# A DEFORMATION OF TORI WITH CONSTANT MEAN CURVATURE IN $\mathbb{R}^3$ TO THOSE IN OTHER SPACE FORMS

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ABSTRACT. It is shown that tori with constant mean curvature in  $\mathbb{R}^3$  constructed by Wente [7] can be deformed to tori with constant mean curvature in the hyperbolic 3-space or the 3-sphere.

# Introduction

In this paper, we will construct tori with constant mean curvature in the hyperbolic 3-space. To be more precious, let  $T^2$  be a torus and  $f: T^2 \to \mathbb{R}^3$  be an immersion with constant mean curvature constructed by Wente [7]. Let

$$\mathbb{R}^{3}(k) = \begin{cases} \mathbb{R}^{3} & (\text{if } k \ge 0), \\ \{x \in \mathbb{R}^{3} : \sum_{i=1}^{3} (x^{i})^{2} < \frac{1}{|k|} \} & (\text{if } k < 0) \end{cases}$$

be the Riemannian 3-manifold with the Riemannian metric

$$g_k = \left(\frac{2}{1 + k \sum_{i=1}^{3} (x^i)^2}\right)^2 \sum_{i=1}^{3} (dx^i)^2$$

of constant sectional curvature k. We will show that if f is generic, then for a sufficiently small  $\varepsilon > 0$  there exists a local 1-parameter family of immersions  $\{f_k: T^2 \to \mathbb{R}^3(k)\}_{|k| < \varepsilon} \ (f_0 = f)$  with the same constant mean curvature. It should be noted that the induced metrics  $\{f_k^*g_k\}_{|k| < \varepsilon}$  on  $T^2$  in this case may not be conformally equivalent to each other. Recently Walter [6] gave another construction of tori with constant mean curvature in the hyperbolic 3-space. But our construction is quite different and depends very much on an idea "deformation of Lie groups".

Wente's construction in [7] is based on doubly periodic solutions of the sinh-Gordon equation on  $\mathbb{R}^2$ . Even if  $k \neq 0$ , solutions of the sinh-Gordon give rise to immersions  $f_k: \mathbb{R}^2 \to \mathbb{R}^3(k)$  with constant mean curvature. Though  $f_k$  may not be doubly periodic, it induces a representation  $\rho_k: \pi_1(T^2) \to G_k$  such

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that for any  $a \in \pi_1(T^2)$ ,  $\rho_k(a)$  preserves the image of  $f_k$ , where

$$G_k = \begin{cases} SO(4) & \text{(if } k > 0), \\ SO(3) \ltimes \mathbb{R}^3 & \text{(if } k = 0), \\ SO^+(3, 1) & \text{(if } k < 0), \end{cases}$$

which are the identity components of the isometry groups of the 3-dimensional space forms. The necessary and sufficient condition for the image of  $f_k$  to be closed can be described in terms of the representation  $\rho_k$ . To construct a family of doubly periodic immersions, one difficulty arises from the fact that the isometry groups  $G_k$  for k>0, k=0, and k>0 are quite different from each other.

In §§1-3, we introduce a differentiable structure on the set  $I = \{(k, E) : k \in \mathbb{R}, E \in G_k\}$  such that the family of representations  $\rho_k : \pi_1(T^2) \to G_k \subset I$   $(k \in \mathbb{R})$  is smooth with respect to k. In §4, a criterion for the image of  $f_k$  to be closed can be taken depending smoothly on k, by virtue of the differentiable structure. Using this criterion, the existence of a deformation  $f_k$  with the desired properties are shown in the last section.

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# 1. Decompositions of isometries

Let  $M^3(k)$  be a complete simply connected Riemannian 3-manifold of constant sectional curvature k, and  $G_k$  the identity component of the isometry group of  $M^3(k)$ .

First, we suppose k > 0. In this case,  $M^3(k)$  is the Euclidean sphere defined by

(1.1) 
$$M^{3}(k) = \left\{ {}^{t}(x^{1}, x^{2}, x^{3}, t) \in \mathbb{R}^{4} : \sum_{i=1}^{3} (x^{i})^{2} + t^{2} = \frac{1}{k} \right\}$$

and  $G_k = SO(4)$ . It is well known that for each  $E \in SO(4)$ , there exists a matrix  $P \in SO(4)$  such that

(1.2) 
$$P^{-1} \circ E \circ P = \begin{pmatrix} \cos \theta & -\sin \theta & 0 & 0\\ \sin \theta & \cos \theta & 0 & 0\\ 0 & 0 & \cos \nu & -\sin \nu\\ 0 & 0 & \sin \nu & \cos \nu \end{pmatrix},$$

where  $e^{\pm i\theta}$  and  $e^{\pm i\nu}$  are the eigenvalues of the matrix E.

Next we consider the case k < 0. In this case,  $M^3(k)$  is the hyperboloid in the Minkowski 4-space  $\mathbb{L}^4$  with the induced metric. That is,

(1.3) 
$$M^{3}(k) = \left\{ t(x^{1}, x^{2}, x^{3}, t) \in \mathbb{L}^{4} : \sum_{i=1}^{3} (x^{i})^{2} - t^{2} = \frac{1}{k}, t > 0 \right\}$$

and  $G_k = SO^+(3, 1)$ . Unlike the case SO(4), not all matrices in  $SO^+(3, 1)$  can be normalized.

#### Lemma 1.1. Let

(1.4) 
$$N = \{ A \in SO^+(3, 1) : all \text{ of the eigenvalues of } A \text{ are } 1 \}.$$

Then for any matrix  $E \in SO^+(3, 1) \setminus N$ , there exists  $P \in SO^+(3, 1)$  such that

(1.5) 
$$P^{-1} \circ E \circ P = \begin{pmatrix} \cos \theta & -\sin \theta & 0 & 0\\ \sin \theta & \cos \theta & 0 & 0\\ 0 & 0 & \cosh \nu & \sinh \nu\\ 0 & 0 & \sinh \nu & \cosh \nu \end{pmatrix},$$

where  $e^{\pm i\theta}$  and  $e^{\pm \nu}$  are the eigenvalues of the matrix E.

*Proof.* Identify a point  $X = {}^{t}(x^{1}, x^{2}, x^{3}, t) \in \mathbb{L}^{4}$  with a  $2 \times 2$ -matrix

$$X = \begin{pmatrix} x^3 + t & x^1 + ix^2 \\ x^1 - ix^2 & -x^3 + t \end{pmatrix}.$$

Then SO(3,1) is isomorphic to  $PSL(2,\mathbb{C})$  by the 2-fold covering  $\rho$ :  $SL(2,\mathbb{C}) \to SO^+(3,1)$  defined by  $\rho(a)X = a \circ X \circ {}^t\bar{a}$ . It is easy to check that

(1.6) 
$$\rho \begin{pmatrix} e^{z/2} & 0 \\ 0 & e^{-z/2} \end{pmatrix} = \begin{pmatrix} \cos u & -\sin u & 0 & 0 \\ \sin u & \cos u & 0 & 0 \\ 0 & 0 & \cosh v & \sinh v \\ 0 & 0 & \sinh v & \cosh v \end{pmatrix},$$

where z = u + iv. On the other hand,  $\rho^{-1}(N) \subset SL(2, \mathbb{C})$  consists exactly of matrices which cannot be diagonalized. Combining these two facts, we obtain the lemma.  $\square$ 

Finally we consider the case k = 0. The following lemma holds:

**Lemma 1.2.** Let E be an isometry of  $\mathbb{R}^3(0)$  written as  $E = A + \mathbf{c}$   $(A \in SO(3), \mathbf{c} \in \mathbb{R}^3)$ , and suppose  $A \neq \mathrm{id}$ . Then there exists an isometry P such that

$$(1.7) P \circ E \circ P^{-1} \begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix} + \tau \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

for all  $x = {}^t(x^1, x^2, x^3) \in \mathbb{R}^3(0)$ . Moreover, if E has such a decomposition, then the  $e^{\pm i\theta}$  are the eigenvalues of the matrix A and  $\pm \tau = \langle \mathbf{c}, \mathbf{e} \rangle$ , where  $\mathbf{e}$  is the unit eigenvector of A corresponding to the eigenvalue 1 and  $\langle , \rangle$  denotes the canonical inner product of  $\mathbb{R}^3$ .

*Proof.* Let  $P = P_0 + \mathbf{p}$   $(P_0 \in SO(3, 1), \mathbf{p} \in \mathbb{R}^3)$ . Then E has the expression  $P \circ E \circ P^{-1} = R_\theta + \tau \mathbf{e}_3$  of (1.7)  $(R_\theta \in SO(3, 1), \mathbf{e}_3 = {}^t(0, 0, 1))$  if and only if

$$(1.8) P_0^{-1} \circ R_\theta \circ P_0 = A,$$

$$(1.9) R_{\theta}\mathbf{p} + \tau\mathbf{e}_3 - \mathbf{p} = P_0\mathbf{c}.$$

It is obvious that  $P_0$  satisfying (1.8) exists. Hence, it suffices to show that the existence of **p** satisfying (1.9). Note that if such a **p** exists, then the  $e^{\pm i\theta}$  are the eigenvalues of A by (1.8) and, by (1.9),

$$\tau = \langle \tau \mathbf{e}_3, \, \mathbf{e}_3 \rangle = \langle P_0 \mathbf{c}, \, \mathbf{e}_3 \rangle = \langle \mathbf{c}, \, P_0^{-1} \mathbf{e}_3 \rangle = \langle \mathbf{c}, \, \mathbf{e} \rangle.$$

Now we put  $P_0 \mathbf{c} = {}^t(\alpha^1\,,\,\alpha^2\,,\,\alpha^3)$ . Then (1.9) is equivalent to

$$\tau = \alpha^3$$
 and  $\begin{pmatrix} \cos \theta - 1 & -\sin \theta \\ \sin \theta & \cos \theta - 1 \end{pmatrix} \begin{pmatrix} p^1 \\ p^2 \end{pmatrix} = \begin{pmatrix} \alpha^1 \\ \alpha^2 \end{pmatrix}$ .

Consequently, the desired  $\tau$  and  $\mathbf{p}$  exist if  $\theta \notin 2\pi \mathbb{Z}$ .  $\square$ 

# 2. The stereographic projections

Recall that

$$\mathbb{R}^{3}(k) = \begin{cases} \mathbb{R}^{3} & \text{(if } k \ge 0), \\ \{x \in \mathbb{R}^{3} : \sum_{i=1}^{3} (x^{i})^{2} < \frac{1}{|k|} \} & \text{(if } k < 0) \end{cases}$$

is the Riemannian 3-manifold with the Riemannian metric

$$g_k = \left(\frac{2}{1 + k \sum_{i=1}^{3} (x^i)^2}\right)^2 \sum_{i=1}^{3} (dx^i)^2$$

of constant sectional curvature k.

Note that when k>0,  $\mathbb{R}^3(k)$  can be understood as the image of the stereographic projection of  $M^3(k)$  defined in (1.1) into the  $(x^1, x^2, x^3)$ -plane from the south pole  $(0, 0, 0, -1/\sqrt{k})$ . Similarly, when k<0,  $\mathbb{R}^3(k)$  is also the image of the stereographic projection of  $M^3(k)$  defined in (1.3) into the  $(x^1, x^2, x^3)$ -plane from the south pole  $(0, 0, 0, -1/\sqrt{|k|})$ .

Let  $\psi_k$   $(k \neq 0)$  denote these stereographic projections. Then  $\psi_k$  and  $\psi_k^{-1}$  are given, independently of the sign of k, by

(2.1) 
$$\psi_k(x^1, x^2, x^3, t) = \frac{1}{\sqrt{|k|}t + 1} (x^1, x^2, x^3),$$

(2.2) 
$$\psi_k^{-1}(x^1, x^2, x^3) = \frac{2}{1 + kr^2} \left( x^1, x^2, x^3, \frac{1 - kr^2}{2\sqrt{|k|}} \right),$$

where  $r^2 = \sum_{i=1}^3 (x^i)^2$ . The Riemannian metric  $g_k$  of  $\mathbb{R}^3(k)$  is nothing but the one induced from the canonical metric of  $M^3(k)$  by  $\psi_k$ . Therefore, isometries of  $M^3(k)$  can be regarded as isometries of  $\mathbb{R}^3(k)$ .

Now we interpret the normalized isometries (1.2), (1.5), and (1.7) in terms of the canonical coordinate system of  $\mathbb{R}^3(k)$ . If k > 0, then a matrix  $E \in SO(4)$  of the form (1.4) is expressed as

$$(2.3a) \qquad \psi_k \circ E \circ \psi_k^{-1} \begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix} = \mu \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & \cos \nu \end{pmatrix} \begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix} + \frac{\mu(1 - kr^2)}{2\sqrt{k}} \begin{pmatrix} 0 \\ 0 \\ -\sin \nu \end{pmatrix},$$

where

(2.3b) 
$$\mu = 2\{2\sqrt{k}x^3\sin\nu + \cos\nu(1-kr^2) + (1+kr^2)\}^{-1}.$$

Note that the singular point of  $\psi_k \circ E \circ \psi_k^{-1}$  corresponds to the point in  $M^3(k)$  which is mapped to the south pole by E.

On the other hand, if k < 0, then a matrix  $E \in SO^+(3, 1)$  of the form (1.5)

is expressed as

$$(2.4a) \qquad \psi_k \circ E \circ \psi_k^{-1} \begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix} = \mu \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & \cosh \nu \end{pmatrix} \begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix} + \frac{\mu(1 - kr^2)}{2\sqrt{|k|}} \begin{pmatrix} 0 \\ 0 \\ \sinh \nu \end{pmatrix},$$

where

(2.4b) 
$$\mu = 2\{2\sqrt{|k|}x^3\sinh\nu + \cosh\nu(1-kr^2) + (1+kr^2)\}^{-1}.$$

In (2.3) and (2.4), we now put

(2.5) 
$$\tau = \begin{cases} -\frac{\sin \nu}{2\sqrt{k}} & (\text{if } k > 0), \\ \frac{\sinh \nu}{2\sqrt{|k|}} & (\text{if } k < 0), \end{cases}$$

and denote  $\psi_k \circ E \circ \psi_k^{-1}$  by  $T_k(\theta, \tau)$ . To determine  $\nu$  uniquely from  $\tau$ , we assume that  $|\nu| < \pi/2$  if k > 0. Then  $T_k(\theta, \tau)$  is expressed, independently of the sign of k, by

$$(2.6a) \quad T_{k}(\theta, \tau) \begin{pmatrix} x^{1} \\ x^{2} \\ x^{3} \end{pmatrix} = \tilde{\mu}_{k} \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & (1 - 4k\tau^{2})^{1/2} \end{pmatrix} \begin{pmatrix} x^{1} \\ x^{2} \\ x^{3} \end{pmatrix} + \tilde{\mu}_{k} (1 - kr^{2}) \begin{pmatrix} 0 \\ 0 \\ \tau \end{pmatrix},$$

where  $|\tau| < 1/2\sqrt{|k|}$  for k > 0, and

(2.6b) 
$$\tilde{\mu}_k = 2\{-4k\tau x^3 + (1-4k\tau^2)^{1/2}(1-kr^2) + (1+kr^2)\}^{-1}.$$

We also define  $T_k(\theta,\tau)$  and  $\tilde{\mu}_k$  by (2.6a) and (2.6b) even when k=0. Then  $\tilde{\mu}_0=1$  and  $T_0(\theta,\tau)$  is identical with normalized isometry given by (1.7). Thus, for each  $k\in\mathbb{R}$ , we call  $T_k(\theta,\tau)$  a normal form of the isometry of  $\mathbb{R}^3(k)$ .

### 3. A differentiable structure of $\mathcal{I}$

Recall that  $G_k$  is the identity component of the isometry group of  $M^3(k)$ , namely

$$G_k = \begin{cases} SO(4) & (k > 0), \\ SO(3) \ltimes \mathbb{R}^3 & (k = 0), \\ SO^+(3, 1) & (k < 0). \end{cases}$$

Let  $\mathcal{I} = \{(k, E) : E \in G_k\}$ . Then each of the subsets

$$\mathcal{I}^{+} = \{(k, E) \in \mathcal{I} : k > 0\} = (0, \infty) \times SO(4),$$
$$\mathcal{I}^{-} = \{(k, E) \in \mathcal{I} : k < 0\} = (-\infty, 0) \times SO^{+}(3, 1)$$

has the canonical differentiable structures as a product. In this section we shall prove the following theorem.

**Theorem 3.1.** There exists a differentiable structure on  $\mathcal{I}$  whose restriction to  $\mathcal{I}^+$  (resp.  $\mathcal{I}^-$ ) is compatible with the canonical product structure of  $\mathcal{I}^+$  (resp.  $\mathcal{I}^-$ ).

Let  $\widetilde{\mathcal{F}}$  be a subset of  $\mathcal{F}$  defined by

$$\widetilde{\mathscr{F}} = \mathscr{F} \setminus \{(k, E) =: k > 0 \text{ and } E \in SO(4) \text{ maps}$$
  
the north pole of  $M^3(k)$  to the south pole}.

For each  $(k, E) \in \widetilde{\mathscr{F}}$ , we put

(3.1) 
$$w^{i}(E) = \psi_{k}^{i} \circ E \circ \psi_{k}^{-1}(0) \qquad (i = 1, 2, 3),$$

(3.2) 
$$w^{jl}(E) = \left[ \frac{\partial}{\partial x^l} (\psi_k^j \circ E \circ \psi_k^{-1}) \right] (0) \qquad (j, l = 1, 2, 3),$$

and define a map  $W: \widetilde{\mathcal{J}} \to \mathbb{R}^{13}$  by

$$\mathcal{W}(k, E) = (k, w^{i}(E), w^{jl}(E))_{i, i, l=1, 2, 3} \in \mathbb{R}^{13},$$

where  $\psi_k$   $(k \neq 0)$  is the stereographic projection defined in §1 and  $\psi_0$  is the identity map of  $M^3(0)$ . The map  $\mathscr W$  is injective, since every isometry  $E \in G_k$  is uniquely determined by the data (3.1) and (3.2). Moreover, it is easily verified that the restriction of the map  $\mathscr W|_{\widetilde{\mathscr F}\cap G_k}$  is an embedding for each  $k\in \mathbb R$ .

Now we introduce some terminology. Let  $U \subset \mathbb{R}^7$  be an open subset. Then an immersion  $\varphi: U \to \mathbb{R}^{13}$  is said to be *admissible* if it satisfies (Image of  $\varphi$ )  $\subset$  (Image of  $\mathscr{W}$ ). Then we have the following

**Lemma 3.2.** The image of W has a unique differentiable structure as an embedded submanifold of  $\mathbb{R}^{13}$  such that any admissible immersion induces its local coordinate system.

Theorem 3.1 can now follow easily from Lemma 3.2:

Proof of Theorem 3.1. Since  $W|_{\mathcal{F}^+}$  and  $W|_{\mathcal{F}^-}$  are locally admissible, the differentiable structure on  $\widetilde{\mathcal{F}}$  induced by W is compatible with the canonical product structures of  $\mathcal{F}^+$  and  $\mathcal{F}^-$ . Thus  $\mathcal{F}=\widetilde{\mathcal{F}}\cup\mathcal{F}^+\cup\mathcal{F}^-$  has a differentiable structure stated in the theorem with respect to the topology generated by  $\{\widetilde{\mathcal{F}},\mathcal{F}^+,\mathcal{F}^-\}$ .  $\square$ 

Before we prove Lemma 3.2, we define the following transformations of  $\mathbb{R}^3(k)$ , which may have singular points when k > 0:

$$S_{1}(k, \theta, \tau) \begin{pmatrix} x^{1} \\ x^{2} \\ x^{3} \end{pmatrix} = \mu_{1} \begin{pmatrix} (1 - 4k\tau^{2})^{1/2} & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} x^{1} \\ x^{2} \\ x^{3} \end{pmatrix} + \mu_{1}(1 - kr^{2}) \begin{pmatrix} \tau \\ 0 \\ 0 \end{pmatrix},$$

$$S_{2}(k, \theta, \tau) \begin{pmatrix} x^{1} \\ x^{2} \\ x^{3} \end{pmatrix} = \mu_{2} \begin{pmatrix} \cos \theta & 0 & -\sin \theta \\ 0 & (1 - 4k\tau^{2})^{1/2} & 0 \\ \sin \theta & 0 & \cos \theta \end{pmatrix} \begin{pmatrix} x^{1} \\ x^{2} \\ x^{3} \end{pmatrix} + \mu_{2}(1 - kr^{2}) \begin{pmatrix} 0 \\ \tau \\ 0 \end{pmatrix},$$

$$S_{3}(k, \theta, \tau) \begin{pmatrix} x^{1} \\ x^{2} \\ x^{3} \end{pmatrix} = T_{k}(\theta, \tau)$$

$$= \mu_{3} \begin{pmatrix} 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \\ (1 - 4k\tau^{2})^{1/2} & 0 & 0 \end{pmatrix} \begin{pmatrix} x^{1} \\ x^{2} \\ x^{3} \end{pmatrix} + \mu_{3}(1 - kr^{2}) \begin{pmatrix} 0 \\ \tau \\ 0 \end{pmatrix},$$

where  $|\tau| < 1/2\sqrt{k}$  for k > 0, and

$$\mu_i = \mu_i(k, \theta, \tau, x^1, x^2, x^3)$$
  
=  $2\{-4k\tau x^i + (1 - 4k\tau^2)^{1/2}(1 - kr^2) + (1 + kr^2)\}^{-1}$  (i = 1, 2, 3).

By the same argument as that for  $S_3(k, \theta, \tau) = T_k(\theta, \tau)$  in the previous section, we can show that  $\psi_k^{-1} \circ S_i(k, \theta, \tau) \circ \psi_k \in G_k$  (i = 1, 2). In fact, if k < 0 for instance, the corresponding three matrices in  $G_k$  are given by

$$\psi_k^{-1} \circ S_1(k, \theta, \tau) \circ \psi_k = \begin{pmatrix} \cosh \nu & 0 & 0 & \sinh \nu \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ \sinh \nu & 0 & 0 & \cosh \nu \end{pmatrix},$$

$$\psi_k^{-1} \circ S_2(k, \theta, \tau) \circ \psi_k = \begin{pmatrix} \cos \theta & 0 & -\sin \theta & 0 \\ 0 & \cosh \nu & 0 & \sinh \nu \\ \sin \theta & 0 & \cos \theta & 0 \\ 0 & \sinh \nu & 0 & \cosh \nu \end{pmatrix},$$

$$\psi_k^{-1} \circ S_3(k, \theta, \tau) \circ \psi_k = \begin{pmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & \cosh \nu & \sinh \nu \\ 0 & 0 & \sinh \nu & \cosh \nu \end{pmatrix},$$

where  $\tau = (\sinh \nu)/2\sqrt{|k|}$  (cf. (2.5)).

Using these, we define a smooth map  $h_k : \mathbb{R}^6 \to G_k \ (k \in \mathbb{R})$  by

$$h_{k}(\theta^{1}, \theta^{2}, \theta^{3}, \tau^{1}, \tau^{2}, \tau^{3})$$

$$= \psi_{k}^{-1} \circ S_{1}(k, 0, \tau^{1}) \circ S_{2}(k, 0, \tau^{2}) \circ S_{3}(k, 0, \tau^{3})$$

$$\circ S_{1}(k, \theta^{1}, 0) \circ S_{2}(k, \theta^{2}, 0) \circ S_{3}(k, \theta^{3}, 0) \circ \psi_{k}.$$

Then one can easily verify that  $h_k$  defines locally a diffeomorphism from a neighborhood of the origin onto a neighborhood of the identity.

*Proof of Lemma* 3.2. By the implicit function theorem, it is sufficient to show that for each  $(k, E) \in \mathcal{F}$ , there exists an admissible immersion  $\varphi : U \subset \mathbb{R}^7 \to \mathbb{R}^7$ 

 $\mathbb{R}^{13}$  such that  $(k\,,E)\in (\mathrm{Image\ of}\ \varphi)$ . Since  $\mathscr{W}|_{\mathscr{J}^+}$  and  $\mathscr{W}|_{\mathscr{J}^-}$  are locally admissible, the existence of such a  $\varphi$  is obvious for  $(k\,,E)\in\widetilde{\mathscr{J}}$   $(k\neq 0)$ . Now let  $(0\,,E)\in\widetilde{\mathscr{J}}$ . Then, since  $h_0:\mathbb{R}^6\to G_0$  is surjective, there exists a point  $\mathbf{a}\in\mathbb{R}^6$  such that  $E\in h_0(\mathbf{a})$ . We define a smooth map  $\varphi:\mathbb{R}^7\to\mathbb{R}^{13}$  by

$$\varphi(k, \theta^1, \theta^2, \theta^3, \tau^1, \tau^2, \tau^3) = \mathcal{W}(h_k(\mathbf{a}) \circ h_k(\theta^1, \theta^2, \theta^3, \tau^1, \tau^2, \tau^3)).$$

Since  $\mathcal{W}|_{G_0}$  is an immersion and  $h_0$  is nonsingular at the origin, it is easy to see that the rank of  $\varphi$  at the origin is 7. (We need not calculate the derivative of  $\varphi$  with respect to k because both the domain and the range of  $\varphi$  have the same parameter k.) Thus  $\varphi$  defines an admissible immersion on some neighborhood of the origin such that  $(0, E) \in (\text{Image of } \varphi)$ .

These results can be extended to a higher-dimensional case. In fact, let  $M^n(k)$  be a complete simply connected Riemannian n-manifold of constant sectional curvature k, and  $G_k^{(n)}$  the identity component of its isometry group. Then by the same argument as above a differentiable structure on  $\mathcal{I}^{(n)} = \{(k, E): E \in G_k^{(n)}, k \in \mathbb{R}\}$  can also be introduced. Furthermore, Tasaki-Umehara-Yamada [3] developed these results for symmetric spaces. We apply these results to hypersurfaces in  $M^n(k)$  as follows. Let M be a compact hypersurface of  $M^n(k)$ . Then the induced metric g and the second fundamental form h satisfy the Gauss and Codazzi equations:

$$(Ga_k) R(X, Y, Z, W) = k\{g(X, Z)g(Y, W) - g(X, W)g(Y, Z)\} + h(X, Z)h(Y, W) - h(X, W)h(Y, Z)$$

$$(X, Y, Z, W \in TM),$$

$$(\mathbf{Co}) \qquad (\nabla_X h)(Y, Z) = (\nabla_Y h)(X, Z) \qquad (X, Y, Z \in TM),$$

where  $\nabla$  is the Levi-Civita connection of g and R denotes its curvature tensor. Now, let  $g_k$   $(k \in \mathbb{R})$  be a smooth one-parameter family of Riemannian metrics on a compact (n-1)-manifold M and  $h_k$   $(k \in \mathbb{R})$  a smooth one-parameter family of symmetric 2-tensors such that  $g_k$  and  $h_k$  satisfy  $(\mathbf{Ga}_k)$  and  $(\mathbf{Co})$  for each k. It then follows from the fundamental theorem for hypersurfaces that there exists an immersion  $f_k: \widetilde{M} \to M^n(k)$  whose induced metric and second fundamental form coincide with  $\pi^*g_k$  and  $\pi^*h_k$  respectively, where  $\pi: \widetilde{M} \to M$  is the universal covering of M. Note that each deck transformation T of  $\widetilde{M}$  preserves  $\pi^*g_k$  and  $\pi^*h_k$  and hence T extends to an isometry of  $M^n(k)$  by the rigidity of  $f_k$ . Thus, for each k, we have a representation  $\rho_k: \pi_1(M) \to G_k^{(n)}$ . Then the following holds.

**Proposition 3.3.** The family of the representation

$$\rho_k:\pi_1(M)\to G_k^{(n)}\subset\mathcal{I}^{(n)}\qquad (k\in\mathbb{R}\,)$$

depends smoothly on the parameter k with respect to the differentiable structure of  $\mathcal{J}^{(n)}$ .

*Proof.* We confine our discussion to the case n=3. But the following proof is valid also for the higher-dimensional case. Let  $p \in M$  and choose a reference point  $q_0 \in \pi^{-1}(p)$ . Then each deck transformation T determines uniquely a

point  $q \in \pi^{-1}(p)$  such that  $T(q_0) = q$ . If we normalize  $\psi_k \circ f_k(q_0) = 0$  and take a frame  $(e_1\,,\,e_2)$  of  $(M\,,\,g_k)$  at p, then the isometry  $E_k$  corresponding to T satisfies

$$(3.3) \qquad \widetilde{E}_k(0) = \psi_k \circ f_k(q) \,, \qquad d\widetilde{E}_k(\xi_{q_0}) = \xi_q \,, \\ d(\widetilde{E}_k \circ \psi_k \circ f_k)[(d\pi^{-1})_{q_0}(e_j)] = d(\psi_k \circ f_k)[(d\pi^{-1})_q(e_j)] \quad (j = 1, \, 2) \,,$$

where  $\widetilde{E}_k = \psi_k \circ E_k \circ \psi_k^{-1} : \mathbb{R}^3(k) \to \mathbb{R}^3(k)$  and  $\xi$  is the unit normal vector field of  $f_0$ . Since the coefficients of the Frenet equation with respect to the canonical coordinate system of  $\mathbb{R}^3(k)$  depends smoothly on k, so does  $\psi_k \circ f_k : M \to \mathbb{R}^3(k)$ . Thus (3.3) implies that  $\mathscr{W}(E_k) \in \mathbb{R}^{13}$  is smooth with respect to k. So, by the definition of our differentiable structure of  $\mathscr{I}$ ,  $E_k$  depends smoothly on k.  $\square$ 

## 4. Smoothness of Normal form

Let

and define a closed subset in  $\mathcal{I}$  by

$$(4.1) \mathcal{N} = \mathcal{N}^- \cup \mathcal{N}^0 \cup \mathcal{N}^+.$$

Then for each  $(k, E) \in \widetilde{\mathscr{F}} \setminus \mathscr{N}$  there exists  $(k, P) \in \mathscr{F}$  such that

$$(4.2) P^{-1} \circ E \circ P = T_k(\theta, \tau),$$

where  $T_k(\theta, \tau)$  is the normal form defined in §2. In §1 it was proved that  $\theta$  and  $\tau$  are determined up to  $\mathbb{Z}_2$ -ambiguity. In this section, we will see that locally  $\theta$  and  $\tau$  are smooth functions on  $\widetilde{\mathscr{F}} \backslash \mathscr{N}$  with respect to the differentiable structure defined in §3.

**Theorem 4.1.** Let  $(k, E) \in \widetilde{\mathcal{F}} \setminus \mathcal{N}$ . Then there exists a neighborhood  $U \subset \widetilde{\mathcal{F}} \setminus \mathcal{N}$  of (k, E) such that, by taking suitable branches,  $\theta$  and  $\tau$  in (4.2) are defined as smooth functions on U.

If  $k \neq 0$ , the theorem is obvious. So we may assume k = 0. Since each  $E \in G_0 \backslash \mathscr{N}^0$  is equivalent to a normal form  $T_0(\alpha, \beta)$   $(\alpha, \beta \in \mathbb{R}, \alpha \notin 2\pi \mathbb{Z})$  by (4.2), it is sufficient to prove the following lemma.

**Lemma 4.2.** Let  $\mathcal{U}: \mathbb{R}^7 \to \mathcal{I}$  be a map defined by

$$\mathcal{U}(k\,,\,\theta^1\,,\,\theta^2\,,\,\theta^3\,,\,\tau^1\,,\,\tau^2\,,\,\tau^3) = S_1(k\,,\,\theta^1\,,\,\tau^1) \circ S_2(k\,,\,\theta^2\,,\,\tau^2) \circ T_k(\theta^3\,,\,\tau^3) \\ \circ S_2^{-1}(k\,,\,\theta^2\,,\,\tau^2) \circ S_1^{-1}(k\,,\,\theta^1\,,\,\tau^1).$$

Then the Jacobian of  $\mathscr{U}$  does not vanish at the point  $(0, 0, 0, \alpha, 0, 0, \beta)$   $(\alpha \notin 2\pi \mathbb{Z})$ .

*Proof.* Consider the map  $\mathcal{W} \circ \mathcal{U} : \mathbb{R}^7 \to \mathbb{R}^{13}$  Then

$$\begin{split} \operatorname{rank}(d(\mathcal{W} \circ \mathcal{U})) &= \operatorname{rank} \frac{\partial (k\,,\,w^i\,,\,w^{jl})}{\partial (k\,,\,\theta^1\,,\,\theta^2\,,\,\theta^3\,,\,\tau^1\,,\,\tau^2\,,\,\tau^3)} \\ &= 1 + \operatorname{rank} \left. \frac{\partial (w^i\,,\,w^{jl})}{\partial (\theta^1\,,\,\theta^2\,,\,\theta^3\,,\,\tau^1\,,\,\tau^2\,,\,\tau^3)} \right|_{k=0} \end{split}$$

at the point  $(0, 0, 0, \alpha, 0, 0, \beta)$ . By a straightforward calculation, the derivatives on the right-hand side are given by

$$dw^{1} = (0, -\beta, 0, 0, 1 - \cos \alpha, \sin \alpha),$$

$$dw^{2} = (-\beta, 0, 0, 0, -\sin \alpha, 1 - \cos \alpha),$$

$$dw^{3} = (\beta, \beta, 0, 2\beta, 2\beta, 1),$$

$$dw^{11} = (0, 0, -\sin \alpha, 2\cos \alpha, 2\cos \alpha, 0),$$

$$dw^{21} = (0, 0, \cos \alpha, 2\sin \alpha, 2\sin \alpha, 0),$$

$$dw^{13} = (-\sin \alpha, -1 + \cos \alpha, 0, 0, 0, 0),$$

$$dw^{23} = (-1 + \cos \alpha, -\sin \alpha, 0, 0, 0, 0, 0),$$

which yield

$$\begin{split} \det \left\{ \frac{\partial (w^1, w^2, w^3, w^{11}, w^{13}, w^{23})}{\partial (\theta^1, \theta^2, \theta^3, \tau^1, \tau^2, \tau^3)} \right\} &= 8 \sin \alpha (1 - \cos \alpha)^2, \\ \det \left\{ \frac{\partial (w^1, w^2, w^3, w^{21}, w^{13}, w^{23})}{\partial (\theta^1, \theta^2, \theta^3, \tau^1, \tau^2, \tau^3)} \right\} &= -8 \cos \alpha (1 - \cos \alpha)^2. \end{split}$$

Hence,  $d\mathcal{U}$  is nondegenerate at  $(0, 0, 0, \alpha, 0, 0, \beta)$ , since  $\alpha \notin 2\pi \mathbb{Z}$ .  $\square$ 

By Theorem 4.1, we may regard  $\theta$  and  $\tau$  as globally defined functions  $\theta$ :  $\widetilde{\mathcal{F}} \setminus \mathcal{N} \to \mathbb{R} / 2\pi \mathbb{Z}$  and  $\tau : \widetilde{\mathcal{F}} \setminus \mathcal{N} \to \mathbb{R}$ .

## 5. Deformation of the immersion

Let  $\Omega(a_0, b_0) = (-a_0, a_0) \times (-b_0, b_0)$  be a rectangular domain of  $\mathbb{R}^2$ . Then the Dirichlet problem of the sinh-Gordon equation

$$(5.1) \Delta\omega + \cosh\omega \sinh\omega = 0$$

on  $\Omega(a_0, b_0)$  has a unique positive solution  $\omega_0$  if  $a_0^{-2} + b_0^{-2} > 4\pi^{-2}$  [7, 1, 2]. By the odd reflections about  $\partial\Omega(a_0, b_0)$ , this solution can be extended to a doubly periodic solution  $\widetilde{\omega}_0$  of (5.1), which has a rectangular fundamental domain. To get solutions with twisted fundamental domain, we can perturb  $\widetilde{\omega}_0$  in the following fashion.

**Lemma 5.1** [6, Theorem 1]. For sufficiently small  $a_0$ ,  $b_0 > 0$ , there exist a neighborhood U of  $(a_0, b_0, 0) \in \mathbb{R}^3$  and a smooth function  $\omega(u, v; a, b, c)$  on  $\mathbb{R}^2 \times U$  which satisfy the following conditions:

- (1) For each  $(a, b, c) \in U$ ,  $\omega(u, v; a, b, c)$  is a solution of (5.1) on  $\mathbb{R}^2$
- (2) Let  $\mathbf{p}_1 = (2a, 0)$  and  $\mathbf{p}_2 = (2c, 2b)$ . Then

(5.2) 
$$\omega(\mathbf{u} + \mathbf{p}_1; \mathbf{a}) = \omega(\mathbf{u} + \mathbf{p}_2; \mathbf{a}) = \omega(-\mathbf{u}; \mathbf{a}) = -\omega(\mathbf{u}; \mathbf{a}),$$
where  $\mathbf{u} = (u, v)$  and  $\mathbf{a} = (a, b, c)$ .

(3)  $\omega(u, v; a_0, b_0, 0) = \widetilde{\omega}_0(u, v)$ .

Let  $\omega(u, v) = \omega(u, v; a, b, c)$  be a doubly periodic solution determined as above. Define the first fundamental form  $ds^2$  by

(5.3) 
$$ds^2 = \frac{e^{2\omega}}{4(H^2 + k)}(du^2 + dv^2),$$

and the second fundamental form  $h = h_{11}du^2 + 2h_{12}du\,dv + h_{22}dv^2$  by

(5.4) 
$$h_{11} = \frac{He^{2\omega}}{4(H^2 + k)} - \frac{\cos 2\beta}{4(H^2 + k)^{1/2}},$$

$$h_{12} = -\frac{\sin 2\beta}{4(H^2 + k)^{1/2}},$$

$$h_{22} = \frac{He^{2\omega}}{4(H^2 + k)} + \frac{\cos 2\beta}{4(H^2 + k)^{1/2}}.$$

Then it is not hard to see that for  $H \equiv 1/2$  and k > -1/4,  $ds^2$  and h satisfy the Gauss and Codazzi equations in  $\mathbb{R}^3(k)$ . Hence, by the fundamental theorem for surfaces, they determine, up to an isometry of  $\mathbb{R}^3(k)$ , an isometric immersion  $f_k = f_k(a, b, c, \beta) \colon (\mathbb{R}^2, ds^2) \to \mathbb{R}^3(k)$  with constant mean curvature  $H \equiv 1/2$ . Since the Frenet equation of  $f_k$  with respect to the canonical coordinates on  $\mathbb{R}^3(k)$  depends smoothly on k, the immersion  $f_k(a, b, c, \beta) \colon \mathbb{R}^2 \to \mathbb{R}^3(k)$  also depends smoothly on the parameters  $a, b, c, \beta$ , and k.

Since  $\omega$  has the doubly periodicity condition (5.2), there exist motions  $E_i = E_i(k, a, b, c, \beta)$  (i = 1, 2) of  $\mathbb{R}^3(k)$  such that

(5.5) 
$$f_k(\mathbf{u} + 2\mathbf{p}_i; a, b, c, \beta) = E_i \circ f_k(\mathbf{u}; a, b, c, \beta)$$
  $(i = 1, 2).$ 

It follows from Proposition 3.3 that  $E_i(k, a, b, c, \beta)$  (i = 1, 2) are smooth with respect to the parameters  $a, b, c, \beta$ , and k.

Properties of the immersions  $f_k = f_k(a, b, c, \beta)$  at k = 0 are carefully analyzed by Wente [8], in which those of the form  $f_0(a, b, 0, 0)$   $(a^{-2} + b^{-2} > 4\pi^{-2})$  whose images are compact are called *symmetric examples*. The existence of symmetric examples has been shown in Wente [7], Abresch [1], and Walter [5]. Now we assume that  $f_0(a_0, b_0, 0, 0)$  yields a symmetric example. Then we may put

$$E_1(0, a_0, b_0, 0, 0) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

$$E_2(0, a_0, b_0, 0, 0) = \begin{pmatrix} \cos \alpha & -\sin \alpha & 0 \\ \sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

where  $\pi < \alpha < 2\pi$  and  $\alpha \in 2\pi \mathbb{Q}$  (see [1, 8]).

Note that, since  $E_1(0, a_0, b_0, 0, 0) \in \mathcal{N}$ , Theorem 4.1 cannot apply directly. So we change a generator  $\mathbf{p}_1$  of the lattice  $\Gamma = {\mathbf{p}_1, \mathbf{p}_2}$  for

$$p_3 = p_1 + p_2$$

Let

$$E_3(k, a, b, c, \beta) = E_1(k, a, b, c, \beta) \circ E_2(k, a, b, c, \beta).$$

Then it is obvious that

(5.6) 
$$f_k(\mathbf{u} + 2\mathbf{p}_3; a, b, c, \beta) = E_3 \circ f_k(\mathbf{u}; a, b, c, \beta).$$

Now we prove our main result:

**Theorem 5.2.** Let  $T^2$  be a compact 2-manifold with genus 1. Then for sufficiently small  $\varepsilon > 0$ , there exists a 1-parameter family of immersions  $f_k : T^2 \to \mathbb{R}^3(k)$  ( $|k| < \varepsilon$ ) with constant mean curvature  $H \equiv 1/2$ .

*Proof.* Using Theorem 4.1, we can define smooth functions  $\tilde{\theta}_i$  and  $\tilde{\tau}_i$  (i = 2, 3) on some neighborhood of  $(0, a_0, b_0, 0, 0) \in \mathbb{R}^5$  by

$$\tilde{\theta}_{i}(k, a, b, c, \beta) = \theta(E_{i}(k, a, b, c, \beta)) 
\tilde{\tau}_{i}(k, a, b, c, \beta) = \tau(E_{i}(k, a, b, c, \beta)) 
(i = 2, 3).$$

Thus, to prove the theorem, it suffices to show that the set

$$\{(k, a, b, c, \beta) \in U : \tilde{\theta}_i(k, a, b, c, \beta) \equiv \alpha \in 2\pi \mathbb{Q}$$
  
and  $\tilde{\tau}_i(k, a, b, c, \beta) = 0 \ (i = 2, 3)\}$ 

defines a regular curve with respect to k through the point  $(0, a_0, b_0, 0, 0)$ . To see this, we define a map  $\varphi: U \to \mathbb{R}^5$  by

$$\varphi(k, a, b, c, \beta) = (k, \tilde{\theta}_2, \tilde{\theta}_3, \tilde{\tau}_2, \tilde{\tau}_3).$$

In [8] Wente introduced functions  $\theta_1$ ,  $\theta_2$ ,  $\tau_1$ , and  $\tau_2$  of variables a, b, c, and  $\beta$  in such a way that  $E_i(a,b,c,\beta)$  (i=1,2) are equivalent to  $T_0(\theta_i,\tau_i)$ , for which he showed that

(5.7) 
$$\det \left\{ \frac{\partial (\theta_1, \theta_2, \tau_1, \tau_2)}{\partial (a, b, c, \beta)} \right\} \neq 0$$

at  $(a_0, b_0, 0, 0)$ . It is easily verified that these functions are related to  $\tilde{\theta}_2$ ,  $\tilde{\theta}_3$ ,  $\tilde{\tau}_2$  and  $\tilde{\tau}_3$  by

$$\begin{split} \tilde{\theta}_2(0, \, a, \, b, \, c, \, \beta) &= \theta_2(a, \, b, \, c, \, \beta), \\ \tilde{\theta}_3(0, \, a, \, b, \, c, \, \beta) &= \theta_1(a, \, b, \, c, \, \beta) + \theta_2(a, \, b, \, c, \, \beta), \\ \tilde{\tau}_2(0, \, a, \, b, \, c, \, \beta) &= \tau_2(a, \, b, \, c, \, \beta), \\ \tilde{\tau}_3(0, \, a, \, b, \, c, \, \beta) &= \tau_1(a, \, b, \, c, \, \beta) + \tau_2(a, \, b, \, c, \, \beta). \end{split}$$

Hence we have from (5.7)

$$\begin{aligned} \operatorname{rank}(d\varphi) &= \operatorname{rank}\left\{\frac{\partial(k\,,\,\tilde{\theta}_{2}\,,\,\tilde{\theta}_{3}\,,\,\tilde{\tau}_{2}\,,\,\tilde{\tau}_{3})}{\partial(k\,,\,a\,,\,b\,,\,c\,,\,\beta)}\right\} \\ &= 1 + \operatorname{rank}\left\{\frac{\partial(\tilde{\theta}_{2}\,,\,\tilde{\theta}_{3}\,,\,\tilde{\tau}_{2}\,,\,\tilde{\tau}_{3})}{\partial(a\,,\,b\,,\,c\,,\,\beta)}\bigg|_{k=0}\right\} \\ &= 1 + \operatorname{rank}\left\{\frac{\partial(\theta_{1}\,,\,\theta_{2}\,,\,\tau_{1}\,,\,\tau_{2})}{\partial(a\,,\,b\,,\,c\,,\,\beta)}\right\} = 5 \end{aligned}$$

at  $(0, a_0, b_0, 0, 0)$ . Thus  $\varphi^{-1}(k, \alpha, 0, \alpha, 0)$  determines a regular curve on some small neighborhood of  $(0, a_0, b_0, 0, 0) \in U$ .  $\square$ 

**Corollary 5.3.** Any open subset of the 3-sphere or the hyperbolic 3-space contains a torus with constant mean curvature.

*Proof.* Let  $\{f_k: T^2 \to \mathbb{R}^3(k)\}_{|k| < \varepsilon}$  be as in Theorem 5.2. Then, for sufficiently small  $\varepsilon$ , the images  $\{f_k(T^2)\}_{|k| < \varepsilon}$  are uniformly bounded. Namely,  $f_k(T^2)$  is contained in the ball of radius a with respect to  $g_k$  for each  $k \in (-\varepsilon, \varepsilon)$ , where a > 0 is a universal constant.

Assume k < 0 and define

$$\tilde{f} = \sqrt{|k|} \cdot (\psi_k^{-1} \circ f_k) : T^2 \to M^3(-1),$$

where  $\psi_k$  is the stereographic projection (2.1) and  $\cdot$  is the scalar multiplication in  $M^3(k) \subset \mathbb{L}^4$ . Then  $\tilde{f}$  gives an immersion of  $T^2$  into the hyperbolic 3-space  $M^3(-1)$  with constant mean curvature  $1/2\sqrt{|k|}$ . Moreover,  $\tilde{f}(T^2)$  is contained in the ball of radius  $\sqrt{|k|} a$  in  $M^3(-1)$ , since  $f_k(T^2)$  is bounded by the ball with radius a.

Hence, taking a sufficiently small k < 0, we can find an immersion of  $T^2$  with constant mean curvature into the hyperbolic 3-space with sufficiently small radius.

Similarly, assuming k > 0, we have the conclusion for the 3-sphere.  $\Box$ 

By using (5.7), the existence of nonholomorphic harmonic maps of tori generated by any lattice into the unit sphere has been shown in Umehara-Yamada [4], which is based on the fact that Gauss maps of surfaces with constant mean curvature in  $\mathbb{R}^3(0)$  are harmonic.

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