A REGULAR SPACE WITH A COUNTABLE NETWORK AND DIFFERENT DIMENSIONS

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ABSTRACT. In this paper, we construct a regular space with a countable network (even the union of countably many separable metric subspaces) in which ind and dim do not coincide under the assumption of the continuum hypothesis (CH). This gives a consistent negative answer to a question of A.V. Arhangel'skiĭ.

INTRODUCTION

It is well-known that all three dimension functions $dim X$, $ind X$ and $Ind X$ coincide when X is a separable metric space (see [3]). At the International Congress of Mathematicians in Nice in 1970, Arhangel'skiĭ asked whether this is also true for (regular) continuous images of separable metric spaces (problem 4, page 24 in [1]) and Gruenhage states this as question 10.2 in his survey paper [8]. Such images are precisely the spaces with a countable network.

These spaces are hereditarily Lindelöf, so (by Corollary 5.3.11 in [4], and Theorems 2.1.4. and 2.4.4. in [3]), they satisfy $ind X = Ind X$. Since we also have that $dim X \leq ind X$ for X Lindelöf and that $dim X = 0$ iff $Ind X = 0$ for X normal (see [3]), we get that any potential counterexample X should have $dim X \geq 1$ and $ind X = Ind X \geq 2$. Our space X will have $dim X = 1$ and $ind X = Ind X \geq 2$ and, in fact, $ind Bd(U) \geq 1$ for all non-empty open subsets U of X that are not dense in X . In particular, then, $ind_x X \geq 2$ for all $x \in X$.

In [11] (or see [13]), Nagami discussed the dimension theory of spaces X that are paracompact, Hausdorff and the countable union of metrizable closed subspaces $(X_n)_{n \in \omega}$. In these spaces, $dim X = Ind X$. In [12], Oka showed that $dim X = Ind X$ if X is paracompact, perfectly normal and the finite union of metric subspaces and asked whether $dim X = Ind X$ if X is paracompact, perfectly normal and the countable union of metric subspaces. So Oka's question has been answered here in the negative (under CH).

All this is accomplished with an inductive construction of length ω_1 (using CH) applying a result of Kuratowski (see [9]), which is presented using the method of resolutions. We refine the topology, making sure that each time we do this, we preserve the fact that this space is a particular countable union of separable metric subspaces and that the covering dimension (dim) does not increase. Since X is then a union of countably many separable metric subspaces, X has a countable network. Let us proceed to the details of the argument.

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1. THE METHOD OF RESOLUTIONS

The method of resolutions was invented and used by Fedorčuk to construct compact spaces with interesting properties (see for instance [5], [6]). The second author has written a survey paper on the subject (see [14]), where the interested reader will find many more references.

The method of resolutions is described as follows:

Let X be a space and for each $x \in X$, let Y_x be a space and let $f_x : X \setminus \{x\} \rightarrow Y_x$ be a continuous mapping; we let $Z = \bigcup \{\{x\} \times Y_x : x \in X\}$ and if $z = (x, y) \in \{x\} \times Y_x$ we define $U \otimes V = \{x\} \times V \cup \bigcup \{\{x'\} \times Y_{x'} : x' \in U \cap f_x^{-1}(V)\}$, where $x \in U$, U is open in X and $y \in V$, V is open in Y_x to be a local base at z .

We observe that if S is a subspace of X , the resolution space of the subspace S is a subspace of Z when we use the restrictions of the mappings f_x to $S \setminus \{x\}$. It is also easy to check that the projection map $\pi : Z \rightarrow X$ defined by $\pi(x, y) = x$ for $y \in Y_x$ is continuous and onto. The method of resolutions can be used to refine a topology on a given space X . With X , Y_x , f_x as before, for all $x \in X$ pick a point $y_x \in Y_x$ and let $Z' = \{(x, y_x) : x \in X\} \subseteq Z$, where Z is the resolution space as before. We observe that $\pi \upharpoonright Z' : Z' \rightarrow X$ is 1-1, onto and continuous, so if we give X the quotient topology of $\pi \upharpoonright Z'$, the original topology of X is refined and a basic open set containing x will be $\{x\} \cup (U \cap f_x^{-1}(V))$, where $x \in U$ and U is open in X , $y_x \in V$ and V is open in Y_x . It is now obvious that if Y_x is a singleton, then the topology is not refined at the point $x \in X$.

This process can be iterated; more precisely, if α is a successor ordinal, we refine the topology as we described before and if α is a limit ordinal, we take the inverse limit of (X, τ_β) for all $\beta < \alpha$. It follows that $\tau_\alpha = \sup\{\tau_\beta : \beta < \alpha\}$, since the mapping $j : \lim_{\leftarrow} \{X_\beta : \beta < \alpha\} \rightarrow (X, \sup\{\tau_\beta : \beta < \alpha\})$ defined by $j(x, x, \dots) = x$ is a homeomorphism (a net $(x_i)_{i \in I}$ converges to x in $\sup\{\tau_\beta : \beta < \alpha\}$ iff for all $\beta < \alpha$, for all $G \in \tau_\beta$, there exists $i_0 \in I$ so that $x_i \in G$ for all $i \geq i_0$; this is equivalent to the statement that $x_i \rightarrow x$ in each τ_β and we have verified that j is indeed a homeomorphism).

If we iterate this process finitely many times, a basic open set containing $x \in X$ will be of the form $\{x\} \cup (U \cap f_{1,x}^{-1}(G_1) \cap \dots \cap f_{k,x}^{-1}(G_k))$, so, whenever the functions f_x are continuous when X has the original topology, the order of resolving is not significant, i.e. the resulting spaces are homeomorphic. In the general case, i.e. for any ordinal α , we will have that $\alpha = \lambda + n$, where λ is a limit ordinal and $n \in \omega$, so a basic open set containing $x \in X$ will be of the same form (as before) and it follows immediately (by induction) that the order of resolving is again not significant.

In [14], it is proved that if X and all Y_x 's are compact Hausdorff spaces, then so is Z ; in the same paper, the fact that if X and all Y_x 's are regular spaces, then this is also true for Z , is used but not proved. Therefore, for the sake of completeness, we state and prove the following

Proposition 1.1. *Let X be a regular space and for each $x \in X$, let Y_x be a regular space and let $f_x : X \setminus \{x\} \rightarrow Y_x$ be a continuous mapping. Then $Z = \bigcup \{\{x\} \times Y_x : x \in X\}$ is a regular space with the resolution topology.*

Proof. Let $z_0 = (x_0, y_0) \in Z$ and let $U \otimes V$ be a basic neighbourhood of z_0 , where $x_0 \in U$ and $y_0 \in V$, U is open in X and V is open in Y_{x_0} . Since X and Y_{x_0} are regular spaces, we can find open sets W , G in X , Y_{x_0} respectively, such that $x_0 \in W \subseteq \overline{W} \subseteq U$ and $y_0 \in G \subseteq \overline{G} \subseteq V$.

We will show that $z_0 = (x_0, y_0) \in W \otimes G \subseteq \overline{W \otimes G}^Z \subseteq K = \{x_0\} \times \overline{G} \cup \bigcup \{\{x'\} \times Y_{x'} : x' \in \overline{W} \cap f_{x_0}^{-1}(\overline{G})\} \subseteq U \otimes V$ and that will complete the proof. The only non-trivial inclusion is $\overline{W \otimes G}^Z \subseteq K$; it suffices to show that K is closed, or that $Z \setminus K$ is open. We distinguish two cases:

Case 1: Let $z = (x, y) \notin K$, $x = x_0$; we then have that $y \notin \overline{G}$, so $y \in A$, A is open in Y_{x_0} and $A \cap \overline{G} = \emptyset$. But then $z = (x_0, y) \in X \otimes A = \{x_0\} \times A \cup \bigcup \{\{x'\} \times Y_{x'} : x' \in f_{x_0}^{-1}(A)\}$ which is open and misses K , so we are done.

Case 2: Let $z = (x, y) \notin K$, $x \neq x_0$; we then have that $x \notin \overline{W} \cap f_{x_0}^{-1}(\overline{G}) = F$ and F is closed in $X \setminus \{x_0\}$. We get that $F \cup \{x_0\}$ is closed in X and we have that $z = (x, y) \in (F \cup \{x_0\})^c \otimes Y_x$, which is an open set that misses K . $\square$

Proposition 1.2. *With the same notation as in proposition 1.1, supposing that $|\{x \in X : |Y_x| \geq 2\}| = \kappa$, we have that $w(Z) \leq \max\{w(X), \kappa \cdot \sup\{w(Y_x) : x \in X\}\} = \lambda$.*

Proof. Recall that a basis for Z is all sets $U \otimes V$, U open in X , V open in Y_x for some $x \in X$. If $|Y_x| = 1$, then $U \otimes V = \bigcup \{\{x'\} \times Y_{x'} : x' \in U\}$, so we only need $w(X) \leq \lambda$ many such sets; the rest of the Y_x 's are $\leq \lambda$ many, so (as $w(Y_x) \leq \lambda$ for all $x \in X$ and $w(X) \leq \lambda$) we only need $\leq \lambda$ more open sets and we get that $w(Z) \leq \lambda$. $\square$

Corollary 1.3. *With the same notation as in proposition 1.1, supposing that X is a separable metric space, Y_x is a separable metric space for all $x \in X$ and $|\{x \in X : |Y_x| \geq 2\}| \leq \aleph_0$, Z is a separable metric space.*

Proof. This is immediate from propositions 1.1, 1.2 and the well-known fact that a space S is a separable metric iff S is regular and second countable. $\square$

2. THE EXAMPLE OF KURATOWSKI

Motivated by [9] (see also [3], exercise 1.2.E.), we have the following result:

Proposition 2.1. *Suppose (S, τ) is a space such that every dense in itself closed subset of S is uncountable and let D be a countable subset of S . Suppose σ is a topology on S that is smaller than τ and $f : S \rightarrow [-1, 1]$, $h : D \rightarrow \omega$ which satisfy the following:*

(i) *For each $x \in D$, there exist two sequences $(x'_m)_{m \in \omega}, (x''_m)_{m \in \omega} \in D^\omega$ that converge to x in σ so that the following hold for every $m \in \omega$:*

1. $h(x'_m) = h(x''_m) = h(x) + 1$,

2. $f(x'_m) - 2^{-h(x'_m)} = f(x)$,

3. $f(x''_m) + 2^{-h(x''_m)} = f(x)$,

(ii) *For each $x \in D$, $f(x) + 2^{-h(x)}$ is the upper limit $f^h(x)$ of f at x in σ and $f(x) - 2^{-h(x)}$ is the lower limit $f_h(x)$ of f at x in σ ,*

(iii) *$f : (S, \sigma) \rightarrow [-1, 1]$ is continuous at x if $x \notin D$,*

(iv) *For each $x \in D$, there exist $(x'_{m_i})_{i \in \omega}, (x''_{m_j})_{j \in \omega}$ (subsequences of $(x'_m)_{m \in \omega}, (x''_m)_{m \in \omega}$, respectively) that converge to x in τ .*

Suppose H is a countable subset of $\text{Gr}(f)$, the graph of f , such that $p_1(H) \cap D = \emptyset$.

Then $I = \text{Gr}(f) \setminus H \subseteq (S, \tau) \times [-1, 1]$ is not 0-dimensional at $(x, f(x))$ for all $x \in D$.

Proof. Let $J = Gr(f) \cup \bigcup_{x \in D} \{x\} \times [f_h(x), f^h(x)]$ and denote $(x, f(x))$, $(x, f^h(x))$, $(x, f_h(x))$ by z , $\bar{z}$, $\underline{z}$ respectively. In this proof, x 's and z 's (or $\bar{z}$'s, $\underline{z}$'s) will correspond to one another if they have the same index.

Now J is closed in $(S, \sigma) \times [-1, 1]$ (using (ii) and (iii)), so J is also closed in $(S, \tau) \times [-1, 1]$. We now show that $ind_I z > 0$ for all $z \in I$ such that $x \in D$; suppose this is not the case and let $ind_I z_0 = 0$ for some $z_0 \in I$, $x_0 \in D$.

We claim that there exists $C \subseteq J \setminus I$, a closed subset of $(S, \tau) \times [-1, 1]$ which separates J in two open sets A , B such that $z_0 \in A$ and $\bar{z}_0 \in B$ (or $\underline{z}_0 \in B$). Indeed, let V , W be disjoint open sets in $(S, \tau) \times [-1, 1]$ such that $z_0 \in V$, $\bar{z}_0 \in W$; since $ind_I z_0 = 0$, we apply exercise 1.2.A in [3] (or see [13], lemma 4.16) to find an open set $U \ni z_0$ such that $U \subseteq V$ and $I \cap Bd(U) = \emptyset$ and we observe that $z_0 \in U \cap J = A$, $\bar{z}_0 \in B = W \cap J \subseteq J \setminus \bar{V} \subseteq J \setminus \bar{U}$, so $C = J \cap Bd(U) \subseteq J \setminus I$ works.

We observe that C is closed in J , so in $(S, \tau) \times [-1, 1]$. Hence $p_1(C)$ is closed (being the projection of a closed set along the compact factor $[-1, 1]$) and $p_1(C) \subseteq D \cup p_1(H)$, so $p_1(C)$ is countable and, then, by our hypothesis, $p_1(C)$ does not contain any dense in itself subset.

Let $E = \{x \in S : C \text{ separates } z \text{ and } \bar{z} \text{ or } z \text{ and } \underline{z}\}$; the intervals $z\bar{z}$, $\underline{z}z$ are connected, so for all $x \in E$, $C \cap \underline{z}\bar{z} \neq \emptyset$, hence $E \subseteq p_1(C)$ and E has an isolated point x ($E \neq \emptyset$ as $x_0 \in E$). Without loss of generality, one may assume that $z \in A$ and $\bar{z} \in B$ (otherwise, the proof is similar). Using equalities (1), (2), (3) of (i), it is easy to see that the following equations are true for all $m \in \omega$:

$$(4) \quad f^h(x'_m) = f^h(x), \quad f_h(x'_m) = f(x),$$

$$(5) \quad f^h(x''_m) = f(x), \quad f_h(x''_m) = f_h(x).$$

By (4) and (iv), we have that $\underline{z}'_{m_i} \in A$ and $\bar{z}'_{m_i} \in B$ for all sufficiently large i . Since $D \cap p_1(H) = \emptyset$, we get that $\underline{z}'_{m_i} \in I$ so $\underline{z}'_{m_i} \notin C$ and $\underline{z}'_{m_i} \in A$ or $\underline{z}'_{m_i} \in B$. In the first case, C separates $\underline{z}'_{m_i}$ and $\bar{z}'_{m_i}$ and in the second case, C separates $\underline{z}'_{m_i}$ and $\underline{z}'_{m_i}$. Therefore, $x'_{m_i} \in E$ and x is not an isolated point of E (recall that $x'_{m_i} \xrightarrow{\tau} x$); this contradiction completes the proof. $\square$

What Kuratowski actually showed in [9] (see also [3], exercise 1.2.E.), is the following:

Lemma 2.2. *Suppose $D = \{d_n : n \in \omega\}$ is the set of endpoints of the open intervals removed during the construction of the Cantor set (so D is a countable dense subset of the Cantor set) and suppose σ is the Euclidean topology of 2^ω . There exist $f : 2^\omega \rightarrow [-1, 1]$ and $h : D \rightarrow \omega$ and two sequences $(x'_m)_{m \in \omega}, (x''_m)_{m \in \omega} \in D^\omega$ that converge to x in σ that satisfy conditions (i), (ii) and (iii) of proposition 2.1. Moreover, for each $n \in \omega$, the oscillations $osc(f)(d_n)$ of f at the points d_n converge to 0.*

This fact allows us to give an alternate description of the Kuratowski example; we first state and prove the following

Lemma 2.3. *For every $x \in D$, there exists a continuous function $g_x : 2^\omega \setminus \{x\} \rightarrow [-1, 1]$ such that $\lim_{x' \rightarrow x, x' \in 2^\omega} |g_x(x') - f(x')| = 0$.*

Proof. Without loss of generality, one may suppose that x is a right endpoint, i.e. there exists $\delta > 0$ such that $[x, x + \delta) \cap 2^\omega = \{x\}$. Using $osc(f)(d_n) \rightarrow 0$, we get that x has a neighbourhood base of clopen sets $(V_n \cap 2^\omega)_{n \in \omega}$ with $V_n = (x_n, x_{n+1}) \cup (x_{n+1}, x_{n+2}) \cup \dots \cup \{x\}$, $x_n \notin 2^\omega$, $x_n \nearrow x$ and $osc(f)(t) < \frac{1}{2^n} \quad \forall t \in V_n \cap 2^\omega \setminus \{t\}$. Fix

some $n \in \omega$ and for all $t \in (x_n, x_{n+1}) \cap 2^\omega$ let V_t be a clopen neighbourhood of t with $\text{diam } f(V_t) < \frac{1}{2^n}$. Applying compactness and 0-dimensionality of $(x_n, x_{n+1}) \cap 2^\omega$, we get a finite disjoint open (hence clopen) refinement of this cover; so, if A is a member of this finite cover, we pick t such that $A \subseteq V_t$ and we define $g_x(x') = f(t)$ for all $x' \in A$. By our definition, g_x is constant (hence continuous) on each such A , so it is continuous on each (x_n, x_{n+1}) ; let $g_x(x') = 0$ if $x' < x_0$ or $x' > x$ and observe that g_x is continuous. Finally, $\lim_{x' \rightarrow x} |g_x(x') - f(x')| = 0$ by the definition of g_x since we chose the open sets V_t so that $\text{diam } f(V_t) < \frac{1}{2^n}$. $\square$

We now can describe Kuratowski's example as follows:

Proposition 2.4. *Let f be the Kuratowski function. Let Z be the space constructed by resolving each $x \in 2^\omega$ as follows: if $x \in D$, resolve x into $[-1, 1]$ using the continuous function g_x from lemma 2.3 and if $x \notin D$ use the constant function $g_x(x') = f(x)$. The space $\text{Gr}(f)$ is canonically embedded as subspace of Z .*

Proof. We will show that $(x_n, f(x_n))$ converges to $(x, f(x))$ in the resolution topology iff the same thing happens in the graph topology.

Indeed, suppose that $(x_n, f(x_n)) \rightarrow (x, f(x))$ in the resolution topology. We get that $x_n \rightarrow x$ (the projection is continuous) and we only need to show that $f(x_n) \rightarrow f(x)$ if $x \in D$, as f is continuous at x if $x \notin D$.

Recalling that a basic neighbourhood of $(x, f(x))$ is

$$V = \{(x, f(x))\} \cup \bigcup \{(x', f(x')) : x' \in U \cap g_x^{-1}(W)\}$$

(U is open, $x \in U$, W is open and $f(x) \in W$) we get that, for sufficiently large n , $g_x(x_n) \in W$, so $g_x(x_n) \rightarrow f(x)$ and $f(x_n) \rightarrow f(x)$ by the construction of g_x .

Conversely, suppose that $x_n \rightarrow x$ and that $f(x_n) \rightarrow f(x)$. By the construction of g_x , we know $g_x(x_n) \rightarrow f(x)$, and so that, for sufficiently large n , $g_x(x_n) \in W$ and $x_n \in U$. Thus $(x_n, f(x_n)) \in V$ and we are done. $\square$

3. THE CONSTRUCTION

Our plan is to iterate the process just described so that we will get a regular space X with a countable network such that $\dim X = 1$ and $\text{ind } \text{Bd}(U) \geq 1$ if U is a non-empty open subset of X that is not dense (therefore $\text{ind } X = \text{Ind } X \geq 2$). In order to accomplish this, it will be shown that a separator in $[0, 1]^2$ contains a Cantor set of a special kind, which we then resolve applying the Kuratowski method as we have described it.

In the discussion to follow, a rational segment is a segment joining two points with rational coordinates and if we do not explicitly refer to a topology, we mean that we refer to the usual Euclidean topology.

Proposition 3.1 (CH). *For each $x \in [0, 1]^2$, there exists a family of sequences of pairwise disjoint closed squares $\mathcal{S}(x) = \{\{B_{i,x}^\alpha : i \in \omega\} : \alpha < \omega_1\}$ such that $\text{diam } B_{i,x} \rightarrow 0$, $d(x, B_{i,x}) \rightarrow 0$ and the following hold:*

(I) *For all $\alpha < \omega_1$, for all sequences $(x_i)_{i \in \omega}$ such that $x_i \rightarrow x$ and $x_i \notin \bigcup_{j \in \omega} B_{j,x}^\alpha$ and for all sequences of open sets $(V_i)_{i \in \omega}$ such that $x_i \in V_i$, there exists $S = \{B_i : i \in \omega\} \in \mathcal{S}(x)$ such that $x_i \in \text{Int}(B_i) \subseteq B_i \subseteq V_i$ and $\bigcup S \cap \bigcup_{i \in \omega} B_{i,x}^\alpha = \emptyset$ (note that in order to achieve (I), a family of size continuum is needed; this is an apparently essential use of CH or some set-theoretic hypothesis, at any rate),*

(II) Suppose $x \in [0, 1]^2$. For any $S, S' \in \mathcal{S}$, there is $S'' \in \mathcal{S}$ which contains a tail of both S and S' . Furthermore, for any sequence $(S_i)_{i \in \omega}$ such that $S_i \in \mathcal{S}(x)$ for all $i \in \omega$ and S_j contains a tail of S_i for all $j \geq i$, there exists $S \in \mathcal{S}(x)$ such that S contains a tail of each S_i .

(III) Furthermore, suppose U is a non-empty Euclidean open set in $[0, 1]^2$ that is not dense, let $S = \text{Bd}(U)$ and let $V = \overline{U}^c \neq \emptyset$. Note that $[0, 1]^2 \setminus S = U \cup V$. Suppose also that $p, q \in Q^2$ (Q will denote the set of rationals) so that $p \in U$ and $q \in V$. Let R be the rational segment joining p and q . Let U' and V' be squares of equal size centered at p and q , respectively, contained in U and V , respectively, and each having one opposite pair of sides parallel to R . Let M' be the rectangle which has as opposite sides the sides of U' and V' which intersect R . Suppose also that $[0, 1]^2$ has a (stronger than the Euclidean) separable metric topology τ such that all but countably many points of $[0, 1]^2$ have a Euclidean local base and suppose that, if x is one of these exceptional countably many points, then x belongs to a rational segment and has a local base so that, for any element P of the base, there is $\alpha < \omega_1$ such that P contains an open square minus the union of a tail of the sequence $(B_{i,x}^\alpha)_{i \in \omega}$. Then, if S separates U' , V' , then there exist $x \in R$, a sequence $x_n \rightarrow x$ and a sequence of rational segments $(R_n)_{n \in \omega}$ such that $x_n \in R_n$, $x_n \notin R$, $x_n \in M' \cap S$ and $x_n \rightarrow x$ in τ . In the case that x is one of the countably many exceptional points, we will have that for all $n \in \omega$, $x_n \notin \bigcup_{i \in \omega} B_{i,x}^\alpha$.

Proof. First of all, we observe that if $(B_{i,x})_{i \in \omega}$ is as in the statement of the proposition, then $(B_{i,x})_{i \in \omega} \rightarrow x$.

For each $x \in [0, 1]^2$, let $\mathcal{S}(x)$ be the family of all sequences $(B_{i,x})_{i \in \omega}$ consisting of pairwise disjoint closed squares $B_{i,x}$ whose diameter is $d_{i,x}$ such that $d(x, B_{i,x}) = r_{i,x} \rightarrow 0$ and $\sum_{i \in \omega} \frac{d_{i,x}}{r_{i,x}} < \frac{1}{2}$. In particular, we have that $\frac{d_{i,x}}{r_{i,x}} \rightarrow 0$ and (using that $r_{i,x} \rightarrow 0$) we conclude that $d_{i,x} \rightarrow 0$ and that $(B_{i,x})_{i \in \omega} \rightarrow x$.

(I) follows easily from the definition of $\mathcal{S}(x)$.

We prove (II). The basic observation is that if $j \geq i$, only finitely many squares of the sequence S_j will intersect a square (other than itself) of the sequence S_i . (To see this, use our hypothesis that $(S_i)_{i \in \omega}$ is almost decreasing, and that each S_i converges to x . We therefore get that, for all $j \in \omega$, only finitely many squares of the sequence S_j will intersect a square (other than itself) of any sequence S_i where $j \geq i$.)

Using this observation, we pick a tail T_j of each of the S_j 's such that the sum of the tail of the corresponding series will be smaller than $2^{-(j+2)}$ and each square in the j -th tail will miss all previous squares in all previous tails. It is then clear that $S = \bigcup_{j \in \omega} T_j$ is as required.

We prove (III). First we prove:

Claim: Suppose x is one of the countably many exceptional points. There exists a sequence of annuli $(A_{n,x})_{n \in \omega}$ that converges to x such that for all $n \in \omega$, $A_{n,x} \cap \bigcup_{i \in \omega} B_{i,x}^\alpha = \emptyset$.

Proof of the claim: Let $x = (x_0, y_0)$ be one of these countably many points, let $\mathbb{R}$ denote the real numbers and let $H = \{x_0\} \times [y_0, \infty)$. Consider the map $f : \mathbb{R}^2 \rightarrow H$ defined by $f(z) = (x_0, y_0 + d(x, z))$. It suffices to show that the range of $f \upharpoonright \bigcup_{i \in \omega} B_{i,x}^\alpha$ misses a sequence of intervals approaching x .

We first observe that the range of this restriction cannot contain any set $I_\delta = \{y \in H : \delta < d(x, y) < 2\delta\}$, where $\delta > 0$. Indeed, by the triangle inequality, $d(f(z), f(w)) \leq d(z, w)$ for all z, w in $\mathbb{R}^2$, therefore $\text{diam } f(B_{i,x}^\alpha) \leq \text{diam } B_{i,x}^\alpha = d_{i,x}$

and $r_{i,x} < 2\delta$ for any closed square $B_{i,x}^\alpha$ that satisfies $f(B_{i,x}^\alpha) \cap I_\delta \neq \emptyset$. Observe that there are only finitely many $i \in \omega$ such that $f(B_{i,x}^\alpha) \cap I_\delta \neq \emptyset$ and let this finite set be F . We will have that $\frac{1}{2\delta} \sum_{i \in F} d_{i,x} < \sum_{i \in F} \frac{d_{i,x}}{r_{i,x}} < \sum_{i \in \omega} \frac{d_{i,x}}{r_{i,x}} < \frac{1}{2}$ and we get that $\sum_{i \in \omega} d_{i,x} < \delta$, so we verified that our observation is correct. Since δ was arbitrary, the proof of the claim is finished, since $I_\delta \setminus \text{Range}(f \upharpoonright \bigcup_{i \in \omega} B_{i,x}^\alpha)$ will be a non-empty open set.

Now let U', S, V', M', R, p, q be as in the statement of the proposition, let $K = M' \cap S$ (observe that K separates U' and V' in $U' \cup V' \cup M'$) and suppose that (III) is not true.

Let A be the countable set of points of R where we have refined the topology and let $Z = \bigcup \{K \cap R' : R' \text{ is a rational segment, } R' \cap K \cap R = \emptyset\}$. We distinguish two subcases:

Case 1: $\overline{Z} \cap R \setminus A = B \neq \emptyset$.

Choose $x \in B$. Now there is a sequence $(x_n)_{n \in \omega}$ as in (III) that converges to x in the Euclidean topology. But $x_n \rightarrow x$ in τ since $x \notin A$.

Case 2: Not case 1

We will have that $\overline{Z} \cap R = L \subseteq A$. In particular, L is a countable, compact, metric space, therefore L is 0-dimensional and scattered. (Observe that $L \neq \emptyset$, since if R_n is a sequence of rational segments that are parallel and converge to R and $x_n \in R_n \cap K$, then some subsequence $(x_{n_i})_{i \in \omega}$ of $(x_n)_{n \in \omega}$ will converge to a point $x \in \overline{Z} \cap R = L$.)

Since (III) fails, it follows that for each $x \in L$, there exists $V \ni x$ such that V is open in $[0, 1]^2$ and $Z \cap V \subseteq \bigcup_{i \in \omega} B_{i,x}^\alpha$, so, using the claim, we get that there exists a sequence of annuli $(A_{n,x})_{n \in \omega}$ that converges to x such that for all $n \in \omega$, $A_{n,x} \cap Z = \emptyset$.

We now observe that the points of L that are of maximum scattered height α form a finite set $\{l_1, \dots, l_k\}$, so one can find pairwise disjoint intervals $G_1, \dots, G_k \subseteq R$ such that for all $i = 1, \dots, k$, $l_i \in G_i$. We now find annuli $A_1, \dots, A_k$ (where each A_i is A_{n,l_i} for some n) such that for all $i = 1, \dots, k$, $\overline{A_i} \cap R \subseteq G_i$ and we observe that, for each annulus A_i , we can find a polygonal line $P_i \subseteq A_i$ (consisting of rational segments that are either parallel or perpendicular to R) that connects the two points of $\overline{A_i} \cap R$ that belong to the outer circle of A_i (in the sequel, they are called endpoints). So P_i therefore misses R except for two half-intervals containing these two endpoints. Since L is 0-dimensional, we may also assume that the endpoints of these half-intervals are not in L .

Hence, there is an open subinterval J_i of R such that each $l_i \in J_i$, and so that the endpoints of J_i are both not in L (in particular, $J_i \cap L$ is clopen in L) and can be joined by a polygonal path P'_i that misses K , except possibly for the two endpoints. (Recall the construction of the A_i 's and the definition of Z).

Now let $L_1 = L \setminus \bigcup_{i=1, \dots, k} J_i$ and suppose that $L_1 \neq \emptyset$; we have that L_1 is clopen in L and that its maximum scattered height is $\alpha_1 < \alpha$. Since we suppose that (III) fails, we may repeat the argument we gave for L to get J'_i 's that are disjoint from all J_i 's, $L_2 = L_1 \setminus \bigcup_{i=1, \dots, k'} J'_i$ and so on. But then we will have that there exists $i \in \omega$ such that $L_i = \emptyset$, since otherwise the maximum scattered heights of the L_i 's would form an infinite decreasing sequence of ordinals, which is a contradiction.

We therefore have that L is contained in the disjoint union of finitely many pairwise disjoint open intervals $J_1, \dots, J_m$ the endpoints of which are not in L and are joined by polygonal lines that miss K , except possibly for the two endpoints

a_i, b_i of each J_i . Let $U = \bigcup_{i=1, \dots, m} J_i$; we have that $F = R \setminus U$ is the disjoint union of finitely many closed intervals (in particular, F is compact) and misses L . So there is a finite union of rectangles $W \supset F$ so that if R' is a rational segment parallel to R and $R'_1 = R' \cap W$, then $R'_1 \cap K = \emptyset$. We now pick R' sufficiently close to R so that R'_1 intersects all the finitely many polygonal lines P'_i . We therefore get that $R'_1 \cup \bigcup_{i=1, \dots, m} P'_i \setminus \{a_i, b_i\}$ is a connected set that intersects U' and V' and misses K , which is our final contradiction. $\square$

Remark. By the claim in proposition 3.1, it is clear that if τ is a topology on $[0, 1]^2$ as in proposition 3.1, then $([0, 1]^2, \tau)$ has no countable open sets and that, if x is one of the countably many exceptional points at which we have refined the topology, then x has a local base consisting of open sets U such that $U \setminus \{x\}$ is open in the usual Euclidean topology.

Before we proceed, we need the following set-theoretic lemma, a corollary of a theorem due to Bernstein, Kuratowski and Sierpiński, which, in turn, is a special case of a standard disjoint refinement lemma (see [2] for more information).

Lemma 3.2. *Suppose that B and $(D_i)_{i \in \omega}$ are infinite subsets of ω . There exist infinite sets $B' \subseteq B$ and $D'_i \subseteq D_i$ such that $B' \cap D'_i = \emptyset$ for all $i \in \omega$.*

Lemma 3.3. *Suppose that $[0, 1]^2$ has a separable metric topology τ as in proposition 3.1 and suppose that U is a non-empty Euclidean open set in $[0, 1]^2$ that is not dense. There exists a Cantor set (in the Euclidean topology) K such that $S = \text{Bd}(U) \supseteq K$ and a countable $D \subseteq K$ which is dense in K with the Euclidean topology, dense in itself (in τ) and such that, for all $d \in D$, there exists a rational segment R_d such that $R_d \cap K = \{d\}$ and a clopen subset C_d of K , and open squares $V_{n,d} \ni x_n^d \in D$ such that $d \in C_d$ and $C_d \setminus \{d\} \subseteq \bigcup_{n \in \omega} V_{n,d}$, $\mathcal{S}(d) \ni (\overline{V_{n,d}})_{n \in \omega}$ and $(V_{n,d})_{n \in \omega} \rightarrow d$ (in τ).*

Moreover, if for all $d \in D$ we have already been given countably many sequences converging to d , then we may arrange that a subsequence of each of these sequences is disjoint from K .

Let $D = \{d_n : n \in \omega\}$ and let $(x_n)_{n \in \omega}$ be an enumeration of the endpoints of the open intervals removed during the standard construction of 2^ω .

There also exists a homeomorphism $\phi : K \rightarrow 2^\omega$ with respect to the Euclidean topologies of K and 2^ω such that $\phi(d_n) = x_n$ so that $(\phi^{-1}(x'_m))_{m \in \omega}$ and $(\phi^{-1}(x''_m))_{m \in \omega}$ converge to $\phi^{-1}(x)$ in τ (where the sequences $(x'_m)_{m \in \omega}, (x''_m)_{m \in \omega}$ are as in lemma 2.2).

Proof. We have that $[0, 1]^2 \setminus S = U \cup V$, where $V = \overline{U}^c$. Since U is non-empty and not dense, we may pick $p, q \in Q^2$ such that $p \in U$, $q \in V$ and let R denote the rational segment joining p, q . We observe that there are squares U', V' as in proposition 3.1, and, using the hypotheses on $([0, 1]^2, \tau)$, there exist $x \in R$, a sequence $x_n \rightarrow x$ and a sequence of rational segments $(R_n)_{n \in \omega}$ such that $x_n \in R_n$, $x_n \notin R$, $x_n \in M' \cap S$. In the case that x is one of the countably many exceptional points where we have refined the topology, we will have that, for all $n \in \omega$, $x_n \notin \bigcup_{i \in \omega} B_{i,x}^\alpha$ and so that $x_n \rightarrow x$ in τ .

Suppose now that we have countably many sequences converging to x . By an application of lemma 3.2, we may assume that the union of these sequences is disjoint from a subsequence of x_n , which we will suppose is still x_n . Without loss of generality, we may also assume that the union $(s_n)_{n \in \omega}$ of these sequences converges to x . Since $(B_{n,x}^\alpha)_{n \in \omega}$ converges to x we may apply proposition 3.1 to pick open

squares $(V_n)_{n \in \omega}$ which contain x_n , miss $R \cup \{s_n : n \in \omega\} \cup \bigcup_{n \in \omega} B_{n,x}^\alpha$ and so that $(\overline{V_n})_{n \in \omega} \in \mathcal{S}(x)$. (We note here that if we haven't refined the topology at x , the proof is simpler, since we do not need to refer to the sequence $(B_{n,x}^\alpha)_{n \in \omega}$.) So we may assume that $\text{diam}(V_n) < \frac{1}{2}$ and we observe that each $\overline{V_n}$ is clopen in $\bigcup_{n \in \omega} \overline{V_n} \cup \{x\} = K_0$, hence x has a clopen base in K_0 and, if we pick $d_n \in V_n$, $d_n \rightarrow x$ in τ (so in the usual topology as well).

We observe that since $x_n \in S$, each V_n contains analogues of U' , V' (as in the statement of proposition 3.1). So we can iterate this process to get that for all $n \in \omega$ and for each function $\sigma : n \rightarrow \omega$ we can pick points $x_\sigma \in S$, open squares V_σ and rational segments R_σ so that $V_\emptyset = [0, 1]^2$, $R_\emptyset = R$, $x_\emptyset = x$ and the following hold:

- (i) $x_\sigma \in V_\sigma$ and $x_\sigma \in R_\sigma$,
- (ii) if ρ is an extension of σ , $\overline{V_\rho} \subseteq V_\sigma$,
- (iii) $(\overline{V_{\sigma \frown n}})_{n \in \omega} \in \mathcal{S}(x_\sigma)$ and if we have countably many sequences converging to x_σ , the open squares $V_{\sigma \frown n}$ converge to x_σ in τ and miss a sequence which contains a subsequence of each of these sequences and the rational segment R_σ .
- (iv) for fixed σ with $\text{dom}(\sigma) = m$, $\text{diam}(V_\sigma) < \frac{1}{2^m}$.

Now let $K_{m+1} = \{x_\sigma : \text{dom}(\sigma) \leq m\} \cup \bigcup \{\overline{V_{\sigma \frown n}} : n \in \omega, \sigma : m \rightarrow \omega\}$. We have that $(K_m)_{m \geq 0}$ is a decreasing sequence of compact metric spaces in the usual topology (use (i), (ii) and (iii)) so their intersection K is compact metric. It is also clear that each point x_σ has a clopen base in $K_{\text{dom}(\sigma)}$ (so also in K) and, using (iii), we get that $D = \{x_\sigma : \sigma \in \omega^m, m \in \omega\}$ is a countable dense in itself (in τ) subset of K . Furthermore, for each $d = x_\sigma \in D$ we have that there exists a rational segment $R_d = R_\sigma$ with $R_d \cap K = \{d\}$. If we have countably many sequences converging to d there is a sequence that contains a subsequence of each of these countably many sequences and is disjoint from K (by (iii) again).

Finally, we use (iv) to conclude that $K \setminus D$ consists of the points that are the intersections of the closures of open sets in a branch of our tree of open sets, so we get that each of these $\mathfrak{c}$ many points (use (ii) again) is a limit point of D (i.e. $K = \overline{D}$) and that it has a clopen local base. We now observe that K is perfect (D is a dense in itself dense subset of K), compact, 0-dimensional metric space, hence K is homeomorphic to the Cantor set and $D \subseteq S$ yields that $K \subseteq S$.

To finish the proof, just observe that if $d = x_\sigma \in D$, then $C_d = V_\sigma \cap K$ is the clopen set required in the statement of the lemma and that, if $d = x_\sigma$, then $x_n^d = x_{\sigma \frown n}$ and $V_{n,d} = V_{\sigma \frown n}$. Finally, any homeomorphism ϕ with $\phi(D) = \{x_n : n \in \omega\}$ will do, since, if $\phi^{-1}(x) = d = x_\sigma$, then the sequences $(\phi^{-1}(x'_m))_{m \in \omega}$, $(\phi^{-1}(x''_m))_{m \in \omega}$ will eventually belong to C_d and so $C_d \setminus \{d\} \subseteq \bigcup_{n \in \omega} V_{n,d}$ and $(V_{\sigma \frown n})_{n \in \omega}$ converges to x_σ in τ . $\square$

For each $x \in [0, 1]^2 \setminus Q^2$ that belongs to a rational segment, let S_x be the unique segment that goes through x and has endpoints on the the unit square $[0, 1]^2$ (this exists since the intersection of two non-parallel rational segments is a point in Q^2). For each $x \in Q^2$, let $S_x = \{x\}$. Let $\mathcal{N} = \mathcal{B} \cup \mathcal{Q}$ be the (countable) network for $[0, 1]^2$, where $\mathcal{B}$ is a countable base for $[0, 1]^2$ and $\mathcal{Q}$ is the family of rational segments in $[0, 1]^2$.

We now prove a lemma that will provide the method of construction at a successor stage.

Lemma 3.4. *Suppose that $X \subseteq [0, 1]^2$ contains all rational segments, and, whenever C is a copy of the Cantor set in $[0, 1]^2$, $C \setminus X$ is countable.*

Suppose that τ is a stronger separable metric topology on $[0, 1]^2$ as in proposition 3.1 such that, if $x \in [0, 1]^2$ is one of the countably many exceptional points where we have refined the topology and $x \in U$, U is open in τ and R_x is a rational segment such that $x \in R_x \subseteq S_x$, then there is a rational segment $R \subseteq R_x$ such that $x \in R \subseteq U$ (so, in particular, we assume $\mathcal{N}$ is a (countable) network for $([0, 1]^2, \tau)$).

Suppose K is a Cantor subset of $[0, 1]^2$ such that $(K \cap X, \tau \upharpoonright K \cap X)$ is 0-dimensional and suppose $D = \{d_n : n \in \omega\}$ is a countable dense subset of K . Let $(x_n)_{n \in \omega}$ be an enumeration of the endpoints of the open intervals removed during the standard construction of 2^ω . Suppose that the following hold:

(1) *For each $d \in D$ there exists a rational segment R_d with $R_d \cap K = \{d\}$ (so, in particular, $D \subseteq X$) and a sequence $(y_n^d)_{n \in \omega}$ converging to d in τ that is disjoint from K .*

(2) *For each $d \in D$ there exist a clopen subset C_d of K and open squares $V_{n,d} \ni x_n^d \in D$ such that $d \in C_d$ and $C_d \setminus \{d\} \subseteq \bigcup_{n \in \omega} V_{n,d}$, $\mathcal{S}(d) \ni (\overline{V_{n,d}})_{n \in \omega}$ and $(V_{n,d})_{n \in \omega} \rightarrow d$ (in τ).*

(3) *There exists $\phi : K \rightarrow 2^\omega$ (a homeomorphism with respect to the usual topologies of K and 2^ω) such that $\phi(d_n) = x_n$ and $(\phi^{-1}(x'_{m_i}))_{i \in \omega}, (\phi^{-1}(x''_{m_j}))_{j \in \omega}$ converge to $\phi^{-1}(x)$ in τ (where the sequences $(x'_m)_{m \in \omega}, (x''_m)_{m \in \omega}$ are as in lemma 2.2).*

Let Z the space obtained by resolving $([0, 1]^2, \tau)$ at each point d of D into $[-1, 1]$ (all the other Y_x 's are $=\{c_x\}$) so that $f_d(\{y_n^d : n \in \omega\} \cup R_d \setminus \{d\}) = x_d = f(\phi(d))$ (where f is the Kuratowski function).

Let

$$\begin{aligned} Z' &= \bigcup_{d \in D} \{(d, x_d)\} \cup \bigcup_{x \in X \setminus D} \{(x, c_x)\} \subseteq Z'' \\ &= \bigcup_{d \in D} \{(d, x_d)\} \cup \bigcup_{x \notin D} \{(x, c_x)\} \subseteq Z \end{aligned}$$

and let $\pi : Z \rightarrow [0, 1]^2$ be the continuous projection.

Then

(i) $\dim \pi^{-1}(K) \cap Z' = 1$,

(ii) Z' (even Z) is separable metric,

(iii) $\{\pi^{-1}(N) \cap Z'' : N \in \mathcal{N}\}$ is a countable network for Z'' ,

(iv) $(y_n^d, c_{y_n^d})_{n \in \omega}$ converges to (d, x_d) , and

(v) if $\dim(X, \tau \upharpoonright X) = n \geq 1$, then $\dim Z' \leq n$; if $n = 1$, then $\dim Z' = 1$.

Let τ' be the quotient topology induced by $\pi \upharpoonright Z''$ on $[0, 1]^2$.

Then, moreover, $(K \cap X, \tau' \upharpoonright K \cap X)$ is 1-dimensional, $([0, 1]^2, \tau')$ is a separable metric space and $(y_n^d)_{n \in \omega}$ converges to d in τ' .

Furthermore, if $\dim(X, \tau \upharpoonright X) = n \geq 1$, then $\dim(X, \tau' \upharpoonright X) \leq n$. In particular, if $n = 1$, then $\dim Z' = 1$.

Moreover, suppose that $x \in [0, 1]^2$ is one of the countably many points at which the new topology is finer than the Euclidean one. Then x belongs to a rational segment, and, whenever U is a basic open neighborhood of x in the new topology,

(a) $U \setminus \{x\} = V$ is open in the Euclidean topology.

(b) U contains an open square minus the union of a tail of a sequence $(B_{i,x}^\alpha)_{i \in \omega} \in \mathcal{S}(x)$ for some fixed $\alpha < \omega_1$.

(c) if R_x is a rational segment such that $x \in R_x \subseteq S_x$, then there exists a rational segment $R \subseteq R_x$ such that $x \in R \subseteq U$.

Proof. We first define the functions f_d for $d \in D$. Fix a C_d as in (2); with ϕ as in (3), define $f_d \upharpoonright C_d \setminus \{d\} = g_{\phi(d)} \circ \phi \upharpoonright C_d \setminus \{d\}$ where $g_{\phi(d)}$ is as in lemma 2.3 and define $f_d \upharpoonright (\bigcup_{n \in \omega} V_{n,d})^c \cup \{y_n^d : n \in \omega\} \cup R_d \setminus \{d\}$ to be equal to the constant $x_d = f(\phi(d))$, where f is the Kuratowski function.

Now

$$\begin{aligned} & ((\bigcup_{n \in \omega} V_{n,d})^c \cup \overline{\{y_n^d : n \in \omega\}} \cup R_d) \cap C_d = \{d\}, \\ & f_d \upharpoonright ((\bigcup_{n \in \omega} V_{n,d})^c \cup \overline{\{y_n^d : n \in \omega\}} \cup R_d \cup C_d \setminus \{d\}) \\ & = f_d \upharpoonright ((\bigcup_{n \in \omega} V_{n,d})^c \cup \{y_n^d : n \in \omega\} \cup R_d \cup C_d \setminus \{d\}) \end{aligned}$$

is well-defined and continuous by the pasting lemma). So, since $(\bigcup_{n \in \omega} V_{n,d})^c \cup \overline{\{y_n^d : n \in \omega\}} \cup R_d \cup C_d \setminus \{d\}$ is closed in $[0, 1]^2 \setminus \{d\}$, we deduce that $f_d \upharpoonright (\bigcup_{n \in \omega} V_{n,d})^c \cup \overline{\{y_n^d : n \in \omega\}} \cup R_d \cup C_d \setminus \{d\}$ can be extended to a continuous function on $[0, 1]^2 \setminus \{d\}$ by Tietze's extension theorem.

Finally, if $x \in K \setminus D$ let $c_x = f(\phi(x))$ and if $x \notin K$, let $c_x = 0$. (Note that the f_d 's are continuous even when $[0, 1]^2$ has the usual Euclidean topology.)

So Z is a separable metric space (by corollary 1.3), and Z' is a separable metric space and (ii) is proved.

We observe that $\pi^{-1}(K) \cap Z' = \{(x, f(\phi(x))) : x \in K \cap X\} \subseteq \{(x, f(\phi(x))) : x \in K\} = L$. We will show that L is homeomorphic to the graph of the Kuratowski function if we suppose that 2^ω gets the topology ρ that makes $\phi : (K, \tau \upharpoonright K) \rightarrow 2^\omega$ a homeomorphism. In particular, this will imply that

$$\dim \pi^{-1}(K) \cap Z' \leq \dim ((K \cap X, \tau \upharpoonright K \cap X) \times [-1, 1]) = 1$$

since $(K \cap X, \tau \upharpoonright K \cap X)$ is 0-dimensional.

Indeed, the map i defined by $i(x, f(\phi(x))) = (\phi(x), f(\phi(x)))$ is clearly 1-1 and onto and we will show it is a homeomorphism.

Suppose that $(x_n, f(\phi(x_n))) \rightarrow (x, f(\phi(x)))$ in the resolution topology. We get that $x_n \rightarrow x$ and that $\phi(x_n) \rightarrow \phi(x)$ (both sequences converge in the stronger, so in the usual topologies as well), so if $\phi(x)$ is a point of continuity of f , we are done.

If this is not the case, we get that $x \in D$ and that $g_{\phi(x)}(\phi(x_n)) \rightarrow f(\phi(x))$. Indeed, recall that a basic open set containing $(x, f(\phi(x)))$ is $\{(x, f(\phi(x)))\} \cup \bigcup \{(x', f(\phi(x')))) : x' \in U \cap (g_{\phi(x)} \circ \phi \upharpoonright K \setminus \{x\})^{-1}(W)\}$ (where $U \ni x$ and U is open, $W \ni f(\phi(x))$ and W is open) and apply that to get that for sufficiently large n , $(g_{\phi(x)} \circ \phi \upharpoonright K \setminus \{x\})(x_n) \in W$. Therefore $g_{\phi(x)}(\phi(x_n)) \rightarrow f(\phi(x))$ and the construction of $g_{\phi(x)}$ yields that $f(\phi(x_n)) \rightarrow f(\phi(x))$. This shows that i is continuous.

Conversely, suppose that $\phi(x_n) \rightarrow \phi(x)$ and $f(\phi(x_n)) \rightarrow f(\phi(x))$. Thus $\phi(x_n) \rightarrow \phi(x)$ in ρ and the construction of g_x yields that $g_{\phi(x)}(\phi(x_n)) \rightarrow f(\phi(x))$ when $\phi(x)$ is not a point of continuity of f . So again $(x_n, f(\phi(x_n))) \rightarrow (x, f(\phi(x)))$ in the resolution topology. If $\phi(x)$ is a point of continuity, then f_x is a constant ($= c_x$) and the proof is trivial. This shows that i^{-1} is continuous.

We therefore get that $\pi^{-1}(K) \cap Z'$ is homeomorphic to $Gr(f) \setminus H$, where $H = \{(\phi(x), f(\phi(x))) : x \in K \cap X^c\}$ is countable and $p_1(H) \cap \{x_n : n \in \omega\} = \emptyset$.

We now check that a closed dense in itself subset S of $(K, \tau \upharpoonright K)$ is still uncountable. Certainly $S = S'_\tau = \overline{S'}^\tau$. Also $\overline{S} = S \cup S' = S'_\tau \cup S' \subseteq S' \subseteq \overline{S'}$ and $\overline{S}$ is closed dense in itself in the Euclidean topology, and thus uncountable. Thus S is uncountable since $|\overline{S} \setminus \overline{S'}^\tau| \leq \omega$ and we only refined the topology at countably many points.

By an application of proposition 2.1, since the sequences $(x'_{m_i})_{i \in \omega}$, $(x''_{m_j})_{j \in \omega}$ converge to x in ρ , we get that $\dim \pi^{-1}(K) \cap Z' \geq 1$ and (i) follows.

We now prove (iii). If f_x is a constant map, a neighbourhood of (x, c_x) in Z'' is of the form $V = \{(x, c_x)\} \cup \bigcup \{\{x'\} \times Y_{x'} : x' \in U \setminus \{x\}\} \cap Z''$; let $x \in N \subseteq U$, where $N \in \mathcal{N}$. Now $(x, c_x) \in \pi^{-1}(N) \cap Z'' \subseteq V$. Suppose that $(d, f(\phi(d))) \in Z'' \cap (\{d\} \times W \cup \bigcup \{\{x\} \times Y_x : x \in U \cap f_d^{-1}(W)\}) = V$ and observe that if $d \in U$ and U is open in τ , we have that there exists a rational segment $R \subseteq R_d$ (where R_d is as in (1)) with $d \in R \subseteq U$. Since $f_d(R \setminus \{d\}) \subseteq f_d(R_d \setminus \{d\}) = x_d = f(\phi(d)) \in W$ and $R \subseteq U$, we deduce that $(d, f(\phi(d))) \in \pi^{-1}(R) \cap Z'' \subseteq V$.

For (iv), we just need to apply the definition of the resolution topology.

Finally, we prove (v). Since $\pi \upharpoonright \pi^{-1}(X \setminus D)$ is a homeomorphism, $\pi^{-1}(X \setminus K)$ is an open F_σ set of dimension $\leq n$, so $\dim Z' \leq n$ by an application of the countable sum theorem and (i). The case $n = 1$ is trivial.

Now $f_d \upharpoonright (\bigcup_{n \in \omega} V_{n,d})^c = x_d = f(\phi(d))$ and the resolution functions are continuous in the Euclidean topology. So, for any basic τ' -open set U containing d , there exists some τ -open V such that $U \supseteq V \setminus \bigcup_{n \in \omega} \overline{V_{n,d}}$ by (II) in proposition 3.1. Using proposition 3.1, we get the last paragraph of this lemma. $\square$

It is indeed possible to have a subset X of $[0, 1]^2$ as in lemma 3.4 so that X is $\mathfrak{c}$ -dense in $[0, 1]^2$ (i.e. $|X \cap V| = \mathfrak{c}$ for all $V \subseteq [0, 1]^2$, V open and non-empty) and $[0, 1]^2 \setminus X$ is dense in $[0, 1]^2$. This is established in the following

Lemma 3.5. *There exists $Y \subseteq [0, 1]^2$ such that Y contains all rational segments and $[0, 1]^2 \setminus Y$ is a countable dense subset of $[0, 1]^2$. We conclude that if C is a copy of the Cantor set in $[0, 1]^2$, $C \cap Y^c$ is countable (therefore Y is $\mathfrak{c}$ -dense in $[0, 1]^2$) and that $\dim Y = 1$.*

Proof. The complement of a rational segment is open and dense in $[0, 1]^2$, therefore an application of the Baire category theorem yields that $A = [0, 1]^2 \setminus \bigcup \mathcal{Q}$ (where $\mathcal{Q}$ is the family of rational segments) is dense in $[0, 1]^2$. (We note here that a fancy way to see this is that $\bigcup \mathcal{Q}$ is 1-dimensional (apply the countable sum theorem), hence it has no interior in $[0, 1]^2$).

Let D be a countable dense subset of A (therefore, D is dense in $[0, 1]^2$ as well) and let $Y = [0, 1]^2 \setminus D$. We only verify that $\dim Y = \text{ind} Y = 1$, as everything else is immediate. Indeed, Y has no interior in $[0, 1]^2$, therefore $\text{ind} Y \leq 1$ (see [3], Corollary 1.8.11.) and we actually have that $\text{ind} Y = 1$ as Y contains all rational segments. $\square$

Before we construct our space X , we will state and prove the following

Lemma 3.6. *Suppose that $(\tau_\alpha)_{\alpha < \omega_1}$ is an increasing continuous sequence of topologies on a set X (i.e. if α is a limit ordinal, $\sup\{\tau_\beta : \beta < \alpha\} = \tau_\alpha$) so that (X, τ_α) is a separable metric space for all $\alpha < \omega_1$ and $\mathcal{N} = \{N_i : i \in \omega\}$ is a countable network for (X, τ) , where $\tau = \sup\{\tau_\alpha : \alpha < \omega_1\}$.*

We then have that the following are true:

- (i) If $V \in \tau$, there exists $\alpha < \omega_1$ such that $V \in \tau_\alpha$ and, therefore, if there exists $\alpha < \omega_1$ such that for all $\beta > \alpha$, $\dim(X, \tau_\beta) \leq n$, then $\dim(X, \tau) \leq n$.
- (ii) If $S \subseteq X$, there exists $\alpha < \omega_1$ such that $\overline{S}^\tau = \overline{S}^{\tau_\beta}$ for all $\beta > \alpha$, and therefore, there exists $\gamma < \omega_1$ such that $Bd_\tau(S) = Bd_{\tau_\beta}(S)$ for all $\beta > \gamma$.
- (iii) If $\text{ind}(X, \tau) = 0$, we have that there exists $\alpha < \omega_1$ such that $\text{ind}(X, \tau_\alpha) = 0$.

Proof. (i) We observe that a basic open set V in τ will be open in some intermediate topology ($V = G_1 \cap \dots \cap G_k$, where $k \in \omega$ and $G_i \in \tau_{\alpha_i}$, $i = 1, \dots, k$; therefore if $\alpha > \alpha_i$ for $i = 1, \dots, k$ we get that $V \in \tau_\alpha$). We use that X has a countable network to get that X is hereditarily Lindelöf, so we may have that an open subset V of X will be the countable union of basic open sets and the argument above yields that $V \in \tau_\alpha$ for some $\alpha < \omega_1$ (use that ω_1 is regular).

This fact shows that $\dim X \leq n$; indeed, let $(V_i)_{i=1, \dots, k}$ be a finite open cover of X , let $\alpha < \omega_1$ be such that $V_i \in \tau_\alpha$ for all $i = 1, \dots, k$ and $\dim X_\alpha \leq n$ to get an open refinement of order $\leq n$ in τ_α which is the desired refinement.

(ii) Let S be as above and let $\overline{S}^{\tau_\alpha}$ be the closure of S in τ_α ; these sets are closed in τ and form a decreasing family of size ω_1 , so, since (X, τ) is hereditarily Lindelöf, it stabilizes, i.e. $\overline{S}^{\tau_\beta} = \overline{S}^{\tau_\alpha} \quad \forall \beta > \alpha$ for some $\alpha < \omega_1$. We also observe that $\overline{S}^\tau = \bigcap_{\beta < \omega_1} \overline{S}^{\tau_\beta}$. Indeed, let $x \notin \overline{S}^\tau$ and let $x \in G$, G basic open with $G \cap S = \emptyset$; get $\beta < \omega_1$ such that $G \in \tau_\beta$ and see that $x \notin \overline{S}^{\tau_\beta}$. These two observations finish the proof of the first part of (ii) and the second part follows from this and (i).

(iii) Let $\alpha_0 = 0$; we will construct an increasing sequence of ordinals $(\alpha_n)_{n \in \omega}$ such that, if $N \in \mathcal{N}$, $N \subseteq U$, and U is a basic open set in τ_{α_n} , there exists a clopen (in τ) set H such that $N \subseteq H \subseteq U$, and $H, H^c \in \tau_{\alpha_{n+1}}$, i.e. H is clopen in $\tau_{\alpha_{n+1}}$.

Indeed, this is possible, since (X, τ_α) is a separable metric space $\alpha < \omega_1$ and $\mathcal{N}$ is countable; use (i), that ω_1 is regular and that $\text{Ind}(X, \tau) = 0$. (Recall that (X, τ) is Lindelöf).

We let $\alpha = \sup\{\alpha_n : n \in \omega\}$ and we claim that this α works. Indeed, let $x \in U$, where U is basic open in τ_α . We may assume that U is basic open in τ_{α_n} for some $n \in \omega$, so there exists a clopen (in $\tau_{\alpha_{n+1}} \subseteq \tau_\alpha$) set H and $N \in \mathcal{N}$ such that $x \in N \subseteq H \subseteq U$ and the proof is complete. $\square$

Theorem 3.7 (CH). *There exists a regular space X with a countable network such that $\dim X = 1$ and $\text{ind} X = \text{Ind} X \geq 2$.*

Proof. Let Y be as in lemma 3.5. So $\text{ind} Y = 1$, Y contains all rational segments and, whenever C is a copy of the Cantor set in $[0, 1]^2$, $C \setminus Y^c$ is countable. Thus Y is $\mathfrak{c}$ -dense in $[0, 1]^2$.

Using CH, let $(Bd(U_\alpha))_{\alpha < \omega_1}$ be a list of all boundaries of all non-empty open subsets of $[0, 1]^2$ that are not dense so that each $Bd(U_\alpha)$ is listed stationarily often (we will need stationary sets rather than unbounded sets in the proof of claim 2 below).

For each $\alpha < \omega_1$, we will construct a topology τ_α on $[0, 1]^2$, two sets $K_\alpha, D_\alpha \subseteq [0, 1]^2$ and a function $\phi_\alpha : K_\alpha \rightarrow 2^\omega$ such that the following will hold:

- (i) $\forall \alpha < \beta < \omega_1$, $\tau_\alpha \subseteq \tau_\beta$.
- (ii) $\forall \alpha < \omega_1$, $X_\alpha = (Y, \tau_\alpha)$ is a separable metric space.
- (iii) In each topology τ_α , all but countably many points of $[0, 1]^2$ will have a local base of Euclidean open sets; and if x is one of these countably many points, x belongs to a rational segment and has a local base so that any element of the base

contains an open square minus the union of a tail of some sequence $(B_{i,x}^\alpha)_{i \in \omega} \in \mathcal{S}(x)$ (recall the definition in proposition 3.1).

(iv) In each topology τ_α , if $x \in [0, 1]^2$ is one of the countably many points at which we have refined the topology and $x \in U$, U is open in τ_α and R_x is a rational segment such that $x \in R_x \subseteq S_x$ (S_x is defined in the paragraph before the statement of lemma 3.4), we have that $x \in R \subseteq U$ for some rational segment $R \subseteq R_x$. (In particular, for every $\alpha < \omega_1$, $\mathcal{N}$ is a countable network for $([0, 1]^2, \tau_\alpha)$ (recall the definition just before lemma 3.4).

(v) for all $\alpha < \omega_1$, $\dim(Y, \tau_\alpha \upharpoonright Y) = 1$.

(vi) K_α is a Cantor set (in the Euclidean topology) that is contained in $Bd(U_\alpha)$ whenever $(Bd(U_\alpha) \cap Y, \tau_\alpha \upharpoonright Bd(U_\alpha) \cap Y)$ is 0-dimensional; otherwise, $K_\alpha = \emptyset$.

(vii) $D_\alpha \subseteq Y$ is a countable dense subset of K_α .

(viii) $\phi_\alpha : K_\alpha \rightarrow 2^\omega$ is a homeomorphism (with respect to the usual topologies of K_α and 2^ω) such that $\phi_\alpha(d_n^\alpha) = x_n$ where $D_\alpha = \{d_n^\alpha : n \in \omega\}$ and $(x_n)_{n \in \omega}$ is an enumeration of the endpoints of the open intervals removed during the standard construction of 2^ω , whenever $K_\alpha \neq \emptyset$; otherwise, $\phi_\alpha = \emptyset$.

(ix) If $\beta < \alpha$, both sequences $(\phi_\beta^{-1}(x'_m))_{m \in \omega}$, $(\phi_\beta^{-1}(x''_m))_{m \in \omega}$ have a subsequence that converges to $\phi_\beta^{-1}(x)$ in τ_α (recall the definition in lemma 2.2).

Now we describe the inductive construction of the $\tau_\alpha, K_\alpha, D_\alpha$ and ϕ_α .

Suppose that we have defined $\{\tau_\gamma, K_\gamma, D_\gamma, \phi_\gamma : \gamma < \beta, \gamma \leq \beta\}$ for each $\beta < \alpha$. If α is a limit ordinal, then we only define $\tau_\alpha = \sup\{\tau_\beta : \beta < \alpha\}$ (this implies $X_\alpha = \varprojlim\{X_\beta : \beta < \alpha\}$; see section 1).

Now suppose $\alpha = \beta + 1$. We will define $\tau_\alpha, K_\beta, D_\beta$ and ϕ_β .

If $(Bd(U_\beta) \cap Y, \tau_\beta \upharpoonright Bd(U_\beta) \cap Y)$ is not 0-dimensional, then we let $\tau_\alpha = \tau_\beta$ and $K_\beta = D_\beta = \phi_\beta = \emptyset$.

If $(Bd(U_\beta) \cap Y, \tau_\beta \upharpoonright Bd(U_\beta) \cap Y)$ is 0-dimensional, then we apply lemma 3.3 (with $U = U_\beta$ and $\tau = \tau_\beta$) to get K_β, D_β and ϕ_β so that:

(0) K_β is a Cantor set (in the Euclidean topology) such that $K_\beta \subseteq Bd(U_\beta)$.

(1) K_β has a dense subset D_β such that, if $d \in D_\beta$, then there exists a rational segment R_d such that $d \in R_d$, $R_d \cap K_\beta = \{d\}$ and if $d \in D_\gamma$ for some $\gamma < \beta$, and $\phi_\gamma(d) = x$, then the union $(y_n^d)_{n \in \omega}$ of some subsequences $(\phi_\gamma^{-1}(x'_{m_i}))_{i \in \omega}$, $(\phi_\gamma^{-1}(x''_{m_j}))_{j \in \omega}$ converge to $\phi_\gamma^{-1}(x) = d$ in τ_β and are disjoint from K_β .

(2) For all $d \in D_\beta$, there exist a clopen subset C_d of K_β and open squares $V_{n,d} \ni x_n^d \in D_\beta$ such that $d \in C_d$, $C_d \setminus \{d\} \subseteq \bigcup_{n \in \omega} V_{n,d}$, $\mathcal{S}(d) \ni (\overline{V_{n,d}})_{n \in \omega}$ and $(V_{n,d})_{n \in \omega} \rightarrow d$ (in τ_β).

(3) $\phi_\beta : K_\beta \rightarrow 2^\omega$ is a homeomorphism with respect to the usual topologies of K_β and 2^ω and $\phi_\beta(d_n^\beta) = x_n$, where $D_\beta = \{d_n^\beta : n \in \omega\}$ and $(x_n)_{n \in \omega}$ is an enumeration of the endpoints of the open intervals removed during the standard construction of 2^ω , so that $(\phi_\beta^{-1}(x'_m))_{m \in \omega}$, $(\phi_\beta^{-1}(x''_m))_{m \in \omega}$ converge to $\phi_\beta^{-1}(x)$ in τ_β .

Note that we get (1) by applying our hypothesis (ix) and the fact that we can “put together” countably many sequences in a first countable space (i.e. if Z is a topological space, $z \in Z$ has countable character and we have countably many sequences converging to z , then there is a sequence that converges to z which contains all but finitely many elements of each of these sequences).

We now show that conditions (i)–(ix) are valid.

It is obvious that (i) and (ii) are valid and our construction guarantees that (iii) is satisfied, since if α is a successor, (iii) follows by lemma 3.4, while if α is a limit

ordinal, (iii) follows from the definition of X_α (or, equivalently, from the definition of τ_α) and part (II) of proposition 3.1. We also have that lemma 3.4 yields that (iv) is valid if α is a successor and if α is a limit ordinal, (iv) follows from the definition of τ_α . Conditions (vi), (vii) and (viii) follow by our construction.

Now we verify (ix). Our requirements (1) and (3) (in the construction of all previous Cantor sets) and lemma 3.4 guarantee that (ix) is true in the case of a successor ordinal α . If α is limit, then finding the appropriate subsequences (as in (ix)) is equivalent to finding an infinite set all but finitely many elements of which are contained in all the infinite sets corresponding to the subsequences we have at the previous stages of our inductive construction. These infinite subsets of ω form a decreasing (mod finite) sequence, and so this can be done.

Now we verify (v). If α is a successor ordinal, we apply lemma 3.4. So suppose α is a limit ordinal. An application of a theorem of Nagami (see [3], theorem 1.13.4.) implies that $\dim X_\alpha = \text{ind } X_\alpha \leq 1$. So we will be done with (v) by proving the following claim.

Claim 1: If $\beta < \alpha < \omega_1$ and $K_\beta \neq \emptyset$, then $(K_\beta \cap Y, \tau_\alpha \upharpoonright K_\beta \cap Y)$ is 1-dimensional at the points $d \in D_\beta$.

Proof of claim 1: We need to check that the hypotheses of proposition 2.1 are satisfied with $\tau = \tau_\alpha$. But τ_α is a separable metric topology that is stronger than the usual one and we have refined the topology at only countably many points. In particular, this means that a closed dense in itself subset of 2^ω (with the refined topology) is uncountable.

So now we need only use (ix).

Now we have checked that (i)–(ix) are true.

We make a further claim before continuing.

Claim 2: For all $\gamma < \omega_1$, there exists $\alpha > \gamma$, $\alpha < \omega_1$ such that $Bd(U_\alpha) = Bd(U_\gamma)$ and $\dim(Bd(U_\alpha) \cap Y, \tau_\beta \upharpoonright Bd(U_\alpha) \cap Y) = 1$ for all $\beta > \alpha$.

Proof of claim 2: Let $Bd(U_\gamma)$ be given and observe that the set of countable ordinals δ such that $(Bd(U_\gamma) \cap Y, \tau_\delta \upharpoonright Bd(U_\gamma) \cap Y)$ is 0-dimensional, is closed. If it is also unbounded, we get that (recall that we listed each $Bd(U_\alpha)$ stationarily often) there exists $\alpha < \omega_1$ such that $Bd(U_\alpha) = Bd(U_\gamma)$ and $(Bd(U_\alpha) \cap Y, \tau_\alpha \upharpoonright Bd(U_\alpha) \cap Y)$ is 0-dimensional. But, in this case, we do refine the topology of Y to get a Cantor subset K of $Bd(U_\alpha)$ and a dense subset D of K such that $K \cap Y$ remains 1-dimensional at all points $d \in D$ in all later topologies.

Now let $X = \lim_{\leftarrow} \{X_\alpha : \alpha < \omega_1\}$. We see that X is regular, that the topology τ of X is the supremum of all intermediate separable metric topologies and that $\{N \cap X : N \in \mathcal{N}\}$ is a countable network for X . Another application of lemma 3.6 yields that $\dim X = 1$ (use (i) and (iii) of lemma 3.6) and that non-empty open subsets of X are uncountable.

Finally, we will show that if U is a non-empty open subset of X that is not dense, $\text{ind } Bd(U) \geq 1$, so $\text{ind } X \geq 2$. (We note here that we get another proof that $\dim X = 1$, since if $\dim X = 0$, then $\text{Ind } X = \text{ind } X = 0$, which would be impossible).

Then let U be a non-empty open subset of X that is not dense and apply lemma 3.6 to get $\alpha < \omega_1$ such that U is open in τ_α and $Bd_{\tau_\alpha}(U) = Bd_\tau(U)$. Observe that $Bd_Y(U) = Bd_{\tau_\alpha}(U) \cup H$, where H is a countable set, and that if $V = \text{Int}_Y(U)$, $U = V \cup L$, where L is a countable set. We conclude that $V \neq \emptyset$ and that if $V = W \cap Y$ where W is an open subset of $[0, 1]^2$, $W \neq \emptyset$ and $Bd_Y(V) = \overline{V}^Y \setminus V =$

$\overline{W \cap Y^Y} \setminus W \cap Y = (Y \text{ is dense in } [0, 1]^2) \overline{W} \cap Y \setminus W \cap Y = Bd(W) \cap Y$.

We also have that $\overline{U^Y} = \overline{V^Y}$; for this, it suffices to show that $L \subseteq \overline{V^Y}$. Indeed, let $x \in L$; since we have that U is open in τ_α , we have that $x \in G$ such that G is open in τ_α , $G \setminus \{x\}$ is Euclidean open and $G \subseteq U = V \cup L$. Arguing by contradiction, suppose that $x \in O$, O is open in Y and $O \cap V = \emptyset$ and observe that $G \cap O \setminus \{x\} \subseteq L$ and $G \cap O \setminus \{x\}$ is countable and non-empty (recall that x is not isolated in (Y, τ_α)), contradicting lemma 3.5.

We can now prove the following:

Claim 3: W is dense in $[0, 1]^2$ with the Euclidean topology iff U is dense in (X, τ) .

Proof of claim 3: Suppose that U is dense in (X, τ) ; it is clear that U is dense in Y , so V is dense in Y . So W is dense in $[0, 1]^2$ with the Euclidean topology, since it contains V and Y is dense in $[0, 1]^2$.

Conversely, suppose that W is dense in $[0, 1]^2$ with the Euclidean topology; we get that V is dense in Y (even in $[0, 1]^2$) and so U is dense in Y . An application of lemma 3.6 yields that $\overline{U^Y} \setminus \overline{U^\tau}$ is a countable set, so $X \setminus \overline{U^\tau}$ is a countable open set, so it is empty and we are done.

We now get that $Bd_Y(U) = \overline{U^Y} \setminus Int_Y U = \overline{V^Y} \setminus V = Bd_Y(V) = Bd(W) \cap Y$ and we conclude that $Bd_\tau(U) \supseteq Bd(W) \cap Y \setminus H$, where H is a countable set, so to prove that $Bd_\tau(U)$ is 1-dimensional, it suffices to show that $(Bd(W) \cap Y, \tau \upharpoonright Bd(W) \cap Y)$ is 1-dimensional. This is so since we can apply the countable sum theorem in τ to the closed set $Bd_\tau(U)$ and the countably many points of H . We have established that there exists $\alpha < \omega_1$ such that for all $\beta > \alpha$, $dim(Bd(W) \cap Y, \tau_\beta \upharpoonright Bd(W) \cap Y) = 1$. An application of lemma 3.6 finishes the proof. $\square$

4. RELATED RESULTS AND QUESTIONS

In [10], Leřbo showed that if X is a closed image of a metric space, we have that $dim X = Ind X$. We have just seen (see [7]) that a continuous image of a separable metric space need not satisfy that $dim = Ind$, so the natural question (also due to Arhangel'skiř) is what happens when X is a quotient of a separable metric space (For a theorem of Michael characterizing such spaces, see [7]). In particular, we do not know whether our space X is a quotient of a separable metric space.

We also do not know how wide the possible gap between dim and $ind = Ind$ can be in a continuous image of a separable metric space. In particular, we do not know if X is finite-dimensional or not.

A big question is whether CH is actually needed, i.e. whether such a space can be constructed in ZFC. In particular, what happens under $MA + \neg CH$?

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