_1^\infty nC$  is  $\sigma$ -fd-compact.

4.4. THEOREM. *Let  $C$  be a  $\sigma$ -compact convex subset of a complete metric linear space such that the closure  $\overline{C}$  is nonlocally compact and an AR. Then  $(\overline{C}, C) \approx (l^2, l_f^2)$  if  $C$  is  $\sigma$ -fd-compact, and  $(\overline{C}, C) \approx (l^2, \Sigma)$  if  $C$  contains an infinite-dimensional locally compact convex subset.*

PROOF. By [9], a closed convex subset of a complete metric linear space is homeomorphic to  $l^2$  if it is separable, nonlocally compact, and an AR. Thus  $\overline{C} \approx l^2$ .

Applying 3.4 to  $\overline{C}$ , we obtain a strongly universal tower  $\{C_i\}$  for finite-dimensional compacta, and the proof shows that the tower elements may be taken to be finite-dimensional cells in the dense convex subset  $C$ . Then, in the sense of Bessaga and Pełczyński [2],  $\bigcup_1^\infty C_i$  is a skeletoid for the collection of finite-dimensional compacta in  $\overline{C} \approx l^2$  (an fd-cap set for  $\overline{C}$  in the sense of Anderson—see [5]). And if  $C \supset \bigcup_1^\infty C_i$  is  $\sigma$ -fd-compact, then  $C$  is also a skeletoid [14]. Since  $l_f^2$  is a skeletoid for finite-dimensional compacta in  $l^2$ , and since all such skeletoids are equivalent under space homeomorphisms (see [3]), we have  $(\overline{C}, C) \approx (l^2, l_f^2)$ .

On the other hand, if  $C$  contains an infinite-dimensional locally compact convex subset, and therefore contains a Keller cube, 3.4 applied to  $\overline{C}$  shows there exists a strongly universal tower  $\{C_i\}$  for compacta in  $\overline{C}$ . Again, the construction may be done such that each  $C_i$  is a compactum in  $C$ . Then  $\bigcup_1^\infty C_i$  is a skeletoid for the collection of compacta in  $\overline{C} \approx l^2$ , and since  $C \supset \bigcup_1^\infty C_i$ , the  $\sigma$ -compact set  $C$  is



also a skeletoid. Since  $\Sigma$  is a skeletoid for compacta in  $l^2$ , we have by equivalence of skeletoids that  $(\bar{C}, C) \approx (l^2, \Sigma)$ .

**5. Weak products of  $\sigma$ -compact ARs.** For a sequence of pointed spaces  $\{(X_i, p_i)\}$ , the *weak product*  $\Sigma(X_i, p_i)$  is defined by

$$\Sigma(X_i, p_i) = \left\{ (x_i) \in \prod X_i : x_i = p_i \text{ for almost all } i \right\}.$$

**5.1. THEOREM.** *If each  $X_i$  is a nondegenerate  $\sigma$ -fd-compact metric AR, then  $\Sigma(X_i, p_i) \approx l_f^2$ . If each  $X_i$  is a  $\sigma$ -compact metric AR containing a Hilbert cube, then  $\Sigma(X_i, p_i) \approx \Sigma$ .*

PROOF. For each  $n = 1, 2, \dots$ , let

$$Z_n = \left\{ (x_i) \in \prod X_i : x_i = p_i \text{ for } i > n \right\}.$$

Since there exist arbitrarily small deformations of  $\Sigma(X_i, p_i)$  into its AR subspaces  $Z_n$  (use contractions of  $X_i$  to  $p_i$ , for all large  $i$ ),  $\Sigma(X_i, p_i)$  is an AR [12].

We verify that  $\{Z_n\}$  is a strongly universal tower for finite-dimensional compacta in  $\Sigma(X_i, p_i)$ . Let  $f: A \rightarrow \Sigma(X_i, p_i)$  be a map of a finite-dimensional compactum, and  $B$  a closed subset of  $A$  such that  $f|_B: B \rightarrow Z_m$  is an imbedding into some  $Z_m$ . For each  $i$ , let  $f_i$  denote the  $i$ th-coordinate projection of  $f$ . Then  $f$  can be arbitrarily closely approximated by a truncated map  $\bar{f}: A \rightarrow Z_n$ , where  $\bar{f}(a) = (f_1(a), \dots, f_n(a), p_{n+1}, \dots)$ . And assuming  $n \geq m$ ,  $\bar{f}|_B = f|_B$ . Since  $A$  is finite dimensional, and each  $X_i$  is nondegenerate and path-connected, there exists a map  $e: A \rightarrow X_{n+1} \times \dots \times X_r$  into some finite product, with  $e(B) = (p_{n+1}, \dots, p_r)$ , such that if  $e(a) = e(a')$ , then either  $a = a'$  or  $a, a' \in B$ . Then the map  $h: A \rightarrow Z_r$ , defined by

$$h(a) = (f_1(a), \dots, f_n(a), e_{n+1}(a), \dots, e_r(a), p_{r+1}, \dots),$$

is an imbedding which approximates  $f$ , and  $h|_B = f|_B$ .

If each  $X_i$  is  $\sigma$ -fd-compact, then so is each  $Z_n$ , and since  $\bigcup_1^\infty Z_n = \Sigma(X_i, p_i)$ , it follows from (2.1), (2.2) and (2.3) that  $\Sigma(X_i, p_i) \approx l_f^2$ . (This result, in the case that each  $X_i$  is finite-dimensional, was observed without proof in [11].)

The same type of argument as above shows that  $\{Z_n\}$  is a strongly universal tower for compacta, provided that each  $X_i$  contains a Hilbert cube containing the base point  $p_i$ . In such cases, then,  $\Sigma(X_i, p_i) \approx \Sigma$ .

Let  $N = N_1 \cup N_2 \cup \dots$  be a partition of the positive integers into infinite subsets. For each  $k = 1, 2, \dots$ , let  $W_k$  denote the weak product of the pointed spaces  $(X_i, p_i)$  which are indexed by  $N_k$ , and let  $q_k \in W_k$  denote the base point  $(p_i: i \in N_k)$ . Clearly,  $\Sigma(X_i, p_i) \approx \Sigma(W_k, q_k)$ . Thus to complete the proof, in the general case that each  $X_i$  contains a Hilbert cube, we need to show that each weak product space  $W_k$  contains a Hilbert cube containing the base point  $q_k$ . In other words, it suffices to show that  $\Sigma(X_i, p_i)$  contains a Hilbert cube containing  $(p_i)$ .

Let  $Q$  denote the Hilbert cube. Pick  $q_0 \in Q$ , and let  $d$  be a metric on  $Q$  such that  $d(q, q_0) \leq 1$  for all  $q$ . For each  $i \geq 1$ , set  $M_i = \{q \in Q: 2^{-i-1} \leq d(q, q_0) \leq 2^{-i+1}\}$  and  $T_i = \{q \in Q: q = q_0 \text{ or } d(q, q_0) \geq 2^{-i+2}\}$ . Since each  $X_i$  is an AR and contains a Hilbert cube, there exist maps  $g_i: Q \rightarrow X_i$  such that  $g_i|_{M_i}$  is an imbedding of  $M_i$  into  $X_i \setminus \{p_i\}$  and  $g_i(T_i) = p_i$ . It is easily verified that the formula  $g(q) = (g_i(q))$

defines an imbedding  $g: Q \rightarrow \Sigma(X_i, p_i)$ , with  $g(q_0) = (p_i)$ . This completes the proof of the theorem.

If each  $X_i$  as above has an AR compactification  $K_i$ , then the weak product  $\Sigma(X_i, p_i)$  is densely imbedded as a  $\sigma Z$ -set in the product space  $\prod_1^\infty K_i$ , which is a Hilbert cube (see [6]). It is shown in [7] that, under the hypotheses of (5.1),  $\Sigma(X_i, p_i)$  is an fd-cap set, or a cap set, in  $\prod_1^\infty K_i$  if and only if each  $X_i$  is *map-dense* in  $K_i$ , i.e., the identity map on  $K_i$  can be approximated by maps into  $X_i$ .

In particular, let  $S$  be any dense  $\sigma$ -compact 1-dimensional AR in the 2-cell  $I^2$ , and pick  $p \in S$ . Then  $\Sigma(S, p) \subset \prod_1^\infty I^2 = I^\infty$  is a dense  $\sigma Z$ -set and is homeomorphic to an fd-cap set in  $I^\infty$ , but is not itself an fd-cap set, since the 1-dimensional space  $S$  cannot be map-dense in  $I^2$ .

ADDED IN PROOF. It has very recently been discovered that the characterization 2.1 requires the additional hypothesis that every compact subset  $F$  of  $X$  is a *strong Z-set*, i.e., for every open cover  $\mathcal{U}$  of  $X$  there exists a map  $f: X \rightarrow X$  limited by  $\mathcal{U}$  such that  $\overline{f(X)} \cap F = \emptyset$ . In all the applications of this paper, the strong  $Z$ -set hypothesis is satisfied.

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