THE GROUP OF GALOIS EXTENSIONS OVER ORDERS IN KC_{p^2}

ROBERT UNDERWOOD

ABSTRACT. In this paper we characterize all *Galois extensions* over H where H is an arbitrary R -Hopf order in KC_{p^2} . We conclude that the abelian group of H -Galois extensions is isomorphic to a certain quotient of units groups in $R \times R$. This result generalizes the classification of H -Galois extensions, where $H \subset KC_p$, due to Roberts, and also to Hurley and Greither.

INTRODUCTION

Let K be a finite extension of the p -adic rationals $\mathbf{Q}_p$ endowed with the p -adic valuation ν with $\nu(p) = 1$. Let R be the integral closure of $\mathbf{Z}_p$ in K and let H be an arbitrary R -Hopf algebra order in KC_{p^2} . We assume that R contains ζ , a primitive p^2 nd root of unity; thus the linear dual $H^* = \text{Hom}_R(H, R)$ is an R -Hopf algebra order in KC_{p^2} . In this paper we characterize all *Galois extensions* over H , and hence, all *Galois algebras* over H^* . We conclude that the abelian group of H -Galois extensions is isomorphic to a certain quotient of units groups in $R \times R$. This result generalizes the classification of H -Galois extensions, where $H \subset KC_p$, due to Roberts [R, Thm. 1], and also found in [H, Thm 4.9] and [G, Prop. II.2.1].

1. DEFINITIONS AND PRELIMINARIES

Let C_{p^2} denote the cyclic group of order p^2 with generator g . Then the group ring KC_{p^2} can be endowed with the structure of a K -Hopf algebra, with Δ , ϵ , and σ denoting the co-multiplication, co-unit, and antipode maps. An R -Hopf algebra order in KC_{p^2} is an R -Hopf algebra H which is a finitely generated projective R module satisfying

$$H \otimes_R K \cong KC_{p^2}$$

as K -Hopf algebras. Note that as a finitely generated module over a local ring R , a Hopf algebra order in KC_{p^2} is free over R of rank p^2 .

The structure of R -Hopf algebra orders in KC_{p^2} has been determined by C. Greither in [G, Cor. 3.6], and this author in [U2, Main Theorem]. For an arbitrary R -Hopf algebra order H in KC_{p^2} , we have that

$$H = A_v(s, r) = R \left[\frac{g^p - 1}{x_s}, \frac{g - a_v}{x_r} \right], \quad \langle g \rangle = C_{p^2}.$$

Received by the editors June 9, 1995.

1991 *Mathematics Subject Classification*. Primary 14L15, 16W30, 13B02; Secondary 13B25, 11Sxx.

Here x_s, x_r denote elements in R of value s, r respectively. The quantity a_v is an element in $R \left[\frac{g^p - 1}{x_s} \right]$ of the form $a_v = \sum_{i=0}^{p-1} v^i e_i$, where v is a certain unit in R and the e_i are the idempotents for the maximal integral order in KC_p (for details see [G, Cor. 3.6], [U2, §1.2], [U3, §3.1]). Moreover, it is not difficult to show that the algebra generator $\frac{g^p - 1}{x_s}$ is a root of the monic polynomial

$$p(X) = x_{-ps}((1 + x_s X)^p - 1)$$

of degree p with coefficients in R , and that the generator $\frac{g - a_v}{x_r}$ is a root of the monic polynomial

$$q(Y) = x_{-pr}((a_v + x_r Y)^p - g^p)$$

of degree p with coefficients in $R \left[\frac{g^p - 1}{x_s} \right]$. Hence an R basis for H consists of

$$\left\{ \left(\frac{g^p - 1}{x_s} \right)^i \left(\frac{g - a_v}{x_r} \right)^j \right\},$$

for $i, j = 0, \dots, p-1$.

For $\nu(1-v)$ sufficiently large we can assume that $v = 1$; thus $a_v = 1$. In this case the R -Hopf order $A_1(s, r)$ can be written as the *Larson order*

$$H(s, r) = R \left[\frac{g^p - 1}{x_s}, \frac{g - 1}{x_r} \right].$$

Necessarily, we must have $pr \leq s$ (cf. [U2, §1.2]). As before, the algebra generator $\frac{g^p - 1}{x_s}$ is a root of the monic polynomial

$$p(X) = x_{-ps}((1 + x_s X)^p - 1)$$

of degree p with coefficients in R , and the generator $\frac{g - 1}{x_r}$ is a root of the monic polynomial

$$q(Y) = x_{-pr}((1 + x_r Y)^p - g^p)$$

of degree p with coefficients in $R \left[\frac{g^p - 1}{x_s} \right]$. It follows that an R basis for the Larson order $H(s, r)$ consists of

$$\left\{ \left(\frac{g^p - 1}{x_s} \right)^i \left(\frac{g - 1}{x_r} \right)^j \right\},$$

for $i, j = 0, \dots, p-1$.

Let $H = A_v(s, r)$ be an arbitrary order in KC_{p^2} . Since we supposed that $\zeta \in R$, the linear dual $H^* = \text{Hom}_R(H, R)$ inherits the structure of a Hopf algebra order in KC_{p^2} of the form

$$H^* = A_{v'}(r', s') \cong R \left[\frac{g^p - 1}{x_{r'}}, \frac{g - a_{v'}}{x_{s'}} \right]$$

where $r' = \frac{1}{p-1} - r$, $s' = \frac{1}{p-1} - s$, and $v' = 1 + \zeta - v$ (cf. [G, Remark 3.12], [U3, Thm 3.1.0]). We note that for the dual pair $A_v(s, r)$ and $A_{v'}(r', s')$ we have either $pr \leq s$ or $ps' \leq r'$ (cf. [U2, Thms. 2.4, 2.5]).

In general, an arbitrary order in KC_{p^2} is either a Larson order $H(s, r)$, or a non-Larson order of the form $A_v(s, r)$.

Again, let H denote an arbitrary R -Hopf order in KC_{p^2} .

Definition 1.0. An H -Galois extension of R is a finitely generated projective R -algebra S together with an R -algebra map

$$\alpha : S \rightarrow S \otimes H$$

satisfying the conditions

$$(\alpha \otimes 1)\alpha = (1 \otimes \Delta)\alpha,$$

$$(1 \otimes \epsilon)\alpha = Id_S,$$

with the map

$$\gamma : S \otimes S \rightarrow S \otimes H,$$

given by

$$\gamma(s \otimes t) = \sum_{(t)} st_{(1)} \otimes t_{(2)}$$

an isomorphism. Here we employ the Sweedler-like notation $\alpha(t) = \sum_{(t)} t_{(1)} \otimes t_{(2)}$,

where $t_{(1)} \in S$, $t_{(2)} \in H$.

Definition 1.1. A finitely generated projective R -algebra S is an H -Galois algebra if there exists an H -module map

$$\beta : H \otimes S \rightarrow S,$$

satisfying

$$\beta(h \otimes 1) = \epsilon(h),$$

$$\beta(h \otimes xy) = \sum_{(h)} \beta(h_{(1)} \otimes x) \cdot \beta(h_{(2)} \otimes y),$$

with the map

$$H \otimes S \rightarrow \text{End}_R(S), \quad h \otimes x \mapsto (y \mapsto x \cdot \beta(h \otimes y)),$$

an isomorphism.

We note that S is an H -Galois extension if and only if S is an H^* -Galois algebra (cf. [C, §1]).

Our goal in this paper is to characterize all H -Galois extensions S , where H is an arbitrary R -Hopf algebra order in KC_{p^2} . Note that S. Hurley, [H], C. Greither, [G], and L. Roberts [R] all provide classifications of H -Galois extensions when H is an arbitrary “Tate-Oort” order in KC_p . Our methods here generalize those of [G] and [R]. We realize that every R -Hopf order H in KC_{p^2} will give rise to a finite group scheme $Sp_R H = \text{Hom}_{R\text{-alg}}(H, \quad)$ of order p^2 . Moreover, the cohomology group $H^1(R, Sp_R H)$ can be identified with the collection of H -Galois extensions, up to H -comodule isomorphism. In other parlance, the group $H^1(R, Sp_R H)$ corresponds to isomorphism classes of *principal homogeneous spaces for $Sp_R H$ over the base scheme $Sp_R R$* , and the affine algebras of these principal homogeneous spaces give rise to our H -Galois extensions. (Cf. [G, Intro.] and [M1, Ch.III, § 4].)

Our plan is to calculate $H^1(R, Sp_R H)$ and then give the algebraic structure of the corresponding H -Galois extensions. Our first step is to involve $Sp_R H$ in a short exact sequence of group schemes. Specializing to the case where H is a Larson order $H(s, r)$, we first resolve $SpH(s, r)$ and then compute $H^1(R, SpH(s, r))$. Next we consider the class of non-Larson orders in KC_{p^2} , of the form $A_v(s, r)$. We then give a resolution of $SpA_v(s, r)$, and compute $H^1(R, SpA_v(s, r))$.

2. A RESOLUTION OF $Sp_R(H(s, r))$

To resolve $Sp_R(H(s, r))$, we first need to define certain abelian group functors $\mathbf{E}_{s,r}$ and $\mathbf{E}_{ps,pr}$. We first define $\mathbf{E}_{s,r}$. Let A be a commutative R -algebra, and let $G_{s,r}(A)$ be the subset of $U(A) \times U(A)$ defined

$$G_{s,r}(A) = \{(u_0, u_1) \in U(A) \times U(A) \mid u_0 \equiv 1 \pmod{x_s} \text{ and } u_1 \equiv 1 \pmod{x_r}\}.$$

Here $a \equiv b \pmod{x_s}$ if and only if $a - b \in x_s A$. One easily checks that $G_{s,r}(A)$ forms a subgroup of $U(A) \times U(A)$ under coordinatwise multiplication. Moreover, for each element $(u_0, u_1) \in G_{s,r}(A)$ there is a pair $(w_{u_0}, w_{u_1}) \in A \times A$ with

$$u_0 = 1 + x_s w_{u_0} \quad \text{and} \quad u_1 = 1 + x_r w_{u_1}.$$

With this in mind, we define a subset $\mathbf{E}_{s,r}(A)$ of $A \times A$ as follows:

$$\mathbf{E}_{s,r}(A) = \left\{ (w_{u_0}, w_{u_1}) \in A \times A \mid w_{u_0} = \frac{u_0 - 1}{x_s} \text{ and } w_{u_1} = \frac{u_1 - 1}{x_r} \right\},$$

for some $(u_0, u_1) \in G_{s,r}(A)$.

By construction, we have a bijection of sets

$$\rho : \mathbf{E}_{s,r}(A) \rightarrow G_{s,r}(A), \quad \rho(w_{u_0}, w_{u_1}) = (u_0, u_1).$$

In fact, we can put a group structure on $\mathbf{E}_{s,r}(A)$ so that ρ is an isomorphism of abelian groups. For two elements $(w_{u_0}, w_{u_1}), (w_{v_0}, w_{v_1}) \in A \times A$ we simply define an operation

$$(w_{u_0}, w_{u_1}) * (w_{v_0}, w_{v_1}) = (w_{u_0 v_0}, w_{u_1 v_1}),$$

induced from the group structure of $G_{s,r}(A)$. We realize that $\mathbf{E}_{s,r}$ is a group functor from the category of commutative R -algebras to the category of abelian groups and is representable by the R -Hopf algebra

$$B = R[T_0, T_1, (1 + x_s T_0)^{-1}, (1 + x_r T_1)^{-1}],$$

where T_0, T_1 are indeterminates. Comultiplication in B is the unique R -algebra map $\Delta : B \rightarrow B \otimes B$ which makes the elements $1 + x_s T_0, 1 + x_r T_1$ grouplike.

In a similar manner we define the abelian group functor $\mathbf{E}_{ps,pr}$. Let A be any commutative R -algebra, and let

$$\mathbf{E}_{ps,pr}(A) = \left\{ (w_{u_0}, w_{u_1}) \in A \times A \mid w_{u_0} = \frac{u_0 - 1}{x_{ps}}, w_{u_1} = \frac{u_1 - 1}{x_{pr}} \right\},$$

for some $(u_0, u_1) \in G_{ps,pr}(A)$ with

$$G_{ps,pr}(A) = \{(u_0, u_1) \in U(A) \times U(A) \mid u_0 \equiv 1 \pmod{x_{ps}}, u_1 \equiv 1 \pmod{x_{pr}}\}.$$

We see that $\mathbf{E}_{ps,pr}$ is a group functor from the category of commutative R -algebras to the category of abelian groups, and is represented by the R -Hopf algebra

$$R[T_0, T_1, (1 + x_{ps} T_0)^{-1}, (1 + x_{pr} T_1)^{-1}],$$

with indeterminates T_0, T_1 .

Theorem 2.0. *There is an epimorphism of flat sheaves $\Theta : \mathbf{E}_{s,r} \rightarrow \mathbf{E}_{ps,pr}$ whose kernel is the group scheme represented by the R -Hopf algebra $H(s, r)$.*

Proof. Let Θ be the morphism on $\mathbf{E}_{s,r}$ defined

$$\Theta(A)((w_{u_0}, w_{u_1})) = (p(w_{u_0}), (1 + x_s w_{u_0})^{-1} q(w_{u_0}, w_{u_1})),$$

with

$$p(T_0) = x_{-ps}((1 + x_s T_0)^p - 1),$$

$$q(T_0, T_1) = x_{-pr}((1 + x_r T_1)^p - (1 + x_s T_0)).$$

We first show that for an R -algebras A ,

$$\Theta(A)(\mathbf{E}_{s,r}(A)) \subseteq \mathbf{E}_{ps,pr}(A).$$

Let $(w_{u_0}, w_{u_1}) \in \mathbf{E}_{s,r}(A)$. Then

$$\Theta(A)(w_{u_0}, w_{u_1}) = \Theta(A)\left(\frac{u_0 - 1}{x_s}, \frac{u_1 - 1}{x_r}\right) = \left(\frac{u_0^p - 1}{x_{ps}}, \frac{u_0^{-1}u_1^p - 1}{x_{pr}}\right).$$

Now since $s \leq \frac{1}{p-1}$ and $pr \leq s$,

$$\frac{u_0 - 1}{x_s} \in A \implies \frac{u_0^p - 1}{x_{ps}} \in A,$$

and

$$\frac{u_1 - 1}{x_r} \in A \implies \frac{u_1^p - 1}{x_{pr}} \in A \implies \frac{u_0^{-1}u_1^p - 1}{x_{pr}} \in A.$$

We next show that Θ is an epimorphism of R -group schemes in the flat topology. For an R -algebra A , let $(A \rightarrow A_i)_i$ be any flat covering of A . (We have identified affine open sets with their representing algebras, cf. [U1, Ch.2], [M1, pp. 46-66].) For $(a, b) \in \mathbf{E}_{ps,pr}(A)$, let (a_i, b_i) be the image of (a, b) under the induced maps

$$\mathbf{E}_{ps,pr}(A) \rightarrow \mathbf{E}_{ps,pr}(A_i).$$

Now form the A_i -algebras

$$A'_i = A_i[T_0, T_1, (1 + x_s T_0)^{-1}, (1 + x_r T_1)^{-1}] / \langle p(T_0) - a_i, (1 + x_s T_0)^{-1} q(T_0, T_1) - b_i \rangle.$$

By §1, $p(T_0) - a_i$ is monic of degree p with coefficients in A_i and $q(T_0, T_1)$ is monic, degree p in T_1 with coefficients in $A_i[T_0, (1 + x_s T_0)^{-1}]$. It follows that the ideal generated by the coefficients of $(1 + x_s T_0)^{-1} q(T_0, T_1) - b_i \in A_i[T_0, (1 + x_s T_0)^{-1}][T_1]$ is all of $A_i[T_0, (1 + x_s T_0)^{-1}]$. Thus by [M1, p. 10, Remark 2.6], each map $A_i \rightarrow A'_i$ is flat, and hence *faithfully* flat by [M1, Prop. 2.7]. Thus $(A \rightarrow A'_i)_i$ is a flat covering of A .

Now let x_i, y_i denote the images of T_0, T_1 respectively under the canonical map

$$A_i[T_0, T_1, (1 + x_s T_0)^{-1}, (1 + x_r T_1)^{-1}] \rightarrow A'_i.$$

Then $(x_i, y_i) \in \mathbf{E}_{s,r}(A'_i)$ with

$$\Theta(A'_i)((x_i, y_i)) = (a_i, b_i) = \text{res}_{A, A'_i}((a, b))$$

for all i , where $\text{res}_{A, A'_i}((a, b))$ is the image of (a, b) under the induced maps

$$\mathbf{E}_{ps,pr}(A) \rightarrow \mathbf{E}_{ps,pr}(A'_i);$$

hence Θ is an epimorphism of flat sheaves (group schemes) by [M1, p. 63, Thm. 2.15(c)].

We also have a canonical surjection of R -algebras:

$$R[T_0, (1 + x_s T_0)^{-1}, T_1, (1 + x_r T_1)^{-1}] \longrightarrow \\ R[T_0, (1 + x_s T_0)^{-1}, T_1, (1 + x_r T_1)^{-1}] / \langle p(T_0), (1 + x_s T_0)^{-1} q(T_0, T_1) \rangle \cong H(s, r),$$

with $\overline{T}_0, \overline{T}_1$ identified with $\frac{g^p - 1}{x_s}$ and $\frac{g - 1}{x_r}$, respectively. Thus $\ker \Theta = Sp_R(H(s, r))$. $\square$

It follows that the resulting short exact sequence of R -group schemes

$$Sp_R(H(s, r)) \rightarrow \mathbf{E}_{s,r} \xrightarrow{\Theta} \mathbf{E}_{ps,pr}$$

is a resolution of the group scheme SpH where H is an arbitrary Larson order in KC_{p^2} .

We are now in a position to prove our main theorem.

Theorem 2.1. *Let $H = H(s, r)$ be an arbitrary Larson order in KC_{p^2} . Then the abelian group of H -Galois extensions is isomorphic to the quotient group*

$$\mathbf{E}_{ps,pr}(R) / \mathbf{E}_{s,r}^\Theta(R),$$

where the class $[(w_{u_0}, w_{u_1})]$ corresponds to an H -Galois extension

$$S = R \left[\frac{v_0 - 1}{x_s}, \frac{v_1 - 1}{x_r} \right],$$

where $v_0^p = u_0, v_1^p = v_0 u_1$. The comodule map $\rho : S \rightarrow S \otimes H(s, r)$ is given by

$$\rho : v_0 \mapsto v_0 \otimes g^p, \quad \rho : v_1 \mapsto v_1 \otimes g.$$

Proof. Using the given resolution of $SpH(s, r)$, we employ the long exact sequence in cohomology yielding

$$H^0(R, SpH(s, r)) \longrightarrow H^0(R, \mathbf{E}_{s,r}) \longrightarrow H^0(R, \mathbf{E}_{ps,pr}) \\ \longrightarrow H^1(R, SpH(s, r)) \longrightarrow H^1(R, \mathbf{E}_{s,r}) \longrightarrow H^1(R, \mathbf{E}_{ps,pr}) \longrightarrow \cdots$$

Note that

$$H^0(R, \mathbf{E}_{s,r}) = \mathbf{E}_{s,r}(R)$$

and

$$H^0(R, \mathbf{E}_{ps,pr}) = \mathbf{E}_{ps,pr}(R);$$

hence we have an exact sequence

$$\mathbf{E}_{s,r}(R) \longrightarrow \mathbf{E}_{ps,pr}(R) \longrightarrow H^1(R, SpH(s, r)) \longrightarrow H^1(R, \mathbf{E}_{s,r}).$$

We claim that the last term $H^1(R, \mathbf{E}_{s,r})$ is trivial. To this end suppose not, and let S be a nontrivial B -Galois extension with structure map ρ . (Recall that B is the representing algebra of $\mathbf{E}_{s,r}$.) By [G, Lemma II.1.6],

$$\{x \in S \mid \rho(x) \in S \otimes_R R[T_0, (1 + x_s T_0)^{-1}]\}$$

is a non-trivial $R[T_0, (1 + x_s T_0)^{-1}]$ -Galois extension, contradicting [G, Proposition I.2.2], proving our claim.

Now with $H^1(R, \mathbf{E}_{s,r}) = 0$ we write the exact sequence

$$\mathbf{E}_{s,r}(R) \xrightarrow{\Theta} \mathbf{E}_{ps,pr}(R) \longrightarrow H^1(R, SpH(s, r)).$$

It follows that

$$\mathbf{E}_{ps,pr}(R)/\mathbf{E}_{s,r}^\Theta(R) \cong H^1(R, SpH(s, r)).$$

Given an element $(w_{u_0}, w_{u_1}) \in \mathbf{E}_{ps,pr}(R)$ we construct the corresponding H -Galois extension S by constructing the image of (w_{u_0}, w_{u_1}) under the connecting homomorphism

$$\delta : \mathbf{E}_{ps,pr}(R) \longrightarrow H^1(R, Sp(H(s, r))).$$

Following the standard description of δ (cf. [CF, p.97], [Gi, Ch. III]), we recall our surjection in the flat topology

$$\Theta : \mathbf{E}_{s,r} \longrightarrow \mathbf{E}_{ps,pr}.$$

There exists a finite extension L/K , with ring extension $A = O_L/R$ and an element $(w_{v_0}, w_{v_1}) \in \mathbf{E}_{s,r}(A)$ so that

$$\Theta(A)(w_{v_0}, w_{v_1}) = (w_{u_0}, w_{u_1}).$$

In other words, the element (v_0, v_1) satisfies

$$v_0^p = u_0, \quad v_0^{-1}v_1^p = u_1.$$

We now let $\delta(w_{u_0}, w_{u_1})$ correspond to the pair (v_0, v_1) , forming the R -algebra

$$S = R \left[\frac{v_0 - 1}{x_s}, \frac{v_1 - 1}{x_r} \right].$$

We claim that S is an H -Galois extension contained in $O_L \subset L$. It is immediate that S is finitely generated because by construction the generators $w_{v_0} = \frac{v_0 - 1}{x_s}$ and $w_{v_1} = \frac{v_1 - 1}{x_r}$ are in A . One can check by hand that ρ is a comodule map, so it remains to show that ρ induces a bijection

$$\gamma_\rho : S \otimes S \longrightarrow S \otimes H.$$

Viewing the situation over K , we have that

$$S \otimes K = K(v_0, v_1) = K(\sqrt[p]{u_0}, \sqrt[p]{u_1 \sqrt[p]{u_0}}) = K(\sqrt[p^2]{u_0 u_1^p}) = K(v_1).$$

It follows that $S \otimes K$ is a KC_{p^2} -Galois extension with comodule map $\rho \otimes K$, by L. Robert's isomorphism

$$U(K)/U(K)^{p^2} \cong H^1(K, \mu_{p^2, K})$$

which computes all KC_{p^2} -Galois extensions, cf. [R, p. 693]. Now since

$$\gamma_{\rho \otimes K} : (S \otimes K) \otimes (S \otimes K) \longrightarrow (S \otimes K) \otimes KC_{p^2}$$

is an isomorphism, then

$$\gamma_\rho : S \otimes S \longrightarrow S \otimes H$$

is an injection. Following [G, II.1.5], we can show that γ_ρ is an bijection by showing that $\text{disc}(S/R) = \text{disc}(H/R)$. To this end, by [G, Prop. II.2.1]

$$R \left[\frac{v_0 - 1}{x_s} \right]$$

is an $H(s)$ -Galois extension, where $H(s)$ is the Tate/Oort order $R \left[\frac{g^p - 1}{x_s} \right]$. Thus by [G, Lemma II.1.5]

$$\operatorname{disc} \left(R \left[\frac{g^p - 1}{x_s} \right] / R \right) = \operatorname{disc} \left(R \left[\frac{v_0 - 1}{x_s} \right] / R \right);$$

hence

$$\operatorname{disc} \left(R \left[\frac{g^p - 1}{x_s} \right] \otimes R \left[\frac{h - 1}{x_r} \right] / R \right) = \operatorname{disc} \left(R \left[\frac{v_0 - 1}{x_s} \right] \otimes R \left[\frac{h - 1}{x_r} \right] / R \right),$$

where h generates a cyclic group of order p . Additionally,

$$\operatorname{disc} \left(R \left[\frac{v_1 - 1}{x_r} \right] / R \right) = \operatorname{disc} \left(R \left[\frac{h - 1}{x_r} \right] / R \right);$$

hence

$$\operatorname{disc} \left(R \left[\frac{v_0 - 1}{x_s} \right] \otimes R \left[\frac{h - 1}{x_r} \right] / R \right) = \operatorname{disc} \left(R \left[\frac{v_0 - 1}{x_s} \right] \otimes R \left[\frac{v_1 - 1}{x_r} \right] / R \right).$$

It follows that $\operatorname{disc}(S/R) = \operatorname{disc}(H/R)$ and hence S is an H -Galois extension.

Moreover, if (w_{u_0}, w_{u_1}) is so that $(w_{u_0}, w_{u_1}) \in \mathbf{E}_{s,r}^\Theta(R)$, then

$$u_0 = v_0^p, \quad u_1 = v_0^{-1} v_1^p$$

where $(v_0, v_1) \in G_{s,r}(R)$. Now since $v_1 \in K$, $S \otimes K$ will correspond to the trivial KC_{p^2} -Galois extension (again use L. Roberts classification). Since the canonical map $H^1(R, SpH(s, r)) \rightarrow H^1(K, \mu_{p^2, K})$ is injective (cf. [M2], III.1.1), we conclude that (w_{u_0}, w_{u_1}) corresponds to the trivial H -Galois extension iff $(w_{u_0}, w_{u_1}) \in \mathbf{E}_{s,r}^\Theta(R)$. This completes the proof of the theorem. $\square$

We now turn our attention to calculating $H^1(R, SpA_v(s, r))$.

3. A RESOLUTION OF $Sp_R(A_v(s, r))$ WHEN $A_v(s, r)$ IS NOT LARSON

To resolve $Sp_R(A_v(s, r))$, we first define the abelian group functor $\mathbf{W}_{s,r}$. We construct this functor by generalizing the method used to construct functors presented in [SS1, Prop. 2.2, Remark 2.3] and [SS2, §3]. In these papers, the authors have resolved

$$SpA_\zeta(1/(p-1), 1/(p-1)) = SpH(0, 0)^* = SpRC_{p^2}^*,$$

where $RC_{p^2}^*$ is identified with the maximal integral order in KC_{p^2} .

Let F_0 be the constant polynomial $F_0 = 1$, and let $F_1(T_0)$ be the polynomial in the indeterminate T_0 defined

$$\begin{aligned} F_1(T_0) = & \frac{1 + (1 + x_s T_0) + \cdots + (1 + x_s T_0)^{p-1}}{p} \\ & + v \left(\frac{1 + \zeta^{-p}(1 + x_s T_0) + \cdots + \zeta^{-(p-1)p}(1 + x_s T_0)^{p-1}}{p} \right) + \cdots \\ & + v^{p-1} \left(\frac{1 + \zeta^{-(p-1)p}(1 + x_s T_0) + \cdots + \zeta^{-(p-1)^2 p}(1 + x_s T_0)^{p-1}}{p} \right). \end{aligned}$$

Moreover, let

$$\alpha_0^F(T_0) = x_s T_0 + F_0 = x_s T_0 + 1,$$

$$\alpha_1^F(T_0, T_1) = x_r T_1 + F_1(T_0),$$

for T_0, T_1 indeterminate. Additionally, let

$$\beta_0^F(U_0) = \frac{U_0 - 1}{x_s},$$

$$\Lambda_0^F(X_0, Y_0) = x_s X_0 Y_0 + X_0 + Y_0,$$

for indeterminates U_0, X_0, Y_0 . Finally, put

$$\omega_0^F(i) = \beta_0^F(\zeta^{pi}),$$

for $i \in \mathbf{Z}_p$. Here ζ^{pi} is defined as

$$\zeta^{pi} = \zeta^{pi_0}$$

where $i = \sum_{k \geq 0} i_k p^k$.

Lemma 3.0. *Let $x_{s_0} = x_s$ and $x_{s_1} = x_r$. The family $\{F_r\}$, $r = 0, 1$, defined above satisfies the following conditions for each r :*

- (i) $F_r(0) = 1,$
- (ii) $F_r(X_0)F_r(Y_0) \equiv F_r(\Lambda_0^F(X_0, Y_0)) \pmod{x_{s_r}},$
- (iii) $F_r(\omega_0^F(1)) \equiv \zeta^{p^{1-r}} \pmod{x_{s_r}}.$

Proof. This can be verified directly. For example, if $p = 2$, (ii) follows by the calculation

$$\begin{aligned} & F_1(X_0)F_1(Y_0) - F_1(x_s X_0 Y_0 + X_0 + Y_0) \\ &= \left(\frac{1 + (1 + x_s X_0)}{2} + \frac{v(1 - (1 + x_s X_0))}{2} \right) \\ & \quad \times \left(\frac{1 + (1 + x_s Y_0)}{2} + \frac{v(1 - (1 + x_s Y_0))}{2} \right) \\ & \quad - \left(\frac{1 + (1 + x_s(x_s X_0 Y_0 + X_0 + Y_0))}{2} + \frac{v(1 - (1 + x_s(x_s X_0 Y_0 + X_0 + Y_0)))}{2} \right) \\ &= \frac{x_s^2 X_0 Y_0}{4} + \frac{v^2 x_s^2 X_0 Y_0}{4} - \frac{x_s^2 X_0 Y_0}{2} \\ &\equiv 0 \pmod{x_r} \end{aligned}$$

since $\nu(1 - v^2) \geq 2s' + r$. □

Thus by [SS1, Remark 2.3] we can construct an R -group scheme $\mathbf{W}_{s,r}$ with representing algebra

$$C = R[T_0, T_1, (\alpha_0^F(T_0))^{-1}, (\alpha_1^F(T_0, T_1))^{-1}].$$

Observe that property (ii) above guarantees a well-defined group law on $\mathbf{W}_{s,r}$: Let $(m_0, m_1), (n_0, n_1) \in \mathbf{W}_{s,r}(A)$ for an R -algebra A . Then we define

$$(m_0, m_1) * (n_0, n_1) = (m_0 + n_0 + x_s m_0 n_0, m_1 F_1(n_0) + n_1 F_1(m_0) + x_r m_1 n_1 + y)$$

where y is some element of A determined by the congruence in (ii). Moreover condition (i) implies an identity for $\mathbf{W}_{s,r}$.

We realize that there is an inclusion of group schemes

$$Sp(A_v(s, r)) \longrightarrow \mathbf{W}_{s,r}$$

induced by the surjection

$$C \longrightarrow C / \langle x_{-ps}(1 - \alpha_0^F(T_0)^p), x_{-pr}(\alpha_0^F(T_0) - \alpha_1^F(T_0, T_1)^p) \rangle \cong A_v(s, r)$$

with $\overline{T}_0, \overline{T}_1$ identified with $\frac{g^p - 1}{x_s}$ and $\frac{g - a_v}{x_r}$, respectively. Moreover, there exists a commutative diagram

$$\begin{array}{ccccc} SpH(r) & \longrightarrow & SpA_v(s, r) & \longrightarrow & SpH(s) \\ \downarrow & & \downarrow & & \downarrow \\ SpR[T_0, (1 + x_r T_0)^{-1}] & \longrightarrow & W_{s,r} & \longrightarrow & SpR[T_0, (1 + x_s T_0)^{-1}] \\ \downarrow & & \downarrow & & \downarrow \\ SpR[T_0, (1 + x_{pr} T_0)^{-1}] & \longrightarrow & W_{s,r}/SpA_v(s, r) & \longrightarrow & SpR[T_0, (1 + x_{ps} T_0)^{-1}] \end{array}$$

with all rows and columns s.e.s.'s. Here $H(s), H(r)$ denote Larson orders in KC_p . Thus the quotient $W_{s,r}/SpA_v(s, r)$ is a filtered group scheme of type (ps, pr) , with filtration given by $SpR[T_0, (1 + x_{ps} T_0)^{-1}], SpR[T_0, (1 + x_{pr} T_0)^{-1}]$ (cf. [SS2, §3]). Thus by [SS2, Thm. 3.3]

$$\mathbf{V}_{ps,pr} := \mathbf{W}_{s,r}/Sp(A_v(s, r)) = Sp(R[T_0, T_1, (\alpha_0^G(T_0))^{-1}, (\alpha_1^G(T_0, T_1))^{-1}]),$$

where

$$\alpha_0^G(T_0) = 1 + x_{ps} T_0$$

and

$$\alpha_1^G(T_0, T_1) = G_1(T_0) + x_{pr} T_1,$$

for some polynomial $G_1(T_0) \in R[T_0]$ satisfying the conditions

- (i) $G_1(0) = 1,$
- (ii) $G_1(X_0)G_1(Y_0) \equiv G_1(X_0 + Y_0 + x_{ps}X_0Y_0) \pmod{x_{pr}}.$

It follows that the resulting short exact sequence of R -group schemes

$$SpR(A_v(s, r)) \rightarrow \mathbf{W}_{s,r} \xrightarrow{\Psi} \mathbf{V}_{ps,pr},$$

where Ψ is the canonical surjection, is a resolution of the group scheme SpH where H is an arbitrary non-Larson order in KC_{p^2} .

We are now in a position to prove our second main theorem.

Theorem 3.1. *Let $H = A_v(s, r)$ be an arbitrary non-Larson order in KC_{p^2} . Then the abelian group of H -Galois extensions is isomorphic to the quotient group*

$$\mathbf{V}_{ps,pr}(R)/\mathbf{W}_{s,r}^\Psi(R),$$

where the class $[(t_0, t_1)]$ corresponds to an H -Galois extension S of the form

$$S = R \left[\frac{v_0 - 1}{x_s}, \frac{v_1 - F_1(v'_0)}{x_r} \right],$$

where $v_0^p = \alpha_0^G(t_0)$, $v_0^{-1}v_1^p = \alpha_1^G(t_0, t_1)$ and $v'_0 = \frac{v_0 - 1}{x_s}$. The comodule map $\rho : S \rightarrow S \otimes A_v(s, r)$ is given by

$$\rho : v_0 \mapsto v_0 \otimes g^p,$$

$$\rho : v_1 \mapsto v_1 \otimes g.$$

Proof. Using the given resolution of $Sp(A_v(s, r))$, we employ the long exact sequence in cohomology yielding

$$\begin{aligned} H^0(R, SpA_v(s, r)) &\longrightarrow H^0(R, \mathbf{W}_{s,r}) \longrightarrow H^0(R, \mathbf{V}_{ps,pr}) \\ &\longrightarrow H^1(R, SpA_v(s, r)) \longrightarrow H^1(R, \mathbf{W}_{s,r}) \longrightarrow H^1(R, \mathbf{V}_{ps,pr}) \longrightarrow \cdots \end{aligned}$$

Note that

$$H^0(R, \mathbf{W}_{s,r}) = \mathbf{W}_{s,r}(R)$$

and

$$H^0(R, \mathbf{V}_{ps,pr}) = \mathbf{V}_{ps,pr}(R);$$

hence we have an exact sequence

$$\mathbf{W}_{s,r}(R) \longrightarrow \mathbf{V}_{ps,pr}(R) \longrightarrow H^1(R, SpA_v(s, r)) \longrightarrow H^1(R, \mathbf{W}_{s,r}).$$

Now since $H^1(R, \mathbf{W}_{s,r}) \cong 0$, we obtain the isomorphism

$$\mathbf{V}_{ps,pr}(R)/\mathbf{W}_{s,r}^\Psi(R) \cong H^1(R, SpA_v(s, r)).$$

Suppose $(t_0, t_1) \in \mathbf{V}_{ps,pr}(R)$. We will construct the corresponding H -Galois extension by computing the image of (t_0, t_1) under the connecting homomorphism

$$\delta : \mathbf{V}_{ps,pr}(R) \longrightarrow H^1(R, SpA_v(s, r)).$$

By [SS1, §2.3, p. 109], the canonical flat surjection $\Psi : \mathbf{W}_{s,r} \rightarrow \mathbf{V}_{ps,pr}$ can be defined via polynomials:

$$(T_0, T_1) \mapsto (\Psi_0(T_0), \Psi_1(T_0, T_1))$$

where

$$\Psi_0(T_0) = \frac{(x_s T_0 + 1)^p - 1}{x_{ps}},$$

$$\Psi_1(T_0, T_1) = \frac{(x_s T_0 + 1)^{-1}(x_r T_1 + F_1(T_0))^p - G_1(\Psi_0(T_0))}{x_{pr}}.$$

Moreover, there exists a finite extension L/K with ring extension $A = O_L/R$ and an element $(p_0, p_1) \in \mathbf{W}_{s,r}(A)$ so that

$$\Psi_0(p_0) = \frac{(x_s p_0 + 1)^p - 1}{x_{ps}} = t_0,$$

$$\Psi_1(p_0, p_1) = \frac{(x_s p_0 + 1)^{-1}(x_r p_1 + F_1(p_0))^p - G_1(\Psi_0(p_0))}{x_{pr}} = t_1.$$

If we write $v_0 = 1 + x_s p_0$, $v_1 = F_1(p_0) + x_r p_1$, we have that $\delta((t_0, t_1))$ corresponds to the H -Galois extension

$$S = R \left[\frac{v_0 - 1}{x_s}, \frac{v_1 - F_1(v'_0)}{x_r} \right],$$

where $v'_0 = p_0$. This follows exactly as in Theorem 2.1, and we outline the proof. We first see that S is finitely generated since $(p_0, p_1) \in \mathbf{W}_{s,r}(A)$. We then check that $S \otimes K$ is a KC_{p^2} -Galois extension, using L. Roberts isomorphism. Finally we conclude that S is an H -Galois extension by showing that $\text{disc}(S/R) = \text{disc}(H/R)$.

Now suppose $(t_0, t_1) \in \mathbf{W}_{s,r}^\Psi(R)$. Then there exists $(p_0, p_1) \in \mathbf{W}_{s,r}(R)$ so that

$$t_0 = \frac{\alpha_0^F(p_0)^p - 1}{x_{ps}},$$

$$t_1 = \frac{\alpha_0^F(p_0)^{-1}(\alpha_1^F(p_0, p_1))^p - G_1(t_0)}{x_{pr}}.$$

Over K ,

$$S \otimes K = K(\alpha_0^F(p_0), \alpha_1^F(p_0, p_1)) = K(\sqrt[p^2]{\alpha_1^G(t_0, t_1)^p \alpha_0^G(t_0)}) = K(\alpha_1^F(p_0, p_1)),$$

with $\alpha_1^F(p_0, p_1) \in K$. Hence $S \otimes K$ is the trivial KC_{p^2} -Galois extension. It follows that S is the trivial $A_v(s, r)$ -Galois extension iff the corresponding element $(t_0, t_1) \in \mathbf{W}_{s,r}^\Psi(R)$. This completes the proof of the theorem. $\square$

REFERENCES

- [CF] J.W.S. Cassels, A. Frohlich (eds.), Algebraic number theory, Academic Press, New York, (1967). MR **35**:6500
- [C] L.N. Childs, Taming wild extensions with Hopf algebras, *Trans. Amer. Math. Soc.* **304**, No. 1(1987), 111-140. MR **89a**:11119
- [Gi] J. Giraud, Cohomologie non-abelienne, Columbia University, (1966).
- [G] C. Greither, Extensions of finite group schemes, and Hopf Galois theory over a complete discrete valuation ring, *Math. Z.* **210**, (1992), 37-67. MR **93f**:14024
- [H] S. Hurley, Galois objects with normal bases for free Hopf algebras of prime degree, *J. Algebra* **109**, (1987), 292-318. MR **88k**:13003
- [M1] J. S. Milne, Etale Cohomology, Princeton University Press, Princeton, NJ (1980). MR **81j**:14002
- [M2] ———, Arithmetic duality theorems, Academic Press, Boston, (1986). MR **88e**:14028
- [R] L. Roberts, The flat cohomology of group schemes of order p , *Amer. J. Math.*, **95**, (1973), 688-702. MR **49**:2741
- [SS1] T. Sekiguchi, N. Suwa, Theories de Kummer-Artin-Schreier-Witt, *Comptes Rendus de l'Acad. des Sci.*, **319**, ser. I, 105-110, (1994). CMP 94:16
- [SS2] ———, On the unified Kummer-Artin-Schreier-Witt theory, *Chuo University Preprint Series*, no. 41, Chuo University, Bunkyo, Tokyo, Japan (1994).
- [U1] R.G. Underwood, Hopf algebra orders over a complete discrete valuation ring, their duals and extensions of R -groups, doctoral dissertation, State University of New York at Albany, (1992).
- [U2] ———, R -Hopf algebra orders in KC_{p^2} , *J. Algebra*, **169**, (1994) 418-440. MR **95k**:16055
- [U3] ———, The valuative condition and R -Hopf algebra orders in KC_{p^3} , *Amer. J. Math.*, **118**, no. 4, (1996) 701-743. CMP 96:15

DEPARTMENT OF MATHEMATICS, AUBURN UNIVERSITY AT MONTGOMERY, MONTGOMERY, ALABAMA 36117

E-mail address: underw@tango.aum.edu