# STABLE AND UNIFORMLY STABLE UNIT BALLS IN BANACH SPACES

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ABSTRACT. Let X be a Banach space with closed unit ball  $B_X$  and, for  $x \in X$ ,  $r \geq 0$ , put  $B(x;r) = \{u \in X: \|u-x\| \leq r\}$  and  $V(x,r) = B_X \cap B(x;r)$ . We say that  $B_X$  (or in general a convex set) is stable if the midpoint map  $\Phi_{1/2}\colon B_X\times B_X\to B_X$ , with  $\Phi_{1/2}(u,v)=\frac{1}{2}(u+v)$ , is open. We say that  $B_X$  is uniformly stable (US) if there is a map  $\alpha\colon (0,2]\to (0,2]$ , called a modulus of uniform stability, such that, for each  $x,y\in B_X$  and  $r\in (0,2]$ ,  $V(\frac{1}{2}(x+y);\alpha(r))\subseteq \frac{1}{2}(V(x;r)+V(y;r))$ . Among other things, we see: (i) if  $\dim X\geq 3$ , then X admits an equivalent norm such that  $B_X$  is not stable; (ii) if  $\dim X<\infty$ ,  $B_X$  is stable iff  $B_X$  is US; (iii) if X is rotund, X is uniformly rotund iff  $B_X$  is US; (iv) if X is 3.2.I.P,  $B_X$  is US and  $\alpha(r)=r/2$  is a modulus of US; (v)  $B_X$  is US iff  $B_{X^{**}}$  is US and X,  $X^{**}$  have (almost) the same modulus of US; (vi)  $B_X$  is stable (resp. US) iff  $B_{C(K,X)}$  is stable (resp. US) for each compact X iff  $B_{A(K,X)}$  is stable (resp. US) for each Choquet simplex X; (vii)  $B_X$  is stable iff  $B_{L_p(\mu,X)}$  is stable for each measure  $\mu$  and  $1\leq p<\infty$ .

## 0. Introduction

Let X be a normed space and  $B_X$  and  $S_X$  the closed unit ball and unit sphere of X, respectively. If A, B are subsets of X, define the distance  $d(A, B) = \sup_{x \in A} \inf_{y \in B} \{ ||x - y|| \}$ . If  $x \in X$  and  $\varepsilon > 0$ , we write  $B(x; \varepsilon) =$  $\{y \in X : \|x - y\| \le \varepsilon\}$  and  $V(x; \varepsilon) = B_X \cap B(x; \varepsilon)$ . We denote by  $\operatorname{Ext}(C)$ the set of extreme points of a set C. A convex set C is said to be stable if the midpoint map  $\Phi_{1/2}$ :  $C \times C \to C$ ,  $\Phi_{1/2}(u, v) = \frac{1}{2}(u + v)$ , is open. Stable convex sets have been studied in [4, 12, 3]. Many Banach spaces have stable unit ball, namely: strictly convex or rotund Banach spaces, Banach spaces with 3.2.I.P. [4, p. 195], finite dimensional Musielak-Orlicz spaces [5], etc. In case of stable unit balls, the characterization of some extreme elements is very easy. For instance, if K is a compact space,  $B_X$  stable and  $f \in C(K, X)$ , then  $f \in \operatorname{Ext}(B_{C(K,X)})$  iff  $f(K) \subseteq \operatorname{Ext}(B_X)$ . If K is a Choquet simplex,  $B_X$  stable and  $f \in A(K, X)$  (= affine continuous functions  $g: K \to X$ ), then  $f \in \operatorname{Ext}(B_{A(K,X)})$  iff  $f(\operatorname{Ext}(K)) \subseteq \operatorname{Ext}(B_X)$  (see [4, 2.1. Theorem]). Let  $\mathcal{K}(X, C(K))$  be the space of compact operators  $T: X \to C(K)$ . It is known that  $\mathcal{H}(X, C(K))$  is isometrically isomorphic to the space  $C(K, X^*)$ . Follow-

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ing Morris and Phelps [11], an operator  $T \in \mathcal{H}(X, C(K))$  is said to be a *nice* operator, if its adjoint  $T^*$  satisfies  $T^*(K) \subseteq \operatorname{Ext}(B_{X^*})$ . Let  $\mathcal{NH}(X, C(K))$  be the set of nice operators. Then clearly  $\mathcal{NH}(X, C(K)) \subseteq \operatorname{Ext}(B_{\mathcal{H}(X, C(K))})$  and, if  $B_{X^*}$  is stable, we have  $\mathcal{NH}(X, C(K)) = \operatorname{Ext}(B_{\mathcal{H}(X, C(K))})$  (see [2, 15, 16]).

If  $x, y \in B_X$  and  $s \ge 0$ , denote  $D(x, y; s) = \frac{1}{2}[V(x; s) + V(y; s)]$ . Obviously, D(x, y; s) is a convex and, in general, not norm closed set; but if X is a dual space, then D(x, y; s) is  $w^*$ -compact and so norm closed. A map  $\alpha: [0, 2] \to [0, 2]$  is a modulus of stability of  $B_X$  if  $V(\frac{1}{2}(x+y); \alpha(r)) \subseteq D(x, y; r)$  for each  $x, y \in B_X$  and  $r \in [0, 2]$ . The maximum modulus of stability  $\alpha_m$  of  $B_X$  is

$$r \in [0, 2],$$
  $\alpha_m(r) = \sup\{s \in [0, 2]: \text{ for each } x, y \in B_X,$   
 $V(\frac{1}{2}(x+y); s) \subseteq D(x, y; r)\}.$ 

Clearly  $\alpha_m$  is nondecreasing, null in  $[0, r_0)$  and positive in  $(r_0, 2]$ , for some  $r_0 \ge 0$ , and  $\alpha_m(2) = 2$ . The closed unit ball  $B_X$  is said to be uniformly stable (US) if there exists a map  $\alpha : (0, 2] \to (0, 2]$  (called a modulus of US) such that for each  $x, y \in B_X$  and  $r \in (0, 2]$  we have:  $V(\frac{1}{2}(x+y); \alpha(r)) \subseteq D(x, y; r)$ . Of course,  $B_X$  is US iff  $\alpha_m(r) > 0$  for each  $r \in (0, 2]$ .

In this paper we study stable and uniformly stable (US) unit balls in Banach spaces. In §§1 and 2 we see some elementary facts as: (i) if  $Dim X \ge 3$ , X admits an equivalent norm such that  $B_X$  is not stable; (ii) if X is a dual space,  $\alpha_m$  is right continuous; (iii) if  $Dim X < \infty$ ,  $B_X$  is stable iff  $B_X$  is US; (iv) if X is rotund, X is uniformly rotund iff  $B_X$  is US; (v)  $B_X$  is US if X is 3.2.I.P. In §3 we prove that  $B_X$  is US iff  $B_X$  is US and the moduli of US are (almost) the same. §§4 and 5 are devoted to study the unit balls  $B_{C(K,X)}$  and  $B_{A(K,X)}$ , where C(K,X) (resp. A(K,X)) is the space of continuous (resp. affine continuous) functions  $f: K \to X$  on the compact K (resp. convex compact K). It is proved that  $B_X$  is stable (resp. US) for each Choquet simplex K. In §6 we prove that  $B_X$  is stable iff  $B_{L_p(\mu,X)}$  is stable,  $1 \le p < \infty$ . Finally, it is an open problem if  $B_{L_p(\mu,X)}$ ,  $1 \le p < \infty$ , is US when  $B_X$  is US.

## 1. Preliminary results

We begin with some elementary remarks: (a) Let C be a convex subset of some locally convex space E,  $0 < \lambda < 1$ , and define  $\Phi_{\lambda} : C \times C \to C$  by  $\Phi_{\lambda}(x, y) = \lambda x + (1 - \lambda)y$ . Then  $\Phi_{1/2}$  is open iff  $\Phi_{\lambda}$  is open (see [4, 1.1 Proposition]).

- (b) It is easily seen that  $B_X$  is stable, if X is a normed space with strictly convex or rotund norm.
- (c) Every norm in  $\mathbf{R}$  and  $\mathbf{R}^2$  produces stable closed unit balls. In  $\mathbf{R}$  this result is evident and, concerning  $\mathbf{R}^2$ , we can apply that, for a compact convex set  $K \subseteq \mathbf{R}^3$ , the map  $\Phi_{1/2}$  is open iff  $\operatorname{Ext}(K)$  is closed (see [4]). But if z belongs to  $S_{\mathbf{R}^2} \setminus \operatorname{Ext}(B_{\mathbf{R}^2})$ , there exist  $x, y \in S_{\mathbf{R}^2} \setminus \{z\}$  such that  $z = \frac{1}{2}(x + y)$ . Let  $\varepsilon = \|z x\|$ . Then  $B(z; \varepsilon/2) \cap S_{\mathbf{R}^2} \subseteq S_{\mathbf{R}^2} \setminus \operatorname{Ext}(B_{\mathbf{R}^2})$ . Therefore  $S_{\mathbf{R}^2} \setminus \operatorname{Ext}(B_{\mathbf{R}^2})$  is open in  $S_{\mathbf{R}^2}$  and hence  $\operatorname{Ext}(B_{\mathbf{R}^2})$  is closed.
  - (d) In  $\mathbb{R}^3$  it is easy to give a norm such that  $B_X$  is not stable. Take

$$C = \{(x, y, 0): x^2 + y^2 = 1\}, \qquad K = \{(0, y, z): \max\{|y|, |z|\} \le 1\}.$$

The new closed unit ball will be  $B = co(C \cup K)$ , that is, the convex hull of the set  $C \cup K$ . Observe that

$$Ext(B) = \{C \setminus \{(0, 1, 0), (0, -1, 0)\}\} \cup \{(0, \pm 1, \pm 1)\}.$$

As this set is not closed, by the result of [4] aforementioned in (c), B is not stable.

If dim  $X \ge 3$ , the situation is similar. We need the following lemma:

- 1.1. **Lemma.** Let X be a normed space. Then: (A) If  $B_X$  is stable,  $\operatorname{Ext}(B_X)$  is closed.
- (B) Let  $Y \subseteq X$  be a 1-complemented subspace. If  $B_X$  is stable, then  $B_Y$  is stable.
- *Proof.* (A) Let  $\Delta = \{(x, x) : x \in B_X\}$ . As  $\Delta$  is closed in  $B_X \times B_X$ ,  $\Phi_{1/2}$  open and  $B_X \setminus \operatorname{Ext}(B_X) = \Phi_{1/2}(B_X \times B_X \setminus \Delta)$ , then  $B_X \setminus \operatorname{Ext}(B_X)$  is open and  $\operatorname{Ext}(B_X)$  is closed in  $B_X$ .
- (B) We say that Y is 1-complemented in X if there exists a projection  $P\colon X\to Y$  such that  $\|P\|=1$ . Let  $\Phi_{1/2}^X$ ,  $\Phi_{1/2}^Y$  be the midpoint maps in  $B_X$  and  $B_Y$  respectively. Since  $P\circ\Phi_{1/2}^X=\Phi_{1/2}^Y\circ(P\times P)$  and P is open, we get that  $\Phi_{1/2}^Y$  is open if  $\Phi_{1/2}^X$  is open.  $\square$
- 1.2. **Proposition.** Let X be a normed space with  $\dim X \geq 3$ . Then X admits an equivalent norm such that the new closed unit ball is not stable.

*Proof.* We write X as a direct topological sum  $X = X_1 \oplus X_2$  with dim  $X_1 = 3$ . Take in  $X_1$  the norm  $\|\cdot\|_1$  used in (d) above, an arbitrary equivalent norm  $\|\cdot\|_2$  in  $X_2$  and in X the norm  $\|\cdot\|_3$  defined by

if 
$$x = (x_1, x_2) \in X_1 \oplus X_2 = X$$
, then  $||x||_3 = \sup\{||x_1||_1, ||x_2||_2\}$ ,

Now it is enough to consider (d) and Lemma 1.1.  $\Box$ 

1.3. **Proposition.** Let  $X = Y^*$  be a dual Banach space. Then  $\alpha_m$  is right-continuous.

*Proof.* Let  $s_0 \in [0, 2)$ ,  $\varepsilon > 0$  and suppose that  $\lim_{s \to s_0^+} \alpha_m(s) = \alpha_m(s_0) + \varepsilon$ . Choose  $x, y \in B_X$  such that, if  $z = \frac{1}{2}(x+y)$ ,  $V(z; \alpha_m(s_0) + \frac{\varepsilon}{4}) \not\subseteq D(x, y; s_0)$ . By hypothesis, if  $s > s_0$ , we have  $V(z; \alpha_m(s_0) + \varepsilon) \subseteq D(x, y; s)$ . Clearly the distance  $d(D(x, y; s), D(x, y; s_0)) \to 0$  when  $s \to s_0^+$ . Since  $D(x, y; s_0)$  is norm closed (is  $w^*$ -compact), we conclude that  $\bigcap_{s > s_0} D(x, y; s) = D(x, y; s_0)$ . Therefore  $V(z; \alpha_m(s_0) + \varepsilon) \subseteq D(x, y; s_0)$ , a contradiction.  $\square$ 

1.4. **Proposition.** Let X be a finite dimensional normed space. The following are equivalent: (a)  $B_X$  is stable; (b)  $B_X$  is US.

*Proof.* As  $b \Rightarrow a$  is clear, we prove that  $a \Rightarrow b$ . If  $B_X$  is not US, there exist r > 0 and sequences  $\{x_n\}_{n \geq 1}$ ,  $\{y_n\}_{n \geq 1}$  in  $B_X$  such that, if  $z_n = \frac{1}{2}(x_n + y_n)$ , then  $V(z_n; 1/n) \nsubseteq D(x_n, y_n; r)$ . Since  $B_X$  is compact, we can suppose the existence of  $\lim_{n \to \infty} x_n = x_0$ ,  $\lim_{n \to \infty} y_n = y_0$  and  $\lim_{n \to \infty} z_n = z_0 = \frac{1}{2}(x_0 + y_0)$ . Since  $B_X$  is stable, there exists  $\varepsilon > 0$  such that  $V(z_0; \varepsilon) \subseteq D(x_0, y_0; r/2)$ . Taking limits in  $V(z_n; 1/n) \nsubseteq D(x_n, y_n; r)$ , we get a contradiction.  $\square$ 

- 1.5. **Proposition.** Let X be a rotund normed space. The following are equivalent:
  - (a) X is uniformly rotund;
  - (b)  $B_X$  is US.

*Proof.* (a)  $\Rightarrow$  (b). Let  $\delta: (0, 2] \rightarrow (0, 1]$  be a nondecreasing modulus of uniform rotundity of X and take  $x, y \in B_X$ , z = (x + y)/2 and  $r \in (0, 2]$ . Suppose firstly that  $r < \|x - y\| = \varepsilon$ . By hypothesis,  $B(z; \delta(\varepsilon)) \subseteq B_X$ . If  $\lambda = r/(\delta(\varepsilon) + \frac{1}{2}\varepsilon)$ , the homothecy  $h(y; \lambda)$ , with centre y and ratio  $\lambda$ , satisfies  $h(y; \lambda)[B(z; \delta(\varepsilon))] = B_1 \subseteq V(y; r)$ . Also  $h(x; \lambda)[B(z; \delta(\varepsilon))] = B_2 \subseteq V(x; r)$ . Observe that the radius of  $B_i$ , i = 1, 2, is  $\rho(\varepsilon) = [r \cdot \delta(\varepsilon)]/[\delta(\varepsilon) + \frac{1}{2}\varepsilon]$ . In short, if  $\varepsilon = \|x - y\| > r$ , then  $V(z; \rho(\varepsilon)) = B(z; \rho(\varepsilon)) = \frac{1}{2}(B_1 + B_2) \subseteq D(x, y; r)$ . Suppose finally that  $\|x - y\| \le r$ . Then clearly  $V(z; r/2) \subseteq D(x, y; r)$ . So a modulus of US of  $B_X$  is

$$\alpha(r) = \min \left\{ \frac{\delta(r) \cdot r}{\delta(r) + r/2}, \frac{r}{2} \right\}, \qquad r \in (0, 2].$$

- (b)  $\Rightarrow$  (a). Let  $\alpha$  be a modulus of US of  $B_X$  and  $\varepsilon > 0$ . We prove that there exists  $\delta > 0$  such that  $\|(x+y)/2\| \le 1-\delta$ , for each  $x,y \in S_X$  with  $\|x-y\| \ge \varepsilon$ . By hypothesis  $V((x+y)/2; \alpha(\varepsilon/2)) \subseteq D(x,y;\varepsilon/2)$ . Since X is rotund,  $V(x;\varepsilon/2) \cap V(y;\varepsilon/2) \subseteq \{(x+y)/2\}$ . But  $(x+y)/2 \notin S_X$ . Hence  $V((x+y)/2;\alpha(\varepsilon/2)) \cap S_X = \varnothing$ , because  $V((x+y)/2;\alpha(\varepsilon/2)) \cap S_X \subseteq D(x,y;\varepsilon/2) \cap S_X \subseteq V(x;\varepsilon/2) \cap V(y;\varepsilon/2) \cap S_X = \varnothing$ . So the distance  $d((x+y)/2;S_X) \ge \alpha(\varepsilon/2)$ , that is,  $\|(x+y)/2\| \le 1-\alpha(\varepsilon/2)$ .  $\square$
- 1.6. **Proposition.** Let X be a normed space,  $\alpha$  a modulus of US of  $B_X$  and  $Y \subseteq X$  a 1-complemented subspace of X. Then  $\alpha$  is a modulus of US of  $B_Y$ .

*Proof.* Take  $\varepsilon > 0$ ,  $x, y \in B_Y$ , z = (x + y)/2 and  $P: X \to Y$  a projection with ||P|| = 1. If the subindex Y indicates we work in  $B_Y$ , we have the following:

$$V_Y(z; \alpha(\varepsilon)) = P(V(z; \alpha(\varepsilon))) \subseteq P(D(x, y; \varepsilon)) = D_Y(x, y; \varepsilon). \quad \Box$$

- 1.7. **Example.** Let us see some examples of Banach spaces X such that  $B_X$  is stable but not US. Indeed, take  $X = (\sum_{n \geq 1} \bigoplus l_{1+1/n})_p$  or  $X = (\sum_{n \geq 2} \bigoplus l_n)_p$ ,  $1 . The unit ball <math>B_X$  is rotund but not US, because if  $B_X$  is US, from Proposition 1.6 we would deduce that the family  $\{l_n\}_{n\geq 2}$  or  $\{l_{1+1/n}\}_{n\geq 1}$  is uniformly US (that is, there is a common modulus of US). Now, from the proof of Proposition 1.5, we conclude that these families are uniformly uniformly rotund, which is not true.  $\square$
- 1.8. **Proposition.** Let X be a Banach space and  $Y \subseteq X$  a dense US subspace. Then X is US.

*Proof.* Let  $\alpha$  be a left-continuous and nondecreasing modulus of US of Y (if  $\alpha$  is not left-continuous, take  $\tilde{\alpha}(r) = \lim_{\epsilon \to 0^+} \alpha(r - \epsilon)$ ). We prove that  $m\alpha$  is a modulus of US of  $B_X$  for each 0 < m < 1. Pick  $x, y \in B_X$ ,  $r \in (0, 2]$  and  $z \in V((x + y)/2; m\alpha(r))$ . Since  $\alpha$  is left-continuous, we can choose  $x' \in V(x; r) \cap Y$ ,  $y' \in V(y; r) \cap Y$  and  $0 < \epsilon$ ,  $\delta$  such that

$$z \in \overline{D}_Y(x', y'; r - \varepsilon), \qquad V(x'; r - \varepsilon) \subseteq V(x; r - \delta)$$

and  $V(y'; r-\varepsilon)\subseteq V(y; r-\delta)$ . Now take  $x_1\in V_Y(x'; r-\varepsilon)$  and  $y_1\in V_Y(y'; r-\varepsilon)$  such that  $z\in \overline{D}_Y(x_1,y_1;\delta/2)$ . Next take  $x_2\in V_Y(x_1;\delta/2)$  and  $y_2\in V_Y(x_2;\delta/2)$  such that  $z\in \overline{D}_Y(x_2,y_2;\delta/4)$ . By reiteration we get Cauchy sequences  $\{x_n\}_{n\geq 1}\subseteq V(x;r)$ ,  $\{y_n\}_{n\geq 1}\subseteq V(y;r)$  satisfying  $\|z-(x_n+y_n)/2\|\leq \delta/2^n$ . Then, if  $x_0=\lim_{n\to\infty}x_n$  and  $y_0=\lim_{n\to\infty}y_n$ , clearly  $x_0\in V(x;r)$ ,  $y_0\in V(y;r)$  and  $z=(x_0+y_0)/2$ .  $\square$ 

The proof of Proposition 1.9 following is straightforward and left to the reader.

- 1.9. **Proposition.** Let  $\{X_i\}_{i\in I}$  be a family of Banach spaces.
  - (A) The following are equivalent  $(\mathcal{TFAE})$ :
  - (1) If  $X = (\sum_{i \in I} \bigoplus X_i)_0$ ,  $B_X$  is stable; (2)  $B_{X_i}$  is stable for each  $i \in I$ .
  - (B)  $\mathcal{I}\mathcal{F}\mathcal{A}\mathcal{E}$ :
    - (1) If  $X = (\sum_{i \in I} \bigoplus X_i)_{\infty}$ ,  $B_X$  is stable.
- (2) Each  $B_{X_i}$  is stable and for each  $r \in (0, 2]$ , there exists a finite subset  $F(r) \subseteq I$  such that  $\inf\{\alpha_{mi}(r): i \in I \setminus F(r)\} > 0$ , where  $\alpha_{mi}$  is the maximum modulus of stability of  $X_i$ .
  - (C) For p = 0 or  $p = \infty$ ,  $\mathcal{I}\mathcal{F}\mathcal{A}\mathcal{E}$ :
    - (1)  $\alpha$  is a modulus of US of  $B_X$ , with  $X = (\sum_{i \in I} \bigoplus X_i)_p$ .
    - (2)  $\alpha$  is a modulus of US of  $B_{X_i}$ , for each  $i \in I$ .
- (D) Let I be an infinite set, Y a Banach space,  $X_i = Y$  for each  $i \in I$  and  $X = (\sum_{i \in I} \bigoplus X_i)_{\infty}$ .  $\mathscr{TFAE}$ :
  - (1)  $B_X$  is stable, with  $X = (\sum_{i \in I} \bigoplus X_i)_{\infty}$ .
  - (2)  $B_Y$  is US and  $\alpha$  is a modulus of US of  $B_Y$ .
  - (3)  $B_X$  is US and  $\alpha$  is a modulus of US of  $B_X$ .

## 2. Uniformly stable unit balls and intersection property of balls

A normed space X has the n.2.I.P. (n.2. intersection property, see [6, p. 207; 8; 9]) if for each set of closed balls  $S_1, S_2, \ldots, S_n$  in X (with varying centers and radii) such that  $S_i \cap S_j \neq \emptyset$  for all i and j, it follows that  $\bigcap_{i=1}^n S_i \neq \emptyset$ . It is known (see [4, p. 195]) that if X is 3.2.I.P, then  $B_X$  is stable and we see here that  $\alpha(r) = r/2$  is a modulus of US of X.

2.1. **Proposition.** Let X be a Banach space with 3.2.I.P. Then  $\alpha(r) = r/2$ ,  $r \in (0, 2]$ , is a modulus of US of X.

*Proof.* Take  $r \in (0, 2]$ ,  $x, y \in B_X$  and  $d \in X$  satisfying  $||d|| \le r/2$  and  $||x+y+2d|| \le 2$ . Let z = (x+y)/2 and u = z+d. Then  $u \in V(z; r/2)$ . We must find  $x' \in V(x; r)$  and  $y' \in V(y; r)$  such that u = (x'+y')/2. Let  $B_1 = B(0; 1)$ ,  $B_2 = B(x+d; r/2)$  and  $B_3 = B(x+y+2d; 1)$ . These balls intersect mutually. So there is  $x' \in \bigcap_{i=1}^3 B_i$ . Now  $x' \in V(x; r)$  (since  $||x'|| \le 1$ ),  $B_2 \subseteq B(x; r)$  and, if y' = 2u - x', also  $y' \in V(y; r)$ . Finally u = (x'+y')/2.  $\square$ 

## 3. Uniformly stable unit balls and bidual

Let X be a Banach space. We prove that  $B_X$  is US iff  $B_{X^{\bullet \bullet}}$  is US. Let  $N \subseteq X$  be a closed subspace, Y = X/N and  $Q: X \to Y$  the quotient map.

We say that Q is an open quotient if  $Q(B_X) = B_Y$  and Q restricted to  $B_X$  is open. We say that Q is uniformly open quotient (u.o.q.) if Q is open and there exist a map  $c: (0, 2] \to (0, 2]$ , called an u.o.q. modulus, such that, for each  $x \in B_X$  and  $r \in (0, 2]$ ,  $V(Q(x); c(r)) \subseteq Q(V((x; r)))$ .

- 3.1. **Examples.** (1) Let K,  $K_1$  be compact Hausdorff spaces such that  $K_1 \subseteq K$ . Then the restriction map  $Q: C(K) \to C(K_1)$ , defined by  $Q(f) = f_{|K_1|}$  for each  $f \in C(K_1)$ , is an u.o.q. with modulus c(r) = r.
- (2) Let I be a set,  $\mathscr U$  an ultrafilter on I,  $\{X_i\}_{i\in I}$  a family of Banach spaces,  $Y=(\sum_{i\in I}\bigoplus X_i)_{\infty}$  and  $(X_i)_{\mathscr U}$  the ultraproduct with respect to  $\mathscr U$  (see [10, p. 121]), that is,  $(X_i)_{\mathscr U}=Y/N$ , where  $N=\{x=(x_i)_{i\in I}\in Y\colon \lim_{\mathscr U}x_i=0\}$ . Then the quotient  $Q\colon Y\to Y/N$  is an u.o.q. with modulus c(r)=r. Indeed, denote by  $(x_i)$  an element of Y and  $Q((x_i))=(x_i)_{\mathscr U}$  the image element in Y/N. Suppose that  $\|(x_i)_{\mathscr U}\|\leq 1$ . Define  $(y_i)\in Y$  as follows:  $y_i=x_i$ , if  $\|x_i\|\leq 1$ , and  $y_i=x_i/\|x_i\|$ , if  $\|x_i\|>1$ . Then  $(y_i)_{\mathscr U}=(x_i)_{\mathscr U}$  and  $(y_i)\in B_Y$ . This proves that  $Q(B_Y)=B_{Y/N}$ . Now take  $(x_i)\in B_Y$ ,  $r\in (0,2]$  and  $(y_i)_{\mathscr U}\in V((x_i)_{\mathscr U};r)$ . We want to find  $(z_i)\in V((x_i);r)$  such that  $(z_i)_{\mathscr U}=(y_i)_{\mathscr U}$ . We can suppose that  $1\geq \|(y_i)\|=\sup\{\|y_i\|\colon i\in I\}$  (if  $1<\|(y_i)\|$  for some  $i\in I$ , take  $(y_i')$  defined by  $y_i'=y_i$ , if  $\|y_i\|\leq 1$ , and  $y_i'=y_i/\|y_i\|$  if  $\|y_i\|>1$ , that satisfies  $\|(y_i')\|\leq 1$  and  $(y_i)_{\mathscr U}=(y_i')_{\mathscr U})$ . Choose  $(z_i)$  as follows:  $z_i=y_i$  if  $\|x_i-y_i\|\leq r$  and  $z_i=x_i+r\cdot (y_i-x_i)/\|y_i-x_i\|$  if  $\|x_i-y_i\|>r$ . This proves that  $V((x_i)_{\mathscr U};r)\subseteq Q(V((x_i);r))$ .
- 3.2. **Proposition.** Let X be a Banach space,  $N \subseteq X$  a closed subspace and  $Q: X \to Y = X/N$  the quotient map. Then
  - (a) If  $B_X$  is stable and Q is an open quotient,  $B_Y$  is stable.
- (b) If  $\alpha$  is a modulus of US of  $B_X$  and Q is an u.o.q. with modulus c, then  $c \circ \alpha$  is a modulus of US of  $B_Y$ .

*Proof.* (a) Take  $x, y \in B_X$  and r > 0. We prove that there exists s > 0 such that  $V(Q((x+y)/2); s) \subseteq D(Q(x), Q(y); r)$ . Since  $B_X$  is stable, there exists s' > 0 such that  $V((x+y)/2; s') \subseteq D(x, y; r)$ . As Q is open, there exists s > 0 such that  $V(Q((x+y)/2); s) \subseteq Q[V((x+y)/2; s')]$ . In consequence

$$V\left(Q\left(\frac{x+y}{2}\right);s\right) \subseteq Q\left[V\left(\frac{x+y}{2};s\right)\right]$$
  
$$\subseteq Q(D(x,y;r)) \subseteq D(Q(x),Q(y);r).$$

(b) Let  $x, y \in B_X$  and  $r \in (0, 2]$ . By hypothesis  $V((x + y)/2; \alpha(r)) \subseteq D(x, y; r)$ . Hence:

$$V\left(Q\left(\frac{x+y}{2}\right);c\circ\alpha(r)\right)\subseteq Q\left[V\left(\frac{x+y}{2};\alpha(r)\right)\right]\subseteq Q(D(x,y;r))$$
  
$$\subseteq D(Q(x),Q(y);r).$$

So  $c \circ \alpha$  is a modulus of US of Y.  $\square$ 

3.3. Corollary. Let I be a set,  $\mathscr U$  an ultrafilter on I,  $\alpha$  a modulus of US common to all members of the family of Banach spaces  $\{X_i\}_{i\in I}$  and  $(X_i)_{\mathscr U}$  the ultraproduct with respect to  $\mathscr U$ . Then  $\alpha$  is a modulus of US of  $B_{(X_i)_{\mathscr U}}$ .

*Proof.* By (C) of Proposition 1.9,  $\alpha$  is a modulus of US of  $B_Y$ , being  $Y = (\sum_{i \in I} \bigoplus X_i)_{\infty}$ . Now apply Proposition 3.2 and Example 3.1.  $\square$ 

3.4. **Proposition.** Let X be a Banach space. Then  $B_X$  is US iff  $B_{X^{\bullet\bullet}}$  is US. Moreover, the maximum moduli  $\alpha_m$ ,  $\alpha_m''$  of US of  $B_X$ ,  $B_{X^{\bullet\bullet}}$ , respectively, satisfy  $\alpha_m''(r) = \lim_{\epsilon \to 0^+} \alpha_m(r + \epsilon)$ , for each  $r \in (0, 2)$ .

*Proof.* (1) Let  $\alpha$  be a modulus of US of  $B_{X^{**}}$ ,  $x, y \in B_X$ ,  $r \in (0, 2]$ ,  $\varepsilon > 0$  and  $d \in X$  such that  $\|d\| \le \alpha(r)$  and  $x + y + 2d = u \in B(0; 2)$ , that is,  $u/2 \in V((x+y)/2; \alpha(r))$ . We claim that there exist  $x' \in V(x; r+\varepsilon)$ ,  $y' \in V(y; r+\varepsilon)$  such that  $x', y' \in X$  and u/2 = (x'+y')/2. This fact will imply that  $V((x+y)/2; \alpha(r)) \subseteq D(x, y; r+\varepsilon)$  in X. By hypothesis, there exist  $z \in V''(x; r)$ ,  $v \in V''(y; r)$  (we put V'' when we work in  $X^{**}$ ) such that u/2 = (z+v)/2. This implies that  $z \in [B''(0; 1) \cap B''(x; r) \cap B''(x+2d; r) \cap B''(u; 1)]$ , where B'' indicates that we take balls in  $X^{**}$ . Let A be the subspace of  $X^{**}$  spanned by  $\{x, x+2d, u, z\}$  and  $\theta > 0$  such that

$$(1+\theta)\|z\| \le 1 + \alpha(\varepsilon/16) \ge (1+\theta)\|z - u\|,$$
  
$$(1+\theta)\|x - z\| \le r + \varepsilon/2 \ge \|x + 2d - z\|(1+\theta).$$

By the principle of local reflexivity (see [10, p. 196]), there exists an operator  $T: A \to X$  such that: (i) T(w) = w,  $w \in A \cap X$ ; (ii)  $||T|| \le 1 + \theta$ . So if  $z_1 = T(z)$ , we have

 $z_1 \in \left[B(0\,;\, 1+\alpha(\varepsilon/16))\cap B(x\,;\, r+\varepsilon/2)\cap B(x+2d\,;\, r+\varepsilon/2)\cap B(u\,;\, 1+\alpha(\varepsilon/16))\right].$ 

Let

$$x_{1} = \begin{cases} z_{1} & \text{if } z_{1} \in B(0; 1), \\ z_{1}/\|z_{1}\| & \text{if } z_{1} \notin B(0; 1), \end{cases}$$

$$y_{1} = \begin{cases} u - z_{1} & \text{if } z_{1} \in B(u; 1), \\ (u - z_{1})/\|u - z_{1}\| & \text{if } z_{1} \notin B(u; 1), \end{cases}$$

and  $d_1 \in X$  such that  $x_1 + y_1 + 2d_1 = u$ . As  $||z_1 - x_1|| \le \alpha(\varepsilon/16) \ge ||x_1 + 2d_1 - z_1||$ , we get  $||d_1|| \le \alpha(\varepsilon/16)$ . Apply again the principle of local reflexivity: there exists  $z_2 \in X$  such that

$$z_2 \in [B(0; 1 + \alpha(\varepsilon/32)) \cap B(x_1; \varepsilon/16 + \varepsilon/32)$$
$$\cap B(x_1 + 2d_1; \varepsilon/16 + \varepsilon/32) \cap B(u; 1 + \alpha(\varepsilon/32))].$$

Now  $||z_1-z_2|| \le ||z_1-x_1|| + ||x_1-z_2|| \le \alpha(\varepsilon/16) + \varepsilon(1/16+1/32) \le \varepsilon/4$ , because always  $\alpha(s) \le s$ . Define  $x_2$ ,  $y_2$  as in (\*) using now  $z_2$  and take  $d_2 \in X$  such that  $x_2+y_2+2d_2=u$ . Then  $||d_2|| \le \alpha(\varepsilon/32)$ . Apply the principle of local reflexivity: there exists  $z_3 \in X$  such that

$$z_3 \in [B(0; 1 + \alpha(\varepsilon/64)) \cap B(x_2; \varepsilon(2^{-5} + 2^{-6})) \\ \cap B(x_2 + 2d_2; \varepsilon(2^{-5} + 2^{-6})) \cap B(u; 1 + \alpha(\varepsilon \cdot 2^{-6}))]$$

and  $||z_2-z_3|| \le ||z_2-x_2|| + ||x_2-z_3|| \le \alpha(\varepsilon \cdot 2^{-5}) + \varepsilon(2^{-5}+2^{-6}) \le \varepsilon \cdot 2^{-3}$ . By reiteration, we obtain a Cauchy sequence  $\{z_n\}_{n\ge 1}$  such that, if  $x'=\lim_{n\to\infty} z_n$ , then clearly

$$x' \in [B(0; 1) \cap B(x; r+\varepsilon) \cap B(x+2d; r+\varepsilon) \cap B(u; 1)].$$

Thus  $x' \in V(x; r + \varepsilon)$  and, if y' = u - x', then  $y' \in V(y; r + \varepsilon)$  and  $\frac{u}{2} = \frac{1}{2}(x' + y')$ . This proves, in particular, that  $\alpha_m(r + \varepsilon) \ge \alpha_m''(r)$  for each  $r \in (0, 2)$  such that  $r + \varepsilon \le 2$ .

(2) Let  $B_X$  be US. It follows from the principle of local reflexivity that  $X^{**}$  is 1-complemented in  $(X)_{\mathscr{U}}$  for some ultrafilter  $\mathscr{U}$ . Applying Corollary 3.3, we get that  $\alpha_m'' \geq \alpha_m$ . Thus  $B_{X^{**}}$  is US.

Finally for  $r \in (0, 2)$  and  $\varepsilon > 0$  with  $r + \varepsilon \le 2$ , we have:  $\alpha''_m(r + \varepsilon) \ge \alpha_m(r + \varepsilon) \ge \alpha''_m(r)$ . Thus, as  $\alpha''_m$  is right-continuous (see Proposition 1.3), we get that  $\alpha''_m(r) = \lim_{\varepsilon \to 0^+} \alpha_m(r + \varepsilon)$ , for each  $r \in (0, 2)$ .  $\square$ 

## 4. The unit ball in C(K, X)

If K is a compact Hausdorff space and X a Banach space, we denote by C(K, X) the Banach space of continuous functions  $f: K \to X$  with the supremum norm. We prove in this section that  $B_{C(K,X)}$  is stable (resp. US) iff  $B_X$  is stable (resp. US).

4.1. **Lemma.** Let X be a Banach space such that  $B_X$  is stable,  $x, y \in B_X$  and  $\varepsilon > 0$ . Then there exist  $0 < \delta$ ,  $\eta$  such that, for each  $x' \in V(x; \delta)$ ,  $y' \in V(y; \delta)$ , we have

$$V((x'+y')/2; \eta) \subseteq D(x', y'; \varepsilon)$$
.

*Proof.* Let  $\eta_1$  such that

$$V((x + y)/2; \eta_1) \subseteq D(x, y; \varepsilon/2)$$

and

$$\delta = \min\{\varepsilon/2, \eta_1/2\}.$$

Then, if  $x' \in V(x; \delta)$  and  $y' \in V(y; \delta)$ , we have

$$V((x+y)/2; \eta_1) \subseteq D(x, y; \varepsilon/2) \subseteq D(x', y'; \varepsilon).$$

But

$$V((x'+y')/2; \eta_1/2) \subseteq V((x+y)/2; \eta_1).$$

Thus it is enough to take  $\eta = \eta_1/2$ .  $\square$ 

4.2. **Proposition.** Let K be a compact Hausdorff space and X a Banach space. The following are equivalent: (1)  $B_{C(K,X)}$  is stable; (2)  $B_X$  is stable.

*Proof.*  $(1) \Rightarrow (2)$  As X is 1-complemented in C(K, X), it is enough to apply Lemma 1.1.

 $(2)\Rightarrow (1)$  Take  $f,g\in B_{C(K,X)}, \varepsilon>0$  and the compact  $H=\{(f(k),g(k)):k\in K\}\subseteq B_X\times B_X$ . For each  $z=(x,y)\in H$  choose  $0<\delta_z,\eta_z$  fulfilling Lemma 4.1 with respect to z and  $\frac{\varepsilon}{2}$ . If  $U(z;\delta_z)=V(x;\delta_z)\times V(y;\delta_z)$ , then the interiors of  $\{U(z;\delta_z);z\in H\}$  cover the compact H. So there exists a finite subfamily  $\{U(z_i;\delta_{z_i}):i=1,2,\ldots,n\}$  that also cover H. Let  $\eta=\min\{\eta_{z_i}:i=1,2,\ldots,n\}$ . If h=(f+g)/2, we have

(1) 
$$V(h(k); \eta) \subseteq D(f(k), g(k); \varepsilon/2) \text{ in } B_X \text{ for each } k \in K.$$

We claim that  $V(h; \eta) \subseteq D(f, g; \varepsilon)$  in  $B_{C(K,X)}$ . Indeed, let  $p \in V(h; \eta)$  and consider the functions

(a)  $\phi: B_X \to 2^{B_X \times B_X}$  (= family of subsets of  $B_X \times B_X$ ) such that

$$x \in B_X$$
,  $\phi(x) = \left\{ (u, v) \in B_X \times B_X : \frac{u+v}{2} = x \right\}$ ,

(b)  $\psi \colon K \to 2^{B_X \times B_X}$  such that  $\psi(k) = [\phi \circ p(k)] \cap [V(f(k); \varepsilon) \times V(g(k); \varepsilon)]$ ,  $k \in K$ .

We have the following:

- (I) For each  $k \in K$ ,  $\psi(k)$  is a nonempty closed convex set. Indeed, as  $\phi \circ p(k)$  and  $V(f(k); \varepsilon) \times V(g(k); \varepsilon)$  are closed convex,  $\psi(k)$  is closed convex. Let see that  $\psi(k) \neq \emptyset$ . As  $p \in V(h; \eta)$ ,  $||h - p|| \leq \eta$  and by (1), for each  $k \in K$ ,  $p(k) \in D(f(k), g(k); \varepsilon/2)$ . Thus:
- (2) for each  $k \in K$  there exists  $u \in [\phi \circ p(k)] \cap [V(f(k); \varepsilon/2) \times V(g(k); \varepsilon/2)]$ . So  $u \in \psi(k)$  and  $\psi(k) \neq \emptyset$ .
  - (II)  $\psi$  is lower semicontinuous.

Let  $U=U_1\times U_2$  be an open subset of  $B_X\times B_X$  and  $k_0\in K$  such that  $\psi(k_0)\cap U\neq\varnothing$ . The set  $A=\{(x_1\,,\,x_2)\in\psi(k_0)\colon \|x_1-f(k_0)\|\langle\varepsilon\rangle\|x_2-g(k_0)\|\}$  is nonempty (by (2)) and dense in  $\psi(k_0)$ . As  $\psi(k_0)\cap U\neq\varnothing$ , also  $A\cap U\neq\varnothing$ . Take  $z=(z_1\,,\,z_2)\in A\cap U$ . The continuity of f and g implies the existence of  $\rho>0$  and a neighbourhood  $G_1$  of  $k_0$  in K such that

- (3) for each  $k \in G_1$ ,  $V(z_1; \rho) \times V(z_2; \rho) \subseteq U \cap [V(f(k); \varepsilon) \times V(g(k); \varepsilon)]$ . As  $B_X$  is stable and  $(z_1 + z_2)/2 = p(k_0)$ , there exists  $\delta > 0$  satisfying  $V(p(k_0); \delta) \subseteq D(z_1, z_2; \rho)$ . In consequence:
- (4) for each  $y \in V(p(k_0); \delta)$ ,  $\phi(y) \cap [V(z_1; \rho) \times V(z_2; \rho)] \neq \emptyset$ . Let  $G_2 = p^{-1}(V(p(k_0); \delta))$  and  $G = G_1 \cap G_2$  a neighbourhood of  $k_0$  in K. Then for each  $k \in G$  we have  $\psi(k) \cap U \neq \emptyset$ . Indeed, take  $k \in G$ :
  - (a) As  $k \in G_2$ , we have, by (4), that  $[\phi \circ p(k)] \cap [V(z_1; \rho) \times V(z_2; \rho)] \neq \emptyset$ .
- (b) As  $k \in G_1$ , we have, by (3), that  $V(z_1; \rho) \times V(z_2; \rho) \subseteq U \cap [V(f(k); \varepsilon) \times V(g(k); \varepsilon)]$ . Therefore  $U \cap \psi(k) = [\phi \circ p(k)] \cap [V(f(k); \varepsilon) \times V(g(k); \varepsilon)] \cap U \neq \emptyset$ . Thus  $\psi$  is lower semicontinuous.

By Michael's selection theorem [13, p. 5],  $\psi$  admits a continuous selection  $(s_1, s_2) = s \colon K \to B_X \times B_X$  such that  $p = \frac{1}{2}(s_1 + s_2)$  and  $s_1 \in V(f; \varepsilon)$ ,  $s_2 \in V(g; \varepsilon)$ . This proves that  $V(h; \eta) \subseteq D(f, g; \varepsilon)$  and that  $B_{C(K,X)}$  is stable.  $\square$ 

**4.3. Proposition.** Let K be a compact Hausdorff set and X a Banach space. Then  $B_X$  is US iff  $B_{C(K,X)}$  is US and the maximum moduli  $\alpha_m^X$ ,  $\alpha_m^{C(K,X)}$  of US of  $B_X$  and  $B_{C(K,X)}$ , respectively, satisfy

for each 
$$r \in (0, 2]$$
,  $\alpha_m^X(r) \ge \alpha_m^{C(K, X)}(r) \ge \lim_{\epsilon \to 0+} \alpha_m^X(r - \epsilon)$ .

*Proof.* As X is 1-complemented in C(K, X), applying Proposition 1.6, we obtain that  $B_X$  is US, provided that  $B_{C(K,X)}$  is US and that  $\alpha_m^X \ge \alpha_m^{C(K,X)}$ .

Suppose now that  $B_X$  is US and that  $\alpha$  is a modulus of US of  $B_X$ . Let  $f, g \in B_{C(K,X)}$ , h = (f+g)/2 and  $r \in (0,2]$ . We prove that for each  $0 < \varepsilon < r$ ,  $V(h; \alpha(r-\varepsilon)) \subseteq D(f,g;r)$  in  $B_{C(K,X)}$ . Take  $p \in V(h; \alpha(r-\varepsilon))$  and consider the functions  $\phi, \psi$  as in Proposition 4.2, that is,

$$x \in B_X$$
,  $\phi(x) = \left\{ (u, v) \in B_X \times B_X : \frac{u+v}{2} = x \right\}$ ;

$$k \in K$$
,  $\psi(k) = [\phi \circ p(k)] \cap [V(f(k); r) \times V(g(k); r)]$ .

As in Proposition 4.2,  $\psi$  is lower semicontinuous and  $\psi(k)$  is a closed convex nonempty set, for each  $k \in K$ . Applying Michael's selection theorem [13, p. 5], we obtain that  $p \in D(f, g; r)$  in  $B_{C(K,X)}$ . Thus  $B_{C(K,X)}$  is US and, for each  $r \in (0, 2]$ ,  $\alpha_m^{C(K,X)}(r) \ge \lim_{\epsilon \to 0^+} \alpha_m^X(r - \epsilon)$ .  $\square$ 

# 5. The unit ball in A(K, X)

If K is a compact convex set and X a Banach space, A(K, X) will be the Banach space of affine continuous functions  $f: K \to X$  with the supremum norm. A compact convex set K is a Choquet simplex iff A(K, X) is a  $L_1$ -predual (see [6, p. 183]; [1, p. 84]).

- 5.1. **Proposition.** Let K be a Choquet simplex and X a Banach space.  $\mathcal{FFAE}$ : (1)  $B_{A(K,X)}$  is stable; (2)  $B_X$  is stable.
- *Proof.* (1)  $\Rightarrow$  (2) As X is 1-complemented in A(K, X), this follows from Lemma 1.1.
- $(2)\Rightarrow (1)$  Take  $f,g\in B_{A(K,X)},\ \varepsilon>0$  and h=(f+g)/2. From Lemma 4.1 it follows that there exists  $\eta>0$  such that, for each  $k\in K$ ,  $V(h(k);\eta)\subseteq D(f(k),g(k);\varepsilon/2)$  in  $B_X$ . We claim that  $V(h;\eta)\subseteq D(f,g;\varepsilon)$  in  $B_{A(K,X)}$ . Indeed, pick  $p\in V(h;\eta)$  and consider the functions  $\phi,\psi$  of Proposition 4.2. We know that  $\psi$  is lower semicontinuous and that  $\psi(k)$  is a convex closed nonempty set, for each  $k\in K$ . Moreover, it is easily proved that  $\psi$  is affine, that is, if  $k_1,k_2\in K$ ,  $0\leq \lambda\leq 1$ , and  $k=\lambda k_1+(1-\lambda)k_2$ , then  $\lambda\psi(k_1)+(1-\lambda)\psi(k_2)\subseteq \psi(\lambda k_1+(1-\lambda)k_2)$ . So applying Lazar's theorem [7, Theorem 3.1, p. 511], we get an affine continuous selection  $s=(s_1,s_2)$ :  $K\to B_X\times B_X$  such that  $p=\frac{1}{2}(s_1+s_2)$ ,  $s_1\in V(f;\varepsilon)$  and  $s_2\in V(g;\varepsilon)$ . Therefore  $V(h;\eta)\subseteq D(f,g;\varepsilon)$ .  $\square$
- 5.2. **Proposition.** Let K be a Choquet simplex and X a Banach space. Then  $B_X$  is US iff  $B_{A(K,X)}$  is US, and the maximum moduli  $\alpha_m^X$ ,  $\alpha_m^{A(K,X)}$  of US of  $B_X$  and  $B_{A(K,X)}$ , respectively, satisfy

for each 
$$r \in (0, 2]$$
,  $\alpha_m^X(r) \ge \alpha_m^{A(K, X)}(r) \ge \lim_{\varepsilon \to 0^+} \alpha_m^X(r - \varepsilon)$ .

*Proof.* This proof is similar to the proof of Proposition 4.3, applying Lazar's theorem instead of Michael's theorem.  $\Box$ 

**Open problem.** Let K be a compact convex set satisfying Proposition 5.1 or Proposition 5.2. Is K a Choquet simplex?

6. The norm in 
$$L_p(\mu, X)$$

In this section we study the unit ball  $B_{L_p(\mu,X)}$  when the unit ball  $B_X$  is stable. Let us begin with  $L_{\infty}(\mu,X)$ .

- 6.1. **Proposition.** Let X be a Banach space and  $(\Omega, \Sigma, \mu)$  a measure space.
  - (A) Suppose that  $\mu$  is purely atomic with a finite number of atoms. Then
    - (1)  $B_{L_{\infty}(\mu, X)}$  is stable iff  $B_X$  is stable.
    - (2)  $\alpha$  is a modulus of US of  $B_{L_{\infty}(\mu,X)}$  iff  $\alpha$  is a modulus of US of  $B_X$ .
- (B) Suppose that the measure space  $(\Omega, \Sigma, \mu)$  does not reduce to a purely atomic measure with a finite number of atoms. Then  $\mathcal{TFAE}$ :
  - (a)  $B_{L_{\infty}(\mu, X)}$  is stable.
  - (b)  $B_X$  is US.
  - (c)  $B_{L_{\infty}(\mu, X)}$  is US.
- *Proof.* (A) In this case  $L_{\infty}(\mu, X)$  is isometric to  $(\sum_{i=1}^{n} \bigoplus X_i)_{\infty}$ , with  $X_i = X$ , i = 1, 2, ..., n, and n the number of atoms of  $(\Omega, \Sigma, \mu)$ . Now apply Proposition 1.9.
- (B) (a)  $\Rightarrow$  (b) Apply that  $(\sum_{n\geq 1} \bigoplus X_n)_{\infty}$ , with  $X_n = X$ , is 1-complemented in  $L_{\infty}(\mu, X)$  and Proposition 1.9.
- (b)  $\Rightarrow$  (c) Let  $Y \subseteq L_{\infty}(\mu, X)$  be the subspace of bounded countable X-valued functions  $f = \sum_{n \geq 1} x_n \cdot \chi_{A_n}$ ,  $A_n \in \Sigma$ ,  $A_n \cap A_m = \emptyset$  if  $n \neq m$ . As Y is dense in  $L_{\infty}(\mu, \overline{X})$ , by Proposition 1.8, it is enough to prove that Y is US. But this follows immediately from (D) of Proposition 1.9 and the fact that, if  $f_i \in Y$ ,  $i = 1, 2, \ldots, m$ , there is in Y an isometric copy of  $Z = (\sum_{n \geq 1} \bigoplus X_n)_{\infty}$ ,  $X_n = X$ ,  $n \geq 1$ , such that  $f_i \in Z$ .
  - $(c) \Rightarrow \overline{(a)}$  This is immediate.  $\square$

In the following we prove that  $B_{L_p(\mu,X)}$ ,  $1 \le p < \infty$ , is stable iff  $B_X$  is stable. As X is 1-complemented in  $L_p(\mu,X)$ , it is enough to prove that  $B_{L_p(\mu,X)}$  is stable when  $B_X$  is stable. We begin with the case 1 .

- 6.2. **Lemma.** Let X be a Banach space with stable unit ball  $B_X$ , K a compact Hausdorff space,  $f_i \in C(K, X)$ , i = 0, 1, 2, such that  $f_0 = \frac{1}{2}(f_1 + f_2)$  and  $||f_0(k)|| = ||f_1(k)|| = ||f_2(k)||$  for each  $k \in K$ , and  $\varepsilon > 0$ . Then there exists  $\eta > 0$  such that for each  $g \in B(f_0; \eta)$  we can choose  $g_i \in B(f_i; \varepsilon)$ , i = 1, 2, satisfying  $g = \frac{1}{2}(g_1 + g_2)$  and  $||g(k)|| = ||g_1(k)|| = ||g_2(k)||$  for each  $k \in K$ .
- *Proof.* Define  $\phi: X \to 2^{X \times X}$  by  $\phi(x) = \{(u, v) \in X \times X \colon x = (u+v)/2, \|x\| = \|u\| = \|v\|\}$ ,  $x \in X$ .
- (1) Let us see that  $\phi$  is lower semicontinuous. Pick  $\delta>0$ ,  $x_0\in X$  and  $(x_1,x_2)\in\phi(x_0)$ . We prove that there exists  $\rho>0$  (depending on  $\delta$ ,  $x_0$ ,  $x_1$ ,  $x_2$ ) such that each  $y_0\in B(x_0;\rho)$  satisfies  $\phi(y_0)\cap [B(x_1;\delta)\times B(x_2;\delta)]\neq\varnothing$ . If  $x_0=0$ , take  $\rho=\delta$ . Assume that  $x_0\neq 0$  and, without loss of generality, that  $\|x_0\|=1$ . Since  $B_X$  is stable, there exists  $0<\rho'<1$  such that, for each  $x_0'\in B(x_0;\rho')\cap S_X$ , there exists  $x_i'\in B(x_i;\delta/2)\cap S_X$ , i=1,2, satisfying  $x_0'=\frac{1}{2}(x_1'+x_2')$ . Put  $\rho=\min\{\rho'/2,\delta/2\}$  and let  $y_0\in B(x_0;\rho)$  and  $x_0'=y_0/\|y_0\|$ . As  $\|x_0'-x_0\|\leq \|x_0'-y_0\|+\|y_0-x_0\|\leq 2\rho\leq\rho'$ , there exist  $x_i'\in B(x_i;\delta/2)\cap S_X$ , i=1,2, with  $x_0'=\frac{1}{2}(x_1'+x_2')$ . Put  $y_i=\|y_0\|$ .  $x_i'$ , i=1,2. Then  $(y_1,y_2)\in\phi(y_0)$  and  $\|x_i-y_i\|\leq \|x_i-x_i'\|+\|x_i'-y_i\|\leq \delta/2+\delta/2=\delta$ , i=1,2, that is,  $\phi(x_0)\cap [B(x_1;\delta)\times B(x_2;\delta)]\neq\varnothing$ .
- (2) Let  $f_i \in C(K, X)$ , i = 0, 1, 2, and  $\varepsilon > 0$  satisfy the statement of Lemma 6.2. We prove that there exists  $\eta > 0$  such that  $\phi(x) \cap [B(f_1(k); \varepsilon/2) \times B(f_2(k); \varepsilon/2)] \neq \emptyset$ , provided that  $k \in K$  and  $x \in B(f_0(k); \eta)$ . By (1),

for each  $k \in K$ , there exists  $\eta_k > 0$  satisfying, for  $x \in B(f_0(k); \eta_k)$ , that  $\phi(x) \cap [B(f_1(k); \varepsilon/4) \times B(f_2(k); \varepsilon/4)] \neq \emptyset$ . For  $k \in K$  put

$$G_k = f_0^{-1}[B(f_0(k); \eta_k/2)] \cap f_1^{-1}[B(f_1(k); \varepsilon/4)] \cap f_2^{-1}[B(f_2(k); \varepsilon/4)].$$

The family of neighbourhoods  $\{G_k\}_{k\in K}$  cover K. So there is a finite family  $\{k_1,\ldots,k_n\}\subseteq K$  such that  $\{G_{k_i}\colon i=1,2,\ldots,n\}$  also cover K. Let  $\eta=\min\{\eta_k/2\colon k=1,\ldots,n\}$ . If  $k\in K$  and  $x\in B(f_0(k);\eta)$ , there exists  $i_0\in\{1,\ldots,n\}$ , for example,  $i_0=1$ , such that  $k\in G_{k_1}$  and  $f_0(k)\in B(f_0(k_1);\frac{1}{2}\eta_{k_1})$ . Thus  $x\in B(f_0(k_1);\eta_{k_1})$  and there exists  $(x_1,x_2)\in\phi(x)\cap [B(f_1(k_1);\varepsilon/4)\times B(f_2(k_1);\varepsilon/4)]$  satisfying

$$||x_i - f_i(k)|| \le ||x_i - f_i(k_1)|| + ||f_i(k_1) - f_i(k)|| \le \frac{\varepsilon}{4} + \frac{\varepsilon}{4} = \frac{\varepsilon}{2}, \quad i = 1, 2,$$

that is,  $(x_1, x_2) \in \phi(x) \cap [B(f_1(k); \varepsilon/2) \times B(f_2(k); \varepsilon/2)]$ .

(3) Pick  $g \in C(K, X)$  such that  $||g - f_0|| \le \eta$  and define  $\psi: K \to 2^{X \times X}$  as follows:

$$\psi(k) = [\phi \circ g(k)] \cap [B(f_1(k); \varepsilon) \times B(f_2(k); \varepsilon)], \qquad k \in K.$$

As in Proposition 4.2, it is proved that  $\psi$  is lower semicontinuous and that  $\psi(k)$  is a nonempty closed convex set for each  $k \in K$ . Applying Michael's theorem [13, p. 5], there exists a continuous selection  $s = (s_1, s_2) \colon K \to X \times X$  such that  $s(k) \in \psi(k)$  for each  $k \in K$ . Now take  $g_i = s_i$ , i = 1, 2.  $\square$ 

6.3. **Proposition.** Let X be a Banach space with  $B_X$  stable, K a compact Hausdorff space,  $\mu$  a positive Radon measure on K and  $1 . Then <math>B_{L_p(\mu,X)}$  is stable.

Proof. Let  $0 < \varepsilon \le 1$  and  $f_i \in B_{L_p(\mu,X)}$ , i=0,1,2, such that  $f_0 = \frac{1}{2}(f_1+f_2)$ . We prove that there exists  $\rho > 0$  such that  $V(f_0;\rho) \subseteq D(f_1,f_2;\varepsilon)$ , assuming  $\|f_i\| = 1$ , i=0,1,2 (if  $\|f_0\| < 1$ , this fact is clearly true). As  $1 < \rho < \infty$ , we have  $\|f_0(k)\| = \|f_1(k)\| = \|f_2(k)\|$ ,  $k \in K$ , almost everywhere (a.e.). Let  $G = \{x \in X \setminus \{0\} \colon x/\|x\| \notin \operatorname{Ext}(B_X)\}$ . Note that G is open because  $B_X$  is stable and  $\operatorname{Ext}(B_X)$  is closed. If  $U = f_0^{-1}(G)$ , then  $f_1(k) = f_0(k) = f_2(k)$ ,  $k \in K \setminus U$  a.e. If  $\mu(U) = 0$ , there is nothing to prove. Suppose that  $\mu(U) > 0$  and choose a compact subset  $K_0 \subseteq U$  such that  $\mu(K_0) > 0$ ,  $f_i$  continuous on  $K_0$ , i=0,1,2, and  $[\int_{K \setminus K_i} \|f_i - f_0\|^p \cdot d\mu]^{1/p} \le \varepsilon/8$ , i=1,2. Let  $\delta = (\varepsilon/4)/[\mu(K_0)]^{1/p}$ . By Lemma 6.2, there exists  $0 < \eta < 1$  such that, if  $h \in C(K_0,X)$  and  $\|h-f_0\|_{K_0} \le \eta$  ( $\|\cdot\|_{K_0}$  is the supremum norm on  $K_0$ ), then there exist  $h_i \in C(K_0,X)$  satisfying  $\|h_i - f_i\|_{K_0} \le \delta$ , i=1,2,  $h = \frac{1}{2}(h_1 + h_2)$  and  $\|h(k)\| = \|h_1(k)\| = \|h_2(k)\|$  for each  $k \in K_0$ . Let

$$M = \max\{1, \|f_i\|_{K_0} \colon i = 0, 1, 2\} \quad \text{and} \quad \rho = (\epsilon \eta)/(16M).$$

Pick  $g \in V(f_0; \rho)$  in  $B_{L_p(\mu, X)}$  and let  $A = \{k \in K_0: \|f_0(k) - g(k)\| > \eta\}$ . Note that

$$\eta \cdot \mu(A)^{1/p} \le \left[ \int_A \|f_0(k) - g(k)\|^p \cdot d\mu \right]^{1/p} \le \rho = (\varepsilon \eta)/(16M)$$

that is,  $\mu(A) \leq [\varepsilon/(16M)]^p$ . Now choose a compact subset  $K_1 \subseteq K_0 \setminus A$  such that g is continuous on  $K_1$  and  $[\int_{K_0 \setminus K_1} \|f_i(k) - f_0(K)\|^p \cdot d\mu]^{1/p} \leq \varepsilon/4$ , i = 1, 2. Note that this choice is possible because

$$\left[ \int_{A} \|f_{i}(k) - f_{0}(k)\|^{p} \cdot d\mu \right]^{1/p} \leq 2M \cdot \mu(A)^{1/p} \leq \varepsilon/8, \qquad i = 1, 2.$$

Let  $\hat{g}: K_0 \to X$  be a continuous extension of  $g_{|K_1}$  to  $K_0$  satisfying  $\|f_0 - \hat{g}\|_{K_0} \le \eta$ . By Lemma 6.2, there exists  $\hat{g}_i \in C(K_0, X)$  such that  $\|\hat{g}_i - f_i\|_{K_0} \le \delta$ , i = 1, 2, and for each  $k \in K_0$ ,  $\hat{g}(k) = \frac{1}{2}(\hat{g}_1(k) + \hat{g}_2(k))$  and  $\|\hat{g}_1(k)\| = \|\hat{g}(k)\| = \|\hat{g}_2(k)\|$ . Define

$$k \in K$$
,  $g_i(k) = \begin{cases} \hat{g}_i(k), & k \in K_1, \\ g(k), & k \in K \setminus K_1, \end{cases}$   $i = 1, 2.$ 

Then  $g = \frac{1}{2}(g_1 + g_2)$ ,  $||g_i||_p = ||g||_p \le 1$  and  $||f_i - g_i||_p \le \varepsilon$ , i = 1, 2, because

$$||f_{i} - g_{i}||_{p} \leq \left[ \int_{K_{1}} ||f_{i} - g_{i}||^{p} \cdot d\mu \right]^{1/p} + \left[ \int_{K \setminus K_{1}} ||f_{i} - f_{0}||^{p} \cdot d\mu \right]^{1/p}$$

$$+ \left[ \int_{K \setminus K_{1}} ||f_{0} - g||^{p} \cdot d\mu \right]^{1/p}$$

$$\leq \delta \cdot \mu(K_{1})^{1/p} + \frac{\varepsilon}{8} + \frac{\varepsilon}{4} + \rho \leq \varepsilon. \quad \Box$$

6.4. **Proposition.** Let  $(\Omega, \Sigma, \mu)$  be a positive measure space, X a Banach space with  $B_X$  stable and  $1 . Then <math>B_{L_p(\mu, X)}$  is stable.

*Proof.* Let K be the Stone compact of the measure algebra  $(\Sigma, \mu)$  and m the induced Radon measure on the Borel sets Bo(K) (see [6, p. 119]). If  $\rho$  is a lifting on  $L_{\infty}(\mu)$ , we can obtain (see [14]) a map  $\tilde{\rho} \colon \Omega \to K$  such that the map  $F \colon L_p(m,X) \to L_p(\mu,X)$ , defined by  $F(f) = f \circ \tilde{\rho}$ , is an isometry. Now apply Proposition 6.3.  $\square$ 

6.5. **Proposition.** Let  $\{X_i\}_{i \in I}$  be a family of Banach spaces with  $B_{X_i}$  stable and  $1 . Then, if <math>Y = (\sum_{i \in I} \bigoplus X_i)_p$ ,  $B_Y$  is stable.

*Proof.* Let  $x, y, z \in S_Y$  such that  $z = \frac{1}{2}(x+y)$ . We can suppose that  $I = \mathbb{N}$ . As  $1 , then <math>\|x_n\| = \|y_n\| = \|z_n\|$ ,  $n \ge 1$ . Let us prove that, for a given  $\varepsilon > 0$ , there exists  $\rho > 0$  such that  $V(z; \rho) \subseteq D(x, y; \varepsilon)$ . Choose  $m \in \mathbb{N}$  such that  $[\sum_{n \ge m} \|x_n - z_n\|^p]^{1/p} \le \varepsilon/4 \ge [\sum_{n \ge m} \|y_n - z_n\|^p]^{1/p}$ . From the proof of Lemma 6.2 we know that there exist  $\eta > 0$  such that, if  $\|z_n - z_n'\| \le \eta$ ,  $n \le m$ , there exist  $x_n', y_n' \in X_n$  fulfilling  $\|x_n'\| = \|y_n'\| = \|z_n'\|, z_n' = \frac{1}{2}(x_n' + z_n'), \|x_n' - x_n\| \le \varepsilon/(4 \cdot m^{1/p}) \ge \|y_n' - y_n\|$ . Take  $\rho = \min\{\varepsilon/2, \eta\}$  and  $z' \in V(z; \rho)$ . Let x', y' such that  $x_n', y_n', n \le m$ , are as above and  $x_n' = z_n' = y_n', n > m$ . Then  $z' = \frac{1}{2}(x' + y')$  with  $x' \in V(x; \varepsilon)$  and  $y' \in V(y; \varepsilon)$ .  $\square$ 

6.6. **Lemma.** Let X be a Banach space with  $B_X$  stable, K a compact Hausdorff space and  $f_i \in C(K, X)$ , i = 0, 1, 2, continuous functions such that  $f_0 = \frac{1}{2}(f_1 + f_2)$  and  $||f_0(k)|| = \frac{1}{2}(||f_1(k)|| + ||f_2(k)||)$ ,  $k \in K$ . Then, given  $\varepsilon > 0$ , there exists  $\eta > 0$  such that, for each  $g \in B(f_0; \eta)$ , there exist  $g_i \in B(f_i; \varepsilon)$ , i = 1, 2, satisfying

$$g = \frac{1}{2}(g_1 + g_2), \qquad ||g(k)|| = \frac{1}{2}(||g_1(k)|| + ||g_2(k)||), \qquad k \in K.$$

*Proof.* Define  $\phi: X \to 2^{X \times X}$  by  $\phi(x) = \{(u, v) \in X \times X : x = (u + v)/2, \|x\| = \frac{1}{2}(\|u\| + \|v\|)\}, x \in X.$ 

(1) Let us see that  $\phi$  is lower semicontinuous. Take  $\varepsilon > 0$ ,  $x_0 \in X$  and  $(x_1, x_2) \in \phi(x_0)$ . We prove that there exists  $\eta > 0$  such that, for each  $x_0' \in B(x_0; \eta)$ ,  $\phi(x_0') \cap [B(x_1; \varepsilon) \times B(x_2; \varepsilon)] \neq \emptyset$ . If  $x_i = \lambda_i \cdot x_0$ ,  $\lambda_i \geq 0$ , i = 1, 2, take  $\eta = \varepsilon/2$ . Suppose that  $x_i \neq \lambda_i \cdot x_0$ . Without loss of generality, assume that  $||x_2|| = 1$ ,  $||x_0|| = 1 - a > 0$  and  $||x_1|| = 1 - 2a > 0$ . Let  $y_i = x_i/||x_i||$ , i = 0, 1, 2. We claim that  $y_0 \in [y_1, y_2]$  (=  $\{\mu y_1 + (1 - \mu)y_2 : 0 \leq \mu \leq 1\}$ ). Indeed, if  $\lambda = (1 - 2a)/2(1 - a)$ , then

$$y_0 = \frac{x_0}{1-a} = \frac{1}{2} \frac{x_1}{1-a} + \frac{1}{2} \frac{x_2}{1-a} = \frac{1}{2} \frac{1-2a}{1-a} \frac{x_1}{1-2a} + \left(1 - \frac{1}{2} \frac{1-2a}{1-a}\right) x_2 = \lambda y_1 + (1-\lambda)y_2.$$

Thus  $[y_1, y_2] \subseteq S_X$ . Observe that, if 0 < t < 1 and we define  $\phi_t : X \to 2^{X \times X}$  as  $\phi_t(x) = \{(u, v) \in X \times X : x = tu + (1-t)v, ||x|| = ||u|| = ||v||\}$ ,  $x \in X$ , then the proof of Lemma 6.2 implies that  $\phi_t$  is lower semicontinuous. Then, for  $\lambda = (1-2a)/2(1-a)$ , there exists  $\eta' > 0$  such that, for each  $y'_0 \in B(y_0; \eta')$ , there exists  $y'_i \in B(y_i; \varepsilon)$ , i = 1, 2, such that

$$(*) y_0' = \lambda y_1' + (1 - \lambda)y_2', ||y_0'|| = ||y_1'|| = ||y_2'||.$$

Let  $\eta=(1-a)\eta'$  and  $x_0'\in B(x_0;\eta)$ . Then, if  $y_0'=x_0'/(1-a)$ , we have  $y_0'\in B(y_0;\eta')$ . Thus there exist  $y_i'\in B(y_i;\varepsilon)$ , i=1,2, satisfying (\*). In particular

$$y_0' = \frac{x_0'}{1-a} = \frac{1}{2} \frac{1-2a}{1-a} y_1' + \frac{1}{2} \frac{1}{1-a} y_2'.$$

Let  $x'_1 = y'_1(1 - 2a)$  and  $x'_2 = y'_2$ . Then

$$x'_0 = \frac{1}{2}x'_1 + \frac{1}{2}x'_2,$$

$$||x'_0|| = (1-a)||y'_0|| = (1-a)\frac{1}{2}(||y'_1|| + ||y'_2||)$$

$$= \frac{1}{2}[(1-2a)||y'_1|| + (1-a+a)||y'_2||] = \frac{1}{2}(||x'_1|| + ||x'_2||).$$

Moreover  $||x_i - x_i'|| \le \varepsilon$ , that is,  $(x_1', x_2') \in \phi(x_0') \cap [B(x_1; \varepsilon) \times B(x_2; \varepsilon)]$ . Thus  $\phi$  is lower semicontinuous.

- (2) Let  $f_i \in C(K,X)$ , i=0,1,2, be continuous functions and  $\varepsilon>0$ . Then there exists  $\eta>0$  such that, if  $x\in B(f_0(k);\eta)$ ,  $k\in K$ , we have that  $[B(f_1(k);\varepsilon/2)\times B(f_2(k);\varepsilon/2)]\cap\phi(x)\neq\varnothing$  (the proof is analogous to the part (2) of Lemma 6.2). Take  $g\in B(f_0;\eta)$  in C(K,X) and define  $\psi\colon K\to 2^{X\times X}$  as  $\psi(k)=[\phi\circ g(k)]\cap [B(f_1(k);\varepsilon)\times B(f_2(k);\varepsilon)]$ ,  $k\in K$ . As in Proposition 4.2, it is proved that  $\psi$  is lower semicontinuous and that  $\psi(k)$  is a nonempty closed convex set for each  $k\in K$ . Applying Michael's theorem [13, p. 5], there exists a continuous selection  $s=(s_1,s_2)\colon K\to X\times X$  such that  $s(k)\in\psi(k)$  for each  $k\in K$ . Now take  $g_i=s_i$ , i=1,2.  $\square$
- 6.7. **Proposition.** Let X be a Banach space with  $B_X$  stable, K a compact Hausdorff space and  $\mu$  a positive Radon measure on K. Then  $B_{L_1(\mu,X)}$  is stable.

*Proof.* Take  $f_i \in S_{L_1(\mu,X)}$ , i=0,1,2, satisfying  $f_0 = \frac{1}{2}(f_1+f_2)$ , that implies  $\|f_0(k)\| = \frac{1}{2}(\|f_1(k)\| + \|f_2(k)\|)$  a.e. Given  $0 < \varepsilon \le 1$ , let us prove that there exists  $\rho > 0$  such that  $V(f_0; \rho) \subseteq D(f_1, f_2; \varepsilon)$  in  $B_{L_1(\mu,X)}$ . If

$$\int_{K} |\|f_{i}(k)\| - \|f_{0}(k)\| |d\mu = 0, \qquad i = 1, 2,$$

(what implies that  $||f_0(k)|| = ||f_i(k)||$ , i = 1, 2) the conclusion follows from the proof of Proposition 6.3. Assume that  $\int_K ||f_i(k)|| - ||f_0(k)|| |d\mu > 0$  and denote

$$U_i = \{k \in K : ||f_i(k)|| > ||f_0(k)||\}, \quad i = 1, 2.$$

Then

$$\int_{U_1} (\|f_1(k)\| - \|f_0(k)\|) d\mu = \int_{U_2} (\|f_2(k)\| - \|f_0(k)\|) d\mu$$
$$= \frac{1}{2} \int_{K} \|f_i(k)\| - \|f_0(k)\| d\mu = H' > 0.$$

Let  $H=\min\{1,H'\}$  and, as in Proposition 6.3, choose a compact  $K_0\subseteq K$  satisfying that  $f_i$  is continuous on  $K_0$  and  $\int_{K\setminus K_0} \|f_i-f_0\| d\mu \le \varepsilon H/32$ , i=0,1,2. Let  $\delta=\varepsilon H/16\mu(K_0)$ . By Lemma 6.6, there exists  $0<\eta<1$  such that, if  $h\in C(K_0,X)$  and  $\|h-f_0\|_{K_0}\le \eta$ , there exist  $h_i\in C(K_0,X)$  satisfying

$$||h_i - f_i||_{K_0} \le \delta$$
,  $h = \frac{1}{2}(h_1 + h_2)$ 

and

$$||h(k)|| = \frac{1}{2}(||h_1(k)|| + ||h_2(k)||), \qquad k \in K_0.$$

Let  $M = \max\{1, \|f_i(k)\|_{K_0} \colon i = 0, 1, 2\}$  and  $\rho = H\epsilon\eta/(32 \cdot M)$ . Pick  $g_0 \in V(f_0; \rho)$  in  $B_{L_1(\mu, X)}$  and put  $A = \{k \in K_0 \colon \|f_0(k) - g_0(k)\| > \eta\}$ . Then

$$\eta \cdot \mu(A) \le \int_A \|f_0(k) - g_0(k)\| d\mu \le \rho$$

that is,  $\mu(A) \leq H\varepsilon/(32 \cdot M)$ . So we can choose a compact  $K_1 \subseteq K_0 \setminus A$  such that  $g_0$  is continuous on  $K_1$  and

$$\int_{K_0 \setminus K_1} \|f_i - f_0\| \, d\mu \le \varepsilon H/8 \,, \qquad i = 1 \,, \, 2 \,.$$

Denote  $U_{i1} = U_i \cap K_1$ , i = 1, 2, and observe that

$$\int_{U_i\setminus K_1} (\|f_i(k)\| - \|f_0(k)\|) \, d\mu \le \int_{K\setminus K_1} \|f_i - f_0\| \, d\mu \le \frac{\varepsilon H}{32} + \frac{\varepsilon H}{8} \, .$$

Thus

$$\int_{U_{i1}} (\|f_i(k)\| - \|f_0(k)\|) d\mu \ge H - \frac{\varepsilon H}{32} - \frac{\varepsilon H}{8} \ge \frac{27}{32} H.$$

Let  $\hat{g}_0: K_0 \to X$  a continuous extension of  $g_{0|K_1}$  to  $K_0$  satisfying  $||f_0 - \hat{g}_0||_{K_0} \le \eta$ . By Lemma 6.6 there exist continuous functions  $\hat{g}_i: K_0 \to X$ , i = 1, 2, such that

(1) 
$$k \in K_0, \qquad \|\hat{g}_i(k) - f_i(k)\| \le \delta, \qquad i = 1, 2,$$

$$\hat{g}_0(k) = \frac{1}{2}(\hat{g}_1(k) + \hat{g}_2(k)),$$

$$\|\hat{g}_0(k)\| = \frac{1}{2}(\|\hat{g}_1(k)\| + \|\hat{g}_2(k)\|).$$

Let  $b_i = \int_{K_1} (\|\hat{g}_i(k)\| - \|g_0(k)\|) d\mu$ , i = 1, 2, and note that  $b_1 + b_2 = 0$  and

$$\begin{split} |b_{i}| &= \left| \int_{K_{1}} (\|\hat{g}_{i}(k)\| - \|g_{0}(k)\|) \, d\mu \right| \\ &\leq \left| \int_{K_{1}} (\|\hat{g}_{i}(k)\| - \|f_{i}(k)\|) \, d\mu \right| \\ &+ \left| \int_{K_{1}} (\|f_{i}(k)\| - \|f_{0}(k)\|) \, d\mu \right| + \left| \int_{K_{1}} (\|f_{0}(k)\| - \|g_{0}(k)\|) \, d\mu \right| \\ &\leq \int_{K_{1}} \|\hat{g}_{i}(k) - f_{i}(k)\| \, d\mu + \int_{K \setminus K_{1}} \|f_{i}(k) - f_{0}(k)\| \, d\mu \\ &+ \int_{K_{1}} \|f_{0}(k) - g_{0}(k)\| \, d\mu \\ &\leq \delta \cdot \mu(K_{1}) + \varepsilon H/32 + \varepsilon H/8 + (\varepsilon \eta H)/(32M) \leq \varepsilon H/4 \, . \end{split}$$

Here we use (1), that

$$\int_{K} [\|f_{i}\| - \|f_{0}\|] d\mu = 0 \quad \left( \text{thus } \left| \int_{K_{1}} [\|f_{i}\| - \|f_{0}\|] d\mu \right| = \left| \int_{K \setminus K_{1}} [\|f_{i}\| - \|f_{0}\|] d\mu \right| \right)$$

and that  $g_0 \in V(f_0; \rho)$ . Next define measurable functions  $g_i': K_1 \to X$ , i =1, 2, satisfying

$$k \in K_1$$
,  $g_0(k) = \frac{1}{2}(g_1'(k) + g_2'(k))$ ,  $||g_0(k)|| = \frac{1}{2}(||g_1'(k)|| + ||g_2'(k)||)$   
$$\int_{K_1} ||g_i'(k)|| d\mu = \int_{K_1} ||g_0(k)|| d\mu$$
,  $i = 1, 2$ .

If  $b_1 = b_2 = 0$ , take  $g_i' = \hat{g}_i$ , i = 1, 2. Suppose, for instance, that  $b_1 > 0$ (the case  $b_2 > 0$  is analogous) and denote  $B = \{k \in K_1 : \|\hat{g}_1(k)\| > \|g_0(k)\|\}$ . Then  $\int_{B} \|\hat{g}_{1}(k)\| d\mu \ge \int_{B} \|g_{0}(k)\| d\mu + b_{1}$ . For  $0 \le \lambda \le 1$ , let  $g_{\lambda}(k) = \lambda \hat{g}_{1}(k) + b_{1}$  $(1-\lambda)g_0(k)$ , that satisfies  $\|g_{\lambda}(k)\| = \lambda \|\hat{g}_1(k)\| + (1-\lambda)\|g_0(k)\|$ ,  $k \in K_1$ . Thus there exists  $0 \le \lambda_0 \le 1$  such that  $\int_B \|g_{\lambda_0}(k)\| \, d\mu = \int_B \|\hat{g}_1(k)\| \, d\mu - b_1$ . Define  $g'_i$ , i = 1, 2, by

$$k \in K_1, \qquad g_1'(k) = \begin{cases} g_{\lambda_0}(k), & k \in B, \\ \hat{g}_1(k), & k \in K_1 \setminus B, \end{cases} \qquad g_2'(k) = 2g_0(k) - g_1'(k).$$

Note that

- (1)  $g_0(k) = \frac{1}{2}(g_1'(k) + g_2'(k)), \|g_0(k)\| = \frac{1}{2}(\|g_1'(k)\| + \|g_2'(k)\|), k \in K_1.$
- (2)  $\int_{K_1} \|g_i'(k)\| d\mu = \int_{K_1} \|g_0(k)\| d\mu$ , i = 1, 2. (3) We claim that  $\int_{K_1} \|g_i'(k) f_i(k)\| d\mu \le 3\varepsilon/4$ , i = 1, 2. Let us see that

 $1-\lambda_0 \leq \varepsilon/3$ :

$$\begin{split} \frac{\varepsilon H}{4} & \geq b_1 = (1 - \lambda_0) \int_B (\|\hat{g}_1(k)\| - \|g_0(k)\|) \, d\mu \\ & \geq (1 - \lambda_0) \int_{U_{11}} (\|\hat{g}_1(k)\| - \|g_0(k)\|) \, d\mu \\ & = (1 - \lambda_0) \left[ \int_{U_{11}} (\|\hat{g}_1(k)\| - \|f_1(k)\|) \, d\mu + \int_{U_{11}} (\|f_1(k)\| - \|f_0(k)\|) \, d\mu \right. \\ & \qquad \qquad + \int_{U_{11}} (\|f_0(k)\| - \|g_0(k)\|) \, d\mu \right] \\ & \geq (1 - \lambda_0) \left( -\delta \cdot \mu(U_{11}) + \frac{27}{32} \cdot H - \rho \right) \\ & \geq (1 - \lambda_0) \left( \frac{27}{32} H - \frac{\varepsilon H}{16} - \frac{\varepsilon \eta H}{32M} \right) \\ & \geq (1 - \lambda_0) \left( \frac{27}{32} - \frac{1}{16} - \frac{1}{32} \right) H = (1 - \lambda_0) \frac{24}{32} H \, . \end{split}$$

So  $(1-\lambda_0) \le \varepsilon/3$ . Also, as  $\|\hat{g}_1(k) - f_i(k)\| \le \delta$ ,  $k \in K_1$ , and  $\|f_i\|_1 = 1 \ge \|g_0\|_1$ , we obtain

$$\int_{B} \|\hat{g}_{i}(k) - g_{0}(k)\| d\mu$$

$$\leq \int_{B} \|g_{0}(k)\| d\mu + \int_{B} \|f_{i}(k)\| d\mu + \delta \cdot \mu(B) \leq 2 + \frac{\varepsilon H}{16}$$

and therefore

$$\begin{split} & \int_{K_{1}} \|f_{i}(k) - g_{i}'(k)\| \, d\mu \leq \int_{K_{1}} \|f_{i}(k) - \hat{g}_{i}(k)\| \, d\mu \\ & + \int_{B} \|\hat{g}_{i}(k) - (\lambda_{0}\hat{g}_{i}(k) + (1 - \lambda_{0})g_{0}(k))\| \, d\mu \\ & \leq \delta \cdot \mu(K_{1}) + (1 - \lambda_{0}) \int_{B} \|\hat{g}_{i}(k) - g_{0}(k)\| \, d\mu \leq \frac{\varepsilon H}{16} + \frac{\varepsilon}{3} \left(2 + \frac{\varepsilon H}{16}\right) \leq 3\varepsilon/4 \, . \end{split}$$

Finally define  $g_i$ , i = 1, 2,

$$k \in K$$
,  $g_i(k) = \begin{cases} g_i'(k), & k \in K_1, \\ g_0(k), & k \in K \setminus K_1. \end{cases}$ 

Then we have

(a) 
$$k \in K$$
,  $g_0(k) = \frac{1}{2}(g_1(k) + g_2(k))$  and  $||g_0(k)|| = \frac{1}{2}(||g_1(k)|| + ||g_2(k)||)$ .

(b) 
$$\int_K \|g_i(k)\| d\mu = \int_K \|g_0(k)\| d\mu \le 1$$
,  $i = 1, 2$ .

(c) For i = 1, 2, we have

$$\begin{split} \int_{K} \|f_{i}(k) - g_{i}(k)\| \, d\mu \\ &= \int_{K \setminus K_{1}} \|f_{i}(k) - g_{0}(k)\| \, d\mu + \int_{K_{1}} \|f_{i}(k) - g_{i}(k)\| \, d\mu \\ &\leq \int_{K \setminus K_{1}} \|f_{i}(k) - f_{0}(k)\| \, d\mu + \int_{K \setminus K_{1}} \|f_{0}(k) - g_{0}(k)\| \, d\mu \\ &+ \int_{K_{1}} \|f_{i}(k) - g_{i}(k)\| \, d\mu \\ &\leq \frac{\varepsilon H}{32} + \frac{\varepsilon H}{8} + \rho + \frac{3\varepsilon}{4} < \varepsilon \,. \end{split}$$

Thus  $V(f_0; \rho) \subseteq D(f_1, f_2; \varepsilon)$ .  $\square$ 

6.8. **Proposition.** Let X be a Banach space with  $B_X$  stable and  $(\Omega, \Sigma, \mu)$  a positive measure space. Then  $B_{L_1(\mu, X)}$  is stable.

*Proof.* Use the Stone compact corresponding to the measure algebra  $(\Sigma, \mu)$  as in Proposition 6.4.  $\square$ 

6.9. **Proposition.** Let  $\{X_i\}_{i\in I}$  be a family of Banach spaces with  $B_{X_i}$  stable. Then, if  $Y = (\sum_{i\in I} \bigoplus X_i)_1$ ,  $B_Y$  is stable.

*Proof.* The proof, analogous to that of Proposition 6.5 (with some changes, as in Proposition 6.7), is left to the reader.  $\Box$ 

**Open problem.** Suppose that  $B_X$  is US. Is  $B_{L_n(u,X)}$  US,  $1 \le p < \infty$ ?

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